OPERATING and CAPITAL BUDGET - FY 2021-2022



CITY OF
TRACY CALIFORNIA
Think Inside the Triangle™

333 Civic Center Plaza
Tracy, Ca 95376
209.831.6800
www.CityofTracy.org

Cover Photos and Photographer

Top Left – Amazon Distribution Center Construction – Mariann Stolte
Top Right – Tracy Animal Services – Mariann Stolte
Bottom Left – Tracy Municipal Airport – Mark E. Robertson
Bottom Right – Selfie Day – City Staff

City of Tracy, California

Adopted Operating Budget
Fiscal Year 2021-2022

City Council and Elected Officials

Nancy Young	*Mayor*
Veronica Vargas	*Mayor Pro Tem*
Dan Arriola	*Council Member*
Eleassia Davis	*Council Member*
Mateo Bedolla	*Council Member*
Raymond McCray	*City Treasurer*

City of Tracy
333 Civic Center Plaza
Tracy, CA 95376
(209) 831-6000

City of Tracy, California

Adopted Operating Budget
Fiscal Years 2021-2022

Executive Management

Jenny Haruyama	*City Manager*
Midori Lichtwardt	*Assistant City Manager*
Andrew Malik	*Assistant City Manager*
Letica Ramirez	*City Attorney*
Karin Schnaider	*Director of Finance*
Sekou Millington	*Chief of Police*
Randall Bradley	*Fire Chief*
Kimbery Murdaugh	*Director of Human Resources*
Donald Scholl	*Director of Public Works*
Brian MacDonald	*Director of Parks & Recreation*
Kuldeep Sharma	*Director of Utilities*

City of Tracy
333 Civic Center Plaza
Tracy, CA 95376
(209) 831-6000

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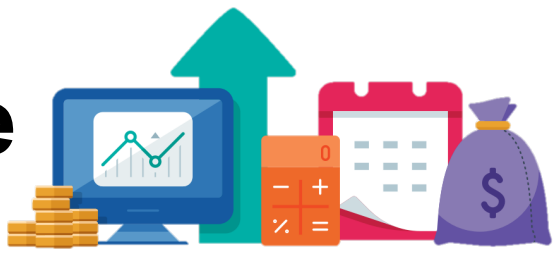
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Budget Message



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City of Tracy
333 Civic Center Plaza
Tracy, CA 95376
CITY MANAGER'S OFFICE

MAIN 209.831.6000
FAX209.830.6120
www.cityoftracy.org

May 18, 2021

Honorable Mayor and City Council:

I am pleased to present the proposed Fiscal Year (FY) 2021-22 Annual Operating and Capital Budget to the City Council for consideration and adoption. The proposed operating budget reflects the City's commitment to provide a high level of service to residents and businesses, while providing a foundation that builds on the City's long-term financial sustainability initiatives. More important, the budget numerical representation of the Council's goals and priorities and drives the City's service delivery plan for the next fiscal year.

Almost a year ago, the world as we knew it changed. COVID-19 emerged, causing a global pandemic that turned humanity's life upside down. From individual insolation to an abrupt disruption of international economies, uncertainty became the new normal. This uncertainty eventually extended to the City's ability to address its existing budget shortfall given the fiscal impact on Tracy's local economy.

Before the pandemic, the City's expenditures were increasing faster than the revenue to support them. This challenge coupled with the economic impacts of the pandemic only highlighted the City's structural deficit for FY2020 and FY2021. Fortunately, the City was able to use its Economic/Budget Stabilization Reserves to maintain critical City services. Additionally, the City committed resources to develop a fiscal sustainability plan to address the City's long-term financial needs. This effort resulted in the formation of a Council Fiscal Sustainability Ad-Hoc Committee that guided the development of key budget principles and budget strategies totaling nearly $18 million; of which, $13 million are actively being pursued.

The FY 2021-22 budget reflects an increased optimism as revenue trends appear to be positive in key economic segments, which will help to narrow our structural deficit. If these trends continue, the City will be provided additional time to plan and implement key budget strategies to permanently address its budget deficit. This optimism was and continues to be visible in our employees, residents, and businesses – their resilience has moved the City forward, knowing that Tracy will be a stronger community than before.

SUMMARY

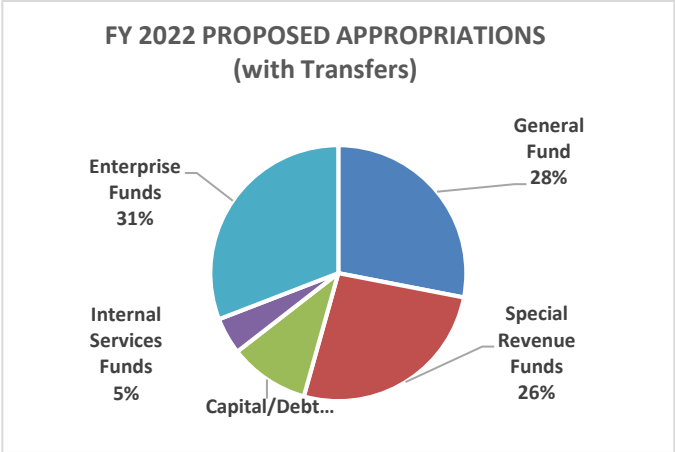
The goal of the City's annual Operating and Capital budget is to provide a plan that allocates resources to the needs and desires of Tracy's residents. It balances City revenues and costs with community priorities and requirements. In preparing the FY 2021-22 Operating and Capital Budget, we held four budget workshops with the City Council. First, on March 9, 2021, the City Manager, along with Finance Director and consultants from Management Partners, discussed the General Fund's ten-year forecast and adopted 12 Budget Principles. The meeting also included a discussion about various budget strategies designed to address the General Fund's projected structural deficit. The proposed budget was initially delivered on March 16, 2021 where staff received further direction about Council funding priorities.

Shortly thereafter, a special Council meeting was held on March 23, 2021 to discuss committed projects using Measure V sales tax. Council directed staff to complete Legacy Fields Phase 1 in FY 2021-22, which has been incorporated in the Capital Improvement Plan (CIP). Funds remain committed for the Aquatics Center, Multi-Generation Gymnasium, and future planning of the Nature Park. On April 20, 2021, the Council reviewed the proposed 5-year CIP Outlook and maintained its commitment to funding over $60 million in Measure V Sales Tax to support future City amenities.

In FY 2021-22, the operating expenses were held flat, except for limited inflationary expenditure increases (*e.g.* pension, health care, and workers' compensation). The select vacancy and hiring freeze put in place March 2020 is expected to continue through FY 2021-22 and, likely, through FY 2022-23. While primarily in the General Fund and Utilities, City implemented a hiring freeze of select vacant positions in order to generate $3.5 million salary savings (approximately 5-10%). The salary savings helped to temporarily mitigate personnel increases related to prior year approved adjustments to employee contracts, pension, health, and workers' compensation benefits, lessening the overall deficit in the General Fund and Utilities Fund. The proposed budget includes 542 approved full-time equivalent with 506 funded positions in FY 2021-22; of which 346 are funded by the General Fund.

The FY 2021-22 proposed Citywide Operating and Capital budget appropriations total approximately $328.7 million (including from $38.5 million in Transfers Between funds) all funding sources including capital improvements of $77.4 million. The Net Position decreased $5.8 million after the capitalization of $77.4 million in capital improvements.

	FY 2022 PROPOSED BUDGET
General Fund	78,021,124
Special Revenue Funds	87,587,448
Capital/Debt	8,348,521
Internal Services Funds	15,281,528
Enterprise Funds	100,941,784
TOTAL EXPENDITURES (w/o Transfers)	290,180,405
Transfers Between Funds	38,553,179
TOTAL EXPENDITURES (w/ Transfers)	328,733,584



FY 2021-22 Proposed General Fund

City expenditures have outpaced revenue in the previous budget cycles. The result was a deficit beginning in FY 2019-20, which was exasperated by fiscal impacts of COVID-19 in FY 2020-21. This deficit is expected to linger throughout the 10 year forecast, absent taking specific measures to reduce expenditures. In response, the City implemented some budget correction measures that are expected to continue for the next two budget cycles. This will allow the modest growth in revenues to catch up with current service levels and reverse the deficit trend facing the City's General Fund. These measures include a temporary hiring freeze of select vacant positions (approximately 15 FTE), limited purchases and services spending, delay replacement of vehicle and equipment funding, and use of the City's Economic/Budget Stabilization reserves. The City's General Fund restored the Mayor's Community Youth Support Network's (MCYSN's) funding and initiated funding for homelessness services and facilities.

The initial proposed budget for FY 2021-22 expected a $5.4 million deficit. We proposed closing the budget deficit by delaying funding for vehicle and equipment replacement ($2.1 million) and expect revenue to recover to pre-COVID-19 growth ($1 million). The General Fund's remaining $2.3 million deficit will be mitigated by additional offsets in revenues and/or expenditures as they emerge and through continued borrowing from the City's Economic Budget Stabilization Reserves. In addition, the City has received some Federal funding through the American Rescue Plan Act that will mitigate some revenue loss and/or expenditures related to responding to COVID-19.

General Fund Summary Proposed FY 2021-22

(in thousands)	*2021/2022 (proposed)*
Revenues	$ 89,856
*Expenses	78,021
Transfers In/(Out)	(14,113)
General Fund Surplus/(Deficit)	$ (2,279)
Budget Solutions	
Use of 10% Economic/Budget Stabilization	$1,000
Additional Vacancy Savings/Revenue Recovery	1,000
Revised General Fund Surplus/(Deficit)	$ (279)

*****Includes funding for MCYSN and homelessness services and facility; excludes funding for vehicle and equipment replacement

COUNCIL PRIORITIES

The proposed budget echoes our continued investment in City services to our residents and businesses, but also to those we invite to work and/or visit the City of Tracy. A number of economic development efforts have been a City focus for several years, with job creation and growth being at the forefront. This continues to be a priority for our City, including ensuring a positive working relationship with the business community, so that the City's business attraction efforts successfully bring targeted industries and retailers to our community. Tracy strives to provide services that promote a safe community for our residents, businesses, and visitors, which remains a high priority to our City Council and City Administration. The financial plan reflects the desired operational outcomes of each City department as they relate to the City Council's 2021-2023 Strategic Priorities:

- Economic Development
  To enhance the competitiveness of the City while developing a strong and diverse economic base.
- Public Safety
  To enhance community safety by promoting a responsive public safety system that includes civic engagement and partnerships, community involvement, public education and prevention, intervention, and suppression services that meet the needs of our community, inclusive of businesses and residents.
- Quality of Life
  To provide an outstanding quality of life by enhancing the City's amenities, business mix and services, and cultivating connections to promote a positive change and progress in our community.
- Governance
  To retain and attract new talent, enhance fiscal sustainability, improve the use of technology, and enhance transparency for the betterment of the Tracy community.

ECONOMIC INDICATORS

Tracy's financial condition is expected continues to improve as the economy is stimulated by pent-up demand from the COVID-induced closures and stimulus from the American Rescue Plan Act (ARPA). The City is expected to receive over $15 million in ARPA funding, most of which will help support City revenue loss and local business recovery. Over the last year, the City made significant investments in business retention efforts to preserve its small business ecosystem from COVID-induced challenges, while continuing to recruit new businesses and diversifying its executed various economic recruitment and retention strategies that have diversified the City's growing tax and employment base.

Working with our local and regional partners, we actively promote the City continued to promote itself as an ideal place for residential and commercial investment. The City's economic development efforts continued to bear fruit with organic the expansion of existing several businesses, and construction of new distribution facilities and office space, and new retail offerings. In addition, the City's housing stock is growing as multiple housing developments are currently under construction. Historically, Tracy has been an agricultural-based community but is transforming into an advanced manufacturing, e-commerce, and commercial hub due to however, the recent COVID-induced shift in consumer preferences. Tracy's growth is attributed to its strategic location at the gateway to the Tri-Valley portion of the Bay Area, a wide range of housing options, business-friendly environment, and high quality of life. Valley Link plan for the transit linkage to the Tri-Valley and the Silicon Valley, combined with investment into historic downtown, have also created a buzz about the City's emergence as a great place to live, work, and play. continuing vibrant outward growth of the San Francisco Bay Area has pushed industrial, warehousing, and

logistics growth into the Northern San Joaquin Valley. This is benefiting Tracy due to its strategic location at the gateway to the Tri Valley Area of the East Bay; residents tend to work in the Livermore Valley, East Bay, and San Jose, where the economy is not significantly influenced by seasonal agriculture production.

The strengthening of the regional economy in the past few years, paired with the growth of the housing market, and historically low unemployment rate has led to the increase in consumer confidence and spending. As consumer confidence grows, consumer spending continues to grow, resulting in sales tax revenue exceeding peaks last seen prior to the Great Recession. Housing prices continued to appreciate at a steady pace with median home prices in Tracy slightly lower than their western neighbors in the Bay Area, but higher than median housing prices of the San Joaquin County and eastern neighbors of the Central Valley. This trend provides a constant buyers' market from the Bay Area to more affordable housing in Tracy.

While Tracy's housing prices are below that of the Bay Area, the City has the strongest housing market in San Joaquin County with the lowest vacancies, reflective of its desirability. The Tracy has also developed a retail cluster that has become a regional destination. While retail has been disproportionately affected by COVID-induced restrictions across the nation, Tracy's retail is recovering as the State is gradually reopening. pace of commercial development, which has been slower to respond to the strengthening economy in the past year, accelerated as more previously vacant commercial space in Tracy has become occupied. The City's sales tax revenues endured the recession and, over the past several years, have continued to experience annual increases. The City's sales tax revenues are expected to show modest annual increases as business-to-business activity remains strong and population and consumer base activity continue to grow. Development of new hotel inventory and adoption of cannabis regulations are also expected to diversify the City's tax base and increase respective and businesses continue to view Tracy as a "smart money" investment. In Fiscal Year 2019-2020, the City is poised to bring in a second manufacturing business and hotels that will potentially boost the sales tax and transient occupancy tax and cannabis revenues by an additional 2-3%.

In addition, the City has increased new warehouse and industrial commercial space inventories throughout the City continues to increase. COVID created unprecedented demand for logistics, cold storage, and other e-commerce facilities, which drove industrial rents and values to record levels and put pressure on new development. The City has worked closely with developers to enable significant infrastructure investment to continue stimulating building construction and attraction of new companies to Tracy. For example, Prologis is currently developing an 800,000-square foot state of the art e-commerce facility called Big Bird located at the North East Industrial area, which represents the future in industrial technology and design. The City's growth, combined with strong residential and industrial markets, have strengthened the City's property tax revenue generation.

The City is growing exponentially in the commercial-industrial markets. Major industrial developers such as Majestic Realty, Industrial Property Trust, Seefried Properties, Scannell Properties and Prologis are investing millions of dollars into infrastructure and building construction for new companies locating in Tracy. Highlights of business locations for 2018 include: Fischer Scientific occupying 225,000 square feet, Lindt-Ghirardelli occupying more than 708,000 square feet, System Services of America occupying 154,500 square feet, and Zinus in more than 664,000 square feet. Katerra, a manufacturer of pre-fabricated residential and commercial office space, is currently constructing a new 577,000 square foot build for its west coast manufacturing plant. Combined, these facilities will bring more than 2,000 jobs and enhance property taxes from the industrial areas of the City.

New housing units being added to the assessor's roll, coupled with commercial developments in the community, and higher property values, have led to a steady increase in local property tax revenues.

Developments in the community, coupled with increased consumer confidence and a strong labor market, have all contributed to the strengthening of the General Fund. The City estimates it will grow 5% annually over the next 3- 5 years in property tax.

In addition to the growth in commercial and residential development, the City Council and voters passed in November 2016 an additional ½ cent local sales tax (known as Measure V) in November 2016. This measure replaced a prior ½ cent sales tax measure that expired earlier in the calendar year. The City implemented the half-cent Measure V sales tax in April of 2017 for a term of 20 years. It has is expected to generated approximately $10 million annually for the City of Tracy's General Fund. With the strong economic growth occurring in other aspects of the City's resources, the City Council has dedicated the resources of Measure V to magnetizing the City with future plans to build a new aquatic center, community center, continued expansion of Tracy's Legacy Fields, and other parks and improvements throughout the City.

The Council has been committed to having development pay its own way. The City continues to review and update the developer impact fees throughout the City to ensure adequate infrastructure is in place to meet the demands of an ever-growing city. The Council also adopted a Citywide Services Community Facilities District, CFD 2018-1, in January of 2018.

Any new subdivision of 5 or more units is expected to join or mitigate the costs associated with the Police and Public Works services demands connected to new development. The CFD taxes are set at $68 per parcel per year and has an inflation rate set to the Consumer Price Index; and will offset the costs associated with adding personnel to meet future population growth in the developing communities of Tracy.

Chapter 2 FISCAL CHALLENGES

This budget is presented with cautious optimism. The economy is recovering both in housing prices that bolster property tax and diversification in commercial and retail that grows and strengthens our sales tax revenue. That being said, the economy has natural growth and contractions that are outside of the City's control. Even with solid financial planning and long-term forecasting, not all future outcomes cannot be predicted. Knowing that, the City has positioned itself well by building financial reserves, adopting strong fiscal policies, and passing important revenue measures to support City services. However, even with these proactive steps, the City, like other agencies, will continue to be faced with unmet needs in an environment where resources are limited.

In particular, the City continues to be challenged in funding several of its long-term infrastructure obligations, including but not limited to road, park, and facility maintenance. There is a finite amount of funding available per year for CIPs and several numerous unfunded needs. Projects in the General Fund are notably among the largest group of unfunded projects, such as facilities, parks, landscape, roads, and sidewalks. This gap in available funding negatively affects the sustainability of the city's infrastructure and assets. It also increases the cost of maintenance and eventual replacement of these items. Part of the City's budget strategies and fiscal sustainability planning include quantifying and funding on-going maintenance and replacement costs. Specific areas of need include support of City historical buildings, such as The Grand Theater, which because of its age, has ongoing maintenance needs. Plans are in process to repair the roof and exterior walls over the next fiscal year. Additionally, the City's road pavement program is also another area of concern. The total budget for the City's road pavement program is $9.7 million. To maintain the City's current Pavement Condition Index (PCI) of 69 million, it will require at least another $10 million in funding.

The City must also account for continued expense pressures such as the increasing costs of salaries, benefits, CalPERS retirement costs, retiree health costs, and state minimum wage adjustments affecting the City's contracts with suppliers. Just as the robust economy is helping to fuel growth in City revenues, it is also influencing expenditures. As a service organization, the City's most significant expenditure category continues to be personnel.

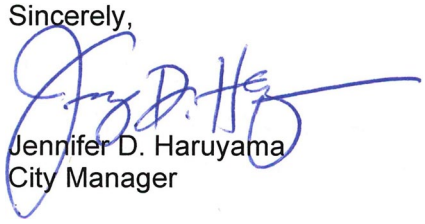
LOOKING FORWARD

The proposed FY 2021-22 Operating and Capital Budget is a reflection of the Council's vision for the community and the values that guide the Council's policy decisions. We are fortunate that our City employees embody the principles hard work, customer service, and professionalism. It is through the organization's strong work ethic and sense of pride that keeps the wheels of the City turning and maintains service levels to preserve Tracy's high quality of life.

Tracy's tradition of sound fiscal management has served the City well, especially through the global pandemic. FY 2021-2022 will be a turning point as we begin to focus on strategies that build on and maintain the City's solid financial foundation, while advancing Measure V amenities forward. This effort will rely heavily on a continued partnership with the community to support key priorities and provide desired service levels.

The proposed budget funds core services, implements Council priorities and allows us to live within our means while maintaining our remaining General Fund reserves to weather future economic cycles. While the next fiscal year will be dynamic and the path forward will be both smooth and rocky at times, I am confident that we as a community will collectively will rise to the challenge and position Tracy to capitalize on opportunities that will advance the City's vision of strong and strategic economic development, enhanced public safety, outstanding quality of life, and good governance, while effectively addressing the vicissitudes that may come in the years ahead.

Sincerely,



Jennifer D. Haruyama
City Manager

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City Maps & Statistics



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CITY OF TRACY, CALIFORNIA

OPERATING BUDGET PLAN

FISCAL YEAR 2021-2022

Prepared by the Staff of the
Finance Department

June 2021

CITY COUNCIL

NANCY YOUNG
Mayor

VERONICA VARGAS
Mayor Pro Tem

DAN ARRIOLA
Council Member

ELEASSIA DAVIS
Council Member

MATEO BEDOLLA
Council Member

OTHER ELECTED OFFICIAL

RAYMOND MCCRAY
City Treasurer

CITY OF TRACY, CALIFORNIA

OPERATING BUDGET PLAN

FISCAL YEAR 2021-2022

EXECUTIVE TEAM

JENNY HARUYAMA
City Manager

MIDORI LICHTWARDT
Assistant City Manager

ANDREW MALIK
Assistant City Manager

LETICIA RAMIREZ
City Attorney

KARIN SCHNAIDER
Director of Finance

SEKOU MILLINGTON
Chief of Police

RANDALL BRADLEY
Fire Chief

KIMBERLY MURDAUGH
Director of Human Resources

DONALD SCHOLL
Director of Public Works

BRIAN MACDONALD
Director of Parks & Recreation

KULDEEP SHARMA
Director of Utilities

California Society of Municipal Finance Officers

Certificate of Award

Operating Budget Excellence Award
Fiscal Year 2020-2021

Presented to the

City of Tracy

For meeting the criteria established to achieve the CSMFO Excellence Award in Budgeting

January 31, 2021



Marcus Pimentel
CSMFO President

Michael Manno, Chair
Recognition Committee

Dedicated Excellence in Municipal Financial Reporting

ABOUT THE TRACY COMMUNITY



Location

With a population of over 90,000, the City of Tracy lies at the intersection of its past and its future. Only a one-hour drive from the Cities of San Francisco, San Jose and Sacramento, Tracy is geographically located in the heart of California's Central Valley and at the center of a triangle formed by the convergence of three major California interstate Freeways; 580, 205 and 5. The City's centralized location also offers easy freeway access to the vast recreational resources of the San Francisco Bay area, the Delta, Yosemite National Park, and Lake Tahoe.

History

Tracy was founded as a railroad transit hub in 1878, before incorporating as a City in 1910. The City grew rapidly after the first irrigation district was established in 1915. Although the railroad operation began to decline in the 1950's, Tracy's agricultural heritage prospered. The City of Tracy official seal reflects this history of railroads and agriculture. Since World War II, the City has been the home of a U.S. military defense supply center. By 1960, the City's employment base included a few produce processing plants and small-related manufacturing operations. A state correctional facility and both a federal and state water aqueduct are located within the City's sphere of influence. In 1985, the City's population was 25,400, and since then, Tracy has experienced a period of major growth influenced by the East Bay area of the San Francisco megaregion, where considerable office and industrial development has taken place. With this development and the lack of affordable housing in the Bay Area, Tracy became an attractive residential

location for many Bay Area workers, becoming more of an outer suburb of the Bay Area, rather than the small agricultural and industrial town of the past.

The Community Today

Today, the City of Tracy is a richly diverse, predominately residential community where family, education, tradition and the arts are highly valued and celebrated. Tracy is a community where families grow, diversity is celebrated, and residents are both proud of their past and excited about the future. The City of Tracy offers residents excellent school systems, a low crime rate, affordable home ownership and a high quality of life.

Tracy has an ongoing commitment to providing high-quality, economical, responsive services to the community. The City's pro-business atmosphere is reflected in its large, diverse economic base. Advanced manufacturing, transportation, technology, and health and human services employment sectors are projected to see the highest growth rates in Tracy. Large employers in the area include Thermo Fischer Sceintific, Tesla, Sutter Health, Kaiser Permanente, Amazon, FedEx, Medline, GlassFab Manufacturing, Pacific Medical, Contract Metal Products, Safeway and Katerra.

Government

The City of Tracy is a general law city with a Council/Manager form of government. The City Council consists of the Mayor and four Council Members, elected at-large. The Council is responsible for appointing the City Manager and City Attorney. The position of City Treasurer is elected.

The Council oversees the financial affairs of the City providing recommendations for strategic growth and economic development. They also participate in regional issues and enhance the quality of life for residents through recreational and cultural activities. In a collaboration of effort from the elected officials, employees and citizens, Tracy is a safe community where opportunity, growth and success prospers.

The City is comprised of the following departments:

- City Manager's Office
- City Attorney's Office
- Development Services
- Finance
- Fire
- Human Resources
- Parks and Recreation
- Police
- Public Works
- Utilities.



City services are delivered with the support of 485 full-time and 58 part-time employees.

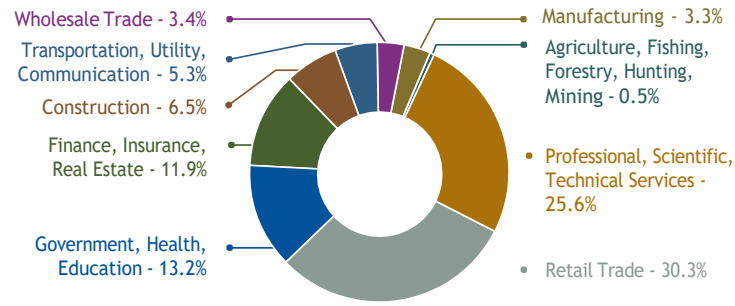
TRACY, CALIFORNIA FACT SHEET



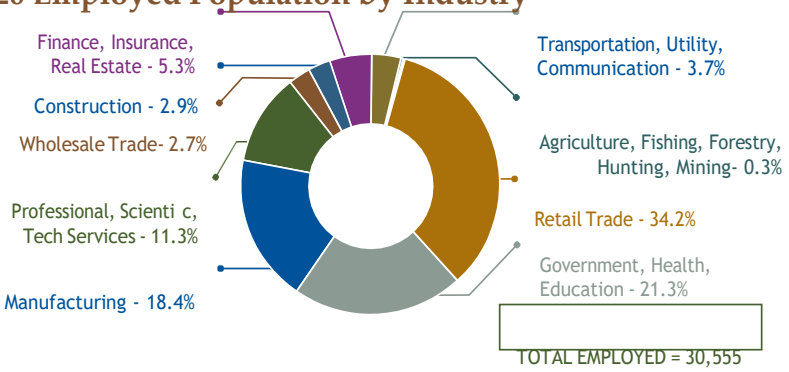


Tracy is a community where neighbors and local merchants greet you by name, tradition, charity, and prosperity abound, and where Fortune 100 companies and their employees thrive!

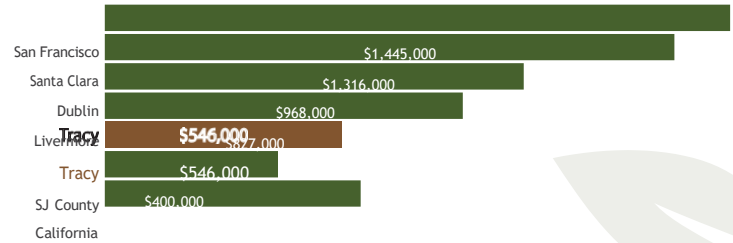
2020 Business Breakdown



2020 Employed Population by Industry



Average Home Sales (2020)



Source: Zillow.com

2020

Population
91,416

Average Household Income
$104,390
Median HH Income $88,000

Median Home Value
$436,275

Median Age
33.3

Housing Units
27,710
Owner Occupied 60.0%

Avg. Household Size
3.44

Total Businesses
2,870

Labor Force
2,000,000
60 minute drive time

Source:ESRI 2020 (unless otherwise indicated)

CITY OF TRACY CALIFORNIA
Think Inside the Triangle™

TRACY, CALIFORNIA FACT SHEET







Workforce & Education

University of the Pacific and San Joaquin Delta College are both highly ranked, and are key to providing a large, educated, and skilled workforce.

- Wage rates in N. San Joaquin Valley are generally lower than wage rates for comparable occupations in the San Francisco Bay Area. For a more comprehensive wage data analyses contact the Economic Development Division.
- The Advanced Manufacturing, Transportation, Technology, and Health and Human Services employment sectors are projected to see the highest growth rates in Tracy. Large employers in the Tracy area and the region include: Thermo Fischer Scientific, Tesla, Sutter Health, Kaiser Permanente, Amazon, FedEx, Medline, GlassFab Manufacturing, Pacific Medical, Contract Metal Products, Safeway, and Katerra.

Educational Attainment

High School Graduate	23.7%
Some College	25.4%
Associates Degree	9.5%
Bachelor's Degree	17.3%
Graduate Degree	6.1%

Population by Race/Ethnicity

White Alone	48.2%	Pacific Islander Alone	1.0%
Black Alone	7.3%	Some Other Race Alone	18.0%
American Indian	0.8%	Two or More Races	8.5%
Asian Alone	16.1%	Hispanic Origin	41.4%

Commute

Tracy's strategic location offers the opportunity to intercept Altamont commuters, and draw from other San Joaquin cities to provide a broad skill-based workforce. Please contact the Economic Development Division for a more comprehensive commute analysis.

- 70,000+ N. San Joaquin Valley residents commute to the Bay Area.
- Large amount of skilled, affordable labor reside in our region and primarily commute to the Bay Area/ Silicon Valley for employment. Most would work local if given the opportunity.
- Highly skilled in Business & Industrial/Manufacturing sectors, among others.

Goods Movement

Rail

Union Pacific Intermodal	13 miles
BNSF Railways Intermodal	22 miles

Air

Stockton Metro	18 miles
Oakland International	46 miles
San Jose International	60 miles
San Francisco International	64 miles
Sacramento International	77 miles

Ports

Port of Stockton	20 miles
Port of Oakland	50 miles

Interstates

Interstate 205	< 2 miles
Interstate 580	< 5 miles
Interstate 5	< 5 miles

City of Tracy Economic Development:

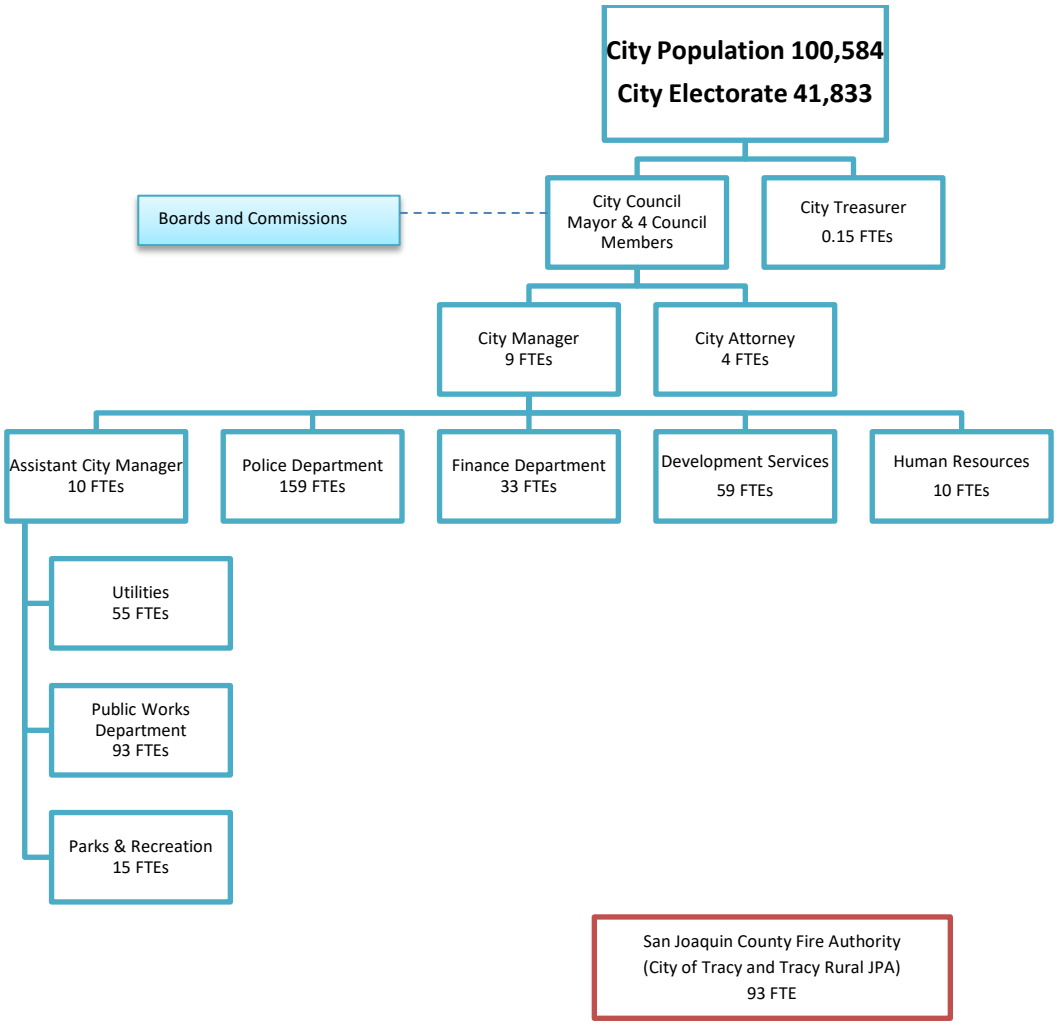
(209) 831-6400

Michael Nimon, Economic Development Manager
michael.nimon@cityoftracy.org

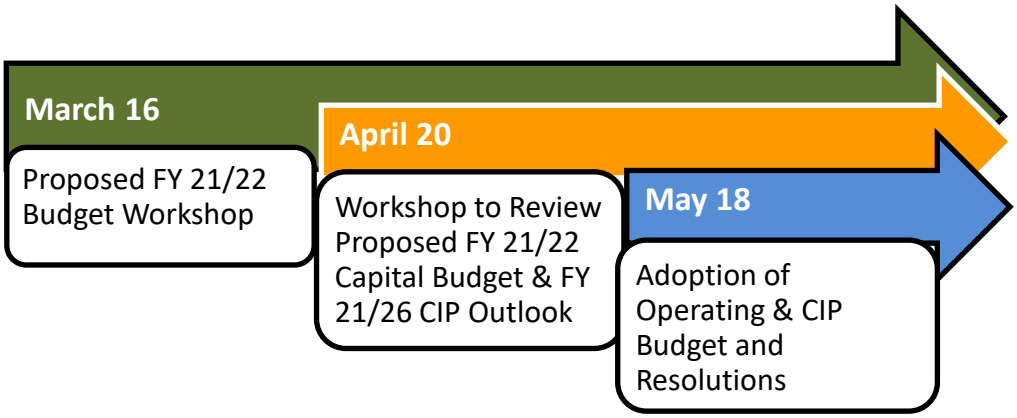
Barbara Harb, Economic Development Analyst
barbara.harb@cityoftracy.org

CITY OF TRACY
ORGANIZATIONAL CHART

FISCAL YEAR 2021-2022



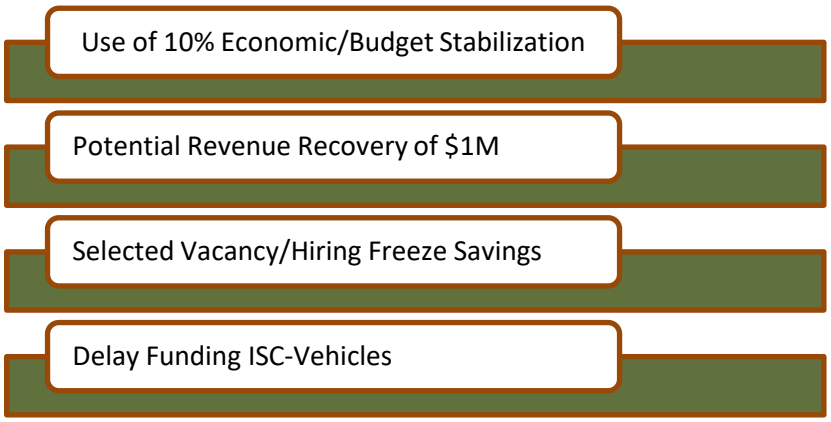
BUDGET CALENDAR



Budget Process

Each year the City develops an annual financial plan. The budget offers a road map for the City to deliver vital core services and infrastructure improvements. The City used the following process to develop the annual budget:

- In preparation for the FY 21-22 annual budget, staff prepared and presented to Council on March 16, 2021, the proposed FY 21-22 Budget with the estimated revenues and proposed appropriations to the General Fund. After Council discussion, the Council adopted four budget solutions for the FY 2021-22 budget:



- On April 20, 2021, the City Council was provided an overview of the Proposed FY 21-22 Capital Budget, as well as the proposed five-year FY 21-26 Capital Improvement Plan Outlook. The five-year outlook is an essential part of the overall Capital Budge development in order to strategically plan for the community's long-term capital maintenance, improvement, and replacement needs.
- On May 18, 2021, the City Council conducted a Public Hearing and adopted, by resolution, the FY 21-22 Operating and CIP Budget, and setting the appropriation levels for FY 21-22.

MISSION, VISION, VALUES



Mission

We provide the community of Tracy with basic and extended services that offer opportunities for individuals, families and businesses to prosper as they live, work and play in Tracy.

Purpose & Vision

Our purpose is to preserve and improve the quality of life for Tracy so that we become the most prosperous community in California.

Values

Customer Service – We serve our community by providing superior customer services as we work collaboratively to support our common values and vision as we strive to achieve shared goals of our departments and the City of Tracy as a whole.

Honesty – We nurture an atmosphere of openness, honesty and integrity in our communication and action throughout our organization and with the greater public who we serve.

Excellence – We meet expectations in the performance of our professional duties and delivery of our services through leadership, innovation, efficiency and follow-through to excellent results and accomplishments.

Respect – We respect our colleagues and each individual or business of Tracy that we serve in our communications and through the delivery of our services.

Innovation – We participate in and cultivate a creative and learning environment where each employee has access to continuing education, training and technology to excel in the delivery of outstanding services to the community.

Stewardship – We utilize the resources entrusted to the City for the benefit of the community of Tracy and in consideration of the environment, we wish to preserve for Tracy's future.

Hospitality – We maintain a positive and welcoming atmosphere and offer excellent customer service for the diverse community members and businesses that interact with the City of Tracy.

FY 2021-2023 COUNCIL STRATEGIC PRIORITIES WORK PLAN

Adopted by City Council March 16, 2021



QUALITY OF LIFE

Purpose: To provide an outstanding quality of life by enhancing the City's amenities, business mix and services and cultivating connections to promote positive change and progress in our community.

Goal #1

Advance Green and Roadway Infrastructure Projects That Improve Connectivity, Including Bike Lanes

1) Complete PCI Road Condition study.
2) Continue Corral Hollow Road Widening Project between Park Side Drive and Linne Road:
   a) Complete acquisition of Rights of Way; b) Complete design; c) Advertise for construction bids; d) Award construction contract; e) Start construction; f) Complete construction.
3) Complete Phase 2B Wastewater Treatment Plant Expansion.
   a) Advertise for construction bids; b) Award construction contract; c) Start construction; d) Complete construction.
4) Adopt new Citywide Infrastructure Master Plans.
5) Include green technologies in infrastructure solutions (e.g. roundabouts, dual use of storm drain facilities, traffic signal coordination).

Goal #2

Facilitate the Completion of Measure V Amenities

1) Revisit Measure V amenities planning (e.g., aquatics center, multi-generational gymnasium, legacy fields).
2) Advance Measure V amenity planning.
3) Implement design of Measure V amenity.
4) Break ground on Measure V amenity.

Goal #3

Provide City Programming and Events That Bolster Quality of Life

1) Reinstitute special events, Parks & Recreation, and Cultural Arts programming in alignment with Public Health guidelines.
2) Reinstitute special events, Parks & Recreation, and Cultural Arts programming to pre-COVID levels.

Goal #4

Implement Affordable Housing Initiatives

1) Facilitate the development of affordable housing via affordable housing-focused policies and initiatives.
2) Pursue, encourage, and incentivize non-profit affordable housing developers to build in Tracy.
3) Approve Scope of Work to begin Council identified workforce/affordable housing policy changes.
4) Streamline multi-family permit process by removing requirements for Conditional Use Permits.
5) Amend Accessory Dwelling Unit (ADU) ordinance and develop "off-the shelf" ADU example designs.
6) Amend parking requirements for multi-family developments.
7) Amend zoning ordinance development standards.
8) Evaluate potential amendments to the Growth Management Ordinance (GMO).

City Council Strategic Priorities 2021-2023



ECONOMIC DEVELOPMENT

Purpose: To enhance the competitiveness of the City while further developing a strong and diverse economic base.

Goal #1

Support Local Businesses Impacted by COVID-19

1) Support local businesses and streamline the process for businesses to reopen in accordance with public health regulations.
2) Coordinate with the Tracy Chamber of Commerce and Tracy City Center Association (TCCA) to develop and implement a "Shop Tracy" marketing and outreach campaign.
3) Administer remaining funds for the Small Business Relief Grant Program and other small business loans.
4) Coordinate a roundtable discussion and response to industry needs.
5) Award FY 2021-22 Community Development Block Grant funding.
6) Implement FY 2021-22 Community Development Block Grant funding.

Goal #2

Continue to Support Valley Link

1) Respond and provide feedback on the Valley Link Environmental Impact Report (EIR).
2) Maintain quarterly contact with Valley Link Executive Director.
3) Rezone industrial land on 3rd Street near the downtown bowtie area for residential development.
4) Continue lobbying efforts at State and Federal level in support of funding for Valley Link.
5) Participate in Valley Link board meetings.

Goal #3

Continue to Advance Transit Oriented Development (TOD) Opportunities

1) Approve Scope of Work to begin Transit Oriented Development (TOD) Plan.
2) Conduct TOD Stakeholder and community outreach meetings.
3) Draft land use conceptual plans and alternatives.
4) Conduct market and fiscal analyses.
5) Draft TOD Specific Plan.
6) Prepare Administrative Draft Environmental Impact Report.

Goal #4

Attract Businesses and Jobs That Meet the Needs and Desires of the Community

1) Conduct Council workshop on the City's Economic Development efforts and strategies.
2) Develop FY 21-23 Economic Development Strategic Plan.
3) Update the City's retail and industrial business attraction list.
4) Develop and implement a marketing campaign to attract specific businesses and industries.
5) Promote the City at trade shows and industry events (post pandemic).

Goal #5

Develop Policies to Target New Jobs in Innovative Industries

1) Complete issuance of cannabis business permits.
2) Complete Conditional Use Permit processes for cannabis Business Permit holders.
3) Initiate second round of Cannabis Business Permits.
4) Identify and market industrial properties attractive to Electric Vehicle (EV) testing and development.
5) Initiate marketing for enhancement of the green economy cluster and develop strategies for business growth and attraction.
6) Rezone and complete environmental review for the Chrisman Road property to attract innovation industry tenants.

Goal #6

Pursue Smart Growth Strategies for Balanced Growth in the City

1) Conduct Council workshop on residential growth management.
2) Conduct Council workshop on General Plan Land Use and Circulation Elements, and the Transportation Master Plan.
3) Rezone Tracy Westside (Gateway) property for mix of land uses to attract businesses, future hospital, range of residential, and various types of assisted and independent/senior housing.
4) Pursue zoning ordinance amendments to increase residential densities.

City Council Strategic Priorities 2021-2023



GOVERNANCE

Purpose: To enhance fiscal stability, retain and attract new talent, improve the use of technology, and enhance transparency for the betterment of the Tracy community.

Goal #1

Model Good Governance, Teamwork, and Transparency

1) Evaluate City advisory bodies' scope and policy framework and provide recommendations to Council.
2) Conduct Advisory Bodies Code of Conduct Training.
3) Identify Council public service announcement opportunities within available budget.

Goal #2

Ensure Short- and Long-term Fiscal Health

1) Develop and provide policy recommendations to balance the City's budget.
2) Adopt Council Budget Principles.
3) Develop a Financial Sustainability Plan to address long-term fiscal challenges.
4) Update City Purchasing Policy.
5) Develop City Grant Policy.
6) Complete Rate and Fee Studies: Solid Waste, Wastewater, Citywide, Core Fees, Master Impact Fees.
7) Initiate Cost Allocation Study; create replacement schedules for Vehicle and Equipment; building maintenance; Information Technology.
8) Develop long-term funding options for City Services, including but not limited to road and park maintenance and replacement (City, LMD, and CFD).
9) Develop funding plan for fire station construction.
10) Continue implementation of the Fiscal Sustainability Plan.

Goal #3

Increase Public Awareness Around City Finances and Other Civic Matters

1) Conduct informational, virtual Council/Community Workshops.
2) Partner with local community organizations to showcase City services.
3) Develop and implement public service announcements via the City's social media platforms.

Goal #4

Enhance Community Engagement Through Technology

1) Conduct National Community Survey (NCS) to better assess community priorities.
2) Implement new City website content management system (CMS).

City Council Strategic Priorities 2021-2023



PUBLIC SAFETY

Purpose: To enhance community safety by promoting a responsive public safety system that includes civic engagement and partnerships, community involvement, public education, and prevention, intervention, and suppression services that meet the needs of Tracy residents.

Goal #1

Support COVID-19 Public Health Recovery

1) Coordinate with the San Joaquin County Public Health Department and Office of Emergency Services to implement and manage a Vaccination Plan in Tracy.

Goal #2

Implement the Adopted Homelessness Strategic Plan

1) Secure capital funding to construct a Temporary Emergency Housing Facility (Arbor Rd Project).
2) Secure ongoing funding to operate the facility.
3) Partner and contract with shelter service providers to operate the facility.
4) Coordinate/facilitate regular meeting with local homeless service providers to better deliver homeless/social services to the community.
5) Identify local, state, and federal funding opportunities to continue to address homeless support services during the COVID-19 pandemic.

Goal #3

Strengthen Citywide Emergency Operations Preparedness

1) Coordinate with the San Joaquin County Public Health Department and Office of Emergency Services to implement and manage a Vaccination Plan in Tracy.

Goal #4

Continue to Strengthen Community Safety Through Crime Prevention Reduction Activities

1) Continue to enhance and implement the use of technology (such as social media, stationary cameras, drones, and crime analytics) to generate evidence based intelligence to enhance enforcement efforts.

Goal #5

Complete Phase 2 of Personnel Transition Plan with SSJCFA

1) Complete and Present Fiscal Analysis of the South San Joaquin County Fire Authority (SSJCFA) Personnel Transition Plan (Phase 2) to Council.
2) Coordinate with SSJCFA to present the Personnel Transition Plan (Phase 2) to Council.

Goal #6

Implement Equity and Empowerment Strategies/Initiatives

1) Continue supporting policies and best practices that prevent police violence, as referenced in the approved Tracy Equity and Empowerment Initiative.
2) Continue to participate in training opportunities focused on fairness in policing, de-escalation strategies, implicit bias, cultural competency, and officer wellness.
3) Present use of force data to Council through the Tracy Police Department Annual Report and publish online for public viewing.

City Council Strategic Priorities 2021-2023

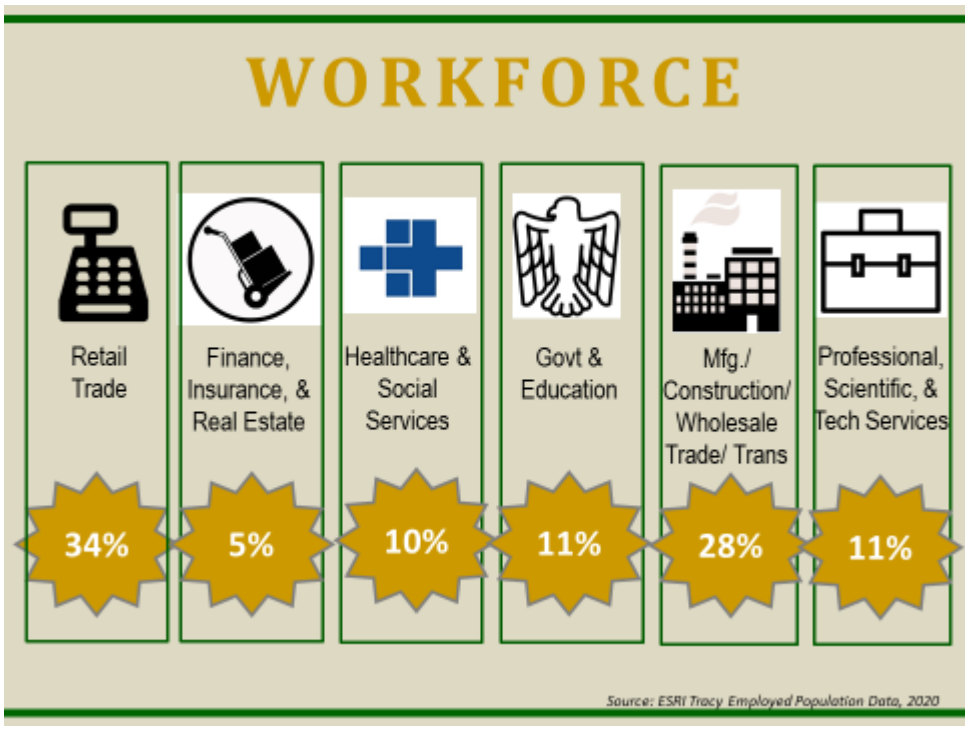
NEW DEVELOPMENT

Families continue moving to the area making Tracy their home. Tracy Hills is a master planned community with 5,500 single-family residential units, a Village Center and industrial, continues to build and sell homes. The Ellis project is planned for 1,000 to 2,250 single family units over 300 acres with phase 2 currently under construction and selling with 356 lots and phase 3 approved with 309 lots and phase 4 approved with 478 lots. It will be home to a future aquatics park. Tracy Village, a 581-unit project, is planned to be a highly-amenitized gated community for our 55 and older residents. Valpico Glenbriar Apartments is a 264-unit project being built by Tracy-based builder Katerra, Inc.

The City has been successful in efforts to attract world-class, Fortune 500 companies. There are several industrial and commercial projects underway in Tracy. The International Park of Commerce is home to Amazon, FedEx, Medline, Smuckers, Thermo Fisher Scientific and Zinus. Newer tenants include Home Depot and Blockbuster. The City is also seeing its first office development with the 30,000 multi-tenant building being developed at the International Park of Commerce, an 1,800 acre master planned business park, one of the largest across the Country. Across the city, one of the largest buildings is under construction by Prologis – an 823,000 square foot state of the art industrial building located at the North East Industrial area. Home2 Suites hotel is developing a new 67,000 square foot building on West Grant Line road to capture the City's growth in visitors and work travelers.

JOB CREATION

The City of Tracy weathered a COVID-induced year of a global economic contraction with a lower job loss relative to most other cities in the region. Compared to 2020, the City's relative share of jobs in professional services, manufacturing, government, and retail trade sectors increased. As of early 2021, Tracy continues to experience lower unemployment rate than the County and the State as a whole with Tracy's economic resiliency in part attributed to a diverse employment base.



Proactive outreach to the business community by the City continues to result in attraction of new manufacturing, e-commerce, and retail uses, bringing new employment opportunities to Tracy.

COMMUNITY EVENTS

Due to the COVID-19 outbreak, Stay-at-Home provisions, and with the uncertainty when gatherings and community events would be allowed, City staff thought outside the box and brought to the Tracy citizens a Virtual Recreation and Resources Center.



The Virtual Recreation Center was designed with the citizens in mind to lift their consciousness, bodies and spirits, and to help keep everyone from young children to seniors in their residence.

The City is providing dozens of free resources to keep our citizen's bodies moving, minds challenged and creativity flowing. Citizens can exercise, learn to bake, or excel in a new hobby, all from the comfort of their home. Staff is continuously adding new content to the program and encourages citizens to check back frequently to see what is new.

GRAND

Dance Visual Arts Music Special Events

The Arts Education Program is a unique and complex component of the Grand Theatre Center of the Arts. This dynamic program fosters life-long learning by offering tiered classes in dance, drama, music, literary and visual arts. Students of all ages can participate in year-round courses taught by accomplished instructors through distance learning.

The Downton Tracy Farmers' Market, in compliance with safety protocols, opened to the community and will run continuously beginning April 3, 2021 through March 26, 2022, taking place each Saturday from 8:00 a.m. to 1:00 p.m.



The Farmers' Market is an outlet for residents to obtain fresh fruits, vegetables, baked goods, BBQ, plants and many other great items.

This is a favored event and the community looks forward to the opening day each year.



Even though the Lolly Hansen Senior Center is closed to our Seniors due to the pandemic, some programs have continued to be offered and are based on availability:

- Congregate Meal Program
- Brown Bag
- Mobile Farmers' Market
- Outdoor Fitness Classes and Tai Chi

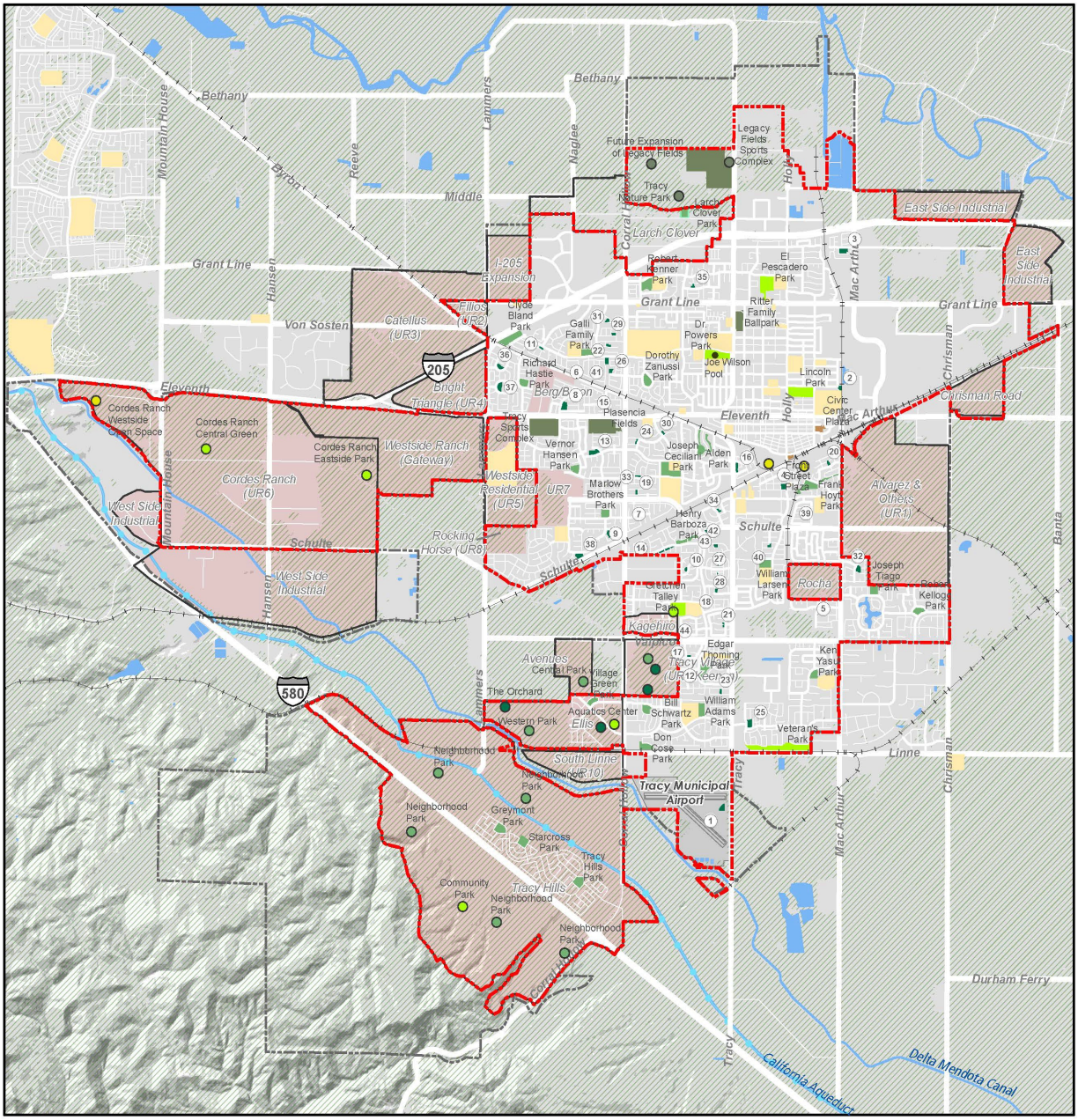
NAME/ADDRESS	Acreage	Ball Fields	Basket-Ball	BBQ	Horse Shoes	Musical Equip.	Off-Street Parking	Picnic Tables	Play Area	Rest Room	Shade Stucture	Skate Element	Soccer	Tennis	Volley-Ball	Walking Path	Water Feature	Special Amenities
★ **Bland (Clyde) Park** 1753 Blandford Ln.	8.65	●	●	●			●		●	●	●		●			●		
★ **Ceciliani Park** Cypress & Hickory	10.50			●			●	●	●	●	●			●	●	●		
★ **Civic Center Plaza** 333 Civic Center Plaza	4.53						●									●		
★ **Dr. Powers Park** 900 W. Lowell Ave.	11.18			●			●	●	●	●	●			●				
★ **Galli Family Park** 2341 West Lowell	4.67	●					●		●	●	●		●			●		Bocce Ball
★ **Hoyt Park** 300 3rd St.	7.56			●	●		●	●	●	●	●			●	●	●		
★ **Kellogg Park** 2224 Pellier St.	4.08		●						●		●			●		●		
★ **Kenner Park** 1850 Kavanagh Ave.	6.01		●	●				●	●	●	●			●	●	●	●	
★ **Larsen Park** Central & Ferdinand	5.10						●		●	●				●		●		
★ **Legacy Fields** 20491-20999 N. Tracy Blvd.	72.00	●					●	●		●	●		●					
★ **Lincoln Park** East & Eaton	13.74	●		●			●	●	●	●	●					●	●	
★ **Marlow Brothers Park** 225 Adaire Ln.	3.87		●						●		●				●			
★ **Plasencia Fields1** 11th & Corral Hollow	20.78						●						●					
★ **Talley (Gretchen) Park** 1551 Dove Dr.	7.28			●					●		●					●	●	Roller Hockey
★ **Thoming Park** 1000 Cambridge Pl.	5.44		●	●			●	●	●	●	●			●	●	●		
★ **Tiago (Joseph) Park** 1260 Eastlake Cir.	4.14	●	●				●		●		●							
★ **Tracy Ball Park** 2100 Tracy Blvd.	11.27	●						●		●			●					Field Lights
★ **Tracy Sports Complex1** 955 Crossroads Dr.	27.03	●		●			●		●	●	●		●			●		Field Lights
★ **Veterans Park** 238 Glenhaven Dr.	15.80	●	●	●			●	●	●	●	●	●	●			●		Field Lights
★ **Zanussi Park** 1500 Promenade Cir.	4.99			●					●	●						●		
Abbott Park 2286 Stalsburg Dr.	0.54							●	●							●		
Adams Park 4089 English Oak Ave.	4.69							●	●		●		●	●		●		
Alden Park 500 Sequoia Blvd.	6.24			●				●	●		●							
Allen Park 2672 Dorset Ln.	0.81		●						●		●							
American Legion Park Tracy Municipal Airport	1.84									●								
Bailor/Hennan Park 1893 Golden Leaf Ln.	0.48		●						●		●							
Barboza Park 925 Bogetti Ln.	3.39		●	●				●	●		●	●		●				
Busch Park 11th & Crossroads Dr.	2.42		●						●		●							
Chadeayne (J. Kingsley) Park 2130 Robert Gabriel	1.73				●			●	●		●							
Costa Park 1421 Claremont Dr.	0.53		●						●		●							
Cose (Don) Park 1780 Whirlaway Ln.	3.79		●					●	●		●							
Eagan Park 2751 Oxford Ln.	0.53							●	●		●							
El Pescadero Park 301 West Grant Line Rd.	12.07		●				●		●	●		●				●		Dog Park
Emhoff Park 1407 Jonathan Pl.	0.48		●	●				●	●		●					●		
Erb Park 2264 Barcelona Dr.	2.53		●					●	●		●							
Evans Park 1730 Parkside Dr.	1.87		●	●				●	●		●					●		
Fabian Park 1190 Valencia Dr.	1.01		●					●	●									

★ RESERVABLE PARKS

NAME/ADDRESS	Acreage	Ball Fields	Basket-Ball	BBQ	Horse Shoes	Musical Equip.	Off-Street Parking	Picnic Tables	Play Area	Rest Room	Shade Stucture	Skate Element	Soccer	Tennis	Volley-Ball	Walking Path	Water Feature	Special Amenities
Fine Park 1350 Franklin Ave.	0.76																	
Fisher (Jack) Park 564 Center Court Dr.	2.00		●					●	●		●							
Fitzpatrick Park 1355 Bluegrass Ln.	0.46		●	●				●			●					●		
Glover Park 584 Pescadero Ave.	2.14		●	●					●									
Golden Spike Park 1840 Kern St.	0.50		●					●	●									
Hanson Park Summer Ln.	3.53		●	●	●				●		●							
Harvest Park Harvest Landing Ct. & Fireside	0.47		●					●	●		●							
Hastie Park 1384 Lankershire Dr.	3.43		●						●		●							
Huck Park 501 Wagtail Dr.	0.51					●		●	●		●							
Icardi Park 2505 Russell St.	0.34								●									
Kelly Park 2155 Tammi Ct.	0.50		●					●	●		●							
Kimball Park 3765 Sudeley Dr.	0.51		●	●					●		●							
Kit Fox Park 705 Fox Hollow Wy.	0.50							●	●		●							
Lowes Park 4412 Regis Dr.	2.00								●		●					●		
McCray Family Park Fairview Dr. & Jennifer Wy.	0.80							●	●		●							
McDonald Park Central & First St.	1.46		●						●	●	●						●	Handball Courts
Mount Diablo Park 1685 Tahoe Cir.	0.38							●	●									
Mount Oso Park 2025 Tahoe Cir.	0.40								●									
New Harmon Park 2131 Hillcrest Dr.	0.51		●					●	●		●							
Patzer Park 850 Alden Glen Dr.	0.50								●		●							
Pombo Family Park 2112 Joseph Damon Dr.	0.51		●	●				●	●		●							
Raymond (Jim) Park 1458 Sentinel Drive	1.67		●						●		●							
Rippin (Naomi) Park 1871 Tennis Ln.	0.56							●	●									
Sister Cities Park 420 Morris Phelps Dr.	0.50			●					●		●							
Slayter Park 1405 Suellen Dr.	0.49							●	●		●							
North Souza Family Park 1904 Thelma Loop	1.59								●		●							
South Souza Family Park Antonio Loop	2.30		●	●				●				●						
Sparks Park 2428 Carol Ann Dr.	1.78		●						●		●							
Stevens Park 200 Mount Eden Cir.	0.48		●					●	●							●		
Sullivan Park 105 Henry Ct.	0.51					●			●									
Schwartz (Bill) Park Roxbury Dr. & Mason Ln.	3.47		●	●				●	●		●	●				●		
Thrasher (Dorlane) Park 1620 Joseph Menusa Ln.	1.19								●		●							
Tracy Press Park 731 Weeping Willow Ln.	0.83							●	●		●					●		
Valley Oak Park Larkspur & Honeysuckle	0.50		●						●									
Village Green Park 2250 Ellis Town Dr.	2.85					●		●	●		●					●		Bocce Ball
Westside Pioneer Park 1391 Hepburn Ct.	0.51							●	●		●					●		
Yasui Park Glenbriar Cir.	3.13								●									

PARK FACILITES MAP

Tracy Park System



Tracy City Limit
Sphere of Influence
Aqueduct
Rivers/ Canals/ Ponds
Agriculture / Undeveloped
Airport
Future Development Area
School Sites

Proposed Parks Classification

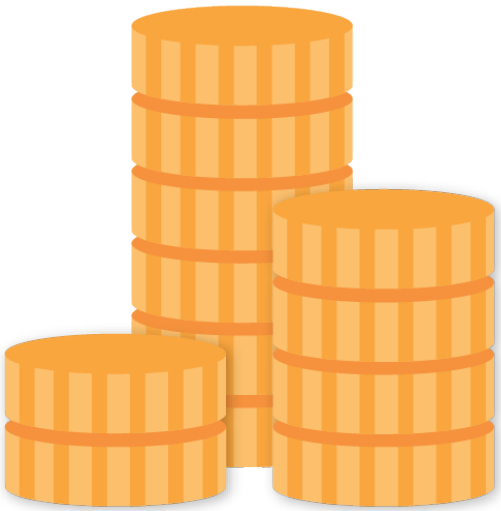
Existing Planned
Mini
Neighborhood
Community
Special Use
Sports Complex
County-owned Park
Community or Recreation Center

Existing Mini Parks

1. American Legion Park
2. Fine Park
3. A.R. Glover Park
4. McDonald Park
5. Clyde Abbott Park
6. Dr. Ralph Allen Park
7. Bailor/Hennan Park
8. Daniel Busch Park
9. J. Kingsley Chadeayne Park
10. Evelyn Costa Park
11. Eagan Family Park
12. Albert Emhoff Park
13. John Erb Park
14. Dorothy Evans Park
15. Fabian Family Park
16. Jack Fisher Park
17. Barbara Fitzpatrick Park
18. Golden Spike Park
19. Harvest Park
20. Lester Huck Park
21. Fred Icardi Park
22. George Kelly Park
23. John Kimball Park
24. Kit Fox Park
25. William Lowes Park
26. McCray Family Park
27. Mount Diablo Park
28. Mount Oso Park
29. New Harmon Park
30. Robert Patzer Park
31. Pombo Family Park
32. Jim Raymond Park
33. Naomi Rippin Park
34. Sister Cities Park
35. Tom Slayter Park
36. Souza Family Park North
37. Souza Family Park South
38. Joan Sparks Park
39. Florence Stevens Park
40. Larry Sullivan Park
41. Dorlane Thrasher Park
42. Tracy Press Park
43. Valley Oak Park
44. Westside Pioneer Park

N
0 0.325 0.65 1.3 Miles

Fund Level



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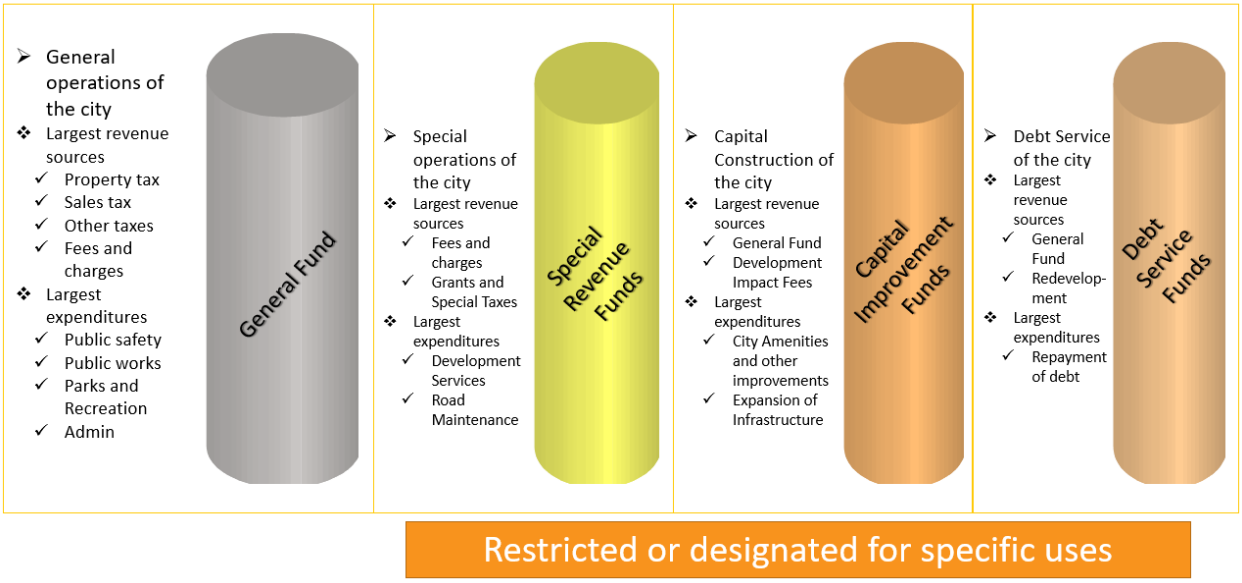
FUND ACTIVITY

The City of Tracy's fiscal activities are budgeted and accounted for through the use of funds. A fund is a separate fiscal entity, which is self-balancing and free standing. The use of funds enables the maintaining of separate records for particular purposes. GFOA recommends that every state or local government that uses fund accounting establish clear criteria for determining whether a given fund in its accounting system should be treated as a fund for purposes of external financial reporting. The application of these criteria to individual funds should be documented and then periodically reviewed to take into account changes in circumstances (for example, a significant decrease in a revenue source reported as a separate special revenue fund). A government's periodic review of its fund structure ought to specifically consider whether the goals of general purpose external financial reporting could better be achieved by combining similar funds in the accounting system into a single fund for financial reporting purposes. For example, for budget reporting, all Special Assessment and small Special Revenue funds are consolidated in this financial plan.

The fund categories are: Governmental Funds, Proprietary Funds, and Fiduciary Funds. The eight fund types are: General, Special Revenue, Capital Projects, Debt Service, Enterprise, Internal Services, Trust, and Agency. Below is a list of City funds by fund type.

Definition of Fund Types:

Governmental Funds – Funds generally used to account for tax-supported activities.

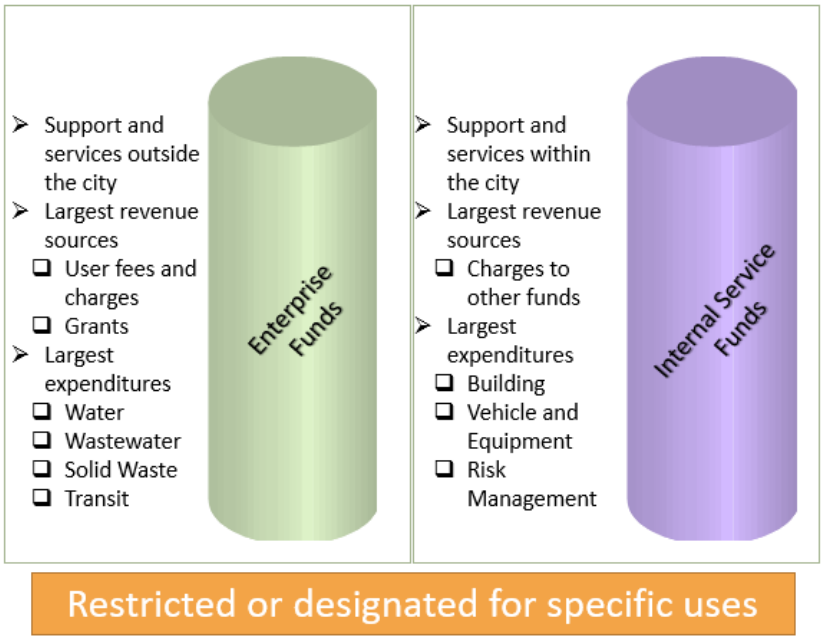


- The General Fund is the primary operating fund of the City. The General Fund is used to account for resources traditionally associated with government which are not required by law or by sound financial management practice to be accounted for in another fund.
- Special Revenue Funds (SRFs) account for the proceeds of revenue sources that are restricted by law or administrative action to expend for specific purposes. Primary sources of SRF revenue are federal, state, local and private grants; contractual agreements. Special revenue funds are used

to account for and report the proceeds of specific revenue sources that are restricted or committed to expenditure for specified purposes other than debt service or capital projects.

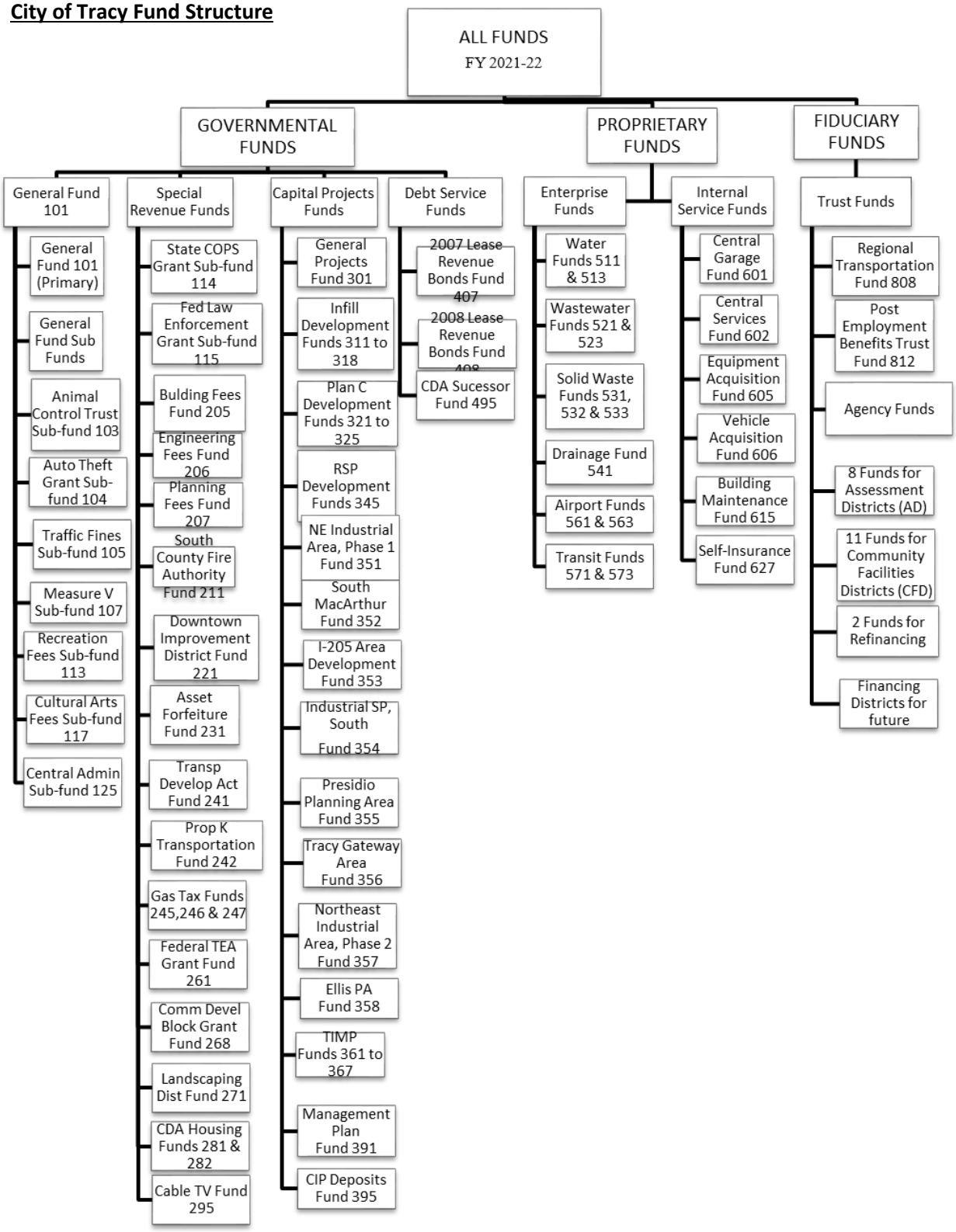
- Capital project funds are used to account for and report financial resources that are restricted, committed, or assigned to expenditure for capital outlays including the acquisition or construction of capital facilities and other capital assets.
- Debt service funds are used to account for accumulations of resources that are restricted, committed, or assigned to expenditure for principal and interest.

Proprietary Funds – Funds used to account for a government's ongoing organizations and activities that are similar to businesses found in the private sector. These funds are considered self-supporting in that the services rendered by them are generally financed through user charges or on a cost reimbursement basis.

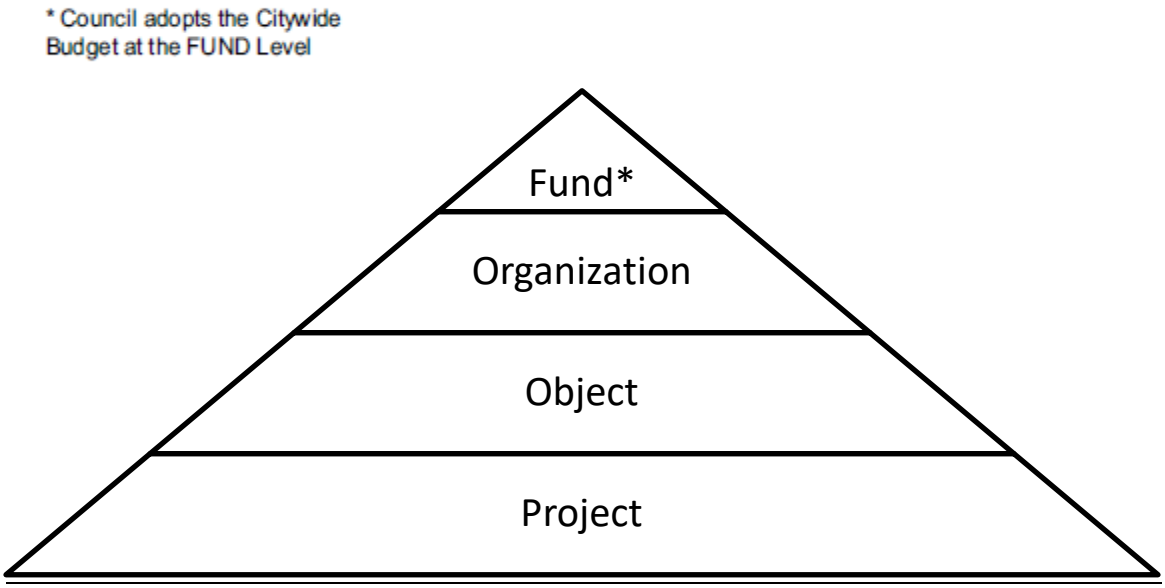


- Enterprise Funds are established to account for activities of a government that provide goods or services primarily to the public at large on a consumer charge basis. Most business-type activities of a government are accounted for and reported in this fund.
- Internal Service funds are established to finance, administer, and account for departments or agencies of a government whose exclusive or nearly exclusive purpose is to provide goods or services (e.g. central garage services) to the government's other departments on a cost-reimbursement basis.

City of Tracy Fund Structure



City of Tracy Account Structure



The City's financial system is organized around a structure that is commonly found in most public agencies.

Fund

Each fund represents a self-balancing group of accounts and a balance sheet that allows for the segregation of resources from all others maintained by the City. For example, the General Fund accounting structure accumulates and tracks monies collected for the purpose of providing the services that fulfill the general government role of the City. These costs include those for central administration including the City Manager's Office, Human Resources and the Finance Department. Other general government costs included are Police, Fire Protection and Parks and Recreation.

Organization

The organization or org code identifier is used within the City's accounting system to identify a specific program assigned to a department division. Thus, a single department can have one or more organization codes assigned to it in order to capture revenues and expenses for each separate function.

Object

The basic unit of the City's accounting structure is the object code. The purpose of the object code is to provide a means for separating each type of revenue and expense from another. For example, full-time salaries are charged to Object Code 5101.

Project

A project tracks Capital and or a specific revenue or expense. For example, Capital Improvement Projects have multiple levels of costs; i.e. Engineering-Design, Construction and Administration and each level contains a budget. Within each project, there could be specific revenue funding the project such as grant revenue or city contribution.

FINANCIAL PLANNING AND FISCAL IMPACTS OF COVID-19

COVID-19 ECONOMIC IMPACTS

During the prior year budget development, the world shifted dramatically to respond to a global pandemic. As the State issued Stay-at-Home orders it was unclear how long schools and businesses would remain shuttered. While City Hall closed, almost all City functions continued to perform day to day functions. Business, schools, and government all shifted in how to provide and receive information and services.

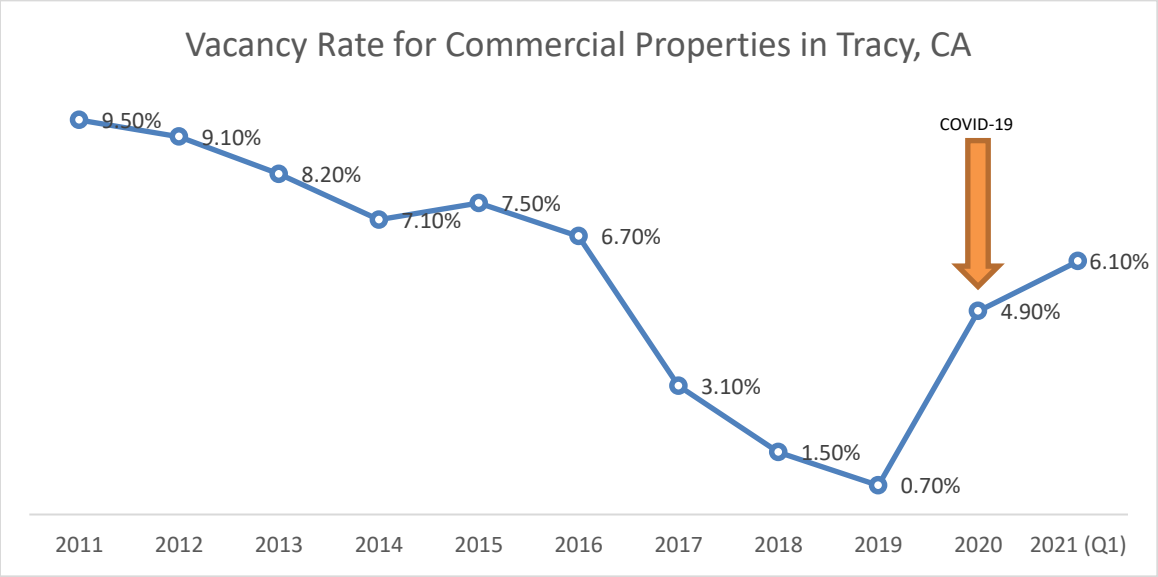
While the future fiscal impacts of COVID-19 are unclear, there are some likely short-term outcomes that have been measured and reflected in the development of the FY 2021-22 budget. Sales Tax proved to be quite resilient and provided growth despite the obstacles of in person retail, dining, travel and leisure, activities. Home sales demands grew as housing inventories were limited and interest rates sank to an all time low. As many sheltered in place, there was a resurgence of "shop local" within the community. With each reopening, residents and businesses alike had pent up demand to shop, expand, or improve their homes and businesses. The State has currently announced it plans to reopen fully in June 2021 while this will likely not be a full return to the way of business before March 2020, it is expected to return the economy back on its path of expansion as was indicated before the pandemic.

ECONOMIC RECOVERY

The City of Tracy continues its community recovery efforts consistent with the State's reopening framework in a manner that protects the health and safety of the residents. For example, City Hall has reopened to the public in limited capacity for council meetings as of April 21 and for other access as of May 5 after San Joaquin County entered the Red Tier. In terms of economic recovery, there have been a number of actions taken to date including the creation of a COVID-19 Business Resources webpage, outreach to local businesses on the changes of the State's regulatory environment, tracking of availability of financial resources, informational webinars in partnership with the Tracy Chamber, the development of a City Forgivable Business Assistance Loan Program, and implementation of a Small Business Relief Grant Program. The City also waived temporary use permits up to $25,000 to accommodate an urgent need for businesses to operate outside during the shutdown of inside operations for many industry sectors. In downtown, collaboration with Tracy City Center Association (TCCA) resulted in an outside dining concept that replaced a lane of 10th Street known as the "Streatery". The City has collaborated with the Chamber and TCCA to create a virtual database of businesses, created window decals, and is promoting the benefits of local spending as part of the ongoing "Shop Local" campaign. The City also hosts biweekly committee meetings with small business community representatives. Future recovery efforts include business retention visits (virtual and/or in-person) amongst the hardest impacted industries, implementation of an industrial round table with the Chamber, partnering with the County on workforce placement, training, and development, and engaging in business attraction efforts.

The diversity of Tracy's economy and limited reliance on tourism resulted in the economic contraction below that was experienced by many coastal communities. As the COVID rates are reducing and the State is reopening, Tracy's economy is expected to recover. Compared to regional and statewide sales tax demographics, the City's sales tax (pre-COVID-19) has been dominated by Business and Industry, which almost all have remained open in some capacity during this emergency. The second two dominant sales tax generators for Tracy are auto and general consumer goods. These sectors are expected to recover relatively swiftly. This is also true of TOT revenues. The City's hotel tax is

dominated by business travel and industry support. As travel restrictions ease, it is expected that hotel occupancy will rise.



Tracy has historically been a regional retail destination with some of the highest per capita sales in the County. This is in part attributed to diversity of the local retail base ranging from regional retail (e.g. West Valley Mall and surrounding retail cluster) to smaller experiential retail offerings in the City's historic downtown. The strength of the local retail market is evidenced by relatively low and decreasing vacancies over the last decade. Even after COVID-19 forced many national retail chains into bankruptcies, the City was able to offset some of the vacancies with new business openings. For example, a national craft retail chain, Hobby Lobby, plans to start its retail operation at the former JCPenney location in early 2022.

Finally, there is the financial assistance that has been provide by federal and state agencies. Both agencies are focused on economic recovery. The federal government has already provided two stimulus packages to assist businesses impacted by emergency. The federal government approved a third stimulus package that provides for financial assistance to state and local governments.

AMERICAN RESCUE PLAN ACT

On March 11, 2021, President Biden approved the American Rescue Plan Act of 2021, the third economic stimulus. This bill provides $1.9 trillion in additional relief to address the continued impact of COVID-19 (i.e., coronavirus disease 2019) on the economy, public health, state and local governments, individuals, and businesses.

State and Local Fiscal Recovery Funds: (Source- Department of Treasury Fact Sheet)

"The American Rescue Plan provides $350 billion dollars in emergency funding for state, local, territorial, and Tribal governments to remedy this mismatch between rising costs and falling revenues. This includes:

- *$195 billion for states, (a minimum of $500 million for each State);*

- *$130 billion for local governments (a minimum of $1.25 billion per state is provided by the statute inclusive of the amounts allocated to local governments within the state);*
- *$20 billion for tribal governments; and*
- *$4.5 billion for territories*

The American Rescue Plan will provide needed relief to state, local, and Tribal governments to enable them to continue to support the public health response and lay the foundation for a strong and equitable economic recovery. In addition to helping these governments address the revenue losses they have experienced as a result of the crisis, it will help them cover the costs incurred due to responding to the public health emergency and provide support for a recovery – including assistance to households, small businesses and nonprofits, aid to impacted industries, and support for essential workers. It will also provide resources for state, local, and Tribal governments to invest in infrastructure, including water, sewer, and broadband services."

ARPA Expense Eligibility: According to League of California Cities, the City of Tracy is expected receive over $17.8 million in ARPA funds. While this funding is guaranteed to the City, there are expense eligibility requirements. Additionally, the funding will come in two tranches; the first would be issued within 90 days of the enactment of the bill and the second would come one year later.

ARPA funds must be expended no later than December 31, 2024 for the following general categories:

- **Provide Government Fiscal Response**
  - Address impacts of revenue loss and expenses incurred in response to the pandemic
- **Address Economic Effects**:
  - Aid to households, small businesses, nonprofits, and other industries
- **Investments in Infrastructure**:
  - Support water, wastewater, and broadband

The Department of Treasury will issue more detailed guidance outlining its interpretation and implementation of eligible uses. While not all the details are known, this funding could be incorporated into the budget as short-term solutions, helping to offset one-time expenses and restore revenues.

City of Tracy ARPA Funding Approach and Allocation: Using the three identified "categories" above, the City Council provided feedback and direction about its desired funding approach to inform the future development of a funding plan in cooperation with the Council Fiscal Sustainability Ad-Hoc Committee. The Ad-Hoc Committee will present a funding plan recommendation to the Council on a preferred, potential and least preferred ranking. The Council's 2021-2023 strategic priorities and recently approved conceptual fiscal sustainability budget strategies will help to guide the Committee's recommendation. Staff has developed some general funding parameters for Council consideration to help guide its policy discussion. These funds are not included in the FY 2021-22 budget as the City is still waiting final direction from the Department of Treasury as to the appropriate use of the funds.

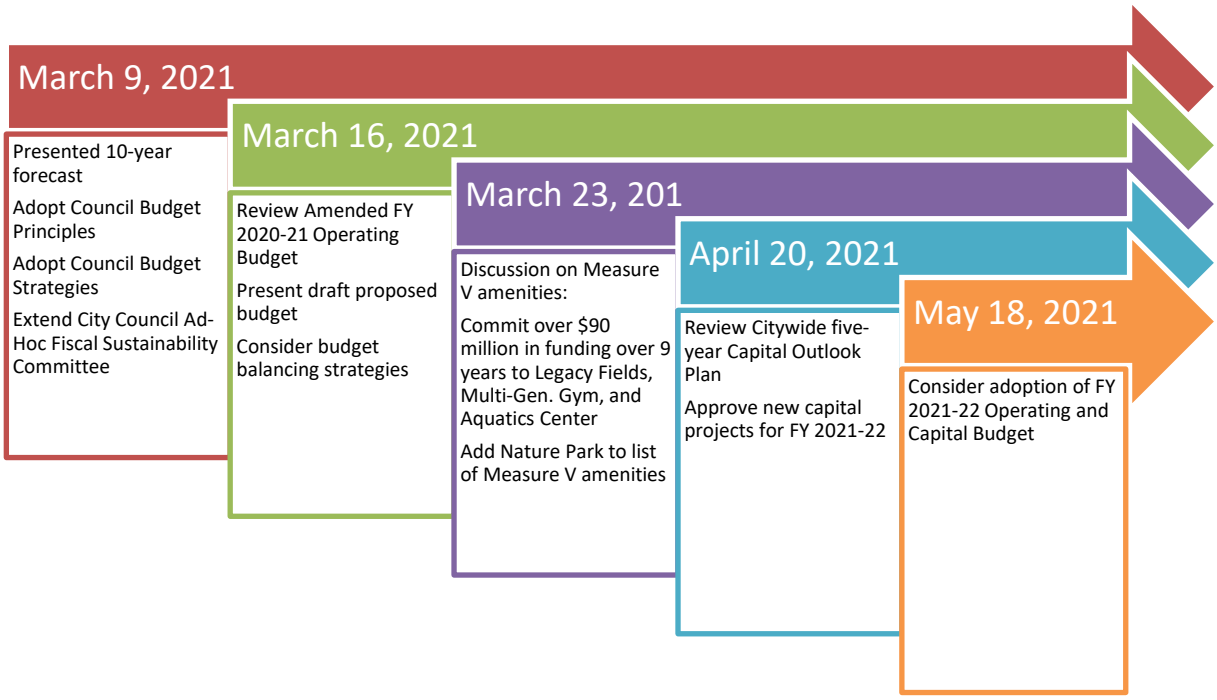
General Categories	Estimated Funding Allocation	% of Allocation
Provide Government Fiscal Response (Revenue Loss and Expenses due to COVID-19)	$ 12,000,000	*67%*
Address Economic Effects (Aid to Households, Businesses, Non-Profits)	3,000,000	*17%*
Investments in Infrastructure (Support for Water, Wastewater, Broadband)	2,800,000	*16%*
Total Estimated Funding	**$ 17,800,000**	*100%*

Additional ARPA Stimulus Funds: In addition to the $350 billion to help state and local governments address economic impacts related to COVID-19, the federal American Rescue Plan Act includes other funding programs and policy changes in an effort to further mitigate the damage the pandemic has done.

Below is a categorized summary of many of the provisions of interest that may help inform cities and their partners as determinations are made of where best to dedicate funds and where to access other federal funding programs to bolster local efforts. See Attachment A and B: Department of Treasury Fact Sheet and Tax Foundation Chart for further details on Federal funding allocation.

- Economic Impact Payments
- Child Tax Credit
- Capital Projects Fund
- Homeowner Assistance Fund
- Emergency Rental Assistance
- State Small Business Credit Initiative
- Employee Retention Credit and Paid Leave Credit Programs
- Unemployment Compensation

COUNCIL BUDGET WORKSHOPS



In the development of the FY 2021-22 Operating and Capital General Fund budget, the City Manager held four budget workshops with the City Council. First, on March 9, 2021, the City Manager, along with Finance Director and consultants from Management Partners, discussed the General Fund's ten-year forecast and adopted 12 Budget Principles. The meeting also included various budget strategies aimed at addressing the General Fund's projected structural deficit (see discussion below).

At the following meeting, on March 16, 2021, the City Manager presented the first draft of the proposed operating budget. The first draft of the General Fund budget estimated a deficit of $5.8 million that has been revised to a $3.1 million deficit after delaying $2.1 million in vehicle and equipment replacement expenses and slight improvements in revenue estimates of $600,000.

The City Manager held two capital budget workshops with the City Council. First, on March 23, 2021, the City Council held a comprehensive discussion on their current direction to fund amenities from General Fund Measure V Sales Tax revenues. During this meeting, the City Council committed over $90 million in capital funding for Legacy Fields, Multi-Generational Gymnasium and Aquatic Center over the next nine years. The City Council identified a fourth amenity, the Nature Park, with the goal to begin pay-go funding by 2030.

Over the last few budget cycles, departments have begun evaluating capital improvement needs and have compiled a five-year Capital Improvement Plan (CIP) Outlook into the FY 2021-22 budget planning. The CIP Outlook is a comprehensive five-year capital improvement plan designed to identify projects to construct, repair, maintain, or replace the City's infrastructure, which is consistent with the City Council's Strategic Priorities. The workshop held on April 20, 2021 provided the City Council with an overview of the proposed FY 2021-22 Capital Budget, as well as, the proposed five-year FY 2021-2026 Capital Improvement Plan (CIP) Outlook. The five-year CIP Outlook is an essential part of the overall

Capital Budget development in order to strategically plan for the community's long-term capital maintenance, improvement, and replacement needs.

Finally, on May 18, 2021, the City Council held a public hearing on the City's Operating and Capital budget to allow for discussion and public input on the proposed budget.

COUNCIL FISCAL SUSTAINABILITY AD HOC COMMITTEE

On May 19, 2020, the City Council adopted Resolution 2020-067, which formed a Council Fiscal Sustainability Ad-Hoc Committee to guide the development of a long-term strategic plan to ensure the City can meet the growing service needs of the community. The Committee's scope was to provide policy guidance as it relates to the development of a multi-year fiscal sustainability plan to address the City's structural deficit and impacts associated with COVID-19.

In July 2020, the Council appointed Council Members Vargas and Arriola to the Ad-Hoc Committee. The Ad-Hoc Committee met regularly over a period of six months with the City Manager, Finance Director and consultants from Management Partners to discuss the City's fiscal condition and explore long-term strategies to improve the City's fiscal health. At the March 9, 2021 City Council Workshop, the City Council extended the Ad-Hoc Committee an additional year to allow continue work on their scope of work.

Specific discussion items included:

- Governmental Budgeting
- City Adopted Budget
- Financial Forecasting Tools and Practices
- City's 5-Year and 10-Year Financial Forecast
- Budget Policy Framework for Decision Making
- Short and Long Term Budget Strategies
- Tracy Financial Principles.
- Tracy Fiscal Sustainability Strategies

Guiding Budget Principles and Fiscal Sustainability Strategies

As part of the Council Ad Hoc Committee meetings, Management Partners developed and presented a fiscal model that showcased the City's existing General Fund's structural deficit and highlighted the impacts of COVID. The model was developed to provide a high-level overview of current trends and how fiscal policy decisions could potential play out over time. The Ad-Hoc Committee considered a variety of short and long term budget strategies that further informed the recommended guiding budget principles (Resolution 2021-023) and fiscal sustainability strategies (by motion).

At its January 12, 2021 Council Workshop, the Council was introduced to the City's interactive financial modeling tool and recommended guiding budget principles. The Council directed staff to bring back the guiding budget principles for approval as part of a future fiscal sustainability discussion. The principles are attached to this report for Council consideration.

After careful consideration, the Ad-Hoc Committee formulated various fiscal sustainability strategies to address the City's short and long-term project General Fund deficit. The attached strategies are presented with the following ranking indicators:

At the March 9, 2021 City Council workshop, the Council adopted the following proposed fiscal sustainability strategies and preferences regarding recommended strategy ranking.

● = Preferred ● = Potential ● = Least preferred

The table below reflects estimated targets for each strategy category. A green dot is the most preferred approach, while a yellow dot indicates a potential approach, but would need further refinement. The red dot is the least preferred approach. The estimated target for preferred strategies (green) could potentially garner $13M in savings or increased revenues over the next few years.

Strategy Type	Fiscal Impact by Ranking			
	●	●	●	Total
Revenue Enhancements	$ 8,750,000	$ –	$ 1,000,000	$ 9,750,000
Expenditure Controls/Cost Shifts	1,500,000	3,000,000	–	4,500,000
Service Delivery Alternatives	1,500,000	–	–	1,500,000
Subtotal before Service Level Reductions	11,750,000	3,000,000	1,000,000	15,750,000
Service Level Reductions	1,700,000	500,000	1,000,000	3,200,000
Total – All Strategy Types	$ 13,450,000	$ 3,500,000	$ 2,000,000	$ 18,950,000

FUND LEVEL ANALYSIS

The following sections provide a high level overview of the Fund Level: Proposed FY 2020-21 Operating and Capital Budget.

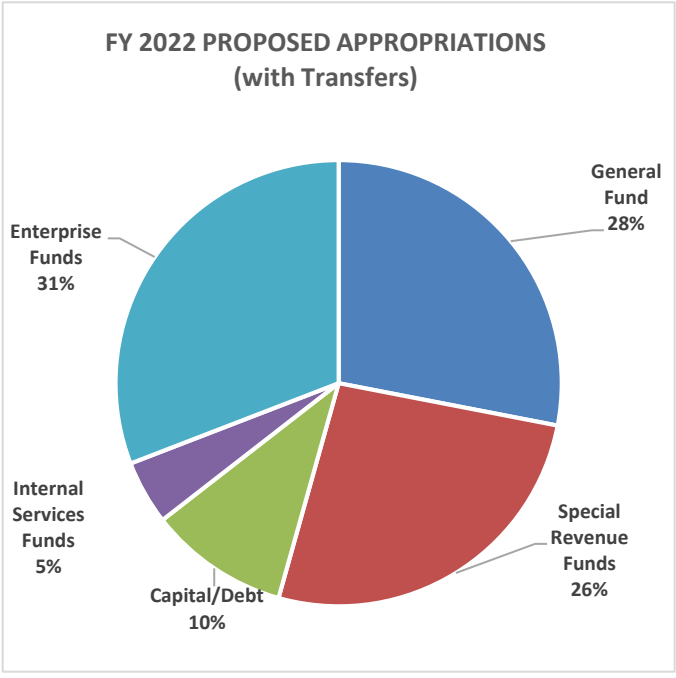
CITYWIDE FUND SUMMARY

The goal of the City's annual Operating and Capital budget is to provide a plan that allocates resources to meet the needs and desires of Tracy's residents. It balances City revenues and costs with community priorities and requirements. In preparing the FY 2021-22 Operating and Capital Budget, City staff reviewed the FY 2020-21 budget and estimated the expenditures and revenues anticipated for the next year, FY 2022-23. The Council's 2021-2023 Strategic Plan is an integral part of the budget planning and development and can be seen integrated within department budget section. The City is still facing a structural deficit as it develops the FY 2021-22 budget. The City Manager has proposed a status quo budget to preserve service levels allowing from modest revenue recovery throughout the City funds. This includes continuing some of the budget solutions adopted in FY 2020-21.

In FY 2021-22, the operating expenses were held flat, except for limited inflationary expenditure increases (*e.g.* pension, health care, and workers' compensation). The select vacancy and hiring freeze put in place March 2020 is expected to continue through FY 2021-22 and, likely, through FY 2022-23. While primarily in the General Fund and Utilities, City implemented a hiring freeze of select vacant positons in order to generate $3.5 million salary savings (approximately 5-10%). The salary savings has helped to temporarily mitigate personnel increases related to personnel increases that were approved in prior years' employee contracts, pension, health, and workers' compensation benefits, lessening the overall deficit in the General Fund and Utilities Fund. The proposed budget includes 542 approved full-time equivalent with 506 funded positions in FY 2021-22; of which 346 are funded by the General Fund.

The FY 2021-22 proposed operating and capital budget appropriations total approximately $328.7 million (including from $38.5 million in Transfers Between funds) all funding sources including capital improvements of $77.4 million. The Net Position decreased $5.8 million after the capitalization of $77.4 million in capital improvements.

	FY 2022 PROPOSED BUDGET
General Fund	78,021,124
Special Revenue Funds	87,587,448
Capital/Debt	8,348,521
Internal Services Funds	15,281,528
Enterprise Funds	100,941,784
TOTAL EXPENDITURES (w/o Transfers)	290,180,405
Transfers Between Funds	38,553,179
TOTAL EXPENDITURES (w/o Transfers)	328,733,584



SUMMARY OF PROPOSSED REVENUES AND EXPENSES FOR ALL FUNDS WITHOUT TRANSFERS

REVENUES (in thousands)	FY 19/20 Actuals	FY 20/21 Projected	FY 21/22 Proposed	FY 22/23 Forecasted	FY 23/24 Forecasted
GENERAL FUND	$ 87,111	$ 84,220	$ 89,856	$ 93,404	$ 96,757
DEVELOPMENT SERVICES	7,751	11,113	11,751	11,894	12,043
GAS TAX	3,775	3,796	4,169	4,294	4,423
GEN FUND CAPITAL	213	-	-	-	-
SCFA	6,448	7,216	9,419	9,687	9,963
SPECIAL REVENUE	13,609	12,116	5,069	5,267	5,475
CAPITAL	23,711	14,754	497	521	548
DEBT	35,791	6,143	6,179	6,409	6,651
INTERNAL SERVICES FUND	13,861	12,926	14,107	14,248	14,390
WATER	31,290	21,309	21,252	21,464	21,679
WASTEWATER	23,836	15,300	15,289	15,442	15,596
SOLID WASTE	23,514	21,731	22,115	22,336	22,559
STORM DRAIN	8,758	719	729	736	744
AIRPORT	2,384	947	943	951	959
TRANSIT	6,596	5,556	5,611	5,768	5,932
TOTAL REVENUES	**288,647**	**217,845**	**206,986**	**212,422**	**217,718**
EXPENSES (in thousands)					
GENERAL FUND	$ 73,649	$ 75,764	$ 78,021	$ 82,058	$ 81,757
DEVELOPMENT SERVICES	11,241	12,888	13,760	13,948	14,143
GAS TAX	2,129	5,333	5,013	1,609	1,663
GEN FUND CAPITAL	4,843	1,986	17,400	-	-
GOVWIDE	5,550	-	1,190	1,202	1,214
SCFA	21,468	22,747	24,280	24,856	25,598
SPECIAL REVENUE	13,603	8,961	25,944	5,409	5,540
CAPITAL	15,764	5,371	3,861	265	268
DEBT	26,255	4,757	4,488	4,496	4,505
INTERNAL SERVICES FUND	11,780	14,252	15,282	15,482	15,699
WATER	18,156	18,910	20,544	20,552	20,907
WASTEWATER	31,595	44,616	47,365	19,656	19,947
SOLID WASTE	25,275	24,876	24,523	24,821	25,124
STORM DRAIN	952	656	705	716	729
AIRPORT	952	1,068	788	800	814
TRANSIT	4,573	7,847	7,017	5,983	6,085
TOTAL EXPENDITURES	**267,783**	**250,031**	**290,180**	**221,854**	**223,987**
SURPLUS/DEFICIT	**20,864**	**(32,186)**	**(83,195)**	**(9,431)**	**(6,268)**
CAPITAL IMPROVEMENT	**15,935**	**42,527**	**77,379**	**3,183**	**3,231**
NET POSITION CHANGE	**36,798**	**10,341**	**(5,816)**	**(6,248)**	**(3,038)**

City Wide Estimated Fund Balances

(including Transfers In/Out)

Fund Nam	Fund Balance 06/30/2020	Projected Revenues	Projected Expenditures	Projected Fund Balance 06/30/2021	Estimated Revenues	Estimated Expenditures	Estimated Fund Balance 06/30/2022
GENERAL FUND	$20,631,232	$84,275,938	($88,918,801)	$15,988,369	$89,915,964	($92,194,588)	$13,709,745
DEVELOPMENT SERVICES	($370,123)	$13,201,154	($12,888,195)	($57,164)	$13,859,914	($13,760,263)	$42,487
SOUTH COUNTY FIRE AUTHORITY	($2,760,535)	$22,315,626	($22,746,737)	($3,191,646)	$24,285,223	($24,280,298)	($3,186,721)
SPECIAL REVENUES	$14,897,960	$12,211,047	($9,017,382)	$18,091,625	$5,165,065	($26,003,716)	($2,747,026)
GAS TAX FUNDS	$5,924,070	$3,795,853	($5,333,431)	$4,386,492	$4,168,905	($5,012,886)	$3,542,511
DEBT	$1,494,243	$6,142,815	($4,757,024)	$2,880,034	$6,178,834	($4,487,559)	$4,571,309
INTERNAL SERVICES FUNDS		$12,925,635	($14,252,265)	($1,326,630)	$14,106,705	($15,281,528)	($2,501,453)
WASTEWATER	$44,963,687	$15,810,301	($44,615,712)	$16,158,276	$40,799,181	($47,364,858)	$9,592,599
WATER	$8,835,568	$21,309,433	($19,419,569)	$10,725,432	$21,251,924	($21,053,604)	$10,923,752
SOLID WASTE	$16,149,840	$21,730,862	($24,875,883)	$13,004,819	$22,114,591	($24,523,243)	$10,596,167
STORM DRAIN	($503,616)	$718,683	($655,533)	($440,466)	$729,104	($705095)	($416,457)
AIRPORT	$262,843	$1,101,630	($1,068,313)	$296,160	$1,099,231	($787,730)	$607,661
TRANSIT	$5,899,289	$5,555,883	($7,846,835)	$3,608,337	$5,611,441	($7,017,254)	$2,202,524
		$221,094,860	($256,395,680)		$249,286,082	($282,472,622)	

GENERAL FUND

The following provides an overview of estimated revenues and proposed expenditures for amended FY 2020-21 and the proposed FY 2021-22 General Fund Operating and Capital Budget.

FY 2020-21 Amended General Fund

In light of the impacts anticipated from COVID-19 and the existing structural deficit, the proposed FY 2020-21 Operating and Capital General Fund budget shortfall had a projected deficit of $11.0 million during the early drafts of the budget development. The City Manager compiled approximately $6.4 million in budget reductions to close the budget gap. The following is a list of budget strategies included in the FY 2020-21 budget:

- Implement citywide hiring freeze of select vacant positions ($3.5 million)
- Defer vehicle and equipment contributions and purchases ($2.1 million)
- Delay the allocation of Mayor's Community Youth Support Network (MCYSN) Grant Program ($200,000)
- Reduce professional services contracts and supplies citywide by 10% ($600,000)

On June 16, 2020, the City Council adopted the FY 2020-21 down to a projected deficit of $4.6 million estimated for June 30, 2021. The City Council authorized the use of the Economic/Budget Stabilization Reserves as a funding source for the General Fund and Measure V Capital funds; both required a resolution to ensure the funds were restored if used. The City Council reviews the adopted budget quarterly. Most recently, on April 20, 2021, the City Council considered the third quarter amended budget, which informed the City Council that there were sufficient reserves remaining in the Economic/Budget Stabilization Reserves to delay the use of Measure V funds to balance the General Fund budget. As a result, the City Council budget includes transferring approximately $10.8 million to the Measure V Capital Fund during FY 2020-21.

Staff previously presented the First and Second Quarter budget updates to the City Council and tracked the various amendments to the budget as it progressed. The City continues to see modest growth in almost every tax categories as residential and commercial building continues; albeit at a slightly slower pace than may have occurred without COVID-19 restrictions. At the adoption of the FY 2020-21 budget, the City anticipated a 15% reduction in Sales Tax as a result of the stay at home orders related to the COVID-19 pandemic. By First Quarter FY 2020-21 (September 30, 2020), Sales Tax and Measure V Sales were both amended with an expected growth of 5% versus a 15% loss over FY 2019-20; equivalent to an approximate increase of $3M for Sales Tax and $1.5M for Measure V. As of Q3 (March 31), the City has received two full quarters of Sales Tax and Measure V Sales Tax. Sales Tax continues to trend at the First Quarter amendment. Quarterly updates continued to reflect improvements in Sales Tax and Property Tax at 3% and 5% respectively. Measure V Sales Tax; however, continues to outpace the growth in Sales Tax with an anticipated growth of 13% over FY 2019-20. It should be noted that the increase in Measure V Sales Taxes do not impact the General Fund net position as the increase in Measure V Sales Tax revenue is offset by an increase in expenses of Transfers Out: Measure V Capital (see below). These revenue increases were negated by losses in Other Taxes and Other Revenues, particularly in Charges for Services revenues where Recreation and Grand Theater revenues. The amended Total Revenues is $84 million. This is a net change of $5.3 million, or 6.7% increase over the adopted revenues. This amendment is almost exclusively in Sales Tax and Measure V Sales Tax.

The City's amended operating expenses, $75.8 million, have remained relatively flat compared to the adopted operating expenses, $75 million. Personnel savings (averaging 5-10% citywide) have been

generated as a result of select vacancy and a hiring freeze implemented in March 2020. The City's natural attrition rate has also helped to further mitigate expenses of various minor adjustments arising in non-personnel costs. Non-personnel expenses had an increase of $500,000 reflecting the Small Business Loans approved by the City Council in December 2020, funded through Measure V.

Finally, the most significant change in the amended General Fund budget is reflected in the Transfers Out, which increased from $7.1 million to $13.1 million. This $6 million change includes a slight increase in the subsidy to Development Services ($700,000) and an increase in the Transfers Out, Measure V Capital ($5.3 million). Two components make up the increase in Transfers Out: Measure V Capital. First, the City's amended budget does NOT include a loan of $2.2 million from Measure V funds to address its structural deficit. Second, the Transfers Out: Measure V Capital increased to reflect the revised revenue projections of $3.5 million less the Small Business Loan program funding ($500,000). Overall, the amended contribution to Measure V Capital Fund at the end of FY 2020-21 is $10.8 million; revised from $5.5 million in the adopted budget.

The General Fund's amended projections for FY 2020-21 show that the deficit has decreased to $4.7 million from $5.4 million. The Economic/Budget Stabilization Reserve balance as of June 30, 2020 was $5.6 million. This will allow the City to carryover almost $1 million of the Economic/Budget Stabilization Reserves to help balance the proposed FY 2021 -22 budget.

General Fund FY 2020-21 Amended Budget

(in thousands)	***2020/2021 (Adopted)***	***Changes***	***2020/2021 (Amended)***
Property Tax	25,776	*1,000*	26,776
Sales Tax	24,000	*3,012*	27,012
Sales Tax Measure V	7,967	*3,533*	11,500
Other Taxes	2,362	*53*	2,415
Other Revenues	18,823	*(2,306)*	16,517
Total Revenues	**78,927**	***5,292***	**84,220**
Personnel	58,846	*(830)*	58,016
Non Personnel	16,161	*1,586*	17,747
Net Transfers (In)/Out Operating	1,625	*656*	2,281
Net Transfers (In)/Out Measure V Capital	5,471	*5,347*	10,818
Loan from Measure V	2,200	*(2,200)*	0
Total Expenditures	**84,303**	**4,560**	**88,863**
Surplus/(Deficit)	**(5,376)**	***667***	**(4,643)**

FY 2021-22 Proposed General Fund

On March 16, the first draft of the General Fund Operating budget was reviewed by City Council. After continued refinement, the proposed budget is anticipated to have a $5 million deficit. To close the budget deficit, the City Manager provided $5 million in budget solutions for Council consideration

General Fund Summary Proposed FY 2021-22

(in thousands)	***2021/2022 (proposed)***
Revenues	$ 89,856
*Expenses	78,021
Transfers In/(Out)	(14,113)
General Fund Surplus/(Deficit)	$ (2,279)

FY 2021-22 Implemented Budget Solutions

- ✓ *Potential Revenue Recovery*. The City's Sales Tax and TOT tax are projected to recover slightly in FY 2021-22 and the budget reflects a moderate growth of $1.4 million, or 5%, between the two revenue sources. This projected growth assumes new tax generators will commence operations in FY 2021-22. The actual revenue growth is not certain today. In addition, the City may experience a larger recovery to the economic effects of COVID, receive additional grants and federal aid, or generate higher cost recovery through fees. It is moderately possible that revenues may grow an additional $1 million (2-3%) over the current projections. This has been reflected in the proposed revenues.
- ✓ *Delay Funding ISC-Vehicles*. The City's adopted General Fund FY 2020-21 budget included delaying contributions to the ISC-Vehicle and Equipment funds. The cost to fund these expenses is $2.1 million. As a budget solution, the General Fund will delay the annual contribution in FY 2021-22; however, any further delay in funding may result in higher maintenance costs and larger future replacement needs as the current vehicles will continue to age. It is not recommended to extend this budget solution more than two years; restoring funding in FY 2022-23 will need to be considered. This has been reflected in the proposed expenditures.

Budget Solutions	
Use of 10% Economic/Budget Stabilization	$1,000
Additional Vacancy Savings	1,000
Revised General Fund Surplus/(Deficit)	$ (279)

*Includes funding for MCYSN and homelessness services and facility; excludes funding for vehicle and equipment replacement

FY 2021-22 Additional Budget Solutions

- ➢ *Use of Economic/Budget Stabilization*. The General Fund has a projected $1 million remaining in the 10% Economic/Budget Stabilization Reserves that will be used to close the budget deficit.
- ➢ *Additional Personnel/Non-Personnel Savings*. The proposed FY 2021-22 budget includes a current vacancy and hiring freeze of 5% on select vacant positions. Throughout the year,

some departments experience higher attrition rates, which may generate additional personnel savings in FY 2021-22. The City Manager and departments will observe expenditure levels and modify staffing levels, and non-personnel expenses, as needed, to achieve a target savings in the amount of $1 million, or 1% of General Fund operating expenditures.

Summary of Proposed FY 2021-22 Operating and Capital Budget

REVENUES (in thousands)	FY19/20 Actuals	FY20/21 Amended	FY21/22 Proposed	FY22/23 Forecasted	FY23/24 Forecasted
Property Tax	$24,905	$26,776	$28,114	$29,520	$30,996
Sales Tax	26,304	27,012	29,222	30,262	31,269
Sales Tax Measure V	10,735	11,500	12,000	12,600	13,230
Other Taxes	2,750	2,415	2,842	3,204	3,269
Other Revenues	22,418	16,517	17,678	17,818	17,993
TOTAL REVENUES	**$87,111**	**$84,220**	**$89,856**	**$93,404**	**$96,757**
EXPENDITURES (in thousands)					
Personnel	$57,422	$58,016	$59,243	$60,600	$61,265
Purchased Services and Supplies	12,990	14,532	15,489	18,027	16,906
Utilities	1,807	1,940	2,168	2,299	2,437
Capital	192	0	0	0	0
Debt	1,238	1,276	1,121	1,133	1,144
TOTAL EXPENDITURES	**$73,649**	**$75,764**	**$78,021**	**$82,058**	**$81,751**
Transfers In	$27	$56	$60	$61	$61
Transfers Out	(9,424)	(2,338)	(2,361)	(2,385)	(2,409)
Transfers Out: Measure V Capital	(14,000)	(10,817)	(11,812)	(11,886)	(13,039)
TOTAL NET TRANSFERS IN/(OUT)	**($23,397)**	**($13,099)**	**($14,113)**	**($14,210)**	**($15,386)**
Total Change in Net Position	**($9,934)**	**($4,643)**	**($2,279)**	**($2,864)**	**($380)**
Prior Year Reserves	**$30,566**	**$20,631**	**$15,988**	**$13,710**	**$10,845**
Use of Reserves					
Non-Spendable Reserves	62	62	62	62	62
Contingency/Emergency Reserves (17%)*	12,520	12,880	13,264	13,950	13,898
Economic/Budget Stability Reserves (13%)*	9,574	9,849	10,143	10,668	10,628
Prior Year Carryover	420	0	0	0	0
Unassigned	(1,946)	(6,803)	(9,759)	(13,834)	(14,122)
Year End Reserves	**$20,631**	**$15,988**	**$13,710**	**$10,845**	**$10,465**

*Reserve policy amended by City Council in 2021.

General Fund Revenues

Taxes and other sources of revenue received by the City are listed in the table below, which presents the major revenues of the City's General Fund for the last two audited fiscal years, current fiscal year, proposed budget, and projected two year forecast.

GENERAL FUND REVENUE FORECAST (including Transfers In)

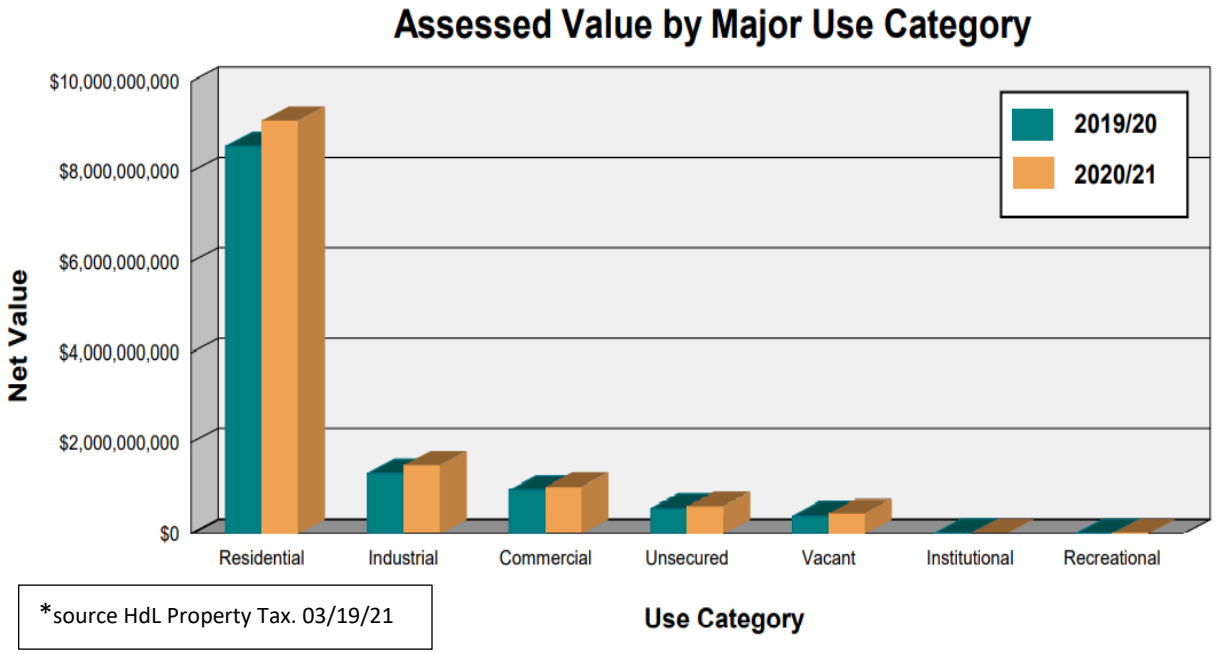
REVENUES (in thousands)	FY18/19 Actuals	FY19/20 Actuals	FY20/21 Amended	FY21/22 Proposed	FY22/23 Forecasted	FY23/24 Forecasted
PROPERTY TAX	$23,269	$24,905	$26,776	$28,114	$29,520	$30,996
SALES TAX	26,229	26,304	27,012	29,222	30,262	31,269
SALES TAX (MEASURE V)	10,853	10,735	11,500	12,000	12,600	13,230
OTHER TAXES	2,572	2,298	1,962	2,370	2,709	2,749
SPECIAL ASSESSMENT	464	452	453	472	495	520
CHARGES FOR SERVICES	9,825	11,525	10,526	11,586	11,666	11,779
FINES AND FORFEITURES	209	180	134	135	136	138
LICENSES AND PERMITS	3,588	3,908	4,191	4,022	4,063	4,103
REVENUES FROM OTHER AGENCIES	1,476	1,630	942	1,034	1,044	1,045
USE OF MONEY	1,299	1,065	544	711	719	726
CONTRIBUTIONS	147	147	147	148	150	151
OTHER REVENUES	125	(1)	33	41	41	42
SALE OF REAL PROPERTY	2	33	-	-	-	-
CAPITAL CONTRIBUTIONS	-	3,930	-	-	-	-
TRANSFERS IN	1,250	27	56	60	61	61
TOTAL REVENUES	**$81,310**	**$87,138**	**$84,276**	**$89,916**	**$93,464**	**$96,818**



Combined, taxes comprise over 80% of the City's General Fund revenues ($72 million). Property Tax and Sales Tax contribute more than three-fourths (77%) of the total income for the General Fund and 95% of the total taxes. The next largest income is received through Charges for Services at 12% of the total General Fund revenue. All other revenues, including taxes from Business License Tax, Transient Occupancy Tax, and Franchise Fees, comprise the remaining 11% of the total revenues. The City's revenues are expected to continue to increase annually for the next several years; with an annual increase estimated at 5-6%. Although the City cannot foresee all economic trends, the City annually completes a ten-year forecast based upon historical collections, market trends and consumer demands. The City's revenues are diversified among Property Tax, Sales Tax, and other revenues. Sales Tax is the most responsive to the volatility changes of the economy, while Property Tax tends to lag by 18-24 months. This allows the City to adjust more gradually to changes such as an economic downturn.

Property Tax Revenues:

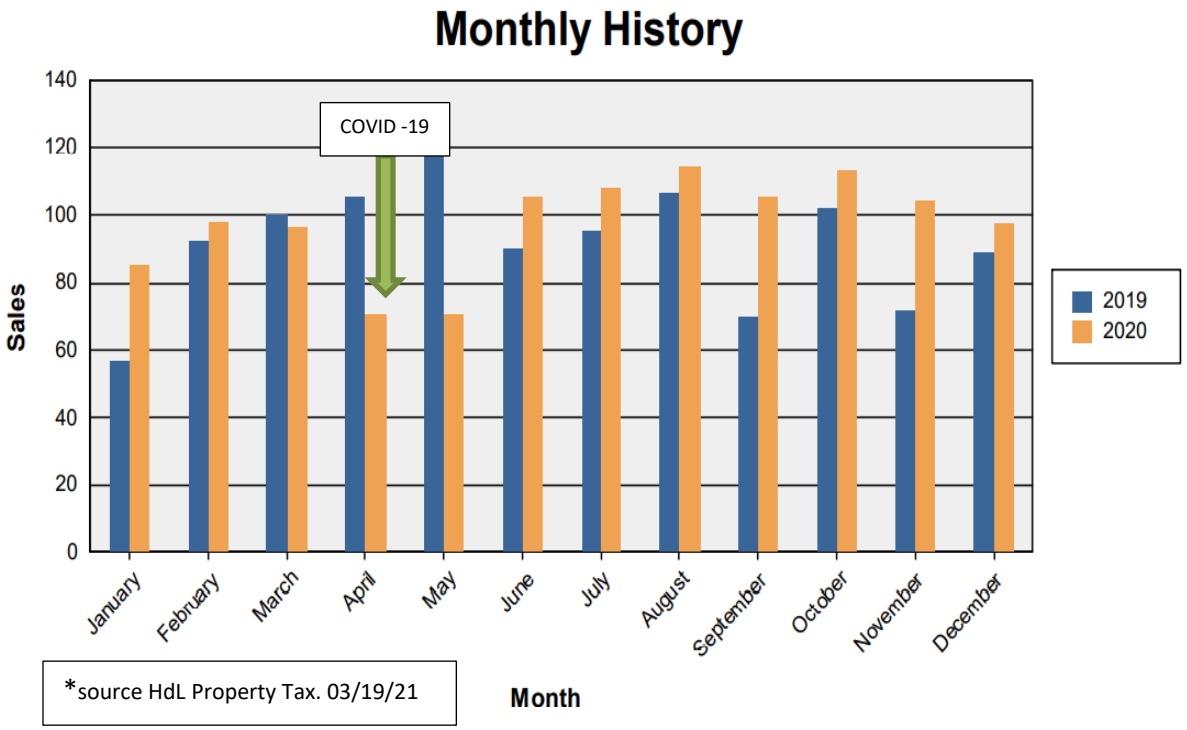
A property tax is an ad valorem tax in the value of property based on the assessed value of the property. The County annually assesses both secured and unsecured property, which has an annual inflation capped by the California State Constitution (Proposition 13). The annual assessment inflation may not exceed the consumer price index or 2%, whichever is lower. In 2021, this cap is 1.1%. Property values may be reassessed if substantial improvements are made, or if the property is sold or ownership transfer occurs. The majority of the City's revenue growth in property tax is a result of change in ownership of the property allowing for the assessment value to reflect the new market value. However, each following year, the assessed value is once again set to the 2% inflation cap.



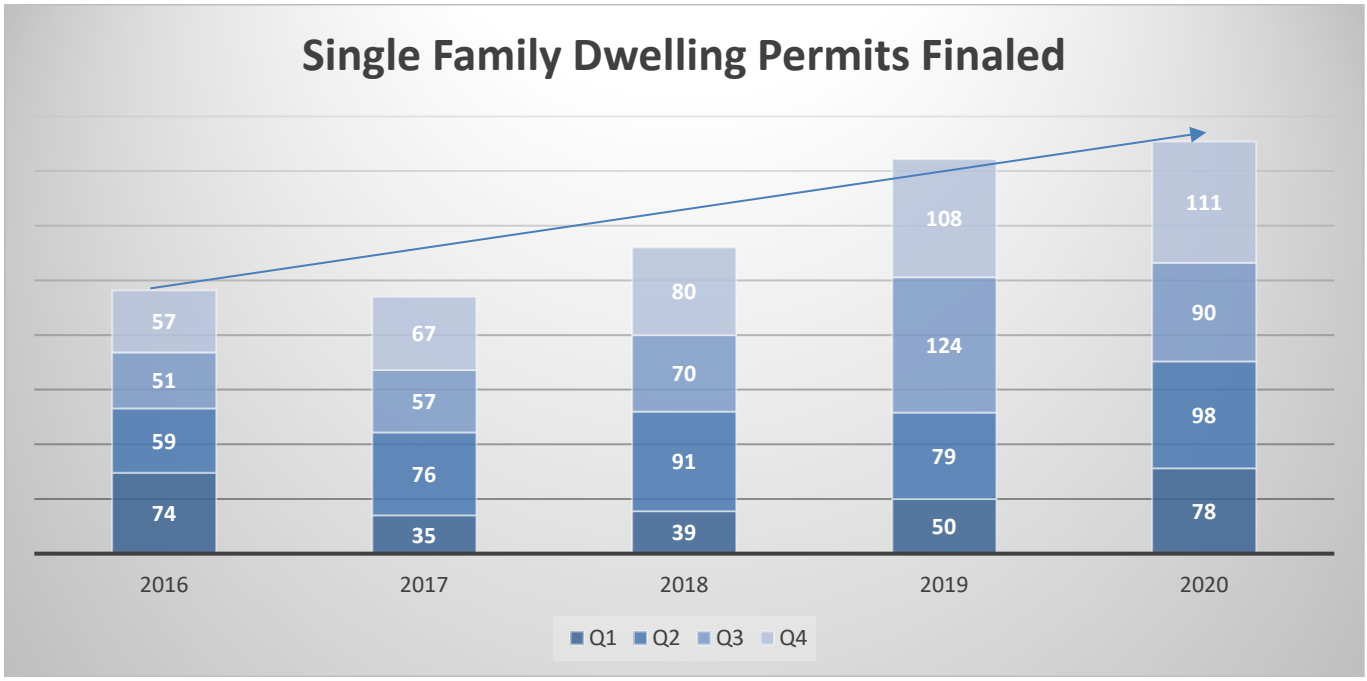
*source HdL Property Tax. 03/19/21

The City's revenue projections reflect the strong housing and employment markets in the Central Valley and Bay Area. Tracy has maintained housing prices above the County median. The economy is showing an increase in home sale activities and housing prices continue to rise year over year. Affordability and inventory constraints are the main contributor to increases in home prices over the last year. However, another emerging trend from the COVID-19 pandemic is the housing markets moving away from major cities and buying in the Central Valley where housing is more affordable and workers are allowed to telecommute. This continues to drive housing demand in Tracy.

TRACY HOME SALES



*source HdL Property Tax. 03/19/21



"The state's housing market has been by far the brightest spot of the 2020 economy. Single family home prices have surged compared to last year, growing by 15% percent from Q4 2019 to Q4 2020. In 2020, existing homes were around 8,500 units lower compared to 2019, with sales surging in the third and fourth quarters. This is an extraordinary feat given the performance of the state's labor market this year. The strong performance of the state's housing market is likely driven by three factors. First, typical homebuyers (higher income earners) have been less affected by the labor market fallout. Second, mortgage rates are at historically low levels, spurring purchasing activity. Third, inventories are near historic lows in many parts of the state. That said, the growth in home prices this year is unsustainable, and we should expect interest rates to tick up at some point in 2021."

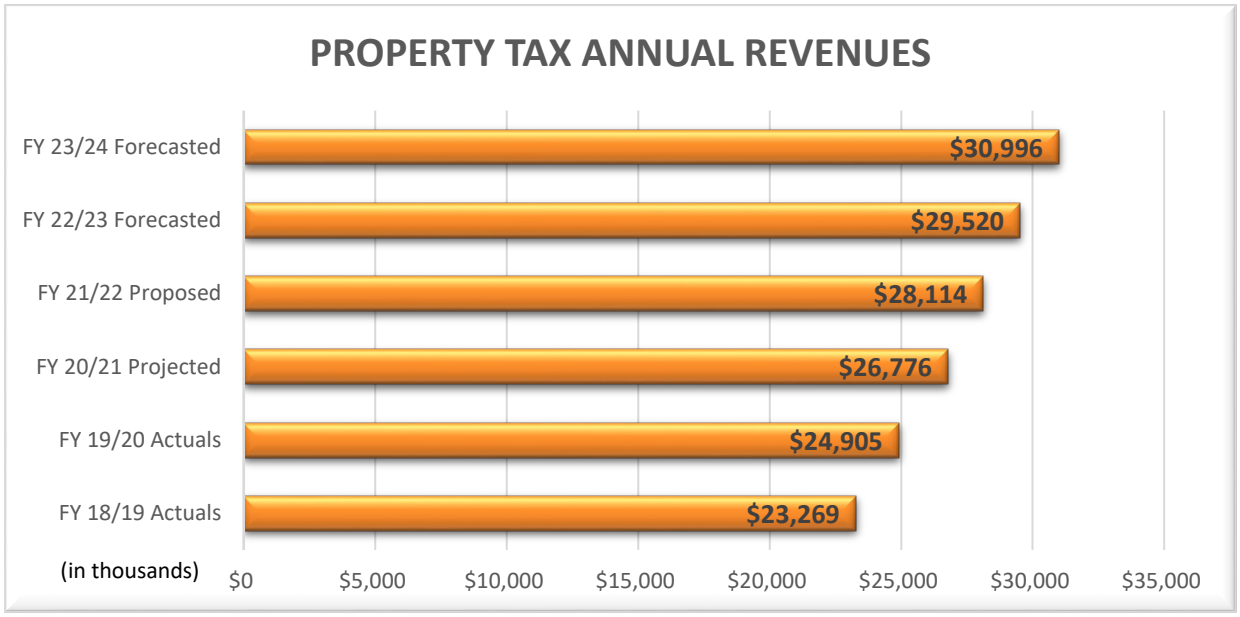
HdL Companies

Beacon Economics

Source: March 2021 Economic Update

Year over year trends show a reduction in existing home sales, but this is driven by sellers not by a lack of new home buyers. Despite fewer resale home sales, the City's "new-construction" residential units sold this year will provide a steady growth in property tax revenues for the next few years. Tracy's residential housing market is growing from new home construction. Development Services reports (see graph below) that City issued final dwelling permits have increased steadily over the last five years. Each year the City's developers continue to maximize the residential housing allotments under the City growth management ordinance, which will stimulate new home construction into the next few years, providing a strong property tax revenue growth annually for the next 3-5 years.

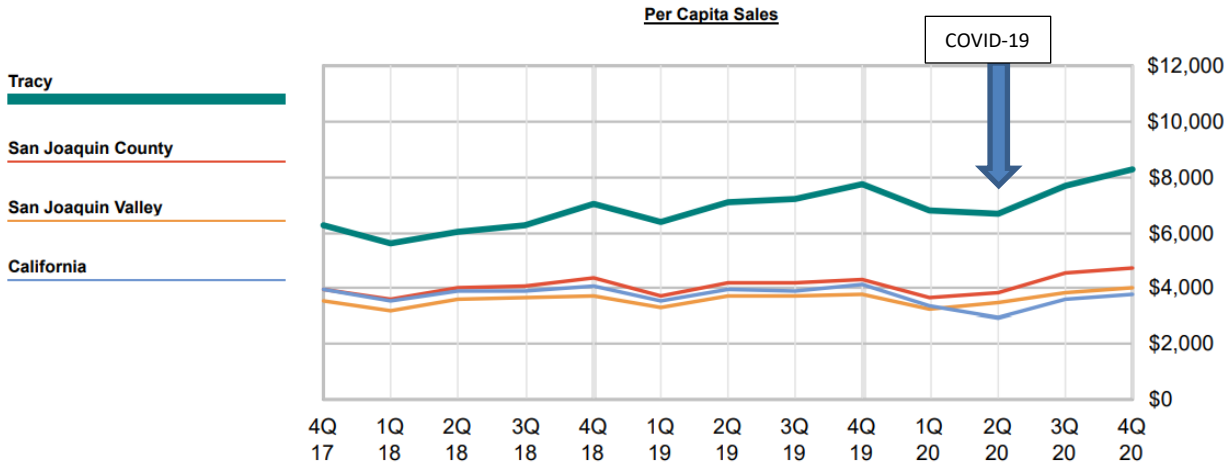
Property tax has been assumed to increase 5% annually through fiscal year 2023, then 3.5% annually through fiscal year 2025 and a 2% increase for each fiscal year remaining in the 10 year forecast. This pattern reflects the current anticipated new housing construction within Tracy. Over time it is expected to slow and as a result future year growth assumptions will rely solely on Proposition 13 growth factors, currently capped at 2% annually.



Sales and Use Tax

Sales taxes represent the largest source of tax revenue to the City at approximately 46% of (2021-22) General Fund total revenues. The City has two revenue streams for Sales Tax: Bradley Burns, the State's Sales Tax and Measure V, the City's local Sales Tax. The City receives a portion of the statewide Sales Tax, 1 cent per dollar transaction. In addition, the Tracy residents approved an additional ½ cent Sales Tax for goods bought or delivered to Tracy, which went into effect in April 2017 and will sunset in March 2037. The local sales tax revenue is generating sufficient resources to allow the City to set aside funding for a new aquatic center, multi-generational gymnasium, and additional improvements to Legacy Fields Sports Complex. These facilities are expected to have a regional draw further improving future sales tax revenues within the City.

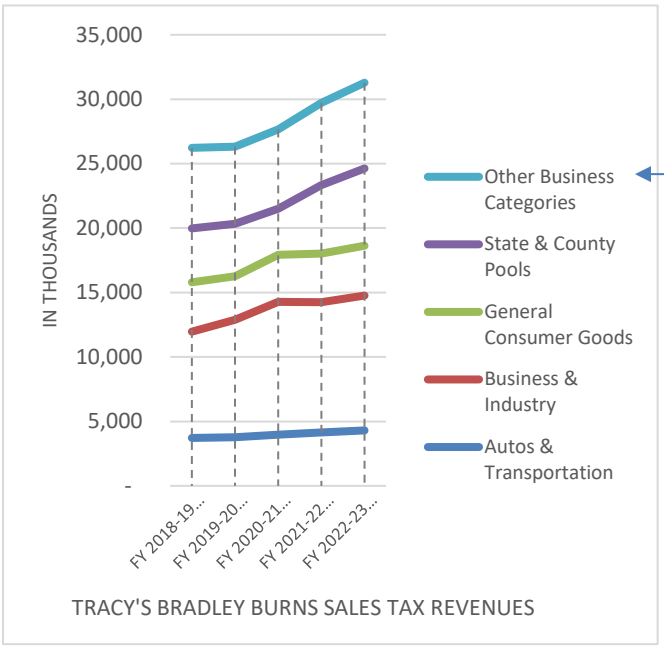
In California, sales and use tax is imposed on the retail price of any tangible personal property and the use or storage of such property when sales tax is not paid. It is imposed on retailers for the privilege of selling tangible personal property and on the purchaser of a product from out-of-State and delivered for storage, use or consumption in California. Excluded from this tax are resale and wholesale sales, as well as the cost of professional services and food for home consumption. The use tax is charged to a purchaser, at the same rate as the sales tax, where sales taxes were not collected (such as out-of-State or out-of-Country purchases) and the items were purchased to be used in California.

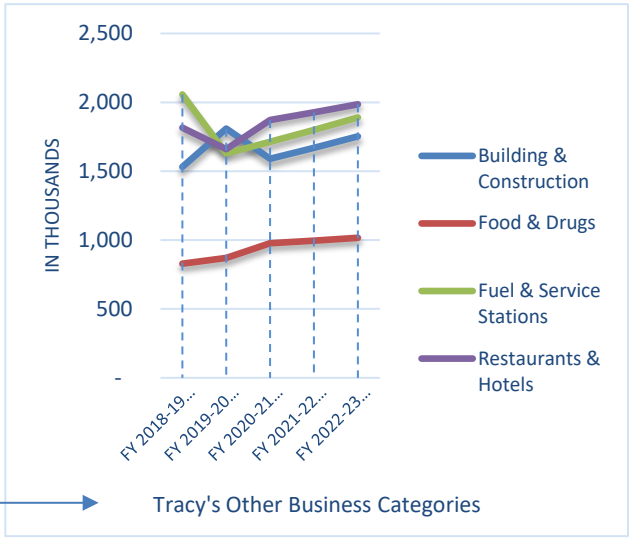


*source HdL; not adjusted for Sales Tax rebates

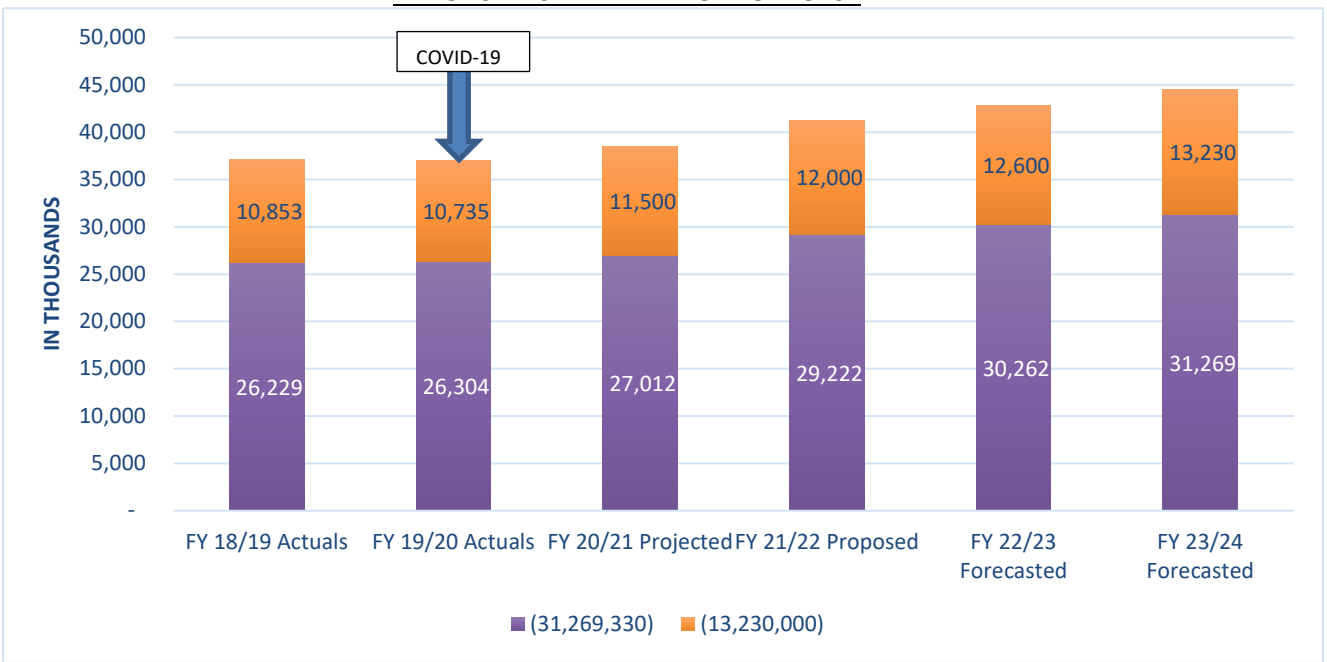
Since the onset of the pandemic, the State of California has been in various levels of closures depending on the surge and decline in COVID-19 positivity and impacts on the health care system. This was initially thought to have a detrimental impact on City Sales Tax and a 20% decrease was originally projected for FY 2020-21. But the results of the March-June 2020 shut down did not see a slowing in retail sales. Instead, several trends emerged to put the City on track to have a 3% growth in Sales Tax in FY 2020-21. These were home improvement purchasing trends, large vehicle and truck sales, and pent up demands for household commodities that kept many retail stores shelves empty for months. By the summer and fall months of 2020, restaurants had adjusted to take out platforms and outdoor dining. Consumers turned to on-line sales that resulted in a 10% growth in County/State Pool allocations.

Looking to FY 2021-22, economic recovery appears to be surging ahead. Forecasts expect at least a 6% growth over FY 2020-21; plus, the City has new sales tax producers coming that will likely boost revenues up another 1%. Local Sales Tax-Measure V is expected to see similar growth. The major business category for Measure V Local Sale Tax is "General Consumer Goods" which constitutes 50% of the tax generation; whereas, the City's Bradley Burns Sales Tax is more reliant on Business and Industry (44%) as the primary business category.



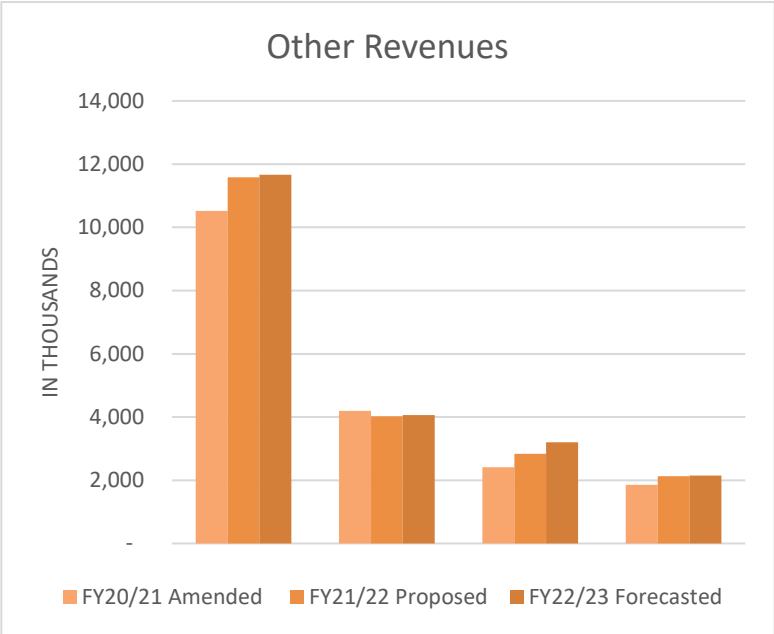


TRACY SALES TAX REVENUE FORECAST



Other Taxes and Revenues:

Other revenues are a composite of other taxes, Revenues from Other Agencies, Charges for Services, Investment Incomes, and constitute approximately 23% of the Total Revenues including Transfers In. Staff is projecting a moderate growth of 2-3% for all other revenues. Other Tax Revenues are comprised of Special Assessment Tax, Franchise Fees, Business License Tax, and Transient Occupancy Tax (TOT) and constitute 3% of the Total Revenues. The FY 2020-21 amended Charges for Services revenues, the fourth largest revenue in the General Fund, 12%, were $1 million below normal due to the City reduced services for Recreation programming as a result of the COVID-19 restrictions. This revenue is expected to recover with the City preparing to reopen Recreation programming this summer.



(continue to next page)

PROPOSITION 4 ANALYSIS

Article XIIIB of the California Constitution, informally known as the Gann Appropriations Limit, limits the total amount of appropriations in any fiscal year derived from the "proceeds of taxes." Proceeds of taxes are allowed to be spent on several types of appropriations that do not count against the limit, including voter approved debt, the costs of complying with court orders and federal mandates, and expenditures for qualified capital outlays. Additionally, appropriations from sources other than taxes are not controlled by the limit.

California voters approved Proposition 4 in 1979 and Proposition 111 in 1990, which amended the State Constitution, and require that the annual City budget include a calculation of the Appropriations Limit, sometimes referred to as the Gann Limit. The legislation imposes a restriction on the amount of government revenue which may be appropriated in any fiscal year.

The appropriation limit for fiscal year 2021/2022 is $79,082,780. This is a 8.8% increase from the prior fiscal year.

Appropriation Limit

	FY2020/2021	FY2021/2022
Prior year limit	$ 69,054,298	$ 72,717,205
City Population	1.0142	1.0286
Per capita income	1.0383	1.0573
Total Appropriation Limit	$ 72,717,205	$ 79,082,780

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GENERAL FUND EXPENSES

Expenditures and prior enhancements to service levels have outpaced revenue in the previous budget cycles. The result is a projected deficit beginning in FY 2019-20; which was exasperated by fiscal impacts of COVID-19 in FY 2020-21. This deficit is expected to linger throughout the 10 year forecast, absent taking specific measures to reduce expenditures. In response, the City implemented some budget correction measures that are expected to continue for the next two budget cycles. This will allow the modest growth in revenues to catch up with current service levels and reverse the deficit trend facing the City's General Fund. These measures included a temporary hiring freeze of select vacant positions (approximately 15 FTE), limited purchases and services spending, delay replacement of vehicle and equipment funding, and use of the City's Economic/Budget Stabilization reserves. The City's General Fund restored the MCYSN's funding and initiated funding for homelessness services and facilities.

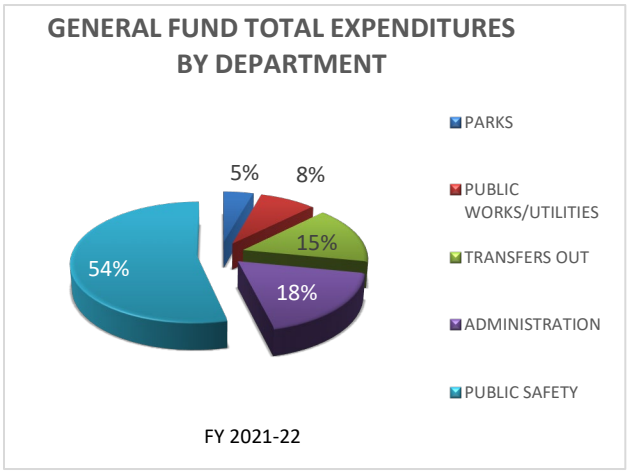
GENERAL FUND EXPENDITURE FORECAST

	FY18/19 Actuals	FY19/20 Actuals	FY20/21 Amended	FY21/22 Proposed	FY22/23 Forecasted	FY23/24 Forecasted
PERSONNEL	$ 53,872	$ 57,422	$ 58,016	$ 59,243	$ 60,600	$ 61,265
PURCHASED SERVICES AND SUPPLIES	13,924	12,990	14,532	15,489	18,027	16,906
UTILITIES	1,693	1,807	1,940	2,168	2,299	2,437
CAPITAL	168	192	0	0	0	0
DEBT	1,238	1,238	1,276	1,121	1,133	1,144
TRANSFERS OUT	3,752	9,424	2,338	2,361	2,385	2,409
TRANSFERS OUT: MEASURE V CAPITAL	14,421	14,000	10,817	11,812	11,886	13,039
TOTAL EXPENDITURES	**$ 89,070**	**$ 97,072**	**$ 88,919**	**$ 92,195**	**$ 96,328**	**$ 97,199**



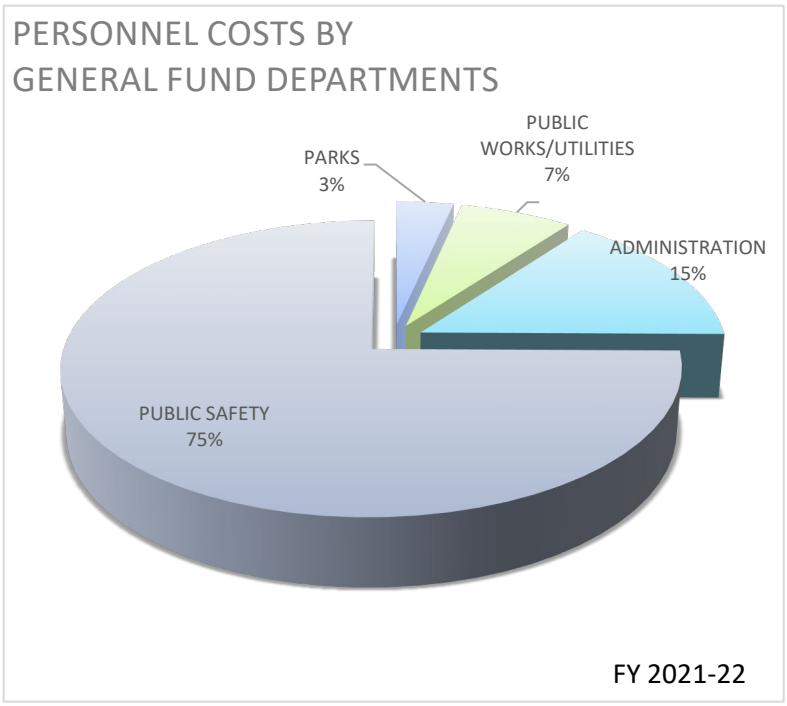
GENERAL FUND PROPOSED FY 2021-22 EXPENDITURE

	PERSONNEL	NON-PERSONNEL	TOTAL EXPENDITURES
PARKS	$ 2,042,608	$ 2,025,198	$ 4,067,806
PUBLIC WORKS /UTILITIES	4,021,959	3,595,119	7,617,078
TRANSFERS OUT	0	14,173,464	14,173,464
ADMINISTRATION	8,844,451	7,858,049	16,702,500
PUBLIC SAFETY	44,333,492	5,300,248	49,633,740
TOTAL EXPENDITURES	**$59,242,510**	**$32,952,078**	**$92,194,588**



Personnel:

General Fund personnel expenses are primarily associated with labor costs, approximately $40.7 million (or 69%) and benefit costs, approximately $18.5 (or 31%). The City's General Fund expense funds services of Police, Fire, Parks and Recreation, Public Works, Community Development, and Administration. The City employs 346 full time equivalent (FTE) in the General Fund over the nine departments. This includes 93 FTE funded under a Fire Joint Powers Agreement with Tracy Rural Fire District. Public Safety, Police and Fire, constitute $44.3 million, (or 75%) of the General Fund's personnel costs. Police personnel expenses make up 85% of the Police Department's budget and Fire personnel expenses about 93% of the total Fire Department budget.

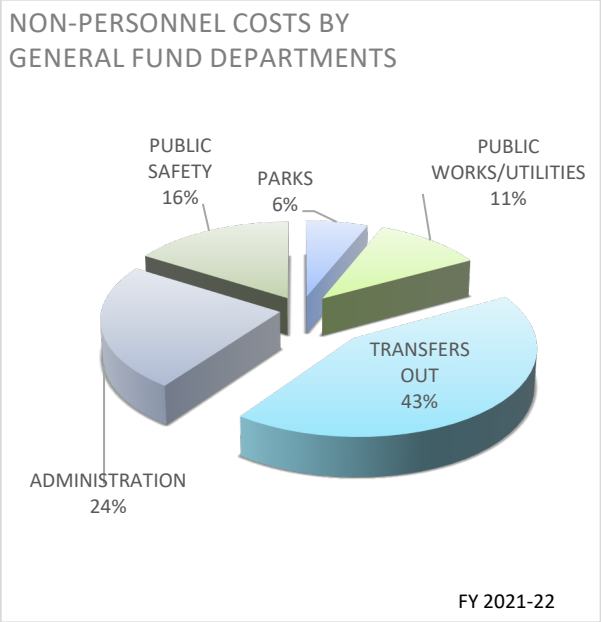


At the close of FY 2020-21, the City will have all bargaining contracts expiring on June 30, 2021. The prior contracts had a three-year term that provided an annual 4% salary Cost of Living Adjustments each July 1 of the contracts. They had a projected increase to personnel costs of approximately $2-2.5 million annually. The City mitigated most of these fiscal impacts of the last increase by initiating a temporary hiring freeze of select positions that began in FY 2020-21. This hiring freeze is expected to remain in place through FY 2022-23. The City's General fund personnel expenses would increase over $2.2 million (approximately 4.5% of the personnel costs) if the 15 FTE were to be restored.

NON-PERSONNEL:

The category of Purchased Services and Supplies and Utilities is comprised of contract services, materials and supplies, and various overhead charges. The category of Debt and Capital are covered in other budget chapters. The General Fund has subsidies to Airport and Development Services reported in Transfers Out.

A major change in the proposed General Fund's FY 2021-22 Operating Budget is non-personnel expenditures, which reflects an increase of $800,000. This adjustment includes new funding for facility management and homelessness support services for the Arbor Road Temporary Emergency Housing Facility and restored funding for MCYSN suspended as part of a FY 2020-21 budget balancing solution.



- **Facility Management and Homelessness Support Services**. At its January 2021 Goal-Setting Workshop, Council identified homelessness as one of its top priorities. Currently, the City is planning for the design and construction of a temporary emergency housing facility, which will need ongoing facility management and support services. Staff estimates the program will cost approximately $1.2 million annually; approximately six months of funding ($600,000) has been included in the FY 2021-22 budget.

- **Restore Prior Year Budget Solutions**. The FY 2020-21 budget was adopted with a variety of budget solutions. Two of these budget solutions temporarily suspended funding of the MCYSN community grants and contributions to vehicle and equipment replacement. The City Manager proposes to restore funding for the MCYSN community grants which is approximately $200,000

In addition, the City Council reaffirmed its commitment to set aside Measure V Sales Tax to fund amenities. These are reflected in Transfers Out: Measure V Capital

- **Current Council Direction on Measure V Projects.** In the past, Council has conducted public workshops to review and prioritize the proposed five-year capital improvement projects and programs funded from the General Fund. In 2018, staff reconciled a decade worth of funded and unfunded projects previously listed in CIPs Outlook reports. This process revealed that most projects listed in the plan did not have funding nor were they anticipated to have funding in the future years. Projects in the General Fund were notably among the largest group of unfunded projects as there is no ongoing, dedicated funding source to support General Fund capital projects. Prior to 2018, the City Council had a General Fund CIP budget of approximately $2 million annually that was used to fund the various capital projects. As the City's reserves were drawn down for these projects, the City found it difficult to maintain this commitment from the General Fund. The City Council had considered using a portion of Measure V funding to maintain the capital funding; however, in 2019, the City Council prioritized the three major amenities for Measure V and directed staff to develop a pay-go funding approach to fund the amenities; Legacy Fields, Aquatics Center, and Multi-Generational Gymnasium. The General Fund capital projects remain unfunded in the CIP Outlook.

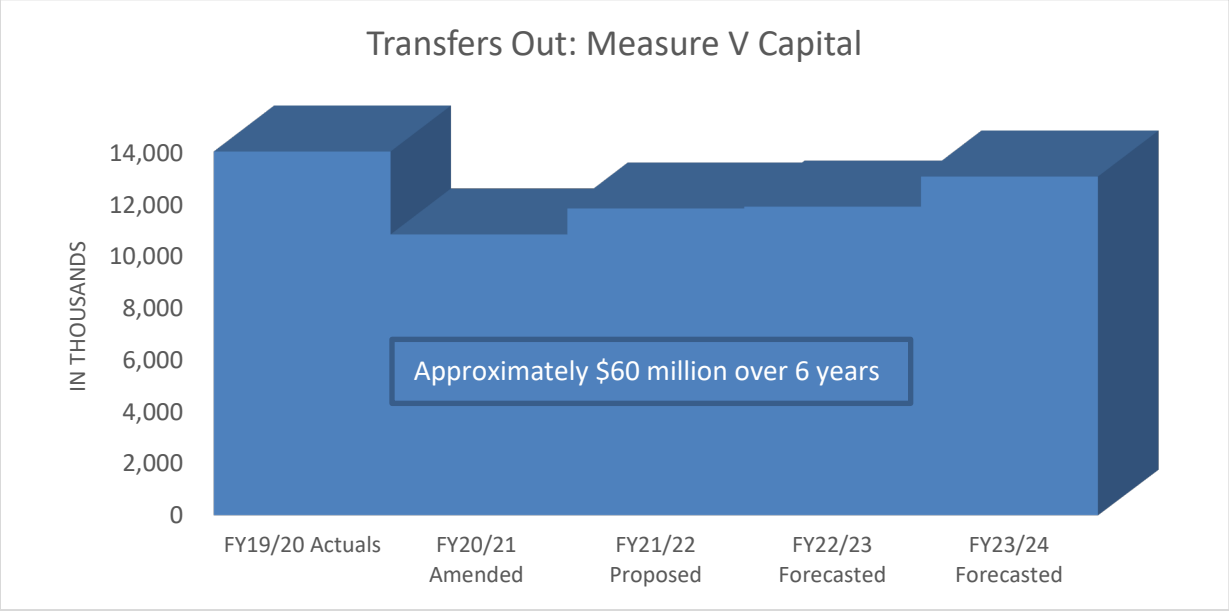
As part of the annual CIP Outlook discussion, the City Council held a workshop to review the Measure V priorities and project timelines of the amenities based on available funding. At the March 23, 2021 City Council Workshop, the City Council gave direction to staff on project delivery for the Measure V major amenity projects. Below is a summary of the direction received from the City Council at the meeting:

- ✓ *Legacy Fields*: Per Council direction, staff will proceed with construction of Phase 1E including optional improvements for $17.1 million using debt financing funded by Measure V. Bid documents will be finalized and the project will be put out to bid in Fall 2021. Construction is estimated to take 12-18 months with completion tentatively set for Fall/Winter 2022.

- ✓ *Multi-Generational Recreational Center*: Per Council direction, staff will proceed with a phased approach to constructing this project with Phase 1 completion goal of 2023. Phase 1 includes $3.9 million of committed Measure V funding, $4 million in public benefit money from Prologis, and another $17 million from Measure V (estimated budget for Phase 1 is $24.9 million). Staff will return to the City Council with a request for policy direction on the project including site selection and scope of Phase 1. After the scope and site location are determined, staff will issue a Request for Proposals (RFP) to begin design of Phase 1. The remaining phase(s) will be on hold until additional funding is available after 2028 and the completion of the Aquatics Center; estimated total not to exceed budget of $40 million.

- ✓ *Aquatics Center*: Per Council direction, staff will continue to work on a phased approach to the Aquatics Center project with Surland Communities, LLC (Developer). Depending on Council direction, a phasing plan could see the Phase 1 open by 2026. Phase 2 would be completed by 2028 for the total not to exceed budget of $65 million, including contingency and soft costs; funded from $55 million of Measure V and $10 million in developer contribution.

- ✓ *Nature Park*: The Nature Park is now considered a Measure V major amenity project as a "future funded" in 2030 outside the five year CIP Outlook.



GENERAL FUND RESERVES

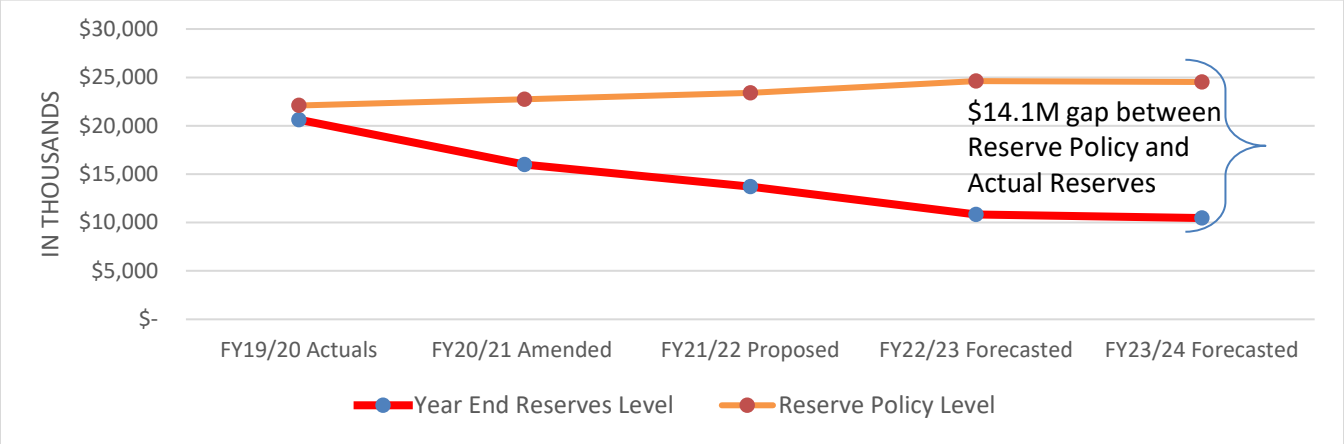
As part of the City Council Adopted Budget Principles, the City Council amended the General Fund Reserve Policy. The City Council did not amend the total reserves of 30%; however, to match best practices of Government Finance Officer, the Catastrophic Reserves was amended to two months of operations (or 17%); this was a decrease of 3% from the prior policy. The City Council desired to maintain the full 30% policy that was established in FY 2014-15. As a result, the Economic/Budget Stabilization reserves was increased by the 3% and is now 13%. As part of the City Council Policy, the City Council may use the Economic/Budget Stabilization reserves as one-time funding to offset a deficit budget. However, the policy requires a super majority vote of the City Council and a resolution providing the timeline to repay the reserves.

The City Council, as part of the amended FY 2019-20 and adopted FY 2020-21 budget, approved Resolution 2020-107 allowing for the use of the Economic/Budget Stabilization Reserves to provide gap funding for the General Fund deficit. At adoption of the FY 2020-21 budget, the City Council approved a second resolution, Resolution 2020-105, allowing for borrowing of Measure V Capital for the General Fund deficit in FY 2020-21. Better than expected revenues materialized, despite the economic impacts of the pandemic. As a result, the City will not use Measure V Capital funds as a funding source for the General Fund Operating Budget in FY 2020-21. The tables below represent the estimated fund reserves at fiscal year ending 2020-2024.

GENERAL FUND ESTIMATED FUND RESERVES

(in thousands)	FY19/20 Actuals	FY20/21 Amended	FY21/22 Proposed	FY22/23 Forecasted	FY23/24 Forecasted
Total Change in Net Position	**($9,934)**	**($4,643)**	**($2,279)**	**($2,864)**	**($380)**
Prior Year Reserves	**$30,566**	**$20,631**	**$15,988**	**$13,710**	**$10,845**
Use of Reserves					
Non-Spendable Reserves	62	62	62	62	62
Contingency/Emergency Reserves (17%)*	12,520	12,880	13,264	13,950	13,898
Economic/Budget Stabilization Reserves (13%)*	9,574	9,849	10,143	10,668	10,628
Prior Year Carryover	420	0	0	0	0
Unassigned	(1,946)	(6,803)	(9,759)	(13,834)	(14,122)
Year End Reserves	**$20,631**	**$15,988**	**$13,710**	**$10,845**	**$10,465**

*Reserve Policy amended 2021.



SPECIAL REVENUE FUNDS

Development Services Funds:

Development Services Funds (205, 206, 207) provides planning and development services for the City including advance and current planning, building plan review and inspections, code enforcement, engineering services, and economic development. With heightened development from Tracy Hills, Ellis, and Cordes Ranch as well as delivering Capital Improvement Projects, the fund is positioned for increased expenditures that are funded through developer fees and reimbursements. While the Department output has been steady or increasing over the past few years, revenues for services and permits fluctuates with building cycles creating variations between years. As a result, the General Fund subsidy has also varied year to year, but averages about $2 million or 20% of operations. The Department is currently evaluating and updating their fees to improve cost recovery of their services.

Special Revenue Fund: Development Services Projected Operating Budget

(including Transfers In/Out)

REVENUES (in thousands)	FY 19/20 Actuals	FY 20/21 Projected	FY 21/22 Proposed	FY 22/23 Forecasted	FY 23/24 Forecasted
CHARGES FOR SERVICES	$ 1,493	$ 2,485	$ 2,610	$ 2,636	$ 2,662
LICENSES AND PERMITS	69	112	118	119	120
REVENUES FROM OTHER AGENCIES	20	41	43	43	44
USE OF MONEY	-	-	-	-	-
OTHER REVENUES	-	-	-	-	-
TRANSFERS IN	4,180	2,088	2,109	2,130	2,151
TOTAL REVENUES	**$ 5,762**	**$ 4,726**	**$ 4,879**	**$ 4,928**	**$ 4,977**
EXPENDITURES (in thousands)					
PERSONNEL	$ 2,740	$ 2,951	$ 3,295	$ 3,328	$ 3,361
PURCHASED SERVICES AND SUPPLIES	2,274	2,412	2,353	2,390	2,432
UTILITIES	3	4	0	0	0
CAPITAL	39	-	-	-	-
TOTAL EXPENDITURES	**$ 5,055**	**$ 5,366**	**$ 5,648**	**$ 5,719**	**$ 5,793**
TOTAL CHANGE IN FUND BALANCE	**$ 707**	**$ (640)**	**$ (769)**	**$ (791)**	**$ (816)**

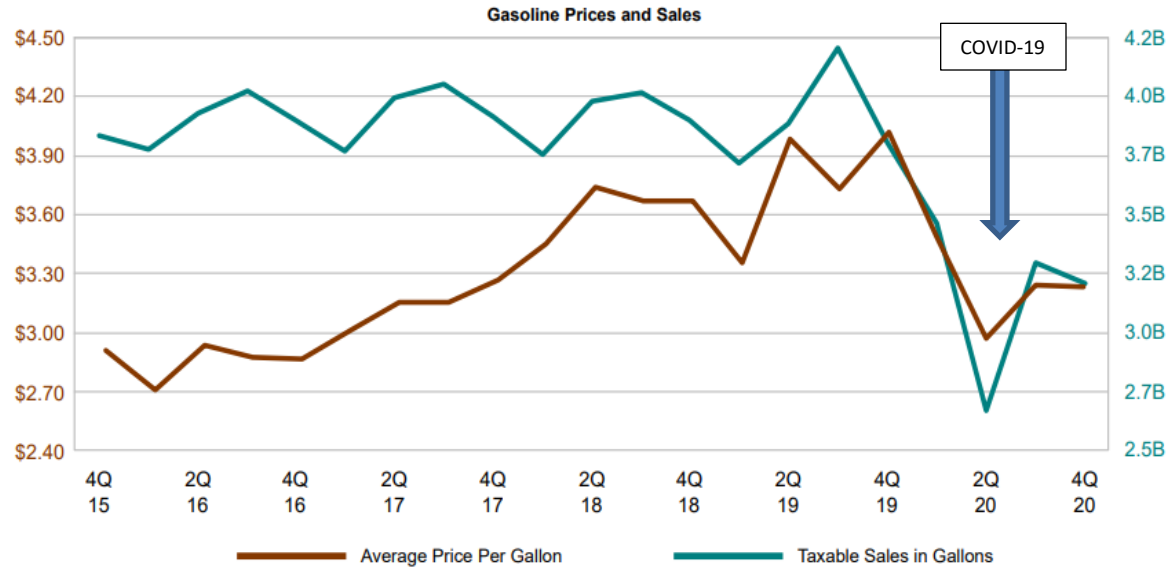
Gas Tax Funds:

Gas Tax Funds 245, 246, 247 - These funds are used to account for the City's share of the motor vehicle gas tax imposed under the provisions of the Street and Highway Code of the State of California under Sections 325, 2105, 2106, 2107, and 2107.5, which are legally restricted for the acquisition, construction, improvement and maintenance of public streets. Revenue sources for this fund include the City's share of the State gas tax. The Governor recently signed SB1, the Road Repair and Accountability Act of 2017 that will provide substantial new and more stable funding for state and local streets and roads. The City's Gas Tax revenues is projected to increase 3-5%. However, there is a possibility it may decrease reflecting the lower gas prices and overall sales experienced in 2020. The full impacts on Gas Tax are still being calculated at the State and can have a 12-24 month lag time before it impacts the City's revenues. The dip in gas prices and sales was temporary and is expected to rebound past the 2019 levels as the economy begins to reopen in 2021. Typically, the City accumulated reserves to allow for larger street maintenance and repair work contracts to take advantage of economy of scale in capital projects. This may allow for operational costs and small maintenance to occur even if a future contraction in revenue occurs.

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GASOLINE AND DIESEL TRENDS

Gasoline Data	4Q18	1Q19	2Q19	3Q19	4Q19	1Q20	2Q20	3Q20	4Q20
Average Price Per Gallon	$3.67	$3.36	$3.99	$3.74	$4.02	$3.49	$2.97	$3.25	$3.24
% Change from Prior Quarter	-0.05%	-8.48%	18.60%	-6.31%	7.70%	-13.30%	-14.81%	9.23%	-0.25%
% Change from Same Qtr Prior Year	12.26%	-2.59%	6.70%	1.65%	9.53%	3.76%	-25.47%	-13.10%	-19.52%



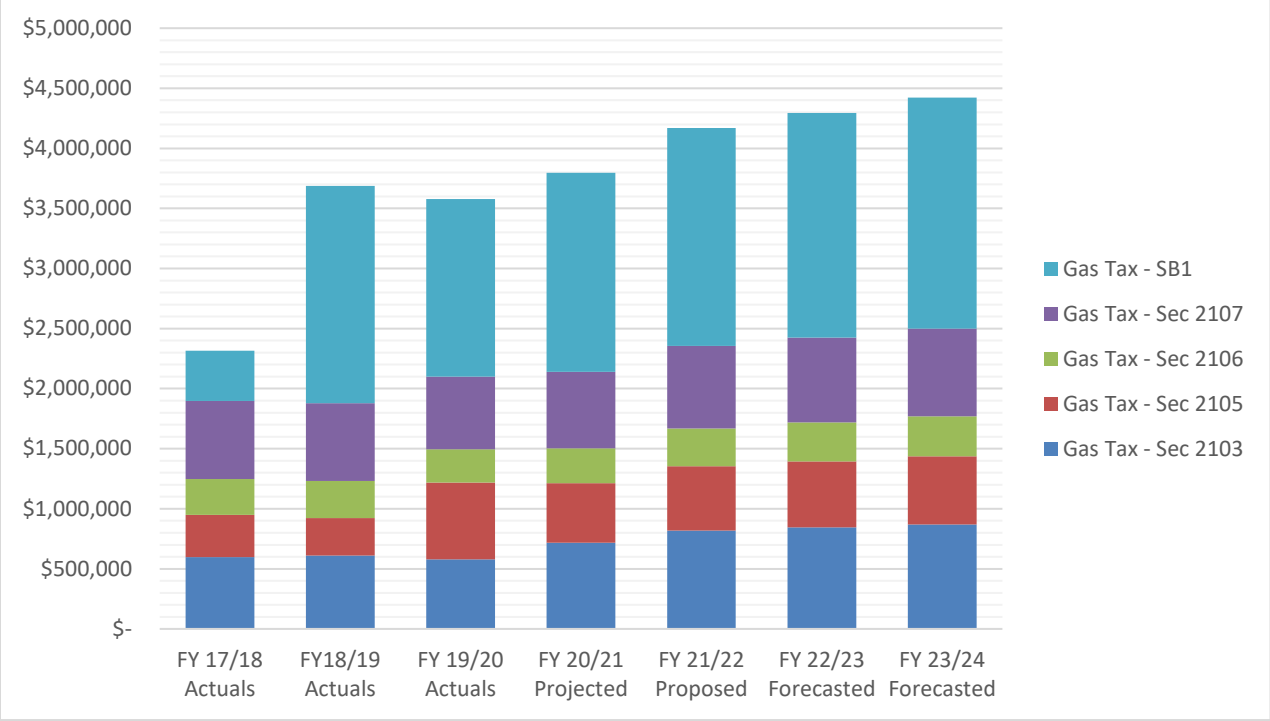
Special Revenue Fund: Gas Tax Funds Projected Operating and Capital Budget

(including Transfers In/Out)

REVENUES (in thousands)	FY 19/20 Actuals	FY 20/21 Projected	FY 21/22 Proposed	FY 22/23 Forecasted	FY 23/24 Forecasted
CONTRIBUTIONS	$ 47	$ -	$ -	$ -	$ -
REVENUES FROM OTHER AGENCIES	3,577	3,796	4,169	4,294	4,423
USE OF MONEY	150	-	-	-	-
OTHER REVENUES	-	-	-	-	-
TRANSFERS IN	110	-	-	-	-
TOTAL REVENUES	**$ 3,885**	**$ 3,796**	**$ 4,169**	**$ 4,294**	**$ 4,423**
EXPENDITURES (in thousands)					
PERSONNEL	$ 795	$ 775	$ 651	$ 657	$ 664
PURCHASED SERVICES AND SUPPLIES	256	338	358	369	380
UTILITIES	510	530	551	584	619
CAPITAL	568	3,691	3,454	-	-
TRANSFERS OUT	-				
TOTAL EXPENDITURES	**$ 2,129**	**$ 5,333**	**$ 5,013**	**$ 1,609**	**$ 1,663**
TOTAL CHANGE IN FUND BALANCE	**$ 1,756**	**$ (1,538)**	**$ (844)**	**$ 2,685**	**$ 2,760**

Special Revenue Fund: Gas Tax Funds Projected Revenues

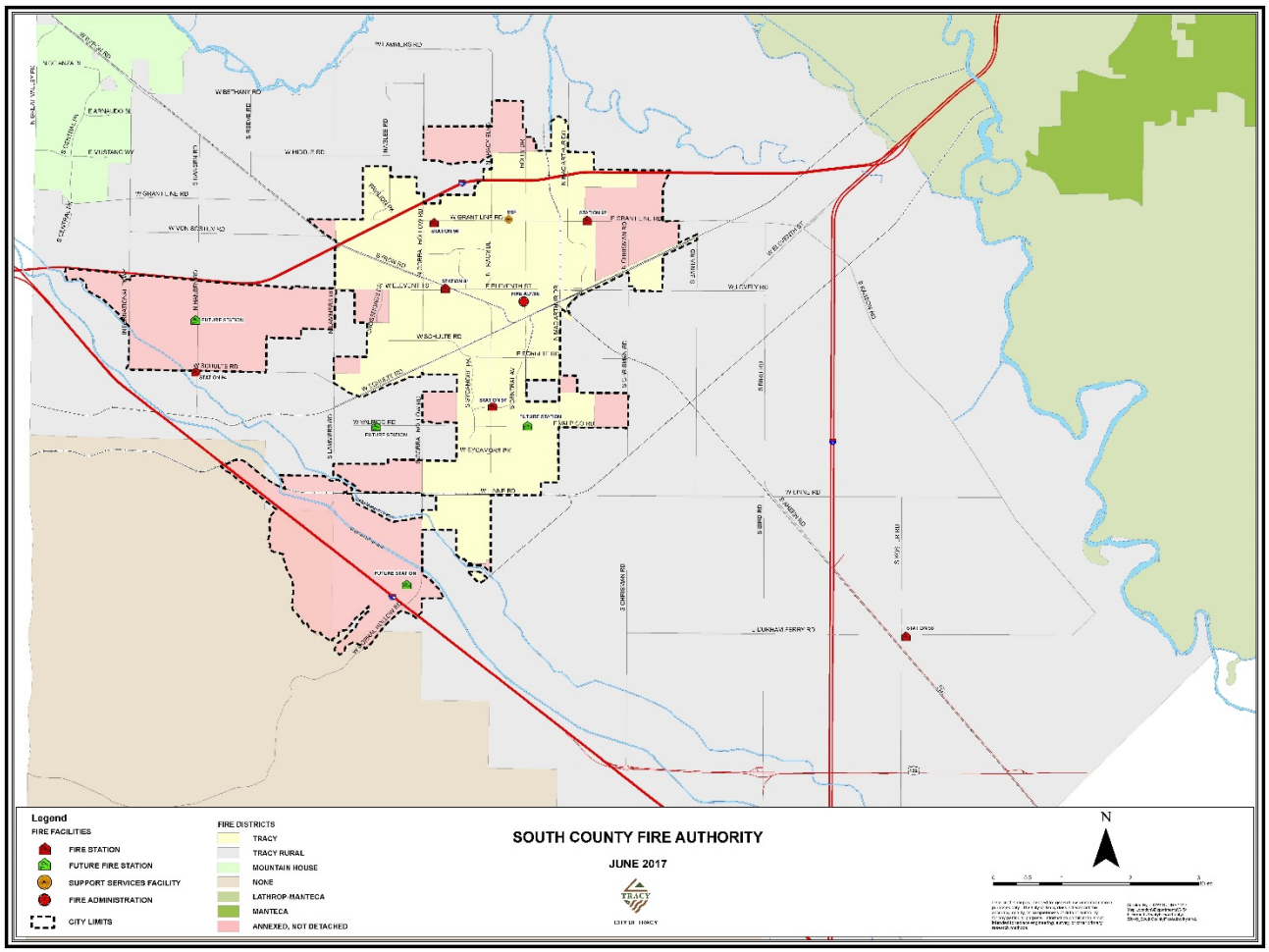
	FY 17/18 Actuals	FY18/19 Actuals	FY 19/20 Actuals	FY 20/21 Projected	FY 21/22 Proposed	FY 22/23 Forecasted	FY 23/24 Forecasted
Gas Tax - Sec 2103	596,556	612,176	577,708	718,155	819,787	844,381	869,712
Gas Tax - Sec 2105	353,948	309,196	639,890	495,002	534,177	550,202	566,708
Gas Tax - Sec 2106	297,376	310,037	276,786	290,782	313,913	323,330	333,030
Gas Tax - Sec 2107	649,409	646,119	605,233	635,807	687,281	707,899	729,136
Gas Tax - SB1	418,971	1,810,859	1,477,568	1,656,107	1,813,747	1,868,159	1,924,204



South County Fire Authority Fund:

The South County Fire Authority (Fund 211) is a joint power's agreement (JPA) between the City of Tracy and the Tracy Rural Fire District. The City will be opening a new fire station in FY 2021-22 within the Tracy Hills subdivision. The Personnel costs increased in FY 2021-22 to reflect the full staffing of the department and the new staffing at the fire station. The opening of the fire station also triggers a change in the JPA cost sharing agreement between the City and Tracy Rural Fire District. The costs are shared between the two agencies based upon number of stations and companies at each station. Costs borne by the City are reflected in the City's General Fund (shown in the table below as Transfers from City) and costs borne by Tracy Rural are reflected in this Special Revenue fund. This fund was established to account for the revenues and liabilities of the Authority.

At the start of the FY 2021-22 budget, the City is the employer of record for the Authority and the fund is treated as a blended component unit for financial reporting. The JPA is currently analyzing a transition to stand alone which will transfer the personnel fully to the JPA. The City and Tracy Rural are discussing the long term liabilities related to personnel costs and how these costs will be covered after the personnel transition occurs.



Current cost allocation between the City and Tracy Rural:

		Staffing per shift FY 18/19	FY 19/20	FY 20/21	FY 21/22	FY 22/23	FY 23/24	FY 24/25
91	City	6	6	6	6	6	6	6
92	City	3	3	3	3	3	3	3
93	TR	3	3	3	3	3	3	3
94	TR	3	3	3	3	3	3	3
95	Future TR				3	3	3	3
96	City	3	3	3	3	3	3	3
97	City	3	3	3	3	3	3	3
99	Future TR						3	3
	TOTAL	21	21	21	24	24	27	27
City		15	15	15	15	15	15	15
Tracy Rural		6	6	6	9	9	12	12
City		71.4%	71.4%	71.4%	62.5%	62.5%	55.6%	55.6%
Tracy Rural		28.6%	28.6%	28.6%	37.5%	37.5%	44.4%	44.4%

*Staffing per shift (3 person crew, ABC 24 hour shifts); Station 91 only station with two crews per shift.

**Divide the total number of daily staffed positions within each member agency by the total number of daily staffed positions within the Authority.

Special Revenue Fund: South County Fire Projected Operating Budget

(including Transfers In/Out)

REVENUES (in thousands)	FY 19/20 Actuals	FY 20/21 Projected	FY 21/22 Proposed	FY 22/23 Forecasted	FY 23/24 Forecasted
CHARGES FOR SERVICES	$ 492	$ 1,382	$ 500	$ 500	$ 500
OTHER OPERATING REVENUES	-	-	-	-	-
REVENUES FROM TRACY RURAL	5,956	5,833	8,919	9,187	9,463
REVENUES FROM CITY	15,020	15,100	14,866	15,312	15,771
TOTAL REVENUES	**$ 21,468**	**$ 22,316**	**$ 24,285**	**$ 24,999**	**$ 25,734**
EXPENDITURES (in thousands)					
PERSONNEL	$ 18,782	$ 20,248	$ 21,717	$ 22,332	$ 22,981
PURCHASED SERVICES AND SUPPLIES	2,616	2,423	2,493	2,447	2,539
UTILITIES	70	75	69	77	79
CAPITAL	-	-	-	-	-
TOTAL EXPENDITURES	**$ 21,468**	**$ 22,747**	**$ 24,280**	**$ 24,856**	**$ 25,598**
TOTAL CHANGE IN FUND BALANCE	**$ -**	**$ (431)**	**$ 5**	**$ 143**	**$ 136**

Other Special Revenue Funds:

The City has multiple other Special Revenue Funds that serve a variety of City services and various Special Assessment Districts.

- COPS Grant Fund 214 – This fund is used to account for activities related to the State and federal grant revenues restricted for law enforcement activities.
- Downtown Improvement District Fund 221 - This fund is used to accumulate revenues from business assessments and promotional income from special events to promote Downtown Tracy.
- Asset Forfeiture Fund 231 - This fund is used to account for the revenues that result from asset seizures. They are specifically restricted for law enforcement purposes.
- Transportation Development Fund 241 - This fund is used to account for the City's share of the quarter cent statewide transportation sales tax devoted to street maintenance purposes. The first claim on the tax goes to the Transit Fund and then any balance goes to this fund.
- Proposition K Transportation Tax Fund 242 - This fund is used to account for the City's share of the half-cent transportation sales tax for San Joaquin County. It is used for street maintenance and repairs.
- Federal TEA Grant Fund 261 - This fund is used to account for the revenues from federal aid for transportation projects.
- Community Development Block Grant Fund 268 - These funds are used to account for the federal grant monies received from the Housing and Urban Development Department for Community Development Block Grants.
- Landscaping District Fund 271 - This fund is used to account for the revenues collected from benefit assessment districts established to provide landscaping services in certain areas of the City. These districts cover all the newer developments in the City since 1985.
- CFD Services 2016-1 Fund 272 – This fund is used to account for transactions related to maintenance responsibility of the City for the Community Facilities Services District No. 2016-2 (Ellis).
- CFD Services 2018-2 Fund 273 – This fund is used to account for transactions related to the citywide maintenance responsibility of the City for the Community Facilities Services District No. 2018-1.
- Community Development Agency Housing Fund 281 - This fund was used to account for the 20% portion of tax increment funds received for redevelopment related purposes, and set aside for low and moderate-income housing. With the disbanding of the Community Development Agency, a CDA Housing Successor Fund 282 was established to receive Fund 281 assets.
- Cable TV Fund 295 – This fund is used to account for the portion of cable television franchise fees allocated to provide a community access cable television channel in the City of Tracy.

Special Revenue Fund: Other Special Revenues Projected Operating Budget

(including Transfers In/Out)

REVENUES (in thousands)	FY 19/20 Actuals	FY 20/21 Projected	FY 21/22 Proposed	FY 22/23 Forecasted	FY 23/24 Forecasted
SALES TAX	$ 1,685	$ 1,274	$ -	$ -	$ -
SPECIAL ASSESSMENT	3,730	4,213	4,255	4,445	4,645
CHARGES FOR SERVICES	0	0	0	0	0
FINES AND FORFEITURES	449	819	-	-	-
LICENSES AND PERMITS	163	84	84	85	86
REVENUES FROM OTHER AGENCIES	6,948	5,599	616	622	628
USE OF MONEY	630	126	113	114	116
OTHER REVENUES	2	2	-	-	-
TRANSFERS IN	94	95	96	87	88
TOTAL REVENUES	**$ 13,702**	**$ 12,211**	**$ 5,165**	**$ 5,354**	**$ 5,563**

EXPENDITURES (in thousands)					
PERSONNEL	$ 1,684	$ 1,455	$ 1,560	$ 1,574	$ 1,587
UTILITIES	739	944	1,150	1,219	1,293
PURCHASED SERVICES AND SUPPLIES	2,720	4,129	2,454	2,492	2,535
CAPITAL	8,460	2,433	20,779	124	125
TRANSFERS OUT	153	56	60	61	61
TOTAL EXPENDITURES	**$ 13,756**	**$ 9,017**	**$ 26,004**	**$ 5,470**	**$ 5,601**

CAPITAL IMPROVEMENT FUNDS

Capital Project funds are used to account for the acquisition and development of major capital facilities, other than those financed by proprietary or trust funds. The revenues to fund most capital projects are derived from the General Fund development impact fees, bond proceeds, and/or special revenues. A full description of projects is presented later in the Capital Improvement Chapter.

These funds are as follows:

- General Projects Fund 301 - This fund is used to account for capital projects financed through the transfer of General Fund monies or proceeds for community wide benefit.
- Infill/ Downtown Development Funds - These funds are used to account for capital projects financed through capital development fees levied upon developers in the City's infill/ downtown area. There are separate funds as follows:

Fund 311	-	Infill-Parks
Fund 312	-	Infill-Storm Drainage
Fund 313	-	Infill-Arterials
Fund 314	-	Infill-Building & Equipment
Fund 315	-	Infill-Program Management
Fund 316	-	Downtown Improvement
Fund 317	-	Redevelopment Agency Obligations
Fund 318	-	Downtown Projects

- New Areas Development Funds - These funds are used to account for capital projects financed through capital development fees levied upon developers in respective new development areas.
- RSP Development – 1987 Residential Specific Plan (RSP) Any and all funds from 1987 Residential Specific Plan (RSP) were closed at the end of FY 07-08. Now, these funds are used as an economic development fund for the City.
- Plan C Development

Fund 321	-	Plan C Areas-Parks
Fund 322	-	Plan C Areas-Arterials
Fund 323	-	Plan C Areas-Drainage
Fund 324	-	Plan C Areas-General Facilities
Fund 325	-	Plan C Areas-Utilities

- Other Planning Areas:

Fund 331	-	Ellis Planning Area – Traffic
Fund 332	-	Ellis Planning Area – Drainage
Fund 333	-	Ellis Planning Are – Parks
Fund 334	-	Ellis Planning Area- Public Buildings
Fund 335	-	Ellis Planning Area – Water
Fund 336	-	Ellis Planning Area – Recycled Water
Fund 337	-	Ellis Planning Area - Wastewater
Fund 351	-	Northeast Industrial Area, Phase 1
Fund 352	-	South MacArthur Planning Area
Fund 353	-	I-205 Development Area
Fund 354	-	Industrial Specific Plan, South
Fund 355	-	Presidio Planning Area
Fund 356	-	Tracy Gateway Area

Fund 357 - Northeast Industrial Area, Phase 2
Fund 358 - Ellis Planning Area- Program Management

- Tracy Infrastructure Master Plan – For newer development, starting in FY 2014-15, capital development fees are collected under the Tracy Infrastructure Master Plan (TIMP), and they and their projects are accounted for through the following funds.
  Fund 361 - TIMP -- Parks
  Fund 362 - TIMP – Storm Drainage
  Fund 363 - TIMP – Traffic
  Fund 364 - TIMP – Wastewater
  Fund 365 - TIMP – Water
  Fund 366 - TIMP – Public Facilities
  Fund 367 - TIMP – Public Safety

- Program Management Plan Fund 391 - This fund is used to account for expenditures for the planning, design, and program management required for new development in new areas in a preliminary stage and before an approved financing plan.
- Capital Improvement Program Deposits Fund 395 - This fund is used to account for monies received from developers, contractors and other entities for the purpose of reimbursing the City for expenditures incurred in studies, research, etc., regarding their proposed development or for construction of facilities that would normally be the owner's responsibility. For budgeting, it is also used to measure in-kind contributions from developers of constructed facilities.

INTERNAL SERVICE FUNDS

The City has six Internal Services funds. Internal Service Funds are utilized to account for financing goods, services, and costs within the City organization. These funds typically operate on a cost reimbursement basis and such funds have an integral role in accumulating and managing City resources for fund related activity. The City is currently undertaking a comprehensive look at the cost allocations that support the Internal Services Funds. Staff will return during the FY 2021-22 budget cycle with amendments, as needed, to reflect the results of the study.

Building Maintenance Fund:
The Building Maintenance Fund accounts for maintenance and remodeling of City-owned or leased buildings and facilities including Civic Center Plaza, Community and Parks Facilities, Fire and Police Facilities, the Library and Water and Wastewater Administration Facilities. Costs are fully reimbursed annually by charges to other funds.

Central Garage:
The Central Garage Fund accounts for expenses related to the preparation, maintenance, and repair of mechanical equipment and vehicles for other City departments. Costs are fully reimbursed annually by charges to other funds.

Central Services:
The Central Services Fund accounts for expenses related to the preparation, maintenance, and repair of information technology, computers, and programs for other City departments. Costs are fully reimbursed annually by charges to other funds.

Equipment Replacement Fund:
The Equipment Replacement Fund accounts for the accumulation of resources to replace identified operating equipment within the General Fund departments. Currently, Water and Wastewater fund separate vehicle and equipment replacement funds. Funding for equipment replacement is achieved through charging rates intended to recover the cost of equipment utilized in government operations.

Self-Insurance Fund:
The City is a member of a Joint Powers Insurance Authority that supplies Worker's Compensation and Liability insurance for the City. Costs are fully reimbursed annually by charges to other funds through payroll charges to City departments. Staff is recommending the use of reserves created from prior year refunds.

Vehicle Replacement Fund:
The Vehicle Replacement Fund accounts for the City's vehicle fleet. Funding for vehicle replacement is achieved through charge rates intended to recover the cost of the replacement vehicles utilized in governmental operations including Fire trucks, Police patrol vehicles, and Parks maintenance vehicles. Currently, Water and Wastewater fund separate vehicle and equipment replacement funds.

Summary of Proposed Revenues and Expenditures for the Internal Service Funds

(including Transfers In/Out)

REVENUES (in thousands)	FY 19/20 Actuals	FY 20/21 Projected	FY 21/22 Proposed	FY 22/23 Forecasted	FY 23/24 Forecasted
CHARGES FOR SERVICES	$ 12,637	$ 12,441	$ 13,641	$ 13,777	$ 13,915
OTHER OPERATING REVENUES	487	416	420	424	428
USE OF MONEY	357	-	-	-	-
CAPITAL CONTRIBUTIONS	196	-	-	-	-
GAIN/LOSS ON DISPOSAL OF CAPITAL ASSETS	184	69	46	46	47
TRANSFERS IN	-	-	-	-	-
TOTAL REVENUES	**$ 13,861**	**$ 12,926**	**$ 14,107**	**$ 14,248**	**$ 14,390**
EXPENDITURES (in thousands)					
PERSONNEL	$ 3,812	$ 3,592	$ 3,762	$ 3,800	$ 3,838
PURCHASED SERVICES AND SUPPLIES	6,979	9,117	9,929	10,053	10,193
UTILITIES	340	388	429	455	482
CAPITAL	648	1,155	1,161	1,175	1,186
TOTAL EXPENDITURES	**$ 11,780**	**$ 14,252**	**$ 15,282**	**$ 15,482**	**$ 15,699**
TOTAL CHANGE IN FUND BALANCE	**$ 2,081**	**$ (1,327)**	**$ (1,175)**	**$ (1,234)**	**$ (1,309)**

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ENTERPRISE FUNDS

Tracy Municipal Airport

This fund accounts for the operation of the Tracy Airport. Primary revenue sources for this fund include hangar rental fees, office space leases, fees for tie downs, landing fees, a passenger facility charge, ultralight vehicle fees, and taxes levied on the owners of aircraft housed in Tracy. The Airport fund also receives FAA grants for capital improvements. Currently, Charges for Services (Airport Fees) are not sufficient to cover operations. Staff is researching potential revenue options. In addition, Federal Grants have repaired areas of the airport that were perceivably underutilized, potentially increasing activity at the airport.

Summary of Proposed Revenues and Expenditures for the Airport Funds
(including Transfers In/Out)

REVENUES (in thousands)	FY 19/20 Actuals	FY 20/21 Projected	FY 21/22 Proposed	FY 22/23 Forecasted	FY 23/24 Forecasted
CHARGES FOR SERVICES	$ 645	$ 699	$ 704	$ 710	$ 716
REVENUES FROM OTHER AGENCIES	20	31	20	20	20
OTHER OPERATING REVENUES	0	-	-	-	-
USE OF MONEY	17	-	-	-	-
OTHER REVENUES	-	-	-	-	-
CAPITAL CONTRIBUTIONS	1,700	217	219	221	224
GAIN/LOSS ON DISPOSAL OF CAPITAL ASSETS	2	-	-	-	-
TRANSFERS IN	519	155	156	158	159
TOTAL REVENUES	**$ 2,903**	**$ 1,102**	**$ 1,099**	**$ 1,109**	**$ 1,119**
EXPENDITURES (in thousands)					
PERSONNEL	$ 357	$ 316	$ 293	$ 296	$ 299
PURCHASED SERVICES AND SUPPLIES	522	539	452	459	467
UTILITIES	39	38	42	45	48
CAPITAL	34	174	-	-	-
TOTAL EXPENDITURES	**$ 952**	**$ 1,068**	**$ 788**	**$ 800**	**$ 814**
TOTAL CHANGE IN FUND BALANCE	**$ 1,952**	**$ 33**	**$ 312**	**$ 309**	**$ 305**

Transit:

These funds account for the operation of the City's transit system, including fixed-route buses, dial-a-ride, and the downtown transit station. Principal revenue sources in this fund include a portion of the State's 0.25% sales tax for transportation (Local Transportation Fund), Federal funds, transit-related grants, and rider fares. There are sufficient revenues to support this request.

Summary of Proposed Revenues and Expenditures for the Transit Fund
(including Transfers In/Out)

REVENUES (in thousands)	FY 19/20 Actuals	FY 20/21 Projected	FY 21/22 Proposed	FY 22/23 Forecasted	FY 23/24 Forecasted
SALES TAX	$ 3,334	$ 2,494	$ 2,519	$ 2,644	$ 2,777
CHARGES FOR SERVICES	102	122	123	124	126
LICENSES AND PERMITS	1	1	1	1	1
REVENUES FROM OTHER AGENCIES	3,077	2,893	2,922	2,951	2,981
OTHER OPERATING REVENUES	0	-	-	-	-
USE OF MONEY	52	46	46	47	47
CAPITAL CONTRIBUTIONS	27	-	-	-	-
GAIN/LOSS ON DISPOSAL OF CAPITAL ASSETS	2	-	-	-	-
TOTAL REVENUES	**$ 6,596**	**$ 5,556**	**$ 5,611**	**$ 5,768**	**$ 5,932**
EXPENDITURES (in thousands)					
PERSONNEL	$ 426	$ 401	$ 446	$ 450	$ 455
PURCHASED SERVICES AND SUPPLIES	4,053	5,000	5,354	5,429	5,521
UTILITIES	93	87	98	103	110
CAPITAL	-	2,358	1,120	-	-
TOTAL EXPENDITURES	**$ 4,573**	**$ 7,847**	**$ 7,017**	**$ 5,983**	**$ 6,085**
TOTAL CHANGE IN FUND BALANCE	**$ 2,023**	**$ (2,291)**	**$ (1,406)**	**$ (214)**	**$ (154)**

Water:

This fund accounts for the City's water utility. All expenses relating to the provision of purchasing, treating, and providing water services are charged to this fund, which is financed with customer utility rate payments and connection fees. This fund also accounts for debt issued on behalf of the water utility and for the repayment of such debt. Revenues in the Water Fund vary based on metered water usage by customers. The statewide drought that began in 2013 led to water rationing and other conservation measures across California. As a result, less water was consumed and revenues declined. As a result, the utility has only responded to urgent repairs and maintenance costs.

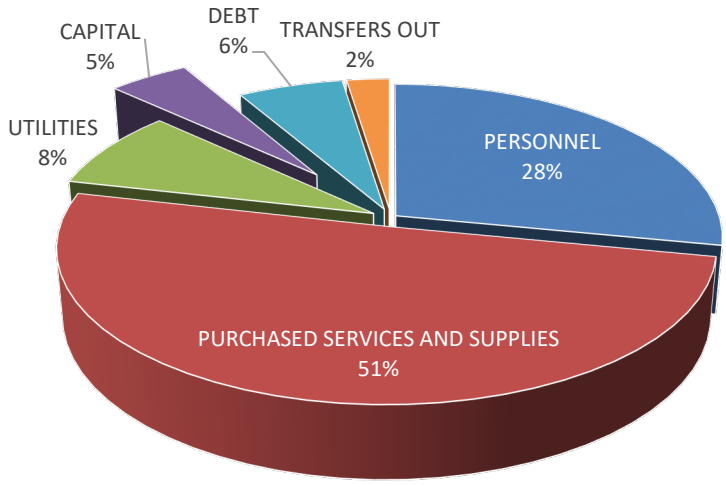
Following the last water rate update in 2008, a Water Rate Study was prepared in 2017 by HDR, a firm specializing in water rate and revenue analysis. The study identified the need for a rate increase at that time. The study included a five-year projection of revenue with two proposed rate increases of approximately 25% each. Prior to this rate study, the water rates had not been increased for more than 10 years, while inflationary costs and maintenance demands continued to rise. Staff recommended Council approve the first increase in water rates for FY 2017-2018 and bring back the second proposed increase for consideration in FY 2019-20. On November 21, 2017, City Council adopted the first rate increase. Although the first rate increase was 25%, financial reports indicate that water revenues only increased by 10% in FY 2018-2019 due to low consumer consumption. The Utilities department has deferred several key capital projects in order to minimize the impacts of the lower revenues on the Water Fund. In November 2019, the City Council adopted the second rate increase. The amended water rates for FY 2020-21 reflect this rate increase, which in turn has allowed for capital maintenance increases to be slowly restored in the Utilities budget.

The Water Fund has projected revenues of $21.3 million in Fiscal Year 2021-22; however, staff will be closely monitoring this revenue as drought conditions in California may require water conservation efforts in Tracy. The Water Fund proposed expenses are $20.9 million. The expenses include the restoration of $1.5 million in capital maintenance and water purchases. The Water Fund has years of deferred maintenance at the treatment and storage sites, as well as water lines and meter replacement costs, that must be addressed through another rate study. In addition, the Water Fund reserves levels are less than 10% of operating reserves. They should be raised at least another $4 million to reach the 30% City policy for reserves. In addition, enterprise funds should have a maintenance reserve equal to one or more years of depreciation. This is an additional $5 million to reach the minimum standard reserve for this utility.

(continue to next page)

Summary of Proposed Revenues and Expenditures for the Water Fund
(including Transfers In/Out)

REVENUES (in thousands)	FY 19/20 Actuals	FY 20/21 Projected	FY 21/22 Proposed	FY 22/23 Forecasted	FY 23/24 Forecasted
CHARGES FOR SERVICES	$ 20,229	$ 21,041	$ 21,252	$ 21,464	$ 21,679
DEVELOPER FEES	634	231	-	-	-
FINES AND FORFEITURES	178	-	-	-	-
OTHER OPERATING REVENUES	0	0	0	0	0
USE OF MONEY	147	37	-	-	-
OTHER REVENUES	(79)	-	-	-	-
CAPITAL CONTRIBUTIONS	10,172	-	-	-	-
GAIN/LOSS ON DISPOSAL OF CAPITAL ASSETS	8	-	-	-	-
TRANSFERS IN	-	-	-	-	-
TOTAL REVENUES	**$ 31,290**	**$ 21,309**	**$ 21,252**	**$ 21,464**	**$ 21,679**
EXPENDITURES (in thousands)					
PERSONNEL	$ 6,104	$ 5,328	$ 5,913	$ 5,972	$ 6,032
PURCHASED SERVICES AND SUPPLIES	9,229	9,765	10,629	10,463	10,621
UTILITIES	1,383	1,643	1,758	1,864	1,976
CAPITAL	152	916	985	995	1,021
DEBT	1,287	1,258	1,258	1,258	1,258
TRANSFERS OUT	-	510	510	510	510
TOTAL EXPENDITURES	**$ 18,156**	**$ 19,420**	**$ 21,054**	**$ 21,062**	**$ 21,417**
TOTAL CHANGE IN FUND BALANCE	**$ 13,134**	**$ 1,890**	**$ 198**	**$ 403**	**$ 262**



WATER FUND EXPENSES FY 2021-22

Wastewater:

This fund accounts for the City's wastewater utility. All expenses relating to the provision of sewer services are charged to this fund, which is financed with customer utility rate payments and connection fees. The fund's non-operating revenues reflect contributions from developer impact fees related to costs associated with infrastructure expansion improvements. The Wastewater Fund non-operating expenses reflect both capital and debt issued on behalf of the sewer utility and for the repayment of such debt.

The Wastewater Fund operating revenues are projected to be flat as all prior rate increases have been adopted seven years ago. The Wastewater Fund has an adopted revenue budget of $14.5 for FY 2020-21 and $14.7 for FY 2021-22. The Fund has operating expenses of $15.7 million in Fiscal Year 2020-21 and capital expenses of $28 million due to one-time Capital improvements in the Wastewater Treatment Plant for the Outfall project. This project will utilize reserves to complete, which was considered in the last rate study.

In the proposed FY 2021-22 budget, the Non-operating revenues will receive $25 million from developer contributions for the capital expansion of the Treatment Plant. The estimated costs of the capital improvement range from $27 million to $30 million. In addition, the fund has an annual deferred maintenance budget of $2 million that is depleting fund reserves in order to maintain this funding level. The Wastewater fund will consider a rate increase and possible debt financing to accommodate the capital expenses proposed in this budget.

Summary of Proposed Revenues and Expenditures for the Wastewater Fund
(including Transfers In/Out)

REVENUES (in thousands)	FY 19/20 Actuals	FY 20/21 Projected	FY 21/22 Proposed	FY 22/23 Forecasted	FY 23/24 Forecasted
CHARGES FOR SERVICES	$ 14,477	$ 14,557	$ 14,778	$ 14,926	$ 15,075
DEVELOPER FEES	1,307	375	-	-	-
FINES AND FORFEITURES	137	288	291	294	296
REVENUES FROM OTHER AGENCIES	6,380	5	5	5	5
USE OF MONEY	1,185	76	216	218	220
CAPITAL CONTRIBUTIONS	350	-	-	-	-
TRANSFERS IN	18,851	510	25,510	510	510
TOTAL REVENUES	**$ 42,687**	**$ 15,810**	**$ 40,799**	**$ 15,952**	**$ 16,106**
EXPENDITURES (in thousands)					
PERSONNEL	$ 6,053	$ 5,533	$ 6,942	$ 7,036	$ 7,132
PURCHASED SERVICES AND SUPPLIES	5,317	7,147	7,905	8,002	8,088
UTILITIES	1,572	1,969	1,566	1,660	1,759
CAPITAL	16,762	28,112	29,100	1,111	1,122
DEBT	1,891	1,855	1,853	1,848	1,846
TOTAL EXPENDITURES	**$ 31,595**	**$ 44,616**	**$ 47,365**	**$ 19,656**	**$ 19,947**
TOTAL CHANGE IN FUND BALANCE	**$ 11,092**	**$ (28,805)**	**$ (6,566)**	**$ (3,704)**	**$ (3,840)**

Solid Waste Fund:

This fund provides for the collection of fees related to solid waste disposal. The City contracts its services to Tracy Disposal. All expenses relating to the provision of these services are charged to this fund, which is financed with customer utility rate payments and connection fees. A rate fee study began in FY 2019-20 and will return for consideration to the City Council in FY 2020-21.

Summary of Proposed Revenues and Expenditures for the Solid Waste Fund
(including Transfers In/Out)

REVENUES (in thousands)	FY 19/20 Actuals	FY 20/21 Projected	FY 21/22 Proposed	FY 22/23 Forecasted	FY 23/24 Forecasted
CHARGES FOR SERVICES	$ 22,965	$ 21,123	$ 21,420	$ 21,634	$ 21,850
FINES AND FORFEITURES	208	535	616	622	628
REVENUES FROM OTHER AGENCIES	62	3	3	3	3
OTHER OPERATING REVENUES	0	0	0	0	0
USE OF MONEY	278	70	76	77	77
TOTAL REVENUES	**$ 23,514**	**$ 21,731**	**$ 22,115**	**$ 22,336**	**$ 22,559**
EXPENDITURES (in thousands)					
PERSONNEL	$ 1,448	$ 1,197	$ 1,337	$ 1,351	$ 1,364
PURCHASED SERVICES AND SUPPLIES	23,607	23,641	23,142	23,424	23,711
UTILITIES	40	38	44	46	49
CAPITAL	180	-	-	-	-
TOTAL EXPENDITURES	**$ 25,275**	**$ 24,876**	**$ 24,523**	**$ 24,821**	**$ 25,124**
TOTAL CHANGE IN FUND BALANCE	**$ (1,761)**	**$ (3,145)**	**$ (2,409)**	**$ (2,485)**	**$ (2,565)**

Storm Drain Fund:

This fund accounts for the City's maintenance and operations of City storm drains. All expenses relating to the provision of these services are charged to this fund, which is financed with customer utility rate payments and connection fees.

Summary of Proposed Revenues and Expenditures for the Storm Drain Fund
(including Transfers In/Out)

REVENUES (in thousands)	FY 19/20 Actuals	FY 20/21 Projected	FY 21/22 Proposed	FY 22/23 Forecasted	FY 23/24 Forecasted
CHARGES FOR SERVICES	$ 733	$ 706	$ 717	$ 724	$ 731
OTHER REVENUES	18	12	12	13	13
CAPITAL CONTRIBUTIONS	8,007	-	-	-	-
TOTAL REVENUES	**$ 8,758**	**$ 719**	**$ 729**	**$ 736**	**$ 744**
EXPENDITURES (in thousands)					
PERSONNEL	$ 388	$ 360	$ 384	$ 388	$ 391
PURCHASED SERVICES AND SUPPLIES	518	262	286	291	297
UTILITIES	46	33	35	37	40
TOTAL EXPENDITURES	**$ 952**	**$ 656**	**$ 705**	**$ 716**	**$ 729**
TOTAL CHANGE IN FUND BALANCE	**$ 7,806**	**$ 63**	**$ 24**	**$ 20**	**$ 15**

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Approved Position Control

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CITY OF TRACY
HISTORICAL POSITION CONTROL
ALLOCATIONALL FUNDS/ DEPARTMENTS

	ELECTED/ COMMISIONS	FY 2018-19 FTE	FY 2019-20 FTE	FY 2020-21 FTE	FY 2021-22 FTE
ACCOUNT CLERK		5	5	5	5
ACCOUNTANT		2	2	2	2
ACCOUNTING MANAGER		1	1	1	1
ACCOUNTING TECHNICIAN		3	3	3	3
ADMINISTRATIVE ASSISTANT		18	20	19	19
ADMINISTRATIVE ASSISTANT (CONF)				1	1
ADMINISTRA TIVE TECHNICIAN		2	2	2	2
AIRPORT MANAGER		1	1	1	1
ANIMAL SERVICESAIDE		2	2	2	2
ANIMAL SERVICESOFFICER I		2	2	2	2
ANIMAL SERVICESOFFICER II		2	2	2	2
ANIMAL SERVICESSUPERVISOR		1	1	1	1
ASSISTANT CITY ATTORNEY		1	1	1	1
ASSISTANT CITY MANAGER		2	2	2	2
ASSISTANT DIRECTOR OF DEVELOPMENT SERVICES		1	1	1	1
SERVICES/ CITY ENGINEER		1	1	1	1
ASSISTANT ENGINEER		3	3	3	3
ASSISTANT PLANNER		1	1	1	1
ASSISTANT TO CITY MANAGER				1	1
ASSOCIATE CIVIL ENGINEER		2	2	2	2
ASSOCIATE ENGINEER		1	1	1	1
ASSOCIATE PLANNER		2	2	2	2
BLDG MAINT ASSISTANT		1	1		
BLDG MAINT WORKER				1	1
BLDG/ FIRE INSPECTR I		2	2	2	2
BOX OFFICE ASSISTANT		1	1	1	1
BOX OFFICE COORDINATOR		2	2	2	2
BUDGET OFFICER		1	1	1	1
BUILDING INSPECTOR II		6	6	6	6
BUILDIN G MAINTEN ANCE WORKER II		4	4	4	4
BUILDING OFFICIAL		1	1	1	1
BUILDING PERMIT TECH-FIRE		1	1	1	1
BUILDING PERMIT TECHNICIAN II		3	3	3	3
CITY ATTORNEY		1	1	1	1
CITY CLERK		1	1	1	1
CITY MANAGER		1	1	1	1
CITY TREASURER	1				
CODE COMPLIANCE ANALYST		1	1	1	1
CODE ENFORCEMENT OFFICER		3	3	3	3
COMMUNITY PRESERVATION MANAGER		1	1	1	1
COMMUNITY SERVICESOFFICER		4	4	4	4
CONSTRUCTION INSPECTOR II		4	4	4	4
COUNCIL MEMBER	4				
CRIME ANALYST		1	1	1	1
CRIME PREVENTION SPECIALIST		2	2	2	2
CRIME SCENE PROPERTY UNIT SUPERVISOR		1	1	1	1
CRIME SCENE TECHNICIAN		4	4	4	4

CITY OF TRACY
HISTORICAL POSITION CONTROL
ALLOCATIONALL FUNDS/ DEPARTMENTS

	ELECTED/ COMMISIONS	FY 2018-19 FTE	FY 2019-20 FTE	FY 2020-21 FTE	FY 2021-22 FTE
CULTURAL ARTSCOMMISSIONERS	7				
CULTURAL ARTSDIVISION MANAGER		1	1	1	1
CULTURAL ARTSPROGRAM COORDINATOR		1	1	1	1
CULTURAL ARTSSUPERVISOR		1	1	1	1
CULTURAL ARTSTECHNICAL COORDINATOR		1	1	1	1
CULTURAL ARTSTECHNICAL SUPERVISOR		1	1	1	1
DEPUTY CITY ATTY II		1	1	1	1
DEPUTY CITY CLERK		1	1	1	1
DEVELOPMENT SERVICESDIRECTOR					1
DIRECTOR OF FINANCE		1	1	1	1
DIRECTOR OF HUMAN RESOURCES		1	1	1	1
DIRECTOR OF PARKSAND RECREATION		1	1	1	1
DIRECTOR OF PUBLIC WORKS		1	1	1	1
DIRECTOR OF UTILTIIES		1	1	1	1
DIVISION FIRE CHIEF		2	2	2	3
ECONOMIC DEV MANAGER		1	1	1	1
ANALYST II		2	2	1	1
ELECTRICIAN		4	4	4	4
EMERG MED SRVCSMGR		1	1	1	1
ENGINEERING PRGM MGR		1	1	1	1
ENGINEERING TECHNICIAN I/ II		3	3	3	3
ENVIRONMENTAL COMPLIANCE ANALYST		1	1	1	1
ENVIRONMENTAL COMPLIANCE TECHNICIAN		3	3	3	3
EQUIPMENT MECHANIC I		1	1	1	1
EQUIPMENT MECHANIC II		2	2	2	2
EXEC ASST TO CITY MG		1	1	1	1
EXECUTIVE ASSISTANT		11	11	11	11
FIRE BATT CHIEF		3	3	3	3
FIRE CAPTAIN		21	21	21	24
FIRE CAPTAIN-TEMP TRAINING CAPTAIN		1	1	1	1
FIRE ENGINEER		21	21	21	24
FIRE INSPECTOR		3	3	3	3
FIRE MARSHAL		1	1	1	1
FIREFIGHTER		21	21	21	24
FIREFIGHTER/ PARAMED		3	3	3	3
FLEET SUPERVISOR		1	1	1	1
GISANALYST		1	1	1	1
HR TECHNICIAN-CONF		1	1	1	1
HUMAN RESOURCESANALYST II		3	3	3	3
HUMAN RESOURCESMANAGER		1	1	1	1
HUMAN RESOURCESTECHNICIAN		2	2	2	2
INFORMATION SYSTEMSTECHNICIAN I		1	1	1	1
INFORMATION SYSTEMSTECHNICIAN II		2	2	2	2
INFORMATION TECHNOLOGY MANAGER		1	1	1	1
INFORMATION TECHNOLOGY SPECIALIST		1	1	1	1
INSTRUMENTATION TECHNICIAN		2	2	2	2
JR CIVIL ENGINEER		1	1	1	1

CITY OF TRACY
HISTORICAL POSITION CONTROL
ALLOCATIONALL FUNDS/ DEPARTMENTS

	ELECTED/ COMMISIONS	FY 2018-19 FTE	FY 2019-20 FTE	FY 2020-21 FTE	FY 2021-22 FTE
JUNIOR ENGINEER		1	1	1	1
LABORATORY QUALITY ASSURANCE OFFICER		1	1	1	1
LABORATORY TECHNICIAN II		4	4	4	4
LANDSCAPE ARCHITECT		1	1	1	1
LANDSCAPE INSPECTOR		1	1		
LEGAL SECRETARY		1	1	1	1
MAINT WORKER I/ II		56	57	57	57
MANAGEMENT ANALYST II		5	5	5	5
MAYOR	1				
MEASURE V COMMITTEE	5				
MEDIA SERVICES SUPERVISOR		1	1	1	1
METER READER		3	3	2	2
P&R COMMISSIONER	7				
PARKS PLANNING & DEVELOPMENT MANAGER		1	1	1	1
PLAN CHECK ENGINEER		1	1	1	1
PLAN EXAMINER I/ II		3	3	3	3
PLAN EXAMINER II		1	1	1	1
PLANNING COMMISSIONER	5				
PLANNING TECHNICIAN		1	1	1	1
PLANS CHECK EXAMINER		1	1		
PLANT MECHANIC II		1	1	1	1
POLICE CAPTAIN		2	2	2	2
POLICE CHIEF		1	1	1	1
POLICE CORPORAL		13	12	12	12
POLICE LIEUTENANT		5	5	5	6
POLICE OFFICER		60	62	62	65
POLICE OFFICER-DET		1	1	1	1
POLICE RECORDS ASSISTANT II		6	6	6	6
POLICE RECORDS SUPERVISOR		1	1	1	1
POLICE SERGEANT		11	13	13	13
POLICE SUPPORT OPERATIONS MANAGER		1	1	1	1
POLICE SUPPORT SERVICES TECHNICIAN		1	1	1	1
PRINC CIVIL ENGINEER		1	1	1	1
PROJECT MANAGER					
PROPERTY & EVIDENCE TECHNICIAN		1	1	1	1
PUB SAFETY DISP LEAD		1	1	1	1
PUBLIC INFORMATION OFFICER		1	1	1	1
PUBLIC SAFETY DISPATCH SUPERVISOR		1	1	1	1
PUBLIC SAFETY DISPATCHER I		4	4	4	4
PUBLIC SAFETY DISPATCHER II		9	9	9	9
PUBLIC WK SUPERVISOR		1	1	1	1
PUBLIC WORKS SUPERINTENDENT		3	3	3	3
PUBLIC WORKS SUPERVISOR		1	1	1	1
RECEPTIONIST-DS		1	1	1	1
RECREATION PROGRAM COORDINATOR		3	3	4	4
RECREATION SERVICES MANAGER				1	1
RECREATION SERVICES SUPERVISOR		3	3	1	1

CITY OF TRACY
HISTORICAL POSITION CONTROL
ALLOCATIONALL FUNDS/ DEPARTMENTS

	ELECTED/ COMMISIONS	FY 2018-19 FTE	FY 2019-20 FTE	FY 2020-21 FTE	FY 2021-22 FTE
SENIOR ACCOUNT CLERK		4	4	4	4
SENIOR ACCOUNTANT		2	2	2	2
SENIOR ACCOUNTING TECHNICIAN		2	2	2	2
SENIOR BUILDING MAINTENANCE WORKER		1	1	1	1
SENIOR CIVIL ENGINEER		5	5	5	5
SENIOR EQUIPMENT MECHANIC		1	1	1	1
SENIOR INFORMATION SYSTEMS TECHNICIAN		2	2	2	2
SENIOR PLANNER		3	3	3	3
SENIOR POLICE RECORDS ASSISTANT		1	1	1	1
SENIOR PUBLIC SAFETY DISPATCHER		3	3	3	3
OPERATOR		1	1	1	1
SR ACCOUNTING ASSIST		1	1	1	1
SR CIVIL ENGINEER-UT		1	1	1	1
SR MAINT WORKER		15	15	15	15
SR WTP OPERATOR		1	1	1	1
SUPERVISING BUILDING INSPECTOR		1	1	1	1
SUPERVISING CONSTRUCTION INSPECTOR		1	1	1	1
TRANSIT COORDINATOR		1	1	1	1
TRANSIT MANAGER		1	1	1	1
TRANSPORTATION COMMISSIONER	9				
UTILITIES LABORATORY SUPERINTENDENT		1	1	1	1
UTILITY LINE MAINTENANCE SUPERINTENDENT		1	1	1	1
UTILITY MAINTENANCE SUPERINTENDENT		1	1	1	1
UTILITY MAINTENANCE SUPERVISOR		1	1	1	1
UTILITY MECHANIC I		1	1	1	1
UTILITY MECHANIC II		5	5	5	5
UTILITY OPERATOR		12	13	13	13
WASTEWATER TREATMENT PLANT OPERATOR III		2	2	1	1
WATER OPERATIONS SUPERINTENDENT		1	1	1	1
WATER RESOURCES MANAGER		1	1	1	1
WW OPERATIONS SUPERINTENDENT		1	1	1	1
GRAND TOTAL	**39**	**524**	**531**	**527**	**542**

CITY OF TRACY
APPROVED STAFFING BY DEPARTMENT
FY 2021-22

POSITION DESCRIPTION	CITY ATTORNEY	CITY MANAGER	DEVELOPMENT SERVICES	FINANCE	HUMAN RESOURCES	PARKS & RECREATION	POLICE	PUBLIC WORKS	UTLITIES	JPA TRACY FIRE	GRAND TOTAL
ACCOUNT CLERK				5							**5**
ACCOUNTANT				2							**2**
ACCOUNTING MANAGER				1							**1**
ACCOUNTING TECHNICIAN				3							**3**
ADMIN ASSISTANT - CONFIDENTIAL					1						**1**
ADMINISTRATIVE ASSISTANT		2	5	1		1	4	2	2	2	**19**
ADMINISTRATIVE TECHNICIAN								1	1		**2**
AIRPORT MANAGER						1					**1**
ANIMAL SERVICES AIDE							2				**2**
ANIMAL SERVICES OFFICER I							1				**1**
ANIMAL SERVICES OFFICER II							3				**3**
ANIMAL SERVICES SUPERVISOR							1				**1**
ASSISTANT CITY ATTORNEY	1										**1**
ASSISTANT CITY MANAGER		2									**2**
ASSISTANT ENGINEER			2								**2**
ASSISTANT PLANNER			1								**1**
ASSISTANT TO CITY MANAGER		1									**1**
ASSOCIATE CIVIL ENGINEER									1		**1**
ASSOCIATE ENGINEER			3								**3**
ASSOCIATE PLANNER			2								**2**
ASST DIR DEV SERVICES			1								**1**
ASST DIR DS/CITY ENGINEER			1								**1**
BLDG & FIRE INSPECTOR I			2								**2**
BOX OFFICE ASSISTANT		1									**1**
BOX OFFICE COORDINATOR		2									**2**
BUDGET OFFICER				1							**1**
BUILDING INSPECTOR II			5								**5**
BUILDING MAINTENANCE WORKER II								5			**5**
BUILDING OFFICIAL			1								**1**
BUILDING PERMIT TECHNICIAN II			3							1	**4**
CITY ATTORNEY	1										**1**
CITY CLERK		1									**1**
CITY MANAGER		1									**1**
CODE COMPLIANCE ANALYST			1								**1**
CODE ENFORCEMENT OFFICER			4								**4**
COMMUNITY PRESERVATION MGR			1								**1**
COMMUNITY SERVICES OFFICER							4				**4**
CONSTRUCTION INSPECTOR II			4								**4**
CRIME ANALYST							1				**1**
CRIME PREVENTION SPECIALIST							2				**2**
CRIME SCENE TECHNICIAN							4				**4**
CRIME SCENE/PROP UNIT SUPER							1				**1**
CULTURAL ARTS DIVISION MGR		1									**1**

CITY OF TRACY
APPROVED STAFFING BY DEPARTMENT
FY 2021-22

POSITION DESCRIPTION	CITY ATTORNEY	CITY MANAGER	DEVELOPMENT SERVICES	FINANCE	HUMAN RESOURCES	PARKS & RECREATION	POLICE	PUBLIC WORKS	UTLITIES	JPA TRACY FIRE	GRAND TOTAL
CULTURAL ARTS SUPERVISOR		1									**1**
CULTURAL ARTS TECH SUPERVISOR		1									**1**
CULTURAL ARTS TECHNICAL COORD		1									**1**
DEPUTY CITY ATTORNEY II	1										**1**
DEPUTY CITY CLERK		1									**1**
DIRECTOR DEVELOPMENT SERVICES			1								**1**
DIRECTOR OF FINANCE				1							**1**
DIRECTOR OF HUMAN RESOURCES					1						**1**
DIRECTOR OF PUBLIC WORKS								1			**1**
DIRECTOR OF UTILITIES									1		**1**
DIRECTOR PARKS & RECREATION						1					**1**
DIVISION FIRE CHIEF										3	**3**
ECON DEVEL MGMNT ANALYST II			1								**1**
ECONOMIC DEV MANAGER			1								**1**
ELECTRICIAN									4		**4**
EMERGENCY MEDICAL SERVICES MGR										1	**1**
ENGINEERING TECHNICIAN II			3								**3**
ENVIRON COMPLIANCE TECHNICIAN									3		**3**
ENVIRONMENTAL COMPLIANCE ANLST									1		**1**
EQUIPMENT MECHANIC II								3			**3**
EXEC ASST TO CITY MANAGER		1									**1**
EXECUTIVE ASSISTANT		1	2	1		1	1	1	1	1	**9**
EXECUTIVE ASSISTANT-CONFID					1						**1**
FIRE BATTALION CHIEF										3	**3**
FIRE CAPTAIN										22	**22**
FIRE CHIEF										1	**1**
FIRE ENGINEER										21	**21**
FIRE INSPECTOR										3	**3**
FIRE MARSHAL										1	**1**
FIREFIGHTER										32	**32**
FIREFIGHTER/PARAMEDIC										1	**1**
FLEET SUPERVISOR								1			**1**
GIS ANALYST				1							**1**
HR MANAGER					1						**1**
HUMAN RESOURCES ANALYST II					3						**3**
HUMAN RESOURCES TECH					3						**3**
INFO SYSTEMS TECHNICIAN I				1							**1**
INFO SYSTEMS TECHNICIAN II				2							**2**
INFORM TECH MGR				1							**1**
INFORM TECHNOLOGY SPECIALIST				1							**1**
INSTRUMENTATION TECHNICIAN									2		**2**
JR ENGINEER			2								**2**
LAB QA OFFICER									1		**1**
LABORATORY TECHNICIAN II									4		**4**

CITY OF TRACY
APPROVED STAFFING BY DEPARTMENT
FY 2021-22

POSITION DESCRIPTION	CITY ATTORNEY	CITY MANAGER	DEVELOPMENT SERVICES	FINANCE	HUMAN RESOURCES	PARKS & RECREATION	POLICE	PUBLIC WORKS	UTLITIES	JPA TRACY FIRE	GRAND TOTAL
LANDSCAPE ARCHITECT			1								**1**
LEGAL SECRETARY	1										**1**
MAINTENANCE WORKER II						1		48	3		**52**
MANAGEMENT ANALYST II			1	1				3			**5**
MEDIA SERVICES SUPERVISOR		1									**1**
METER READER								2			**2**
OFFICE ASSISTANT			1								**1**
PARKS PLANNING & DEVELOP MGR						1					**1**
PLAN CHECK ENGINEER			1								**1**
PLAN EXAMINER II			3							1	**4**
PLANNING TECHNICIAN			1								**1**
POLICE CAPTAIN							2				**2**
POLICE CHIEF							1				**1**
POLICE CORPORAL							11				**11**
POLICE LIEUTENANT							7				**7**
POLICE OFFICER							66				**66**
POLICE RECORDS ASSISTANT II							6				**6**
POLICE RECORDS SUPERVISOR							1				**1**
POLICE SERGEANT							13				**13**
POLICE SUPPORT OPERATIONS MGR							1				**1**
POLICE SUPPORT SERVICES TECH							1				**1**
PRINCIPAL CIVIL ENGINEER			1								**1**
PROPERTY & EVIDENCE TECHNICIAN							1				**1**
PUBLIC INFORMATION OFFICER		1									**1**
PUBLIC SAFETY DISPATCH SUPER							1				**1**
PUBLIC SAFETY DISPATCHER I							3				**3**
PUBLIC SAFETY DISPATCHER II							9				**9**
PUBLIC WORKS SUPERINTENDENT								3			**3**
PUBLIC WORKS SUPERVISOR								2			**2**
RECREATION PROGRAM COORD		1				4					**5**
RECREATION SERVICES MANAGER						1					**1**
RECREATION SERVICES SUPERVISOR						1					**1**
SENIOR ACCOUNT CLERK				5							**5**
SENIOR ACCOUNTANT				3							**3**
SENIOR ACCOUNTING TECHNICIAN				2							**2**
SENIOR BLDG MAINT WORKER								1			**1**
SENIOR CIVIL ENGINEER			5						1		**6**
SENIOR EQUIPMENT MECHANIC								1			**1**
SENIOR INFO SYSTEMS TECHNICIAN				2							**2**
SENIOR MAINTENANCE WORKER						1		15			**16**
SENIOR PLANNER			3								**3**
SENIOR POLICE RECORDS ASSNT							1				**1**
SENIOR PUBLIC SAFETY DISPATCH							4				**4**
SENIOR UTILITIES WORKER								1			**1**

CITY OF TRACY
APPROVED STAFFING BY DEPARTMENT
FY 2021-22

POSITION DESCRIPTION	CITY ATTORNEY	CITY MANAGER	DEVELOPMENT SERVICES	FINANCE	HUMAN RESOURCES	PARKS & RECREATION	POLICE	PUBLIC WORKS	UTLITIES	JPA TRACY FIRE	GRAND TOTAL
SUPER BUILDING NSPECTOR			1								1
SUPERVISING CONSTRUCTION INSP			1								1
TRANSIT COORDINATOR						1					1
TRANSIT MANAGER						1					1
UT LINES MAINT SUPERINTENDENT								1			1
UTILITIES LABORATORY SUPTNDT									1		1
UTILITIES WORKER 2								2	1		3
UTILITY MAINTAINANCE SPRNTNDNT									1		1
UTILITY MAINTENANCE SUPERVISOR									1		1
UTILITY MECHANIC I									2		2
UTILITY MECHANIC II									5		5
UTILITY OPERATOR									13		13
WATER OPS SUPERINTENDENT									1		1
WATER RESOURCES&COMPLIANCE MGR									1		1
WATER TREATMENT PLANT OPER III									1		1
WW OPER SUPERINTENDENT									1		1
WW TREATMENT PLANT OPER III									2		2
Grand Total	**4**	**20**	**65**	**34**	**10**	**15**	**152**	**93**	**55**	**93**	**541**

Post Adoption Update



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Adopted Budget FY 2021-22

On May 18, 2021, the City Council adopted resolution 2021-62, approving the estimated actuals for FY 2020-21 and the Operating and Capital Budget for FY 2021-22. The General Fund FY 2020-21 had an estimated deficit of $4.6 million. The budget included a temporary hiring freeze of select vacant positions, delayed funding for vehicle and equipment replacement, and other programs changes. While the revenues projected in the budget reflected moderate growth (between 3-5%), the City entered the budget year with a deficit that was not completely closed by revenue growth. The City Council authorized the use the Economic Budget Stabilization reserves to cover the deficit.

Amended Budget FY 2021-22

Shortly after the adoption of the FY 2021-22 Operating and Capital Budget, the City received the January-March 2021 Sales tax disbursement from the State of California Department of Tax Franchise Administration (CDTFA), which resulted in a significant change in the City's estimated revenues. The result was due to a recent change in tax reporting by a predominant sales tax producer. This reporting change shifted the tax allocation from a statewide allocation through the County sales tax pool where the tax was distributed pro-rata throughout the State to a local sales tax allocation based upon the businesses' point-of-sale or fulfillment of the sale. The change will have a significant and material impact on the City's General Fund revenues; nearly doubling the current Sales Tax revenues for the City. The estimated revenues from the reporting change are expected to grow an average of over $5 million a quarter. In FY 2020-21, the General Fund estimated Sales Tax is expected to have an additional $10 million over the estimated budget and in FY 2021-22, the General Fund estimated Sales Tax is expected to be $25 million over the adopted budget.

As a result of this unexpected revenue, what was previously projected as a deficit in FY 2020-21 ($4.6 million) and FY 2021-22 ($2.3 million) has been amended to reflect a surplus in FY 2020-21, $7.2 million, and FY 2021-22, $22.7 million. By FY 2023-24, the reserve levels are estimated to be $60 million over the reserve policy.

Summary of Amended Revenues and Expenditures for General Fund FY21/22

REVENUES (in thousands)	FY20/21 Amended	FY21/22 Adopted	FY 21/22 Changes	FY21/22 Amended
Property Tax	$26,776	$28,114	-	$28,114
Sales Tax	39,012	29,222	25,000	54,222
Sales Tax Measure V	11,500	12,000	-	12,000
Other Taxes	2,415	2,842	-	2,842
Other Revenues	16,517	17,678	-	17,678
TOTAL REVENUES	**$96,220**	**$89,856**	**$25,000**	**$114,856**
EXPENDITURES (in thousands)				
Personnel	$59,480	$59,243	$3,700	$62,943
Purchased Services and Supplies	13,271	15,489	1,700	17,189
Utilities	1,965	2,168	-	2,168
Capital	0	0	-	0
Debt	1,276	1,121	-	1,121
TOTAL EXPENDITURES	**$75,992**	**$78,021**	**$5,400**	**$83,421**
Transfers In	$56	$60	-	$60
Transfers Out	(2,339)	(2,361)	-	(2,361)
Transfers Out: Measure V Capital	(10,817)	(11,812)	-	(11,812)
TOTAL NET TRANSFERS IN/(OUT)	**($13,100)**	**($14,113)**	**$0**	**($14,113)**
Total Change in Net Position	**$7,128**	**($2,279)**	**$19,600**	**$17,321**
Prior Year Reserves	$20,631	$27,759		$25,480
Use of Reserves				
Non-Spendable Reserves	62	62		62
Contingency/Emergency Reserves (17%)*	14,402	13,235		17,486
Economic/Budget Stability Reserves (13%)*	11,014	10,121		13,371
Prior Year Carryover	0	0		0
Unassigned	2,281	2,062		11,083
Year End Reserves	**$27,759**	**$25,480**	**$19,600**	**$42,802**

Adopted Amended Budget 2021-22

On July 20, 2021 the City Council adopted resolution 2021-091 amending the FY2021-22 adopted budget. During the adoption of the FY 2021-22 original Operational and Capital budget, the City Manager proposed a status quo budget that assumed no changes in employee contracts that were set to expire on June 30, 2021. Given the change in the City's fiscal situation, the City has negotiated two-year labor agreements six of its nine bargaining units The collective cost of the contracts is estimated at $1.4 million for the General Fund and $2.2 million Citywide year one and $2.2 million for the General Fund and $3.9 million Citywide year two. In addition, the funding for the vehicle, equipment funds, and approximately half of the frozen positions were restored.

The table below represents a summary of FY 2021-22 amendments and the effect of these amendments on FY 2022-23.

Budget Amendments (in thousands)	General Fund FY 21/22	Citywide FY 21/22	General Fund FY 22/23*	Citywide FY 22/23*
Revenues				
Revenue	25,000		30,000	
Expenditures				
MOU Two Year Contract (six units)	1,400	2,212	2,209	3,873
Restore funding for Vacancies	2,300	2,300	5,000	5,000
Restore funding for Vehicle and Equipment Replacement	1,700	1,700	1,700	1,700
Homeless Program			1,200	1,200
Total Expenditures	**$ 5,400**	**$ 6,212**	**$10,109**	**$11,773**

*Note: Year Two is cumulative

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Department Budget's



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Operating Activity

The City's budget represents the financial and organizational plan by which the City's policies and programs are implemented. Through the budget process and ongoing activity, the City Council oversees the operations of the City and sets forth community priorities and policies to be implemented by the City Manager. The City Manager directs the Department Heads in the administration of City programs and service delivery. The City Manager establishes administrative policy in areas under his/her purview. To monitor and evaluate departmental effectiveness, a project performance measurement program has been initiated.

The budget emphasizes reporting and controlling of programs within departments. City services are distributed among eleven departments which are further divided by programs to meet more specific City goals. A variety of programs representing major work efforts are established within each department. A budget is developed for each program in order to more accurately measure the true cost of providing services. Services are assigned into each departmental structure according to similarity in services provided. Departments may receive funding from one or more sources. Funds are appropriated to meet these service levels based upon applicable legal or accounting requirements.

Under a program system, the City's budget is organized around programs subject to review and analysis. Each budgetary program has performance objectives that represent its intended level of service. Under this method, each department sets forth the projects and programs to be undertaken during the fiscal year. Projects and programs may be short-term (with specific completion dates), or they may be ongoing programs. Each department's performance measurements (listed within each department's section of the budget) directly tie city services and personnel to meeting one or more of the City Council's Strategic Priorities. These performance measures are reviewed as part of the City's mid-year and end-of-year reviews to the City Council.

The purpose of utilizing a program approach for the operating budget is to achieve the following:

1. An emphasis on the services and activities of City departments rather than only detailed expense items. Budget justifications can then focus on public needs, departmental responses, and the resources needed for such.

2. Improved management capabilities. Rather than relying only on line-item accounting control, budget management can focus on service delivery and allow flexibility in managing resources.

3. Improved performance evaluation of City activities and operations. Budget preparation will require the formulation of specific performance objectives, and the budget execution can be evaluated against these objectives.

4. The use of manageable cost centers. Budget management can focus on more detailed breakdowns for various City activities, when necessary.

The following sections are designed to provide information about the activities of the City as a whole and present a longer-term view of the City's finances. This longer-term view is intended to illustrate the City's ability to continue functioning as a viable entity well beyond the next fiscal year's operations.

The City Council's Strategic Priorities – Adopted March 16, 2021

- Economic Development Strategy
    - *To enhance the competiveness of the City while further developing a strong and diverse economic base.*
- Public Safety
    - *To enhance community safety by promoting a responsive public safety system that includes civic engagement and partnerships, community involvement, public education and prevention, intervention, and suppression services that meet the needs of Tracy residents.*
- Quality of Life
    - *To provide an outstanding quality of life by enhancing the City's amenities, business mix and services, and cultivating connections to promote positive change and progress in our community.*
- Governance
    - *To enhance fiscal stability, retain and attract new talent, improve the use of technology, and enhance transparency for the betterment of the Tracy community.*

These four (4) strategic priorities reflect the community's desire to have a fiscally sustainable and efficiently operated City organization that is committed to maintaining its financial health. Additionally, a number of economic development efforts have been the focus of several years, with job creation being at the forefront. This continues to be a priority for the City, including ensuring a positive working relationship with the business community, so that the City's business attraction efforts result in bringing in targeted industries and retailers. Tracy strives to provide services that promote a safe community to its residents and businesses and remains a high priority to the City Council and City administration.

Department Budgets

Attached to this chapter are the departments' operating budgets.

City Attorney's Office



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CITY ATTORNEY'S OFFICE

Mission

Provide high quality legal services to the City of Tracy that protect and advance the interests of the City.

Department Overview

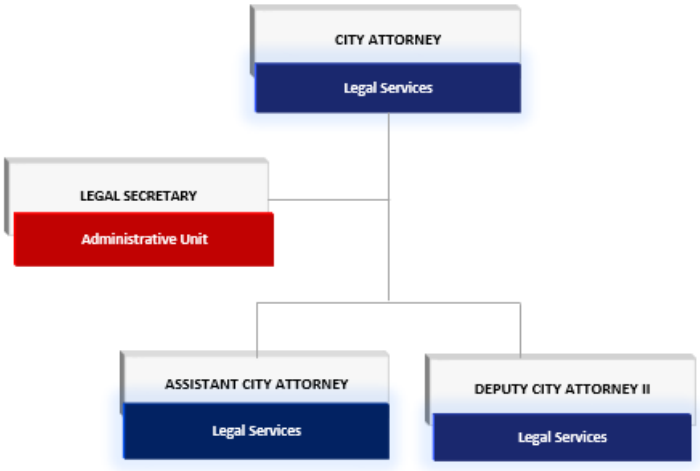
The City Attorney provides legal counsel to the City Council, the Planning Commission, City Manager, City Department Directors, and City staff.

City Boards and Commissions Supported

- City Council
- City Planning Commission

Organization Chart

Total Full-Time Equivalents: 4 (Includes Vacancy)



Services

- Draft and review agreements for public works, general services and professional services.
- Review and comment on all staff reports that go to City Council.
- Attend all City Council, Planning Commission, and select Commission meetings.
- Prepare and/or assist in the preparation of and/or review of all legal documents: ordinances, resolutions, real property purchase and sales agreements and leases.
- Prepare and/or assist in the negotiation of all contracts.
- Provide legal advice to the City Council, City Boards and Commissions, City Manager, Department Directors, and City staff.
- Prosecute misdemeanor and infraction Tracy Municipal Code violations in Superior Court and administratively.
- Coordinate and supervise outside counsel services, including litigation.
- Provide training on Public Records Act, Brown Act, Ethics (AB 1234), and other regulatory statutes needed and/or requested.

COUNCIL STRATEGIC PRIORITY ACCOMPLISHMENT SUMMARY, FISCAL YEAR 2020-2021

Fiscal Year 2020-2021 Accomplishment Summary	Governance
• Processed over 850 document reviews • Reviewed and commented on over 300 staff reports • Attended all City Council, Planning Commission, and select Commission meetings • Updated ordinances and policies to be consistent with changes in State and Federal law • Prepared and/or assisted in the negotiation of all contracts • Provided legal advice to the City Council, Commissions, Boards and City Staff • Prosecuted Tracy Municipal Code Violations • Coordinated and supervised outside counsel • Provided training on the Public Records Act, Brown Act, Ethics (AB 1234), and other regulatory statutes as needed and/or requested • Staff participated in Continuing Legal Education as required by the State Bar • Hired new Deputy City Attorney	✓

CURRENT AND PLANNED GOALS FOR FY 2021-2022

Current & Planned Goals Fiscal Year 2021-2022	Governance
• Assist in the implementation of electronic signatures • Provide legal advice regarding the implementation of the City's Cannabis Regulatory Ordinance including permitting process • Revise the City's Social Media Policy • Update the Tracy Municipal Code to comply with Federal and State Law and implement Council Strategic Priorities • On-board new Assistant City Attorney • Continue to attend all City Council, Planning Commission, and select Commission meetings • Continue updating ordinances and policies to be consistent with changes in State and Federal law • Continue to prepare and/or assist in the negotiation of all contracts • Continue to provide legal advice to the City Council, Commissions, Boards and City Staff • Continue to prosecute Tracy Municipal Code Violations • Continue to provide training on the Public Records Act, Brown Act, Ethics (AB 1234), and other regulatory statutes as needed and/or requested • Staff will participate in Continuing Legal Education as required by the State Bar	✓

FINANCIAL HIGHLIGHTS

Personnel Expenditures increased by 16% ($144,184) from FY 2021 amended budget to FY 2022 proposed budget. Services and supplies decreased by 5% ($15,688) from FY 2021 amended budget to FY 2022 proposed budget.

Staffing Levels

Department	City Attorney

	ELECTED/ COMMISSIONS	FY 2017-18 FTE	FY 2018-19 FTE	FY 2019-20 FTE	FY 2020-21 FTE	FY 2021-22 FTE
ASSISTANT CITY ATTORNEY		1	1	1	1	1
CITY ATTORNEY		1	1	1	1	1
DEPUTY CITY ATTY II		1	1	1	1	1
LEGAL SECRETARY		1	1	1	1	1
Grand Total		**4**	**4**	**4**	**4**	**4**

Department Budget Summary

DEPT	CITY ATTORNEY'S OFFICE

TYPE	OB	Sum of FY 2020	Sum of FY 2021 Amended	Sum of FY 2022 Dept Budget	Sum of FY 2023	Sum of FY 2024
E	PERSONNEL	775,828	780,258	924,442	933,684	943,019
	PURCHASED SERVICES AND SUPPLIES	203,859	354,001	338,313	346,373	349,789
	UTILITIES	886	36	2	3	4
Grand Total		**980,573**	**1,134,295**	**1,262,757**	**1,280,060**	**1,292,812**

Funding Summary by Fund

DEPT	CITY ATTORNEY'S OFFICE
OB	(Multiple Items)

TYPE	FUND TYPE	Sum of FY 2020	Sum of FY 2021 Amended	Sum of FY 2022 Dept. Budget	Sum of FY 2023	Sum of FY 2024
E	GENERAL FUND	980,573	1,134,295	1,262,757	1,280,060	1,292,812
Grand Total		**980,573**	**1,134,295**	**1,262,757**	**1,280,060**	**1,292,812**

Division Budgets

DEPT	CITY ATTORNEY'S OFFICE

TYPE	DIVISION NAME	Sum of FY 2020	Sum of FY 2021 Amended	Sum of FY 2022 Dept. Budget	Sum of FY 2023	Sum of FY 2024
E	CITY ATTORNEY	980,573	1,134,295	1,262,757	1,280,060	1,292,812
	DEVELOPER IMPROVEMENTS	0	0	0	0	0
Grand Total		**980,573**	**1,134,295**	**1,262,757**	**1,280,060**	**1,292,812**

City Council



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CITY COUNCIL

Mission
To provide the Community with basic and extended services that offer opportunities for individuals, families and businesses to prosper as they live, work and play in Tracy.

Vision
To preserve and improve the quality of life for Tracy so that Tracy becomes the most prosperous community in California.

Values

Customer Service - We serve our community by providing superior customer service as we work collaboratively to support our common values and vision as we strive to achieve shared goals of our departments and the City of Tracy as a whole.

Honesty – We nurture an atmosphere of openness, honesty, and integrity in our communication and action throughout our organization and with the greater public whom we serve.

Excellence – We meet expectations in the performance of our professional duties and delivery of our services through leadership, innovation, efficiency, and follow-through to excellent results and accomplishments.

Respect – We respect our colleagues and each individual or business of Tracy that we serve in our communications and through the delivery of our services.

Innovation – We participate in and cultivate a creative and learning environment where each employee has access to continuing education, training and technology to excel in the delivery of outstanding services to the community.

Stewardship – We utilize the resources entrusted to the City for the benefit of the Tracy community and in consideration of the environment we wish to preserve for Tracy's future.

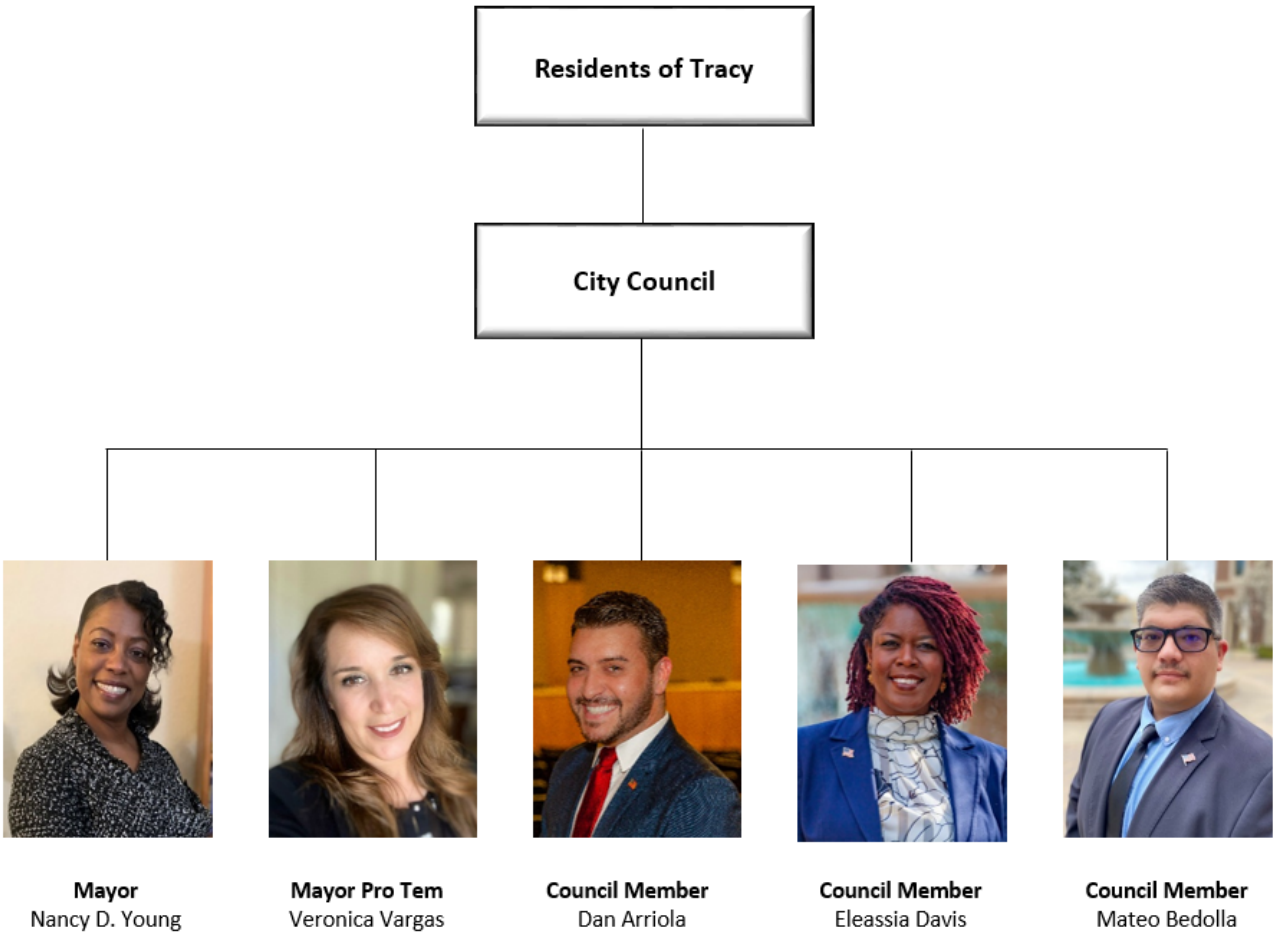
Hospitality – We maintain a positive and welcoming atmosphere and offer excellent customer service for the diverse community members and businesses that interact with the City of Tracy.

Department Overview
The City Council consists of a Mayor and 4 Council Members. It is the City's governing body and enacts City legislation and policies.

Services
Enact legislation and policies that protect the health, safety, and general welfare of the citizenry. Protect the City's environment while providing growth and economic development. Oversee the financial affairs of the City. Participate in regional issues. Enhance the quality of life through recreational and cultural activities.

Organizational Chart

City Council



CURRENT AND PLANNED GOALS FOR FISCAL YEAR 2021-2022

CITY COUNCIL	Council Adopted Strategic Priorities Fiscal Years 2021-2023	Governance
Model Good Governance, Teamwork, & Transparency	• Evaluate and provide recommendations regarding City advisory bodies' scope and policy framework • Conduct Advisory Bodies code of conduct training • Identify Council public service announcement opportunities within available budget	✓
Ensure Short & Long-Term Fiscal Health	• Develop and provide policy recommendations to balance the City's budget • Adopt Council Budget Principles • Develop a Financial Sustainability Plan to address long-term fiscal challenges • Update City Purchasing Policy • Develop City Grant Policy • Complete rate and fee studies: Solid Waste, Wastewater, Citywide, Core Fees, Master Impact Fees • Initiate cost allocation study; create replacement schedules for vehicles and equipment; building maintenance; information technology • Develop long-term funding options for City services, including but not limited to, road and park maintenance and replacement (City, LMD, and CFD) • Develop funding plan for fire station construction • Continue implementation of Fiscal Sustainability Plan	✓
Increase Public Awareness of City Finances & other Civic Matters	• Conduct informational, virtual Council/community workshops • Partner with local community organizations to showcase City services • Develop and implement public service announcements via the City's social media platforms	✓
Enhance Community Engagement Through Technology	• Conduct National Community Survey (NCS) to better assess community priorities • Implement new City website content management system (CMS)	✓

CURRENT AND PLANNED GOALS FOR FISCAL YEAR 2021-2022

CITY COUNCIL	Council Adopted Strategic Priorities Fiscal Years 2021-2023	Public Safety
Implement the Adopted Homelessness Strategic Plan	• Secure capital funding to construct, an on-going funding to operate, a temporary emergency housing facility (Arbor Road Project) • Partner and contract with shelter service providers to operate the facility • Coordinate/facilitate regular meeting with local homeless service providers to better deliver homeless/social services to the community • Identify local, state, and federal funding opportunities to continue to address homeless support services during the COVID-19 pandemic	✓
Strengthen Citywide Emergency Operations Preparedness	• Develop a Citywide Emergency Operations Plan, including Council and staff training and a local pandemic response plan	✓
Continue to Strengthen Community Safety through Crime Prevention Reduction Activities	• Continue to enhance and implement the use oftechnology (such as social media, stationary cameras, drones, and crime analytics) to generate evidence based intelligence to enhance enforcement efforts	✓
Partner with the South San Joaquin County Fire Authority (SSJCFA) to Complete Phase 2 Of the Personnel Transition Plan	• Complete and present Fiscal Analysis of the SSJCFA Personnel Transition Plan (Phase 2) to Council • Coordinate with SSJCFA to present the Personnel Transition Plan (Phase 2) to Council	✓
Implement Equity & Empowerment Strategies/Initiatives	• Continue supporting policies and best practices that prevent police violence, as referenced in the approved Tracy Equity and Empowerment Initiative • Continue to participate in training opportunities focused on fairness in police de-escalation strategies, implicit bias, cultural competency, and officer wellness • Present use-of-force data to Council through the Tracy Police Department Annual Report and publish onlinefor public viewing	✓
Support COVID-19 Public Health Recovery	• Collaborate with the San Joaquin County Public Health Department and Office of Emergency Services to implement and manage a Vaccination Plan in Tracy	✓

CURRENT AND PLANNED GOALS FOR FISCAL YEAR 2021-2022

CITY COUNCIL	Council Adopted Strategic Priorities Fiscal Years 2021-2023	Econ. Dev.
Continue to Support Valley Link	• Respond and provide feedback on the Valley Link Environmental Impact Report (EIR) • Maintain quarterly contact with Valley Link Executive Director • Rezone industrial land on 3rd Street near the downtown bowtie area for residential development • Continue lobbying efforts at the State and Federal level in support of funding for Valley Link • Participate in Valley Link board meetings	✓
Continue to Advance Transient Oriented Development (TOD) Opportunities	• Approve Scope of Work to begin Transit Oriented Development (TOD) Plan • Conduct TOD Stakeholder and community outreach meetings • Draft land use conceptual plans and alternatives • Conduct market and fiscal analyses • Draft TOD Specific Plan • Prepare Administrative Draft Environmental Impact Report	✓
Attract Businesses & Jobs that Meet the Needs & Desires of the Community	• Conduct Council workshop on the City's Economic Development efforts and strategies • Develop FY 21-23 Economic Development Strategic Plan • Update the City's retail and industrial business attraction list • Develop and implement a marketing campaign to attract specific businesses and industries • Promote the City at trade shows and industry events (post pandemic)	✓
Support Local Businesses Impacted By COVID	• Support local businesses and streamline the process for businesses to reopen in accordance with public health regulations • Coordinate with the Tracy Chamber of Commerce and Tracy City Center Association (TCCA) to develop and implement a "Shop Tracy" marketing and outreach campaign • Administer remaining funds for the Small Business Relief Grant Program and other small business loans • Coordinate a roundtable discussion and response to industry needs • Award FY 2021-22 Community Development Block Grant funding	✓

CURRENT AND PLANNED GOALS FOR FISCAL YEAR 2021-2022

CITY COUNCIL	Council Adopted Strategic Priorities Fiscal Years 2021-2023	Quality of Life
Develop Policies to Target New Jobs in Innovative Industries (E.G., Cannabis; Green Industry)	• Complete issuance of cannabis business permits • Complete Conditional Use Permit processes for Cannabis Business Permit holders • Initiate second round of Cannabis Business Permits • Identify and market industrial properties attractive to Electric Vehicle (EV) testing and development • Initiate marketing for enhancement of the green economy cluster and develop strategies for business growth and attraction • Rezone and complete environmental review for the Chrisman Road property to attract innovation industry tenants	✓
Pursue Smart Growth Strategies for Balanced Growth	• Conduct Council workshop on residential growth management • Conduct Council workshop on General Plan Land Use, Circulation Elements, and the Transportation Master Plan • Rezone Tracy Gateway property for mix of land uses to attract businesses, future hospital, range of residential, and various types of assisted and independent/senior housing • Pursue zoning ordinance amendments to increase residential densities	✓
Advance Green and Roadway Infrastructure Projects that Improve Connectivity, including Bike Lanes	• Complete PCI Road Condition study • Continue and complete Corral Hollow Road Widening Project between Park Side Drive and Linne Road • Complete Phase 2B Wastewater Treatment Plant Expansion • Adopt new Citywide Infrastructure Master Plans • Include green technologies in infrastructure solutions (e.g. roundabouts, dual use of storm drain facilities, traffic signal coordination)	✓
Facilitate the Completion of Measure V Amenities	• Revisit Measure V amenities planning (e.g., aquatics center, multi-generational gymnasium, Legacy Fields) • Advance Measure V amenity planning • Implement design of Measure V amenity (timing to be determined) • Break ground on Measure V amenity (timing to be determined)	✓
Provide City Programming And Events that Bolster Quality of Life	• Reinstitute special events, Parks & Recreation, and Cultural Arts programming in alignment with Public Health guidelines • Reinstitute special events, Parks & Recreation, and Cultural Arts programming to pre-COVID levels.	✓

CURRENT AND PLANNED GOALS FOR FISCAL YEAR 2021-2022

CITY COUNCIL	Council Adopted Strategic Priorities Fiscal Years 2021-2023	Quality of Life
Implement Affordable Housing Initiatives	• Facilitate the development of affordable housing via affordable housing-focused policies and initiatives • Pursue, encourage, and incentivize non-profit affordable housing developers to build in Tracy • Approve Scope-of-Work to begin Council identified workforce/affordable housing policy changes • Streamline multi-family permit process by removing requirements for Conditional Use Permits • Amend Accessory Dwelling Unit (ADU) ordinance and develop "off-the shelf" ADU example designs • Amend parking requirements for multi-family developments • Amend zoning ordinance development standards • Evaluate potential amendments to the Growth Management Ordinance (GMO)	✓

SIGNIFICANT CHANGES OF NOTE FOR FISCAL YEAR 2021-2022

FINANCIAL HIGHLIGHTS

Personnel expenditures increased by 3% ($2,307) due to increased medical costs from FY 2021 amended budget to FY 2022 proposed budget. Supplies and Services increased by 1% ($1,172) from FY 2021 amended budget to FY 2022 proposed budget. Funding for the department is generated from the Central Administration Fund.

Staffing Levels

Department	City Council

	ELECTED/ COMMISSIONS
COUNCIL MEMBER	4
MAYOR	1
Grand Total	**5**

Department Budget Summary

DEPT	ELECTED

TYPE	OB	Sum of FY 2020	Sum of FY 2021 Amended	Sum of FY 2022 Dept Budget	Sum of FY 2023	Sum of FY 2024
E	PERSONNEL	80,416	76,826	79,133	81,508	83,954
	PURCHASED SERVICES AND SUPPLIES	85,182	119,546	120,718	132,303	133,520
	UTILITIES	5,542	5,709	5,762	6,108	6,475
Grand Total		**171,140**	**202,081**	**205,613**	**219,919**	**223,949**

Funding Summary by Fund

DEPT	ELECTED
OB	(Multiple Items)

TYPE	FUND TYPE	Sum of FY 2020	Sum of FY 2021 Amended	Sum of FY 2022 Dept Budget	Sum of FY 2023	Sum of FY 2024
E	GENERAL FUND	171,140	202,081	205,613	219,919	223,949
Grand Total		**171,140**	**202,081**	**205,613**	**219,919**	**223,949**

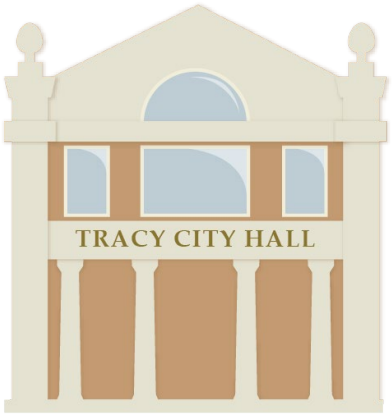
Division Budgets

DEPT	ELECTED

TYPE	DIVISION NAME	Sum of FY 2020	Sum of FY 2021 Amended	Sum of FY 2022 Dept Budget	Sum of FY 2023	Sum of FY 2024
E	ELECTED	171,140	202,081	205,613	219,919	223,949
Grand Total		**171,140**	**202,081**	**205,613**	**219,919**	**223,949**

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City Manager's Office



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CITY MANAGER'S OFFICE

Mission

To provide executive leadership and oversight of City programs and operations in accordance with the policies and vision of the Tracy City Council.

Vision

To preserve and improve the quality of life for Tracy so it becomes the most prosperous community in California.

Values

Customer Service - We serve our community by providing superior customer service, working collaboratively to support our common values and visions as we strive to achieve shared goals of our departments and the City of Tracy as a whole.

Honesty – We nurture an atmosphere of openness, honesty, and integrity in our communication and action throughout our organization and with the greater public whom we serve.

Excellence – We meet expectations in the performance of our professional duties and delivery of our services through leadership, innovation, efficiency, and follow-through toward excellent results and accomplishments.

Respect – We respect our colleagues and each individual or business that we serve in Tracy through our communications and through the delivery of our services.

Innovation – We participate in and cultivate a creative and learning environment where each employee has access to continuing education, training, and technology to excel in the delivery of outstanding services to the community.

Stewardship – We utilize the resources entrusted to the City for the benefit of the community and in consideration of the environment that we wish to preserve for Tracy's future.

Hospitality – We maintain a positive and welcoming atmosphere, and offer excellent customer service for the diverse community members and businesses with whom we interact.

Department Overview

The City Manager's Office manages and administers municipal services provided by operational and support departments, including public safety. It provides policy and program development support to the City Council; recommends action on legislation; responds to Council and constituency inquiries; manages the allocation of City's resources, administers a comprehensive and equitable personnel system; and provides the fiscal foundation for municipal services through planning, budgeting, and reporting; promotes City services and awareness through various communication channels.

City Boards and Commissions, and Community Partners

- City Boards and Commissions
- City/Schools Liaison Committee
- Tracy City Center Association
- City/Chamber Liaison Committee
- Tracy Arts Commission
- Various non-profit organizations
- Grand Foundation (Non-Profit Partner)

Organization Chart

CITY MANAGER'S OFFICE

Total Full-time Equivalents: 19

(Includes Vacancies)



*On interim basis, Cultural Arts will be managed by the Parks & Recreation Director

DIVISION OVERVIEW

The City Manager's Office generally oversees all City Departments and directly oversees the City Clerk's Office, Cultural Arts (Grand Theatre Center for the Arts), and the Community Engagement & Public Information Division which includes the City's Education and Government Access Station - Channel 26, and Community Promotions.

ADMINISTRATION

The City Manager serves as the Chief Executive Officer of the organization and is charged with implementing the City Council's strategic vision and priorities. The City Manager's Office is responsible for overseeing the general day-to-day operations of the organization and ensures citywide policy and program implementation.

Services

- Oversight of organizational operations to ensure efficient and cost-effective service delivery.
- Develop and recommend policies that support the City of Tracy's current and long-term goals.
- Implement public policies adopted by the City Council.
- Oversee the preparation of the City's annual budget.
- Engage in legislative advocacy efforts at the local, state, and federal level.
- Support internal departments and divisions to achieve strategic goals.

CITY CLERK OFFICE

The Office of the City Clerk is a service department within the City Manager's Office upon which the City Council, all City departments, and the general public rely for information regarding the operations and legislative history of the City. The City Clerk serves as the liaison between the public and the City Council, and provides related municipal services.

Services

- Provide legislative support to the City Council and standing committees.
- Conduct municipal elections.
- Management of public records.
- Administer citywide records retention schedule.
- Maintain and index the Minutes, Ordinances, and Resolutions adopted by the City Council.
- Manage public inquiries and arranges for ceremonial and official functions.
- Recruit for City Council appointed boards and commissions.
- Serve as Filing Officer for the Fair Political Practices Commission.

CULTURAL ARTS

The Grand Theatre Center for the Arts is an interdisciplinary arts center owned and operated by the City of Tracy, serving the region, offering fine arts programming through a partnership between the City of Tracy and the Grand Foundation. Through the Arts Education, Exhibitions, and Presenting Programs, the Center provides professional and diverse experiences to its

audiences.

Services

- Manage the City's cultural arts activities and volunteer development.
- Provide staff support to the Tracy Arts Commission and the Grand Foundation.
- Manage and provide multi-disciplinary Arts Education Program Programming.
- Manage and provide combinations of professionally produced exhibitions and events that include educational and interactive components such as demonstrations, gallery talks, receptions, residencies, and workshops.
- Manage and provide annual seasons of theatre presentations at the Grand Theatre Center for the Arts.
- Manage and provide rented use of the theatres and spaces at the Grand Theatre.

COMMUNITY ENGAGEMENT AND PUBLIC INFORMATION DIVISION

The Community Engagement and Public Information Division provides strategic public relations and multi-media services for the City and its departments; shares information across various mediums to encourage an open, participatory, and informed public; serves as the liaison to the media, general public, and external agencies on a variety of topics; and manages the City's communication channels including the City's education and public access station.

Services

- Provides video coverage of City Council meetings and other community events.
- Produces videos for public awareness, education, and engagement.
- Manages several communication channels including the City's public education and access station, Channel 26; City website; social media; newsletters; and media releases.
- Oversees the City's image, marketing, and beautification programs.
- Supports activities within the City Manager's Office and other departments.
- Develops, manages, and administers strategic communication plans.
- Prepares and oversees the drafting of speeches, media releases, public service announcements and other content/materials for City officials and staff to educate the public and enhance the City's public image.
- Identifies opportunities for promoting City programs, projects, and activities.
- Plans, organizes, and implements public engagement events and special publicity campaigns for various projects, programs, and issues.

COUNCIL STRATEGIC PRIORITY ACCOMPLISHMENT SUMMARY, FISCAL YEAR 2020-2021

CMO	Fiscal Year 2020-2021 Accomplishment Summary	Quality of Life	Econ. Dev.	Public Safety	Gov.
Admin.	• Developed work plan and action plan to implement Homelessness Strategic Plan • Engaged in legislative advocacy efforts that support the City's fiscal foundation and quality of life • Implement green bikeways striping plan for bikeways throughout Tracy • Provided location and funding for the City's first ever warming center • Awarded $329K in Homeless Housing, Assistance and Prevention funding (HHAP) from County	✓			
Admin.	• Developed and implemented COVID-19 Community Recovery Plan • Continued to support business attraction & retention efforts • Continued to support small businesses through COVID-19 Strategy Committee: offering grant programs, Shop Local Campaign, facilitating Temporary & Conditional Use Permits • Continued collaboration with the San Joaquin Regional Rail Commission & Valley Link to enhance transportation connectivity from the Central Valley to the Bay Area		✓		
Admin.	• Developed work plan to implement Tracy's Homelessness Strategic Plan • Provided location and funding for the City's first warming center • Applied for and received $329K in Homeless Housing, Assistance and Prevention funding (HHAP) from County			✓	
Admin.	• Held Council workshops to develop FY 2021-2022 Strategic Priorities • Supported City departments and divisions to ensure effective, efficient, and innovative service delivery methods • Explored revenue enhancement and cost containment strategies to support the future fiscal solvency of the organization				✓

COUNCIL STRATEGIC PRIORITY ACCOMPLISHMENT SUMMARY, FISCAL YEAR 2020-2021

CMO	Fiscal Year 2020-2021 Accomplishment Summary	Not Applicable to Council Strategic Priorities	Gov.
Admin.	• Developed reopening plan for Council Chamber	✓	
City Clerk	• Provided election services for November 2020, handled legal requirements • Continued to foster transparency and access to public meetings • Ensured timely access to information and public records for staff	✓	
Cultural Arts	• Realigned and redesigned Performing Season, Arts Education and Gallery Exhibitions to adjust for mandated social distancing requirements • Designed and implemented an online Gallery Shop on the Grand's web site • Began process to complete bids for exterior painting and repair project of the Grand Theatre Center for the Arts • Provided staff support to many other City Departments during FY 20/21 due to COVID restrictions and realignment of serving the community • Continued to serve a diverse population which includes; children, teens, adults, seniors and the disabled with Arts Education Distance Learning Programming • Completed Civic Art window shade project in the Grand Galleries	✓	
Community Engagement & Public Information	• Completed Council Chambers Audio/Visual Upgrade Project • Implemented new City Website Content Management System (CMS) • Finalized audio/visual administrative policies and procedures • Utilized media intelligence platforms for media monitoring/analytics, social listening & social media engagement • Provided training opportunities to staff to maximize media services capabilities & community engagement efforts • Designed & implemented community forums to discuss and identify opportunities for charge as it relates to environmental justice, police policy enforcement approaches, & other related matters • Produced City Council meeting highlight videos. • Launched City Manager, COVID-19, and Quarterly Budget Update newsletters		✓

CURRENT AND PLANNED GOALS FOR FISCAL 2021-2022

CMO	Current and Planned Goals Fiscal Year 2021-2022	Quality of Life	Econ. Dev.	Public Safety	Gov.
Admin.	• Advance green and roadway infrastructure projects that improve connectivity • Facilitate completion of Measure V amenities • Provide City programming and events that bolster quality of life • Implement affordable housing initiatives	✓			
Admin.	• Continue Support efforts for Valley Link including lobbying efforts at the State and Federal level for funding • Continue to advance Transit Oriented Development (TOD) opportunities		✓		
Admin.	• Complete and Present Fiscal Analysis of the SSJCFA Personnel Transition Plan (Phase 2) to Council • Coordinate with SSJCFA to present the Personnel Transition Plan (Phase 2) to Council • Coordinate with the San Joaquin County Public Health Department and Office of Emergency Services to implement and manage a Vaccination Plan in Tracy • Secure capital funding to construct & operate a Temporary Emergency Housing Facility (Arbor Rd Project) • Partner and contract with shelter service providers to operate the facility • Coordinate/facilitate regular meeting with local homeless service providers to better deliver homeless/social services to the community • Identify local, state, and federal funding opportunities to continue to address homeless support services during the COVID-19 pandemic			✓	
Admin.	• Evaluate City advisory bodies' scope and policy framework to provide recommendations to Council; conduct Code of Conduct training • Identify Council public service announcement opportunities within available budget • Conduct informational, virtual Council/Community Workshops				✓

CURRENT AND PLANNED GOALS FOR FISCAL 2021-2022

CMO	Current and Planned Goals Fiscal Year 2021-2022	Quality of Life	Gov.
City Clerk	• Begin updating City-wide document retention schedule • Continue to build strong working relationships to identify opportunities to improve service to meet the changing needs of the community • Promote civic engagement, involvement and participation in public meetings		✓
Cultural Arts	• Implement operations plan to transition back to in person programming and special events inside and outside of the Grand • Present the 2021-22 Gallery Exhibitions Season including feature exhibits, with on-going Co-Op and Webstore sales • Continue to build diverse Grand Theatre programming that will engage the community and appeal to a broad range of community members • Continue to apply for grant funding as it becomes available for Cultural Arts	✓	
Community Engagement & Public Information	• Develop and implement public service announcements via the City's social media platforms • Conduct National Community Survey (NCS) to better assess community priorities • Launch new City website content management system (CMS) • Update citywide communication policies • Produce Team Tracy video update with the City Council • Launch Team Tracy outreach campaign • Continue generating informative, educational and engaging content across the City's communication channels, including: social media, website, newsletters, and Channel 26 • Provide staff training on various multi-media areas • Support internal departments on community outreach and engagement efforts		✓

FINANCIAL HIGHLIGHTS

Personnel expenditures increased by 6% ($154,790) from FY 2021 amended budget to FY 2022 proposed budget; services and supplies increased by 24% ($320,341) from FY 2021 amended budget to FY 2022 proposed budget.

Staffing Levels

Department	City Manager's Office

	ELECTED/ COMMISSIONS	FY 2017-18 FTE	FY 2018-19 FTE	FY 2019-20 FTE	FY 2020-21 FTE	FY 2021-22 FTE
ADMINISTRATIVE ASSISTANT		1	2	2	2	2
ASSISTANT CITY MANAGER		1	2	2	2	1
ASSISTANT TO CITY MANAGER					1	1
BOX OFFICE ASSISTANT		1	1	1	1	1
BOX OFFICE COORDINATOR		2	2	2	2	2
CITY CLERK		1	1	1	1	1
CITY MANAGER		1	1	1	1	1
CULTURAL ARTS COMMISSIONERS	7					
CULTURAL ARTS DIVISION MANAGER		1	1	1	1	1
CULTURAL ARTS PROGRAM COORDINATOR		1	1	1	1	1
CULTURAL ARTS SUPERVISOR		1	1	1	1	1
CULTURAL ARTS TECHNICAL COORDINATOR						1
CULTURAL ARTS TECHNICAL SUPERVISOR		1	1	1	1	1
DEPUTY CITY CLERK		1	1	1	1	1
EXEC ASST TO CITY MG		1	1	1	1	1
EXECUTIVE ASSISTANT		1	1	1	1	1
MEDIA SERVICES SUPERVISOR		1	1	1	1	1
PUBLIC INFORMATION OFFICER		1	1	1	1	1
Grand Total	7	16	18	18	19	19

Department Budget Summary

DEPT	CITY MANAGER'S OFFICE

TYPE	OB	Sum of FY 2020	Sum of FY 2021 Amended	Sum of FY 2022 Dept Budget	Sum of FY 2023	Sum of FY 2024
E	PERSONNEL	2,083,851	2,344,582	2,499,372	2,754,291	2,839,923
	PURCHASED SERVICES AND SUPPLIES	457,262	1,025,348	1,345,689	2,263,278	985,292
	UTILITIES	2,396	3,108	3,274	3,473	3,683
Grand Total		**2,543,509**	**3,373,038**	**3,848,335**	**5,021,042**	**3,828,898**

Funding Summary by Fund

DEPT	CITY MANAGER'S OFFICE
OB	(Multiple Items)

TYPE	FUND TYPE	Sum of FY 2020	Sum of FY 2021 Amended	Sum of FY 2022 Dept Budget	Sum of FY 2023	Sum of FY 2024
E	GENERAL FUND	2,543,509	3,373,038	3,848,335	5,021,042	3,828,898
	SPECIAL REVENUE	0	0	0	0	0
Grand Total		**2,543,509**	**3,373,038**	**3,848,335**	**5,021,042**	**3,828,898**

Division Budgets

DEPT	CITY MANAGER'S OFFICE

TYPE	DIVISION NAME	Sum of FY 2020	Sum of FY 2021 Amended	Sum of FY 2022 Dept Budget	Sum of FY 2023	Sum of FY 2024
E	CITY CLERK	466,317	797,669	597,731	845,112	616,137
	CITY MANAGER	1,691,519	2,008,113	2,149,151	2,411,083	2,493,293
	HOMELESS SHELTER	0	161,066	650,000	1,300,000	250,000
E Total		**2,543,509**	**3,373,038**	**3,848,335**	**5,021,042**	**3,828,898**
Grand Total		**2,543,509**	**3,373,038**	**3,848,335**	**5,021,042**	**3,828,898**

Development Services Department



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DEVELOPMENT SERVICES DEPARTMENT

Mission

Devoted to the creation of a safe, healthful, and quality physical environment for current and future residents through a team of dedicated professionals committed to public service.

Vision

A Department which provides professional, friendly service to all Tracy Customers, whether in the office or in the field, each moment of the business day, that is committed to excellence in every facet of its duties.

A Department that achieves not on the shoulders of a few individuals, but on the collective determination of all DES employees to be the best and whose foundation for success is cooperation between employees, offering support and mutual respect for one-another to the best of our abilities.

A Department that has the courage to continually step back and evaluate itself critically, and thereby foster an environment wherein new ideas are encouraged, and change may produce improved levels of public service, programs, and policies.

A Department that helps this ever-changing Tracy Community in identifying and then implementing its own sense of vision.

Department Overview

The Development Services Department oversees all aspects of development within the City, including advance and current planning, building plan review and inspections, and engineering services. Its divisions include Planning, Building Safety, and Engineering.

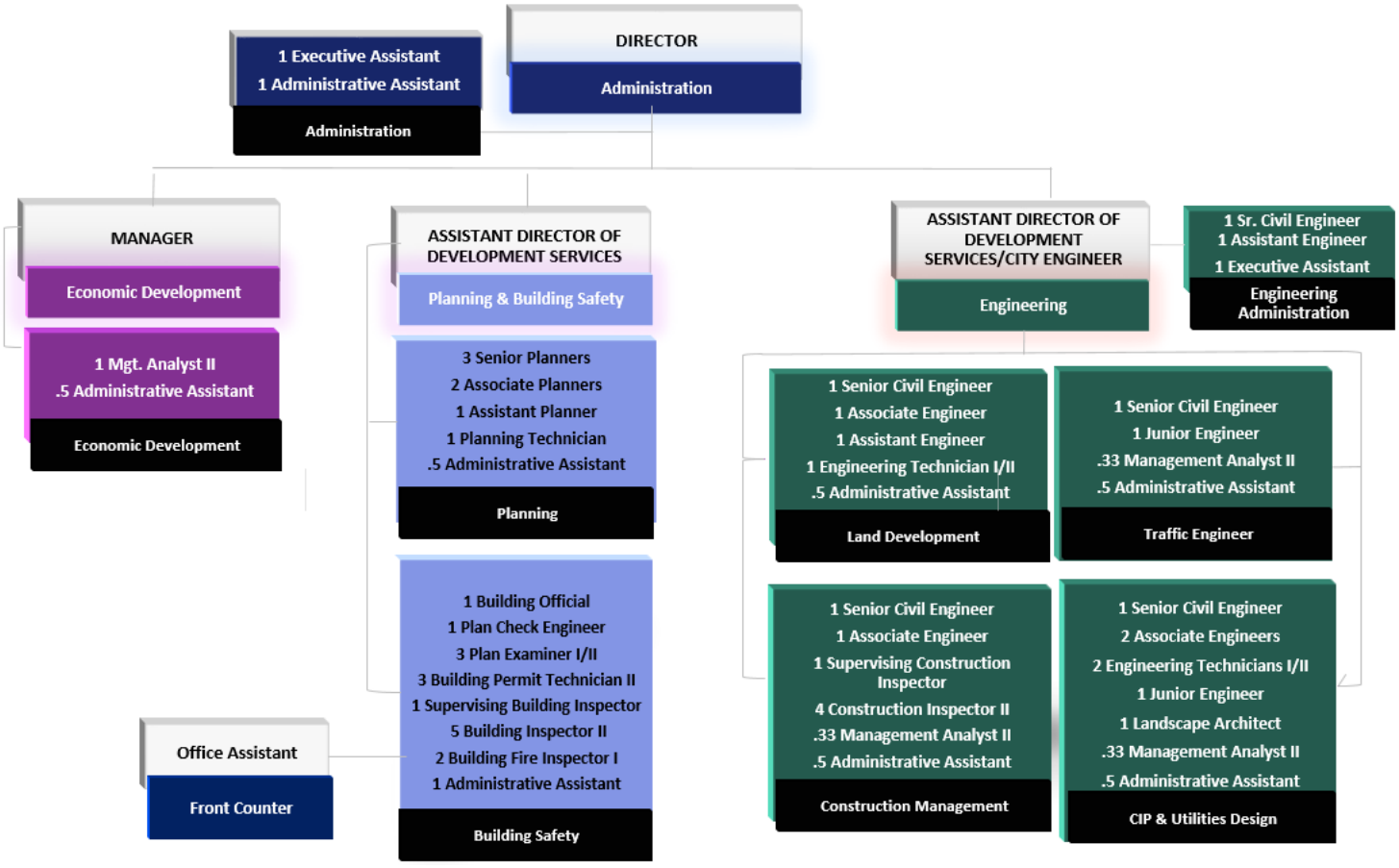
City Boards, Commissions, and Community Partners, Supported

- City Council
- City Planning Commission
- Tracy City Center Association (TCCA)
- Tracy Chamber of Commerce
- iHub of San Joaquin
- Team California
- COVID-19 Small Business Strategy Committee

Organizational Chart

DEVELOPMENT SERVICES DEPARTMENT
FY 2021-2022

Total Full-time Equivalents: 59
(Includes Vacancies)



DEVELOPMENT SERVICES DIVISIONS

BUILDING SAFETY

The Building Safety Division is responsible for assuring that all industrial, commercial and residential construction projects within the City of Tracy are completed in compliance with building codes and applicable laws for the protection and safety of the Tracy community by processing and reviewing applications, plans and supporting documentation, and performing project inspections.

Services

- Issue building permits and maintain the plans and records for all construction projects.
- Process and review plans, supporting documentation, and applications for all construction projects.
- Perform field inspections on all permitted construction projects.
- Perform enforcement against any unpermitted projects.

ECONOMIC DEVELOPMENT

The Economic Development Division seeks to promote and pursue commercial, industrial, and office development within the City to create a diversified and sustainable economic base for the community.

Services

- Retain, attract, and encourage business growth within the City of Tracy, with a focus on Innovation and Green Industries.
- Serve as the primary point of contact for prospective businesses.
- Provide information on Tracy's business incentives, available properties, permitting processes, development related fees, and more.
- Promote Tracy as the premiere location to do business in the Northern California Megaregion via trade shows, business retention visits, newsletters, and various marketing activities.
- Administer the Community Development Block Grant (CDBG) program.
- Develop other strategies that enhance wealth creation and competitiveness of the City.

ENGINEERING

The Engineering Division is responsible for oversight of public capital improvement projects and ensuring that all residential, commercial, and industrial development projects conform to City engineering standards. It includes the following Engineering Units: Land Development, Capital Improvement and Utilities Design, Traffic Engineering, and Construction Management.

Services

Land Development

- Review and process permits.
- Review subdivision maps, construction plans, public improvement and grading plans, facilities master plans, finance plans, technical analyses, environmental statutes and mitigation measures.

- Oversee the review and approval of encroachment, grading and transportation permits, final map, parcel map, lot line adjustment, and vacation of right-of-way and/or easement(s).
- Collaborate with developers, engineers, and the public to ensure compliance with the City of Tracy's design standards and guidelines, location of public utilities and property lines, existing easements or right-of-ways, permit processing requirements, and pertinent policies and State and local regulations.

Design

- Plan and design all City capital improvement projects (capital improvement projects include construction, repair and improvement of public streets, utility pipelines for water, sewer and storm drain lines, pump stations, bridges, bike paths, public buildings and public parks).
- Coordinate land acquisition activities necessary to facilitate capital improvement projects and the preparation of assessments maps.

Traffic Engineering

- Program and manage the design of all capital improvement traffic signal projects and all projects involving traffic control devices, striping, and signage modifications in public right-of-ways.
- Program and manage the design of all interchange improvements on I-580 and I-205.
- Maintain and manage the City's Pavement Management Program.
- Program and manage the design of the City's annual pavement rehabilitation and slurry seal projects.
- Apply for grants for signals, bikeways, pedestrian improvements, and interchange improvements.
- Oversee and implement the timing of the City's traffic signals; maintain and manage the City Traffic Management Center at City Hall.
- Oversee traffic studies for City development projects and ensure compliance with the City's Transportation Master Plan.
- Conduct annual speed studies to set the posted speed limits on street segments.
- Address resident requests related to traffic calming; implement engineering elements of the City's Traffic Calming Program.
- Conduct traffic counts and perform analyses to determine if intersection traffic control changes are warranted.
- Collaboratively work with the Utilities, Public Works, and Police Departments on signal operations, signage and striping modifications, and traffic calming throughout the City.
- Issue new addresses to subdivisions, businesses, single-family, multi-family and accessory dwelling residential units.

Construction Management

- Inspect public works projects (construction, repair and improvements to public streets, utility pipelines, pump stations, bridges, bike paths, public buildings and public parks).
- Inspect private development projects (e.g., construction of public infrastructure needed to

facilitate commercial, residential and industrial growth).
- Collaborate with outside utility companies that use the public right-of-way for routing of their facilities.
- Plan check and perform constructability review for public works projects, private development projects and encroachment permits of outside utility companies that use the public right-of-way for routing of their facilities.
- Work with Building Safety Division inspectors for building occupancy approvals.

PLANNING

The Planning Division is responsible for implementing City policies that direct the physical development and community character of the City. Areas of focus include land use analysis, growth management, development and design review, environmental analysis and project master planning.

Services

- Perform advance and current planning.
- Develop and maintain the City's General Plan, Zoning Code, Specific Plans and Land use documents.
- Provide development review for project conformance with Specific Plans, Zoning Codes, Growth Management Ordinance and Guidelines, Planned Unit Developments, Subdivision Map Act, California Environmental Quality Act, and City Design Guidelines.
- Work on long-range planning projects and regional planning issues.
- Develop and maintain growth management policies and procedures.
- Conduct environmental reviews for development plans and projects.

COUNCIL STRATEGIC PRIORITY ACCOMPLISHMENT SUMMARY, FISCAL YEAR 2020-2021

DEV. SERVICES	Fiscal Year 2020-2021 Accomplishment Summary	Econ. Dev.	Public Safety
Building Safety	*Based upon estimate of anticipated performance outcomes to occur by June 30th2021 (2 ½ months after data submission for Table)		
Inspections	• Performed *approximately 23,000 building inspections • Completed ADA assessments of all 77 City parks • Provided *approximately 95% of all inspections within 24 hours of request	✓	✓
Permit Processing	• *Processed and issued approximately 3,600 building permits • Received "Excellent" or "Good" customer satisfaction survey ratings for services performed 95% of the time • Received "Excellent" or "Good" customer satisfaction survey ratings for processing time *95% of the time	✓	✓
Plan Review	• Performed *approximately 4,000 plan reviews in the building plan review process (in-house and contract staff reviews) • *Completed approximately 90% of all plan reviews targeted for a maximum 20-day turn-around time to be completed in period • *Completed 90% of all plan reviews targeted for a maximum 10-day turn-around time to be completed period • *Completed 90% of all plan reviews targeted for a maximum 5-day turn-around time to be completed in that time period • *Complete 95% of all plan review targeted for one-day service to be completed in that period	✓	✓

COUNCIL STRATEGIC PRIORITY ACCOMPLISHMENT SUMMARY, FISCAL YEAR 2020-2021

DEV. SERVICES	Fiscal Year 2020-2021 Accomplishment Summary	Econ. Dev.
Economic Development	• Issued a community retail and entertainment survey and determined the needs and desires of the community • Implemented marketing campaign to target specific businesses and industries that provide head of household jobs • Enhanced collaboration with statewide, regional, and local economic development organizations to promote Tracy for corporate business expansion • Virtually augmented marketing campaign to reach targeted businesses and industries • Business retention: Deployed nearly $210,000 in Forgivable Loans and $500,000 in COVID small business relief grants and hosted several webinars and workshops to help small businesses with navigating the regulatory and financial assistance environment • Secured a $500,000 grant award from SJCOG for economic development and regional transportation infrastructure • Worked closely with the Downtown District to implement an outside dining "Streatery" for restaurants located on 10th Street, resulting in a 23% revenue boost for downtown merchants between Q2 and Q3 2020 • Implemented a new Shop Local campaign	✓

COUNCIL STRATEGIC PRIORITY ACCOMPLISHMENT SUMMARY, FISCAL YEAR 2020-2021

DEV. SERVICES	Fiscal Year 2020-2021 Accomplishment Summary	Quality of Life
Engineering		
Construction Management (CIP's)	***Capital Improvements Projects*** • Completed and accepted the construction for the following projects: ➢ MacArthur Drive widening between Schulte and Valpico Roads ➢ Sewer Lateral Replacement ➢ William Larsen Park Renovation ➢ Legacy Fields Sports Complex, Phase 1D ➢ Tracy Airport Precision Approach Path Indicators which serve as a visual aid when landing aircrafts ➢ Tracy Municipal Airport: replaced existing automatic weather observing system on the existing tower and foundation. ➢ Traffic signal modification at Schulte Road/Lauriana Lane ➢ 2018-2019 Street Overlay, PH 1 ➢ 2018-2019 Overlay and Slurry Seal, PH 2 ➢ Traffic Signal at Lammers & Schulte Roads • Performed sidewalk and accessibility improvements • Cherry Blossom Court Pedestrian and Landscape Improvement Project ***Development Projects*** • Inspected 7 Public Improvements projects at the International Park of Commerce (IPC) with the construction value of $20 million dollars in offsite improvements • Inspected 3 Public Improvement projects at the North East Industrial (NEI) with a construction value of $2 million dollars ***Residential Development Projects*** • Inspected 5 Tracy Hills Residential Tracts, 3 neighborhood parks; Ellis Gardens, 9 infill projects; currently inspecting Fire Station #95. • Inspected 150 encroachment permitted projects related to utilities	✓

COUNCIL STRATEGIC PRIORITY ACCOMPLISHMENT SUMMARY, FISCAL YEAR 2020-2021

DEV. SERVICES	Fiscal Year 2020-2021 Accomplishment Summary	Not Applicable to Council Strategic Priorities
Engineering		
Land Development	• Completed plan-checking and inspection of Home 2 Suite Hotel • Completed annexation of Tracy Village Senior Living Facility • Approved off-site plans for Holly Commerce • Approved: ➢ A second Amazon facility in the Northeast Industrial area ➢ EV charging station for the NEI Amazon facility. ➢ 3 warehouse development and parcel map for Seefried Industrial ➢ Expanded truck parking lot for Home Depot ➢ Tentative map for Cordes Ranch Specific Plan – International Park of Commerce ➢ A new Best Buy, Home Depot, & Amazon warehouse in the International Park of Commerce (IPC) ➢ A new commercial and office center in IPC ➢ Final map to subdivide an auto dealership ➢ Kaiser Permanente medical office building parking lot expansion ➢ Third phase of the Ellis Specific Plan ➢ Final Map and improvement plans for Phase 5 of Tracy Hills ➢ A precise plan line for the Chrisman Road re-alignment • Began the masterplan Northeast Industrial Specific Plan storm drain basin, pump station, and force main • Issued a Grading Permit for the new CHP Station • Collaborated with Costco regarding water issues	✓

COUNCIL STRATEGIC PRIORITY ACCOMPLISHMENT SUMMARY, FISCAL YEAR 2020-2021

DEV. SERVICES	Fiscal Year 2020-2021 Accomplishment Summary	Quality of Life
Engineering		
Traffic Engineering	• Completed/Started design of 12 CIP projects, including: ➢ 2 Traffic signal installation projects & 2 adaptive signal system projects ➢ 4 Sidewalk repair & replacement ➢ 4 Pavement rehabilitation, overlay & slurry seal • Applied for and received 3 Grants: ➢ $24.8 million TCEP grants for the construction of I-580/Mountain House interchange project ➢ $1.6 million of SJCOG 2020 Regional ATP Grant for the Holly Drive pedestrian & roadway improvements ➢ CMAQ grants for adaptive traffic signals on Grant Line Rd ($875k) & traffic signal at Corral Hollow & Valpico Rd Intersection ($330k) • Oversaw traffic impact studies of all major development projects such as Tracy Hills, Cordes Ranch, Tracy Logistics, & NEI • Sought & received project & environmental document approval for I-205 & I-580 Mountain House Interchange projects • Completed the engineering and traffic studies for 33 Street Segments in the City of Tracy and established new speed limits • Reviewed improvement project plans for all major development projects. • Issued new addresses to 1 subdivision (132 units), 1 apartment complex (265 units), 20 meter pedestals and 37 individual homes/suites • Reviewed and approved all traffic control plans for all City encroachment permit applications • Executed Master Professional Services Agreements with 3 traffic and transportation consultants for the next 3 years	✓

COUNCIL STRATEGIC PRIORITY ACCOMPLISHMENT SUMMARY, FISCAL YEAR 2020-2021

DEV. SERVICES	Fiscal Year 2020-2021 Accomplishment Summary	Not Applicable to Council Strategic Priorities
Design Engineering	• Managed approximately 60 on going CIP Projects • Completed/Started design of 12 CIP projects, including: ➢ Police Multipurpose Reality Based Training Facility ➢ Station 91 Renovations ➢ Grand Theatre Exterior Improvements – Phase I, II & III ➢ El Portal Sewer Lateral Replacements ➢ Lammers Road 20" Water Main ➢ Arbor Road Storm Drainage ➢ Airport Restroom Project ➢ Transit Station Renovations ➢ Park Improvement Projects (Huck, Sullivan, Alden, Yasui, Tally) • Completed 5 Master Plan Updates, including City Facilities, Safety, Drainage & Parks, Recreation, and Trails Master Plans • Advertised & awarded CIP projects for Construction including Cherry Blossom Court, Station 91 renovations, Grand Theatre exterior improvements, Phase I • Executed 8 Agreements for professional specialized design services for on call and project specific design services • Completed design of cough barriers at 9 public service locations within City facilities • Reviewed approximately 30 Land Development Application Submittals • Submitted Power Resiliency Grant Application • Updated City Utility Base-map including reviewing 600 record drawings for utility location; revised base-map based on 160 record drawings (to date); updated drainage & sewer data for inclusion in City GIS database • Completed Right of Way Acquisition of Basin 2B • Completed Tracy Boulevard Sidewalk Gap Closure • Provided estimating support for Police, Fire & City facility grant applications	✓

COUNCIL STRATEGIC PRIORITY ACCOMPLISHMENT SUMMARY, FISCAL YEAR 2020-2021

DEV. SERVICES	Fiscal Year 2020-2021 Accomplishment Summary	Quality of Life	Econ. Dev.
Planning			
Project Planning	• Phase 1 Transit Oriented Development Planning • Annexed the approved Avenues Specific Plan site into the City limits to accommodate new single-family housing • Annexed two development sites totaling over 250-acres within the City's Sphere of Influence for new industrial development • Completed Tracy Village Specific Plan for a new active adult community through annexation processes • Completed the Draft Specific Plan for the Tracy Gateway development site and complete the environmental review process		✓
Project Planning	• Conducted City Council workshop & community meetings on affordable/workforce housing • Collaborated on San Joaquin County projects & processes including Habitat Conservation Technical Advisory Committee & Regional Transportation Plan/Sustainable Communities Strategy Technical Advisory Committee.	✓	✓
Business & Resident Assistance	• Managed 2500 calls for service/zoning, development, and business assistance inquiries • Implemented complete online service delivery • Provided over 20 zoning research letters and development time extensions		✓

COUNCIL STRATEGIC PRIORITY ACCOMPLISHMENT SUMMARY, FISCAL YEAR 2020-2021

DEV. SERVICES	Fiscal Year 2020-2021 Accomplishment Summary	Quality of Life	Econ. Dev.
Planning			
Long Range Planning Projects	• Initiated 3 new annexations from new development applications • Completed Cannabis Business Permit Application Guidelines and Procedures • Completed Phase 1 of the Transit Oriented Development (TOD) study • Completed Outreach on Affordable/Workforce Housing Strategies • Completed Draft of Westside (formerly Tracy Gateway) Specific Plan • Successfully obtained two State Planning Grants (LEAP and SB 2) • Conducted residential growth management study sessions with Planning Commission and City Council • Completed required annual General Plan and Housing Element update reports • Initiated new Regional Housing Needs Allocation (RHNA) for upcoming Housing Element update • Completed Draft new citywide Sign Ordinance that addresses new electronic reader boards • Completed Planning Division Cost Allocation and User Fee study • Completed smoking ordinance with City Attorney's office		✓
Development Projects and Growth Management	• Processed 41 Cannabis Business Permit applications and regularly managed website/FAQ updates • Managed residential Growth Management Ordinance systems to implement General Plan projects • Issued over 700 residential growth allotments (RGAs) • Approved: 7 Specific Plan amendments, 11 subdivision & parcel maps, over 30 development review permits, over 60 minor land use permits, and 5 zoning code amendments	✓	✓

CURRENT AND PLANNED GOALS FOR FISCAL YEAR 2021-2022

DEV. SERVICES	Current and Planned Goals Fiscal Year 2021-2022	Quality of Life	Econ. Dev.	Public Safety
Building Safety				
Inspections	• Perform 23,000 building inspections • Complete 50% of the ADA assessments for City-owned buildings • Provide 95% of all inspections within 24 hours of requests		✓	✓
Permits	• Process and issue 3,600 building permits • Receive “Excellent” or “Good” customer satisfaction survey ratings for services performed 95% of the time • Receive “Excellent” or “Good” customer satisfaction survey ratings for processing time 95% of the time		✓	✓
Plan Review	• Perform 4,000 plan reviews • Develop “off-the-shelf" plans for Accessory Dwelling Units for affordable housing options during current housing shortage • Complete 90% of all plan reviews targeted in a maximum 20-day turn-around time frame • Complete 90% of all plan reviews targeted in a maximum 10-day turn- in that time period • Complete 90% of all plan reviews targeted in a maximum 5-day turn-around time frame • Complete 95% of all plan review targeted in a one-day turn-around frame	✓	✓	✓
Economic Development	• Support local businesses impacted by COVID • Attract businesses and jobs that meet the needs and desires of the community • Develop policies to target new jobs in innovative industries		✓	

CURRENT AND PLANNED GOALS FOR FISCAL 2021-2022

DEV. SERVICES	Current and Planned Goals FY 2021- 2022	Quality of Life
Engineering		
Construction Management	***Capital Improvement Projects*** •Provide construction support and inspections for approximately 10 CIP Projects: ➢ Alden & Ken Yasui Parks ➢ Transit Center Renovations ➢ Grand Theatre Exterior Improvements ➢ Temporary Emergency Housing ➢ Police Multipurpose Reality Based Training Facility ➢ Lammers Road 20" Water Main ➢ Fire Station 91 ➢ Fire Station 92 & 96 Radio Towers ➢ Two Sidewalk Repair & Replacement ➢ Three Pavement Rehabilitation, Overlay & Slurry Seal ***Development Projects*** Inspect 8 public offsite improvements projects with the construction value of $72 million dollars in improvements at the IPC and NEI ***Residential Development Projects*** • Inspect 7 Residential Projects at Tracy Hills Residential Tracts, Ellis and Tracy Village; 2 neighborhood parks; 150 permitted encroachment projects related to utilities in the Public Right-of-Way	✓

CURRENT AND PLANNED GOALS FOR FISCAL 2021-2022

DEV. SERVICES	Current and Planned Goals FY 2021- 2022	Not Applicable to Council Strategic Priorities
Engineering		
Land Development	• Process and approve: ➢ 5 residential tentative maps (e.g. Tracy Hills Phase 1B, 1C, 2, Ellis Phase 3) ➢ 2 Commercial Tentative Maps (e.g. Cordes Ranch, IPC) ➢ 10 final maps (e.g. Tracy Hills KT Project, TH Phase 1B, TH phase 2, Ellis Phase 3) ➢ 20 public improvement plan sets associated with development (e.g. IPC Phase 1K, DB NEI, Corral Hollow Rd widening- Tracy Hills, Traffic Signal at Larch Rd and Tracy Blvd.) ➢ 1 Commercial Final Map (e.g. Cordes Ranch, IPC) • Begin construction of public improvements for 20 projects associated with development (e.g. NEI storm drain basin, NEI pump station, NEI force main, Lammers I-580 Undercrossing • Accept public improvements for 20 projects associated with Development (e.g. Harvest in Tracy apartments, Ellis Phase 2 subdivision improvements, Cordes Ranch Phases 1A-1D)	✓
Traffic Engineering	***Design CIP Projects*** • Traffic Safety Projects: ➢ 1 Flashing beacon installation project ➢ 1 Median & pedestrian crosswalk improvement project • Streets and Highways Projects ➢ 1 Sidewalk repair & replacement ➢ 2 Pavement Rehabilitation, Overlay & Slurry Seal ➢ Develop the Local Roadway Safety Plan • Perform Pavement Management Update ***Other*** • Complete the update to the Transportation Master Plan • Acquire the right-of-way for the I-580/Mountain House Interchange Project	✓

CURRENT AND PLANNED GOALS FOR FISCAL 2021-2022

DEV. SERVICES	Current and Planned Goals FY 2021- 2022	Public Safety	Not Applicable to Council Strategic Priorities
Engineering			
Traffic Engineering	• Perform engineering and traffic studies to establish speed limits for various street segments in the City that are due for survey in 2021	✓	
Design Engineering	• Research & apply for grant funding for infrastructure improvement projects • Apply for CDBG grant funding • Finalize Master Plan Updates for drainage, parks, facilities and safety • Review Land Development Application submittals • Complete update of Utility Base Map/data migration into City GIS • Complete updates to Parks and Streetscape Design Standards • Right of way acquisition for Basin 3A • Provide Construction Support for 8 CIP Projects: ➢ Alden Park and Ken Yasui Park ➢ Lammers Road 20" Water Main ➢ Transit Center Renovations ➢ Grand Theatre Exterior Improvements ➢ Temporary Emergency Housing ➢ Police Multipurpose Reality Based Training Facility ➢ Fire Station 91 Interior Remodel ➢ Fire Station 92 & 96 Radio Towers • Start/Complete approximately 20 CIP Projects including: ➢ Temporary Emergency Housing Facility ➢ Gretchen Talley Park Phase III; Hoyt Park Improvements ➢ Aquatic Center ➢ Multi-Generational Community Center ➢ Lincoln Park Shade Structure ➢ Legacy Fields Phase 1E ➢ Schulte Rd Barcelona to Mabel Josephine landscape design ➢ Tracy Sports Complex fencing, concessions, ADA improvements ➢ Tracy Sports Complex fencing, concessions, ADA improvements		✓

CURRENT AND PLANNED GOALS FOR FISCAL 2021-2022

DEV. SERVICES	Current and Planned Goals FY 2021- 2022	Not Applicable to Council Strategic Priorities
Planning		
Long Range Planning Projects	• Complete Draft Affordable/Workforce Housing Study with SB2 and LEAP Grants • Complete administrative Draft of the Specific Plan for the Transit Oriented Development project • Complete annual General Plan Progress Report submittal to State of CA • Complete ordinance creating updated Citywide Sign ordinance • Complete revisions/updates to City's Cannabis Business Permit Application Guidelines and Procedures • Initiate update to City's General Plan Housing Element • Rezone Chrisman Property and complete EIR	✓
Development Projects and Growth Management	• Complete update to Growth Management Ordinance Guidelines • Conduct 2 City Council workshops on land use & growth mgt. • Complete first round of Conditional Use Permits for cannabis businesses • Process second round Cannabis Business Permit applications and manage website/FAQ updates • Manage residential Growth Management Ordinance systems to implement General Plan projects • Issue over 700 residential growth allotments (RGAs) • Approve over 5 Specific Plan amendments, 7 subdivision & parcel maps, 30 development review & 60 minor land use permits, & 2 zoning code amendments • Complete: Costco Annexation and Tracy Alliance Annexation EIRs and submit applications to LAFCo; Westside (formerly Tracy Gateway) Draft Specific Plan and EIR	✓
Business and Resident Assistance	• Manage 2500 calls for service/zoning, development, and business assistance inquiries • Implemented complete online service delivery • Provide over 20 zoning research letters and development time extensions	✓

FINANCIAL HIGHLIGHTS

Personnel expenditures increased by 12% ($997,706) from FY2021 amended budget to FY2022 proposed budget. Services and supplies decreased by 19% ($1,309,938) from FY2021 amended budget to FY2022 proposed budget.

Staffing Levels

Department	Development Services

	ELECTED/ COMMISSIONS	FY 2017-18 FTE	FY 2018-19 FTE	FY 2019-20 FTE	FY 2020-21 FTE	FY 2021-22 FTE
ADMINISTRATIVE ASSISTANT		5	5	5	5	5
ASSISTANT DIRECTOR OF DEVELOPMENT SERVICES		1	1	1	1	1
ASSISTANT DIRECTOR OF DEVELOPMENT SERVICES/CITY ENGINEER		1	1	1	1	1
ASSISTANT ENGINEER		1	2	2	2	2
ASSISTANT PLANNER		1	1	1	1	1
ASSOCIATE CIVIL ENGINEER		3	2	2	2	0
ASSOCIATE ENGINEER		1	1	1	1	3
ASSOCIATE PLANNER		2	2	2	2	2
BLDG/FIRE INSPECTR I		2	2	2	2	2
BUILDING INSPECTOR II		5	5	5	5	5
BUILDING OFFICIAL		1	1	1	1	1
BUILDING PERMIT TECHNICIAN II		3	3	3	3	3
CONSTRUCTION INSPECTOR II		3	4	4	4	4
DEVELOPMENT SERVICES DIRECTOR		1				1
ECONOMIC DEV MANAGER		1	1	1	1	1
ECONOMIC DEVELOPMENT MANAGEMENT ANALYST II		2	2	2	1	1
ENGINEERING PRGM MGR		1	1	1	1	0
ENGINEERING TECHNICIAN I/II		2	3	3	3	3
EXECUTIVE ASSISTANT		2	2	2	2	2
JR CIVIL ENGINEER		1	1	1	1	0
JUNIOR ENGINEER		1	1	1	1	2
LANDSCAPE ARCHITECT			1	1	1	1
MANAGEMENT ANALYST II		1	1	1	1	1
OFFICE ASSISTANT		0	0	0	0	1
PLAN CHECK ENGINEER		1	1	1	1	1
PLAN EXAMINER I/II		3	3	3	3	3
PLANNING COMMISSIONER	5					
PLANNING TECHNICIAN			1	1	1	1
PRINC CIVIL ENGINEER		1	1	1	1	1
RECEPTIONIST-DS		1	1	1	1	0
SENIOR CIVIL ENGINEER		5	5	5	5	5
SENIOR PLANNER		3	3	3	3	3
SUPERVISING BUILDING INSPECTOR		1	1	1	1	1
SUPERVISING CONSTRUCTION INSPECTOR		1	1	1	1	1
Grand Total	**5**	**57**	**60**	**60**	**59**	**59**

Department Budget Summary

DEPT	Development Services

TYPE	OB	Sum of FY 2020	Sum of FY 2021 Amended	Sum of FY 2022 Dept Budget	Sum of FY 2023	Sum of FY 2024
E	CAPITAL	1,135,228	0	0	0	0
	PERSONNEL	7,540,937	7,483,292	8,480,998	8,588,306	8,696,482
	PURCHASED SERVICES AND SUPPLIES	5,113,254	8,153,540	6,843,602	6,953,307	7,061,984
	UTILITIES	19,721	20,768	23,224	24,620	26,100
Grand Total		**13,809,140**	**15,657,600**	**15,347,824**	**15,566,233**	**15,784,566**

Funding Summary by Fund

DEPT	Development Services
OB	

TYPE	FUND TYPE	Sum of FY 2020	Sum of FY 2021 Amended	Sum of FY 2022 Dept Budget	Sum of FY 2023	Sum of FY 2024
E	GENERAL FUND	849,498	1,425,584	900,664	919,159	929,475
	DEVELOPMENT SERVICES	11,202,814	12,888,195	13,760,263	13,947,838	14,142,970
	GAS TAX	74,318	146,041	0	0	0
	SPECIAL REVENUE	415,678	1,086,237	550,821	561,678	572,913
	CAPITAL	1,017,928	9,802	0	0	0
	WATER	59,430	47,091	57,123	57,731	58,421
	WASTEWATER	189,473	54,650	78,953	79,827	80,787
Grand Total		**13,809,140**	**15,657,600**	**15,347,824**	**15,566,233**	**15,784,566**

Division Budgets

DEPT	Development Services

TYPE	DIVISION NAME	Sum of FY 2020	Sum of FY 2021 Amended	Sum of FY 2022 Dept Budget	Sum of FY 2023	Sum of FY 2024
E	BUILDING INSPECTIONS	4,305,400	5,640,710	6,092,526	6,185,729	6,281,467
	CAPITAL	1,000,000	0	0	0	0
	CONSTRUCTION MANAGEMENT	169,039	29,503	23,114	23,344	23,577
	DEV SERVE ADMIN	201,972	183,114	140,214	148,081	150,720
	ECONOMIC DEVELOPMENT	1,054,767	2,328,707	1,311,271	1,332,756	1,351,668
	ENGINEERING	5,107,598	5,445,217	5,761,334	5,832,793	5,909,030
	PLANNING	1,878,118	1,874,506	2,019,365	2,043,529	2,068,104
	STREET IMPROVEMENTS	85,323	150,194	0	0	0
	TRAFFIC SAFETY	6,923	5,649	0	0	0
Grand Total		**13,809,140**	**15,657,600**	**15,347,824**	**15,566,233**	**15,784,566**

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Finance Department



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FINANCE DEPARTMENT

Mission

The Finance Department's mission is to facilitate open and accountable municipal financial services, manage and safeguard the City's resources in a prudent and comprehensive manner that will provide fiscal sustainability to support City services; to be responsible for the overall financial management of the City; to follow generally accepted accounting standards, to assure that appropriate internal controls are in place to safeguard City assets; and to support internal operations through technology.

Vision

We continually improve the services delivered to customers, both internally and externally.

Values

- Accountability
- Continuous improvement
- Customer appreciation
- Ethical conduct
- Personal integrity
- Teamwork
- Transparency and open communication
- Service excellence

Department Overview

The Finance Department provides financial accounting and reporting for its citizens, other agencies, and internal departments. Finance is also responsible for payroll, oversight of mail and duplication services, assigning cost centers to departments, water and sewer billing, Business License compliance, billing for City services, and general customer service.

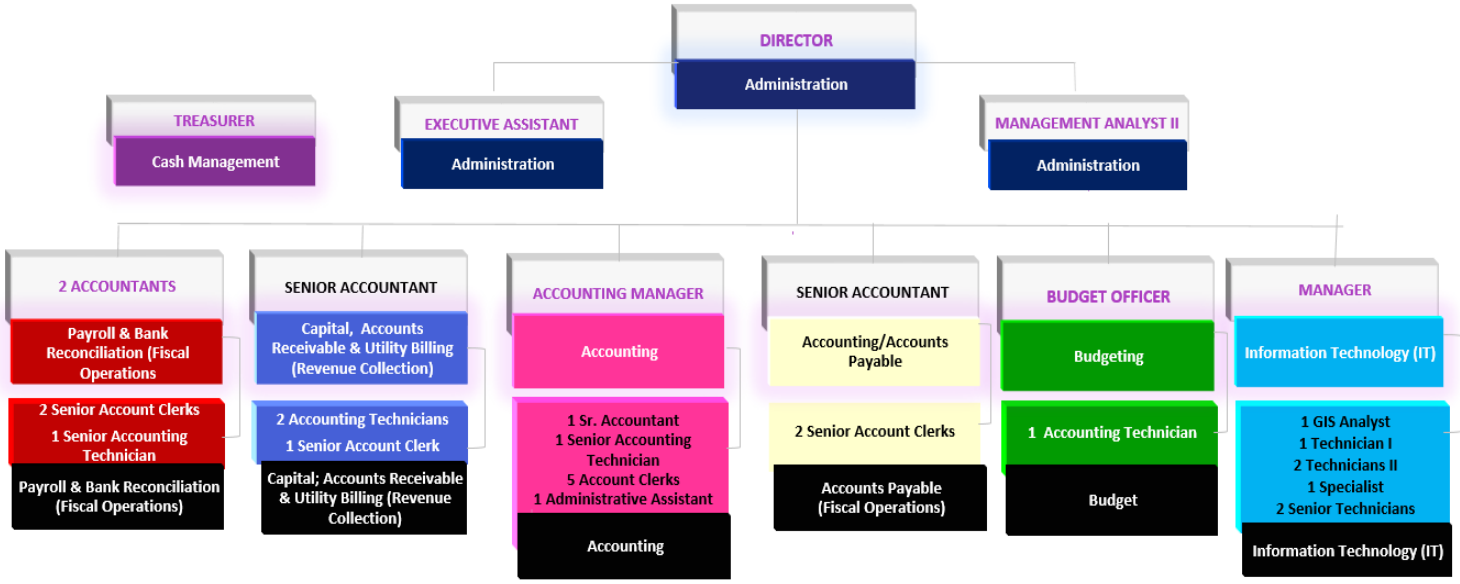
City Boards, Commissions, and Community Partners Supported

- Measure V Residents' Oversight Committee
- Investment Review Committee
- City Council Ad-hoc Subcommittee for Fiscal Sustainability

Organization Chart

FINANCE DEPARTMENT

Total Full Time Equivalents: 34
(Includes Vacancies; Excludes Treasurer, an Elected Official)



FINANCE DEPARTMENT DIVISIONS

CASH MANAGEMENT

The City Treasurer is responsible for the management of the City's cash and investment portfolio.

Services

- Perform liquidity risk management
- Perform cash management
- Issue and repay debt
- Monitor interest rate risk
- Oversee pension investment management

BUDGET

The Budget Division is responsible for the development and monitoring of the City's annual budget and operating plan.

Services

- Coordinate, prepare, review, and control of the City's operating budget and Capital Improvement Program.
- Monitor fiscal transactions and trends.
- Provide internal fiscal reports.
- Perform fiscal analysis.
- Coordinate and compile City operating and Capital Improvement Program budgets
- Compile data based on statistical studies of trend analysis.
- Estimate revenue, expenditures and reserves.
- Participate in the forecast of additional funds needed for staffing, equipment, materials, and supplies.
- Receive, interpret, analyze and summarize budget requests.

FISCAL OPERATIONS

The Fiscal Operations Division is responsible for financial activities that support City operations. It also includes the City Treasurer (an elected official) who is responsible for the management of the City's cash and investment portfolio.

Services

- Perform liquidity risk management
- Perform cash management
- Issue and repay debt
- Monitor interest rate risk
- Oversee pension investment management
- Process the City's payroll disbursing Checks for employees.

- Oversee accounts payable Disburse City checks for employees, vendors, contractors and other payees.
- Maintain supporting fiscal records.

ACCOUNTING

Oversees the City's accounting operations and performs a variety of professional and technical tasks relative to the maintenance of accounting records, accounting processes, financial reporting, and audits.

Services

- Evaluate accounting operations and activities; recommends improvements and modifications; prepare various reports on operations and activities.
- Oversee audit
- Review the preparation and maintenance of journals, ledgers and supporting financial records; reconcile the City's general ledger to subsidiary accounts.
- Review, develop and modify accounting methods to improve existing procedures; identify and implement new opportunities for automated accounting systems; ensure conformity to sound accounting practices and City policies and procedures.
- Prepare and interpret a variety of financial reports and analyses including those related to grant accounting and the State Auditor's Report.
- Participate in the coordination of assigned accounting activities with those of other City divisions, departments and outside agencies and organizations as appropriate.
- Confer with and provide staff assistance and advice to higher-level City staff; develop, prepare and present recommendations and reports as requested.
- Establish and maintain records related to the City's fixed assets.
- Lead and participate in the year-end reconciliation and closing of the City's financial records, as well as annual audits of centralized and decentralized accounting records.

REVENUE COLLECTION

The Receivables Division is responsible for receiving and monitoring City revenue.

Services

- Record the receipt of all revenues received by the City including utility billings, delinquencies, business licenses, miscellaneous accounts receivables.
- Record the City fee for County property tax collection.
- Monitor and pursue delinquent accounts.

INFORMATION TECHNOLOGY DIVISION

The Information Technology Division (IT) has the responsibility of supporting and maintaining the computer and network infrastructure, all IT related hardware, approved software, and operating systems used by the City of Tracy agency. In addition, IT is responsible for the design, build out, and integration of new infrastructure and technology solutions needed to meet demands; for ensuring security of the environment by configuring, maintaining, and supporting firewalls, anti-virus/anti-malware tools, spam filtering, and backups for all application server;

and evaluating the short and long-term technology needs of both the organization and community to facilitate the efficient delivery of services and promote continued economic development. In prior years, the IT Division was part of the City Manager's office. Beginning in FY 2022, the IT Division has moved to the Finance department.

Services

- Designs, builds, and integrates new infrastructure and technology solutions as needed
- Ensures security of the technology environment.
- Provides desktop support for approximately 500 computers and 100 tablets.
- Provides application support for over 300 different software applications.
- Provides Phone support for approximately 450 desk phones and 50 cell phones
- Supports and maintain network file servers, network and wireless infrastructure, telephone and e-mail systems.
- Supports and maintain technology infrastructure (Local Area Network) switches, database servers, firewalls, application servers, routers for connectivity between sites
- Supports and Maintain data systems (Tyler MUNIS ERP, CRW for Permits, Microsoft SQL Server, Microsoft Windows Server, Microsoft SharePoint, West Covina CAD/RMS (Police), ESRI Geographic Information Systems (GIS), Laser fiche document management, Chameleon Animal Care and Control, Microsoft Exchange eMail, ActiveNet program registration, Granicus for streaming public meetings, VMWare virtualization, Veeam backup and recovery, Other departmental sys- tem applications
- Supports and maintains Customer Interface Systems: City website and intranet, Video streaming of Council and other civic meetings, Government Outreach CRM

COUNCIL STRATEGIC PRIORITY ACCOMPLISHMENT SUMMARY, FISCAL YEAR 2020-2021

FINANCE	Fiscal Year 2020-2021 Accomplishment Summary	Governance
Administration	• Monitored, applied for, and was awarded over a $1 million in grants to allocate towards COVID mitigation efforts • Developed and implemented a Grant Management Program, Policy and Procedures • Implemented Grant Management Network System (eCivis) to assist departments in applying for and managing grants • Provided oversight for the Development Fiscal Policy and Financial Principles for Council adoption • Developed an equipment replacement and financing policy for City equipment and vehicles • Oversaw adoption of Cannabis Fees • Conducted three fiscal workshops • Provided continuous training to staff for efficiency and development of professional abilities • Provided continued departmental support regarding changes in information processes • Reviewed and considered modifications to financial policies and related ordinances • Adopted a Citywide User Fee Study implementing fees to reduce general fund subsidy and to fund departments efficiently • Assisted with the completion of a rate study for water and wastewater funds to maintain operations, debt, capital, and reserve levels to maintain the sustainability of these utilities	✓

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COUNCIL STRATEGIC PRIORITY ACCOMPLISHMENT SUMMARY, FISCAL YEAR 2020-2021

FINANCE	Fiscal Year 2020-2021 Accomplishment Summary	Governance
Budget	• Improved Budget process and assisted departments in budget implementation process	✓
Revenue Collection	• Increased the number of electronic transaction options for customers, including: ▪ Payment ▪ Work orders ▪ Calls for Service ▪ Application processing ▪ Renewals	✓
Accounting	• Continued to produce award winning audits and budgets • Improved avenues to communicate effectively and efficiently the financial position of the City and all of its resources • Continued to produce award winning audits and budgets • Improved avenues to communicate effectively and efficiently the financial position of the City and all of its resources	✓
Cash Management	• Invested City funds • Insured safety of funds, liquidity to meet cash flows, and yield consistent with these others. • Prepared monthly report of treasury activities within 45 days of the close of the month.	✓

COUNCIL STRATEGIC PRIORITY ACCOMPLISHMENT SUMMARY, FISCAL YEAR 2020-2021

FINANCE	Fiscal Year 2020-2021 Accomplishment Summary	Public Safety	Not Applicable to Council Strategic Priorities
Information Technology	• Replace West Covina CAD/RMS with new Mark43 CAD/RMS to meet DOJ NIBRS compliance • Upgrade router to improve DOJ connectivity and meet new security requirements • Installed new Internal Affairs program IAPro/BlueTeam	✓	
Information Technology, Continued	• Completed Windows 10 upgrade for all City desktops/laptops • Install log management consolidation software to improve overall security • Upgrade core server farm used in virtual environment • Upgrade Microsoft Exchange • Implemented Azure risk detection alerting to improve security • Replace aging cameras at several city buildings including City Hall, the PD, and the Transit Station		✓

CURRENT AND PLANNED GOALS FOR FISCAL YEAR 2021-2022

FINANCE	Current and Planned Goals Fiscal Year 2021-2022	Public Safety	Governance
Administration	• Collaborate with other departments to Develop Maintenance & Replacement Landscape Standards & Funding Policy for Maintenance • Continue to provide training to staff for efficiency and development of professional abilities • Continue to provide departmental support regarding changes in information processes • Continue to review and consider modifications to financial policies and related ordinances		✓
Fiscal Operations	• Implementation of Cal Card module within Tyler Munis Software • Implementation of Employee Expense module within Tyler Munis software		✓
Accounting	• Update the City Purchasing Policy • Oversee a Cost Allocation Study • Collaboratively assist with the development of improved replacement schedules for vehicle and equipment, building maintenance, and technology		✓
Revenue Collection	• Complete Installation of exterior payment kiosk • Continue to expand electronic payment options		✓
Information Technology	• Upgrade current L3 in car camera system • Upgrade Crimeview and CrimeMapping.com to work with new Mark43 CAD/RMS • Install new network circuit to provide redundant network connectivity for CAD/RMS	✓	

CURRENT AND PLANNED GOALS FOR FISCAL YEAR 2021-2022, CONTINUED

FINANCE	Current and Planned Goals Fiscal Year 2021-2022	Not Applicable to Council Strategic Priorities	Governance
Information Technology	• Install/configure Two factor authentication (2FA) for Office 365 to improve security • Become PCI Compliant • Convert local U drive storage to Office365 OneDrive • Upgrade City aerial imagery in GIS • Replace aging wireless infrastructure • Replace aging network storage	✓	
Budget	• Continue to monitor and improve the City's fiscal health including: ▪ Evaluate bond financing benefits ▪ Collaborate on policy recommendations to balance the City's budget ▪ Collaboratively develop Budget Principles ▪ Collaboratively develop a financial sustainability plan ▪ Collaboratively assist with developing long-term funding options for City services, including but not limited to road and park maintenance and replacement (City, LMD, and CFD). ▪ Collaboratively assist with developing a funding plan for fire station construction ▪ Monitor and continue implementation of Fiscal Sustainability Plan		✓

FINANCIAL HIGHLIGHTS

Personnel expenditures increased by 7% ($289,506) from FY 2021 amended budget to FY 2022 proposed budget. Supplies and services increased by 15% ($549,330) from FY 2021 amended budget to FY 2022 proposed budget.

Staffing Levels

Department	Finance Department

	ELECTED/ COMMISSIONS	FY 2017-18 FTE	FY 2018-19 FTE	FY 2019-20 FTE	FY 2020-21 FTE	FY 2021-22 FTE
ACCOUNT CLERK		5	5	5	5	5
ACCOUNTANT		2	2	2	2	2
ACCOUNTING MANAGER		1	1	1	1	1
ACCOUNTING TECHNICIAN		3	3	3	3	3
ADMINISTRATIVE ASSISTANT			1	1	1	1
BUDGET OFFICER		1	1	1	1	1
CITY TREASURER	1					
DIRECTOR OF FINANCE		1	1	1	1	1
EXECUTIVE ASSISTANT		1	1	1	1	1
GIS ANALYST		1	1	1	1	1
INFORMATION SYSTEMS TECHNICIAN I		1	1	1	1	1
INFORMATION SYSTEMS TECHNICIAN II		2	2	2	2	2
INFORMATION TECHNOLOGY MANAGER		1	1	1	1	1
INFORMATION TECHNOLOGY SPECIALIST		1	1	1	1	1
MANAGEMENT ANALYST II		1	1	1	1	1
MEASURE V COMMITTEE	5					
SENIOR ACCOUNT CLERK		4	4	4	4	5
SENIOR ACCOUNTANT		2	2	2	2	3
SENIOR ACCOUNTING TECHNICIAN		2	2	2	2	2
SENIOR INFORMATION SYSTEMS TECHNICIAN		2	2	2	2	2
SR ACCOUNTING ASSIST		1	1	1	1	0
Grand Total	**6**	**33**	**34**	**34**	**34**	**34**

Department Budget Summary

DEPT	Finance

TYPE	OB	Sum of FY 2020	Sum of FY 2021 Amended	Sum of FY 2022 Dept Budget	Sum of FY 2023	Sum of FY 2024
	PERSONNEL	4,585,561	4,161,219	4,450,725	4,495,259	4,540,271
	PURCHASED SERVICES AND SUPPLIES	2,939,669	3,217,825	3,767,155	3,862,335	3,911,893
Grand Total		**7,901,112**	**7,767,906**	**8,648,528**	**8,814,086**	**8,936,053**

Funding Summary by Fund

DEPT	Finance
OB	

TYPE	FUND TYPE	Sum of FY 2020	Sum of FY 2021 Amended	Sum of FY 2022 Dept Budget	Sum of FY 2023	Sum of FY 2024
E	GENERAL FUND	4,893,746	4,809,209	5,114,145	5,235,104	5,300,129
	INTERNAL SERVICES FUND	2,303,655	2,375,317	2,906,480	2,944,751	2,995,286
	WATER	234,570	165,506	209,602	211,694	213,809
	WASTEWATER	234,571	165,872	209,440	211,592	213,774
	SOLID WASTE	234,571	165,872	208,861	210,945	213,055
Grand Total		**7,901,112**	**7,767,906**	**8,648,528**	**8,814,086**	**8,936,053**

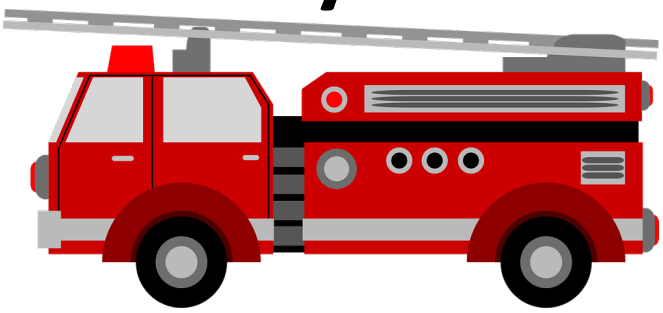
Division Budgets

DEPT	Finance

TYPE	DIVISION NAME	Sum of FY 2020	Sum of FY 2021 Amended	Sum of FY 2022 Dept Budget	Sum of FY 2023	Sum of FY 2024
E	CAPITAL	0	0	0	0	0
	FIN-ACCTG SERVICES	1,319,778	1,442,096	1,501,462	1,524,486	1,539,476
	FIN-BUDGET CORD	435,274	483,036	615,935	627,214	633,459
	FIN-CASH MANAGEMENT	705,398	642,157	624,557	634,048	640,353
	FIN-FISCAL OPERATIONS	1,508,331	1,414,475	1,566,467	1,607,772	1,637,089
	FIN-REVENUE COLLECT	1,628,676	1,324,695	1,433,627	1,475,815	1,490,390
	GRANTS	0	86,130	0	0	0
	INFORMATION SYSTEMS	2,217,220	2,303,292	2,813,458	2,850,150	2,898,096
	ISF CHARGES	17,163	0	0	0	0
	IT-CENTRAL SERVICES	69,272	72,025	93,022	94,601	97,190
Grand Total		**7,901,112**	**7,767,906**	**8,648,528**	**8,814,086**	**8,936,053**

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South San Joaquin County Fire Authority



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SOUTH SAN JOAQUIN COUNTY FIRE AUTHORITY

Mission

Respecting our traditions, we embrace progression in pursuit of excellence to proudly serve with honor, dignity and respect.

Values

Community	Membership	Progression	Excellence	Stewardship
• Relationships • Engagement • Education • Safety & Risk Reduction	• Collaboration • Health & Safety • Respect & Dignity • Professional Development	• Traditions • Innovation • Best Practices	• Service Levels • Operational • Professionalism • Customer Service • Adaptive Leadership	• Fiscal Responsibility • Public Trust • Environment

Agency Overview

A full-range of fire and emergency medical services are provided by the South San Joaquin County Fire Authority (SSJCFA), which formed in 2018 when the City of Tracy and the Tracy Rural Fire Protection District entered into a Joint Powers Agreement (JPA). The jurisdictional area of the SSJCFA is approximately 170 square miles, encompassing the incorporated City of Tracy and the unincorporated surrounding rural community. The SSJCFA provides services to the community in the disciplines of fire suppression, advanced life support, hazardous materials, rescue and community risk reduction.

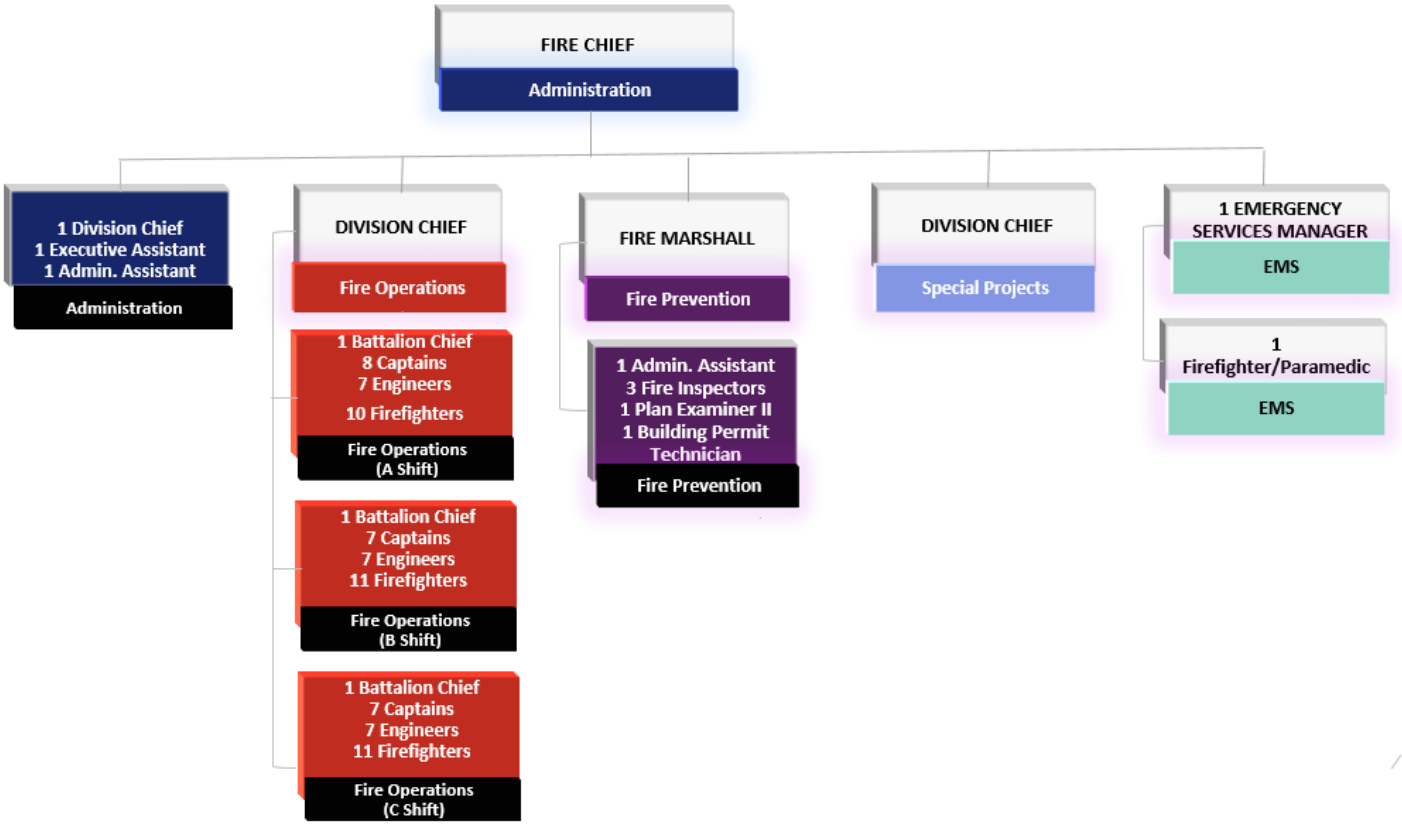
The new SSJCFA formed pursuant to the applicable provisions of the Government Code of the State of California. The SSJCFA has the ability to exercise the general and specified powers that are common to each of its member agencies. The governing body consists of an appointed Board of Directors comprised of two members each from the elected bodies of the initial member agencies. Both the Tracy City Council and the Tracy Rural Fire Protection Board of Directors appoint their representatives to the SSJCFA Board on an annual basis. The Chairperson and Vice-Chairperson of the SSJCFA Board rotates annually between the member agencies.

City Boards, Commissions, and Community Partners Supported

- City of Tracy
- Tracy Rural Fire Protection District

Organizational Chart

FY 2021-2022
South San Joaquin County Fire Authority
Full-Time Equivalents: 93 (Includes Vacancies)



SOUTH SAN JOAQUIN COUNTY FIRE AUTHORITY DIVISIONS

FIRE ADMINISTRATION

The Fire Administration Division administers and directs the fire department, providing the necessary administrative support for all programs and operations.

Services

- Provide Administrative services to the SSJCFA Board of Directors.
- Serve as liaison between member agencies of the SSJCFA.
- Lead and manage all functional program areas of the fire department.
- Develop and administer the Department budget.
- Administer personnel policies and regulations.

FIRE OPERATIONS

The goal of the Fire Operations Division is to maintain a constant state of readiness to respond and protect against injury, loss of life, and/or property damage caused by fire or natural disasters. The Operations Division provides twenty-four hours daily staffing with six paramedic engine companies, one paramedic ladder-truck company, and overhead command staff.

Services

- Operational readiness and emergency response 24-hours a day, 7-days a week, 365-days a year.
- Fire suppression.
- Advanced Life Support (ALS) emergency medical services.
- Technical rescue services.
- Hazardous materials emergency response.

COMMUNITY RISK REDUCTION (FIRE PREVENTION)

The Community Risk Reduction minimizes fire and life-safety risk in the jurisdictional area of the SSJCFA. Risk reduction is accomplished through the application of state and local fire codes, plan checking, permit issuance, and fire inspection programs. Community fire safety and education programs further reduce risk to the community and are under the purview of the Community Risk Reduction Division.

Services

- State and local fire code enforcement and inspection services.
- Fire and life-safety plan review.
- Public education programs.
- Special event review.
- Fire investigations.
- Weed and hazard abatement.
  Permit issuance upon satisfactory conformance to fire and life-safety requirements.

COUNCIL STRATEGIC PRIORITY ACCOMPLISHMENT SUMMARY, FISCAL YEAR 2020-2021

SSJCFA	Fiscal Year 2020-2021 Accomplishment Summary	Public Safety	Gov.	Not Applicable to Council Strategic Priorities
Admin.	• Implemented strategies identified within the 2017-2020 strategic plan • Completed Phase 1 of the SSJCFA Employee Transition Plan • Developed complete draft of Phase 2 of the SSJCFA Employee Transition Plan • Contracted with new legal counsel, treasurer/controller, and auditor for the SSJCFA • Developed agendas, facilitated, conducted, and recorded all SSJCFA Board meetings • Initiated construction of Fire Station 95 in Tracy Hills • Hired 4 personnel to fill existing vacancies and promoted 3 personnel to higher ranks	✓		
Admin.	• Launched new website for SSJCFA • Applied for multiple Assistance to Firefighters Grants (AFG) for the procurement of cardiac monitors and emergency mobile/portable radios		✓	
Fire Operations	• Successfully responded to nearly 10,000 calls for service through the operation of 7 fire companies out of 6 fire stations • Supported the State of California through multiple resource deployments to major fire incidents locally and throughout the state via the master mutual aid program. • Implemented internal processes and strategies in fire stations, fire apparatus and personal protective equipment to protect fire personnel treating COVID-19 patients • Maintained emergency service response through global pandemic without reduction or interruption of service levels • Assisted and supported COVID-19 vaccination clinics within both the City and San Joaquin County • Took delivery of 3 new Type I pumpers • Implemented automated compression devices for enhanced delivery of cardiopulmonary resuscitation. • Implemented new records management System for incident and EMS patient care reporting, and daily log book			✓
Community Risk Reduction (Fire Prevention)	• Completed the full implementation of the Fire Authority's fee schedule to assist in cost recovery measures.		✓	

COUNCIL STRATEGIC PRIORITY ACCOMPLISHMENT SUMMARY, FISCAL YEAR 2020-2021

SSJCFA	Fiscal Year 2020-2021 Accomplishment Summary	Public Safety	Not Applicable to Council Strategic Priorities
Community Risk Reduction (Fire Prevention)	• In response to the pandemic, transitioned all staff to remote working while continuing to process permits, conduct plan reviews, and complete fire inspections • Recommended for adoption an ordinance modifying the California Fire Code to the Tracy Rural Fire Protection District Board of Directors • Implemented tools to document and record metrics of the Community Risk Reduction division		**N/A**
Fire Training	• Completed recertification of 25 of the Authority's Emergency Medical Technicians • Trained Authority's 43 paramedics in high-risk low frequency advanced life support skills • Deployed renewed emphasis on completion of state mandated training including night drills • Completed the training of 5 additional personnel as Hazardous Materials Specialists • Implemented video training series to assist personnel in skills development	✓	

CURRENT AND PLANNED GOALS FOR FISCAL YEAR 2021-2022

SSJCFA	Current and Planned Goals Fiscal Year 2021-2022	Public Safety	Not Applicable to Council Strategic Priorities
Administration	• Complete Phase 2 of the South San Joaquin County Fire Authority personnel transition plan • Present Phase 2 of personnel transition plan to member agencies of the SSJCFA. • Develop Programs and Processes the Support Regional Fire Protection Opportunities • Seek federal funding opportunities through the Assistance to Firefighters Grant and other funding sources • Staff and operate new Fire Station 95 in the Tracy Hills Development • Facilitate the development and updating of the City of Tracy Emergency Operations Plan	✓	
Administration	• Enhance Business Practices of the SSJCFA to Improve Efficiencies, Oversight and Accountability		✓
Community Risk Reduction (Fire Prevention)	• Develop and implement a company-level fire inspection program • Inspect all multi-family residential and educational occupancies as required by the State of California • Inspect 70% of the occupancies that require an operational fire code permit • Conduct reviews of construction documents within 20 business days following initial receipt of the documents 90% of the time • Continue to revise occupancy data in the Authority's electronic records management system • Expand the use of the analytics within the records management system to measure performance		✓
Fire Operations	• Provide emergency fire and medical services from 8 fire companies 24 hours a day, 7 days a week. • Respond to all emergencies within the City of Tracy city limits within 6.5 minutes total reflex time, 90% of the time • Respond to emergencies within Tracy Rural Fire District (not within the city limits) within 10 minutes total reflex time, 90% of the time		✓

CURRENT AND PLANNED GOALS FOR FISCAL 2021-2022

SSJCFA	Current and Planned Goals Fiscal Year 2021-2022	Public Safety	Not Applicable to Council Strategic Priorities
Community Risk Reduction (Fire Prevention)	• Assemble an effective response force of 16 personnel to all medium risk structure fire incidents within 8 minutes' travel time • Enhance fire ground-operations through development of standardized fire ground-training, policies and practices to meet the changing landscape of the service area. • Develop programs and processes that support the expansion of EMS services to the community such as community paramedicine		✓
Fire Training	• Provide all required state mandated skills maintenance training hours of 20 hours per month, per person • Train personnel on latest practices and procedures related to emergency medicine in the pre-hospital environment consistent with state, county, and department EMS policy • Complete training of remaining personnel to Hazardous Materials Specialist level in accordance with the memorandum of understanding • Train personnel on above ground fire operations for mid-rise commercial structures in preparation for operations in large logistics facilities • Conduct 13-week in-house recruit fire academy for 9 personnel to staff Tracy Hills Fire Station. • Maintain training of personnel on latest COVID-19 related City policies, department policies and best practices as they evolve and are updated	✓	

NOTEWORTHY, SIGNIFICANT CHANGES FISCAL YEAR TO YEAR

- Per the developer agreement for the Tracy Hills project, the newly constructed fire station (Fire Station 95) must be staffed and operational by September 1, 2021. The Tracy Rural Fire District is responsible for the ongoing operations and maintenance of this facility. As a result, there will be a subsequent shift in the funding formula between the member agencies of the SSJCFA. As specified in the Joint Powers Agreement, the current cost sharing is 72% City of Tracy and 28% Tracy Rural. Once the fire station opens and becomes operational, the cost sharing formula shifts to 62.5% City of Tracy and 37.5% Tracy Rural. The shift in cost sharing will result in a net savings to the City's FY 2021/2022 contribution to the SSJCFA for fire services.

- Originally proposed in FY 2016/2017, but not procured due to equipment shortages, the SSJCFA will replace Advanced Life Support cardiac monitors during FY 2021/2022. Both member agencies will pay their appropriate share for this essential equipment.

FINANCIAL HIGHLIGHT

Personnel expenditures increased by 4% ($1,619,562) from FY 2021 amended budget to FY 2022 proposed budget; services and supplies increased by 3% ($69,480) from FY2021 amended budget to FY 2022 proposed budget.

Staffing Levels

Department JPA Tracy Fire

	FY 2017-18 FTE	FY 2018-19 FTE	FY 2019-20 FTE	FY 2020-21 FTE	FY 2021-22 FTE
ADMINISTRATIVE ASSISTANT	2	2	2	2	2
BUILDING PERMIT TECH-FIRE		1	1	1	1
DIVISION FIRE CHIEF	2	2	2	2	3
EMERG MED SRVCS MGR	1	1	1	1	1
EXECUTIVE ASSISTANT	2	2	2	2	1
FIRE BATT CHIEF	3	3	3	3	3
FIRE CAPTAIN	21	21	21	21	22
FIRE CAPTAIN-TEMP TRAINING CAPTAIN	1	1	1	1	0
FIRE CHIEF	1	1	1	1	1
FIRE ENGINEER	21	21	21	21	21
FIRE INSPECTOR	2	3	3	3	3
FIRE MARSHAL	1	1	1	1	1
FIREFIGHTER	21	21	21	21	32
FIREFIGHTER/PARAMED	3	3	3	3	1
PLAN EXAMINER II		1	1	1	1
Grand Total	**80**	**83**	**83**	**83**	**93**

Department Budget Summary

DEPT	FIRE

TYPE	OB	Sum of FY 2020	Sum of FY 2021 Amended	Sum of FY 2022 Dept Budget	Sum of FY 2023	Sum of FY 2024
E	CAPITAL	0	0	0	0	0
	PERSONNEL	33,801,773	35,347,899	36,967,461	38,269,188	39,077,561
	PURCHASED SERVICES AND SUPPLIES	3,228,049	2,427,759	2,497,239	2,925,460	3,017,076
	UTILITIES	152,756	148,777	150,311	162,738	169,427
Grand Total		**37,182,578**	**37,924,435**	**39,615,011**	**41,357,386**	**42,264,065**

Funding Summary by Fund

DEPT	FIRE
OB	(Multiple Items)

TYPE	FUND TYPE	Sum of FY 2020	Sum of FY 2021 Amended	Sum of FY 2022 Dept Budget	Sum of FY 2023	Sum of FY 2024
E	GENERAL FUND	15,714,617	15,177,698	15,334,713	16,501,707	16,666,268
	SCFA	21,467,961	22,746,737	24,280,298	24,855,679	25,597,797
Grand Total		**37,182,578**	**37,924,435**	**39,615,011**	**41,357,386**	**42,264,065**

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Human Resources Department



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HUMAN RESOURCES DEPARTMENT

Mission

To support the City by responding to the needs of our employees and the public by ensuring equal employment opportunities and fostering collaborative work environments; to identify, assess and minimize risk through the protection of City resources that include employees, volunteers, visitors, and property; to develop, initiate, and maintain progressive thinking and programs for the growth and wellness of our workforce and community.

Vision

To maximize human potential, engage our organizational culture, and strategize future growth through innovation and results-driven performance; to recruit, identify, and develop a diverse, talented, and professional workforce where strengths and knowledge maximize efficiency and effectiveness.

Values

Integrity - We strive to model clear organizational integrity, aligned with the City's ethical values.

Employee Development – Recognizing and identifying current and future trends in the workplace fosters an environment in which maximizing human potential is paramount. By supporting skill development, educational advancement, performance reviews and career path planning, we invest in employees and support the growth and development of a knowledgeable and talent based workforce.

Leadership – Exhibiting professional and technical expertise, solving problems and analyzing issues, displaying honesty, character, fostering teamwork, taking initiative, building relationships, and effectively collaborating are skills that our Human Resources staff strive to model.

Teamwork – Managing an organization that fosters a diverse workforce with employees from different backgrounds ideas, skills, education, abilities, and experiences, creates a positive and collaborative environment where innovation and new objectives can be explored and maximized to the overall benefit of the community we serve.

Diversity – Our goal is to attract and retain a mosaic of professional and skilled individuals that will promote the culture of the City through their diverse experiences, knowledge, strengths, individualism and where organizational structure and operations is inclusive of all employee and public contributions.

Department Overview

The Development Services Department oversees all aspects of development within the City, including advance and current planning, building plan review and inspections, and engineering services. Its divisions include Planning, Building Safety, and Engineering.

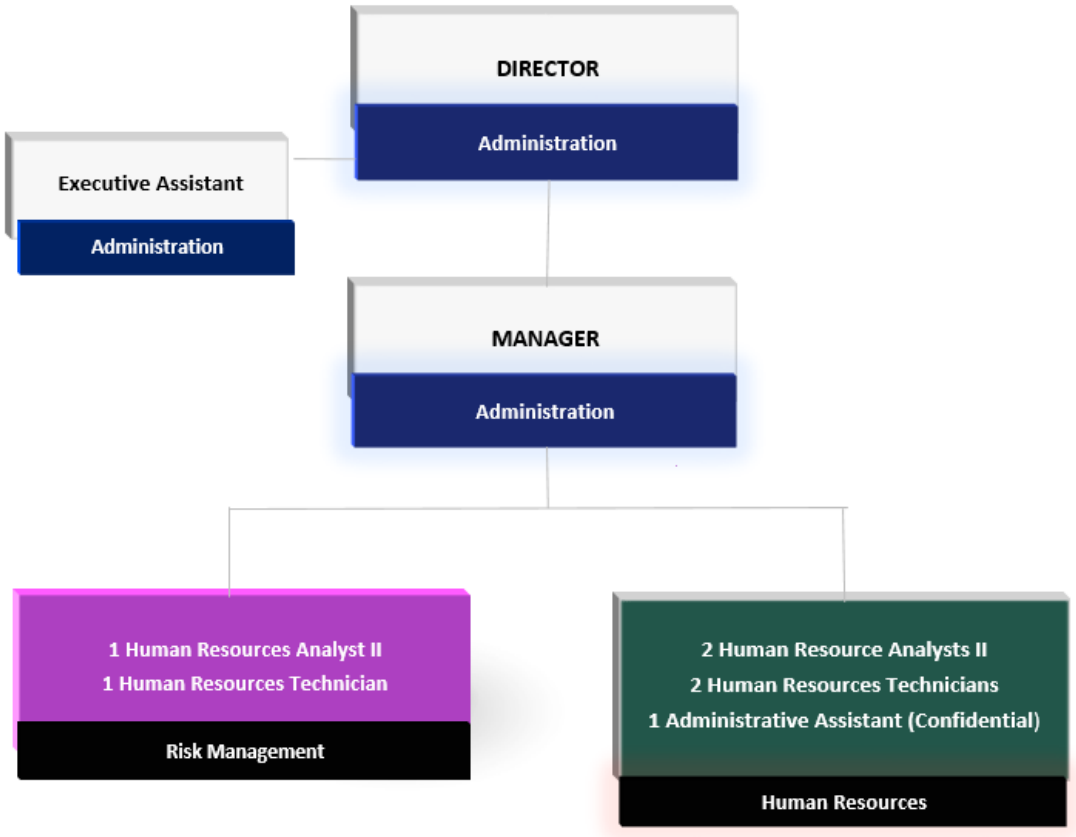
City Boards, Commissions, and Community Partners Supported

- Executive Committee and the Board of Directors for the Central San Joaquin Valley Risk Management Authority (CSJVRMA).

Organizational Chart

HUMAN RESOURCES DEPARTMENT

Full-time Equivalents: 10
(Includes Vacancy)



HUMAN RESOURCE DIVISIONS

PERSONNEL

The Personnel Division is responsible for implementing policies and procedures that provide a highly qualified staff that is diverse, motivated and enthusiastic about contributing to the City.

Services

- Classification and compensation plan management.
- Perform recruitment, testing and selection.
- Facilitate labor and employee relations.
- Perform benefit administration.
- Facilitate training and employee development.
- Oversee employee wellness.
- Personnel records management.

RISK MANAGEMENT

The Risk Management Division is responsible for developing and implementing a sound risk management program designed to mitigate adverse risk to the organization.

Services

- Manage workers' compensation.
- Maintain general liability insurance.
- Oversee safety and loss prevention activities.

COUNCIL STRATEGIC PRIORITY ACCOMPLISHMENT SUMMARY, FISCAL YEAR 2020-2021

HUMAN RESOURCES	Fiscal Year 2020-2021 Accomplishment Summary	Governance
Administration	• Oversaw and managed day-to-day operational activities of the department • Developed and recommended administrative and personnel policies to maintain legal compliance and support organizational goals • Provided professional development opportunities • Provided administrative support for internal support services	✓
Personnel Services	• Completed a review and update of City positions to accurately reflect assigned duties and responsibilities • Collectively bargained with seven represented bargaining units to create successor bargaining agreements • Transitioned internal services delivery to online platforms	✓
Risk Management	• Managed COVID-19 response to protect workforce with creation of new policies, compliance training implementation of protective measures and required reporting • Managed workers' compensation claims and education efforts • Continued to participate on the Risk Management Authority Board of Directors • Continued to actively pursue cost recovery through subrogation; and worked collaboratively with multiple departments to identify and mitigate potential risks to reduce liability claims	✓

CURRENT AND PLANNED GOALS FOR FISCAL YEAR 2021-2022

HUMAN RESOURCES	Fiscal Year 2021-2022 Current and Planned Goals	Governance
Personnel Services	• Complete update to the Administrative Directives • Enhance leadership development training program • Conduct retiree open enrollment electronically • Implement COVID-19 workforce transition plan	✓
Risk Management	• Maintain COVID-19 response reflective in workplace policies, compliance training and protective measures • Managed workers' compensation claims and education efforts • Continued to participate on the Risk Management Authority Board of Directors • Continued to actively pursue cost recovery through subrogation; and worked collaboratively with multiple departments to identify and mitigate potential risks to reduce liability claims	✓

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SIGNIFICANT CHANGES OF NOTE FOR FISCAL YEAR 2021-2022

The Human Resource Department will facilitate the COVID-19 Workforce Transition Plan, implement contractual changes as a result of labor negotiations completed in fiscal year 2020-2021, conduct recruitment and selection processes to fill vacant positions in support of the organizations mission, and deliver new and enhanced training opportunities for City employees.

FINANCIAL HIGHLIGHTS

Personnel expenditures increased by 8% ($140,029) from FY 2021 amended budget to FY 2022 proposed budget. Services and supplies decreased by 2% ($129,029) from FY 2021 amended budget to FY 2022 proposed budget.

Staffing Levels

Department	Human Resources

	ELECTED/ COMMISSIONS	FY 2017-18 FTE	FY 2018-19 FTE	FY 2019-20 FTE	FY 2020-21 FTE	FY 2021-22 FTE
ADMINISTRATIVE ASSISTANT		1	1	1		
ADMINISTRATIVE ASSISTANT (CONF)					1	1
DIRECTOR OF HUMAN RESOURCES		1	1	1	1	1
EXECUTIVE ASSISTANT		1	1	1	1	1
HR TECHNICIAN-CONF		1	1	1	1	0
HUMAN RESOURCES ANALYST II		3	3	3	3	3
HUMAN RESOURCES MANAGER		1	1	1	1	1
HUMAN RESOURCES TECHNICIAN		2	2	2	2	3
Grand Total		**10**	**10**	**10**	**10**	**10**

Department Budget Summary

DEPT	HUMAN RESOURCES

TYPE	OB	Sum of FY 2020	Sum of FY 2021 Amended	Sum of FY 2022 Dept Budget	Sum of FY 2023	Sum of FY 2024
E	CAPITAL	0	0	0	0	0
	PERSONNEL	1,514,788	1,565,022	1,705,051	1,722,092	1,739,313
	PURCHASED SERVICES AND SUPPLIES	4,623,005	6,802,210	6,678,182	6,773,844	6,856,623
	UTILITIES	290	442	543	577	613
Grand Total		**6,138,082**	**8,367,674**	**8,383,776**	**8,496,513**	**8,596,549**

Funding Summary by Fund

DEPT	HUMAN RESOURCES
OB	(Multiple Items)

TYPE	FUND TYPE	Sum of FY 2020	Sum of FY 2021 Amended	Sum of FY 2022 Dept Budget	Sum of FY 2023	Sum of FY 2024
E	GENERAL FUND	1,248,662	1,527,426	1,546,138	1,576,409	1,592,052
	INTERNAL SERVICES FUND	4,889,420	6,840,248	6,837,638	6,920,104	7,004,497
E Total		**6,138,082**	**8,367,674**	**8,383,776**	**8,496,513**	**8,596,549**
Grand Total		**6,138,082**	**8,367,674**	**8,383,776**	**8,496,513**	**8,596,549**

Division Budgets

DEPT	HUMAN RESOURCES

TYPE	DIVISION NAME	Sum of FY 2020	Sum of FY 2021 Amended	Sum of FY 2022 Dept Budget	Sum of FY 2023	Sum of FY 2024
E	CAPITAL	0	0	0	0	0
	HUMAN RESOURCES	1,248,662	1,527,426	1,546,138	1,576,409	1,592,052
	RISK MANAGEMENT	726,401	796,920	891,105	900,331	910,193
	UNDEFINED	375,952	375,952	459,388	477,764	496,874
E Total		**6,138,082**	**8,367,674**	**8,383,776**	**8,496,513**	**8,596,549**
Grand Total		**6,138,082**	**8,367,674**	**8,383,776**	**8,496,513**	**8,596,549**

PARKS AND RECREATION DEPARTMENT



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PARKS AND RECREATION DEPARTMENT

Mission
To ensure access to outstanding programs, services, and community amenities that contribute to the quality of life in Tracy.

Vision
Together, we create memorable experiences that link Tracy's past, present, and future.

Values
The Tracy Parks & Recreation Department's core values are:

Innovation – We reach new audiences by forming partnerships that expand capacity and stimulate possibilities. We are forward-thinking and strive to set the bar in terms of service and program offerings. We encourage creative thinking and remain flexible and adaptive to the needs of our community.

Customer Service – We hold ourselves accountable to the highest performance standards and continually look for ways to improve programs and services in Tracy. For us, quality service means having fun and bringing joy to the lives of Tracy residents every day.

Integrity – We are honest, committed, and transparent in our interactions with community members and fellow staff. We believe in doing the right thing and following through on promises made. We treat people with respect and dignity. We are responsible stewards of public funds and committed advocates for the community.

Teamwork – We, as a team, collaborate, share ideas, and work across division lines to enhance the delivery of programs and services offered. We learn from each other and contribute innovative ideas or identify opportunities for improvement. We believe in working together to solve problems and deliver quality services and programs.

Respect – We are a professional, patient, and responsive purveyor of a wide range of programs and services for all age groups and ability levels. We are attentive listeners and patient with one another, providing responsive and professional interactions. We honor Tracy's diversity and value all individuals for their different approaches, ideas and backgrounds.

Department Overview
Parks & Recreation provides programs for children, adults and seniors including: after school programs, adults & youth sports, aquatics, senior center services, and facility operations and management, bikeways and facility rentals. The Department manages and maintains general aviation airport facilities, and coordinates contracted services for public transportation through the Tracer Bus line.

City Boards, Commissions, and Community Partners Supported

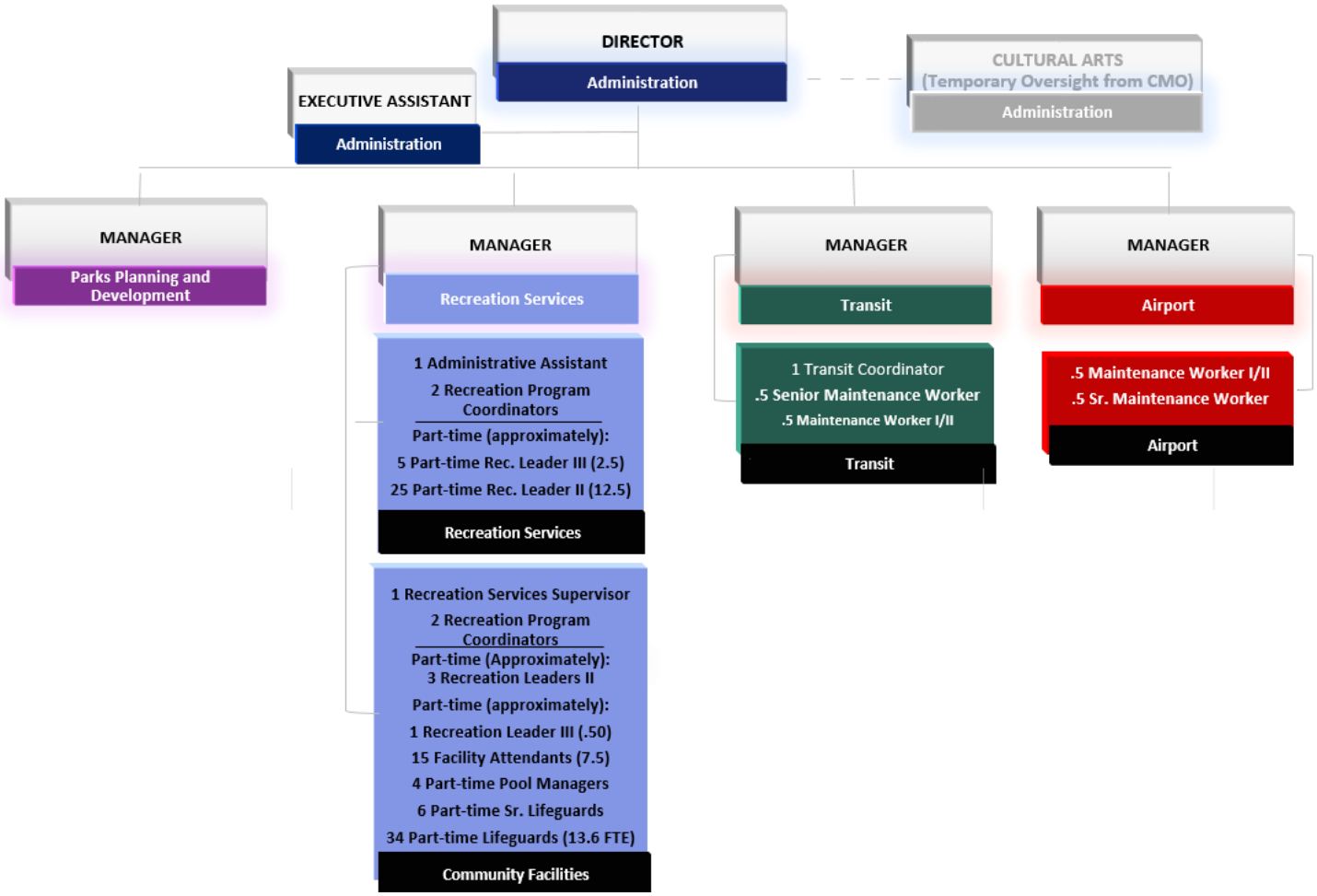
- Parks & Community Services Commission
- Tracy Arts Commission
- Grand Foundation (Non-Profit Partner)
- Transportation. Commission
- Youth Advisory Commission

Organization Chart

PARKS AND RECREATION DEPARTMENT

Full-time Equivalents: 15

Excludes Vacancies (& Part-time Employees whom are indicated below)



PARKS AND RECREATION DIVISIONS

ADMINISTRATION

The Administrative Division manages and directs the department, as well as provides the necessary administrative support for its programs.

Services

- Oversee and manage the activities of the department.
- Oversee and manage full-time and part-time staff.
- Process payables and personnel forms.
- Support the Parks Commission.
- Manage correspondence and department staff reports.

PARKS PLANNING & DEVELOPMENT

The Parks Planning & Development Division plans, organizes, and directs Parks & Recreation Department project activities including land acquisition, planning, and design.

Services

- Oversee, prepare, and update landscape and architectural design standards of parks, sports facilities, streetscape, and public landscape construction.
- Manage all Measure V projects.
- Prepare operations and capital improvements budgets.
- Prepare requests for proposals, competitive bids, requests for qualifications, and other solicitation packages and related documents.
- Receive and review projects from the Development Services and Public Works Departments.
- Perform grant administration.

RECREATION SERVICES

Recreation

The Parks & Recreation Department offers recreational activities for preschoolers, children, teens, adults, and seniors.

- Plan, develop, and coordinate special community events.
- Develop and administer recreational youth activities focused on Youth Continuum of Care (Prevention and Intervention) through the Mayor's Community Youth Support Network (MCYSN).
- Program and administer Senior Center operations.
- Manage contracted services for Special Interest classes.
- Develop and manage programs and activities for middle school afterschool program.
- As part of the Youth Development program, program and manage recreation activities at school sites before and after school during the school year, and day camps at schools and on City park sites during school closures.

COMMUNITY FACILITIES

The Community Facilities Division is responsible for managing public facilities' use and rentals and registering community members for recreational activities.

Services

- Program and Manage Aquatics Program: swim lessons, rec swim, special events and pool rentals.
- Program and Manage Athletics Program: youth and adult sports.
- Manage indoor and outdoor facility rentals.
- Coordinate league/team scheduling at City sports complexes.

TRANSIT DIVISION

The purpose of the Transit Division is to ensure that the City has a safe, efficient and modern transportation system to improve the quality of life for the Tracy community.

Services

- Coordinate and manage contracted public bus services (Tracer).
- Oversee design of bikeways.
- Perform grant administration.
- Program and review alternative transportation.

AIRPORT DIVISION

The Airport Division oversees a key aviation resource to the City of Tracy. Professional pilots, businesspersons, corporate and recreational aircraft owners rely on the excellent services provided by the City's aviation services and airport facilities.

Services

- Operate and maintain Tracy Municipal Airport.
- Operate and maintain New Jerusalem Airport.
- Perform grant administration.

COUNCIL STRATEGIC PRIORITY ACCOMPLISHMENT SUMMARY, FISCAL YEAR 2020-2021

PARKS & RECREATION	Fiscal Year 2020-2021 Accomplishment Summary	Quality of Life
Recreation	• Through the pandemic, the Senior Center provided approximately 550 educational opportunities, recreational programs, events and various services • The Senior Center served over 70,000 meals to over 400 seniors in the Tracy Community • Senior Center staff completed over 800 Wellness calls to assess senior needs and provide them with various resources • The Virtual Recreation and Resource Center was introduced to the community. This webpage served as a one stop recreation destination designed for all ages, from youth to seniors to enjoy. This page has everything from health & wellness activities, cooking recipes, online learning, virtual engagement and additional resources for the public to access at all times • Camp in the Box was implemented in summer 2020 and was designed for campers 8-12 years old to bring fun DIY activities and interactive games for the whole family to enjoy. A total of 17 campers received the box • Community Events hosted (2) holiday themed virtual events in October and December. The Halloween House Decorating Contest and The Hometown Holiday House Decorating Contest encouraged residents to decorate their home with the most festive decorations • Community Events hosted an “Easter Eggspress” event and 40 families participated in the drive thru festivities • The Youth Advisory Commission held socially distanced park clean-up projects at various local parks throughout Tracy. Additionally, YAC assisted with special projects, beautifying the areas around the Senior Center and Civic Center Plaza	✓

COUNCIL STRATEGIC PRIORITY ACCOMPLISHMENT SUMMARY, FISCAL YEAR 2020-2021

PARKS & REC	Fiscal Year 2020-2021 Accomplishment Summary	Quality of Life
Community Facilities	• The Joe Wilson Pool opened on June 29, 2020 offering the following modified programs: Swim Lessons, Water Exercise, Lap Swim and Recreational Swim ➢ Over 133 participants registered in the Learn to Swim Program ➢ Over 1,798 participants attended Recreational Swim ➢ Over 424 participants participated in a Water Exercise class ➢ Over 202 participants attended Lap Swim • Aquatics recertified 7 returning staff members • Junior Giants offered 1st virtual program serving over 51 participants for the spring season and over 53 participants for the summer season • Updated application materials to include a COVID-19 Waiver and youth sports team's requirement to submit a COVID safety plan • Executed agreement with local nonprofit FIX'd Inc., to operate a Warming • Coordinated and issued 9 special event permits for free speech events, including marches, protests, rallies, and vigils • Partnered with local agencies to establish three COVID-19 test sites within the City • Collaborated with other Departments to permit Tracy City Center Association to establish an outdoor "Streatery" on 10th Street during to allow restaurants to operate in an outdoor environment, per State and County COVID guidelines • Coordinated and issued 4 facility-use/special event permits for use of parks by local churches for outdoor services, per State and County COVID guidelines • Coordinated with the City Manager's Office to assist the American Legion and VFW to conduct virtual Memorial Day and Veterans Day ceremonies • 62 planned/annual special events were cancelled/postponed due to COVID-19. • 2 Memorandum of Understanding (MOUs) were updated or issued • Conducted a pilot "Live Draft" process for ballfield users during 2021 January-June Field Allocations.	✓

COUNCIL STRATEGIC PRIORITY ACCOMPLISHMENT SUMMARY, FISCAL YEAR 2020-2021

PARKS & REC	Fiscal Year 2020-2021 Accomplishment Summary	Quality of Life	Public Safety
Transit	• Continued to provide public transit service options throughout the COVID pandemic • Implemented Tracer PLUS, an on-demand bus service, providing additional transit service options on Sundays and late Saturday evenings • Delivered meals to senior residents on a weekly basis • Provided free rides for students through the CA Low Carbon Transit Operations Program • Provided free rides to and from the Tracy Community Center warming center • Created an FTA required Public Transportation Agency Safety Plan • Purchased 6 cut-away buses and 2 transit vans replacement vehicles • Purchased 4 heavy-duty fixed route buses to replacement vehicles • Awarded a new Tracer Operations and Maintenance contract to MTM Transit • Installed upgraded fare boxes in all buses • Began design work on Transit Station renovations	✓	
Airport	• Maintained a constant lease rate of 95% of the 51 available city-owned hangars • Generated at least $25,000 in net revenue from fuel sales at the airport • Installed single point fueling to the Jet A Terminal • Performed Phase 1 of maintenance upgrades on fuel farm	✓	
Parks Planning & Dev.	• Submitted Prop 68 Urban Flood Protection grant application for Tracy Nature Park • Completed Tracy Nature Park Master Plan & environmental review • Completed construction of Sullivan, Huck & Larson Park Playground Renovation Projects • Completed design & advertised for construction of Alden & Yasui Park Renovations • Submitted grant applications for Tracy Nature Park & Lincoln Park revitalization • Completed design for Village Green Park landscaping renovation Completed design for the Lawn Reduction Program pilot project at Boyd Service Center • Conducted public outreach and continued planning process for Gretchen Talley Park Phase 3 Expansion project • Began construction on the Legacy Fields Pump Station Improvements • Began design on Legacy Fields Phase 1E	✓	
Parks Planning & Dev.	• Implemented Phase 1 of the Park Camera Program	✓	✓

CURRENT AND PLANNED GOALS FOR FISCAL YEAR 2021-2022

PARKS & REC.	Current and Planned Goals Fiscal Year 2021-2022	Quality of Life
Rec.	• Assist over 200 new seniors through Senior Center programs • Continue to address the needs and concerns of seniors to offer at least 2 new health & wellness classes and 2 new enrichment programs • Offer 2 new teen summer camps and increase participation by 20% • The youth division will offer half & full day camps for youth ages 5-12 • Continue to increase Youth Advisory Commission visibility in the community, enhance recreation programs to provide benefits to teens, broaden participation by teens, and participate with local organizations and service clubs • Seek at least 5 new Special Interest contractors to teach new health & wellness, enrichment, and technology classes; increase enrollment by 20% • Continue to reach at least 250 participants at 20 local parks to offer Rollin' Rec Program programming in arts & crafts, STEM based programming and sports & fitness; partner with 2 special interest contractors at each event • Focus on events on 2021 that align with current local, county, and state guidance such as virtual 5K and scavenger hunts • Offer (5) Downtown Block Parties and partner with local downtown vendors in 2022; evaluate offering (1) new event to engage the community and highlight local vendors pursuant to local, county and state guidelines	✓
Community Facilities	• Increase registration by 20% for special events at the Joe Wilson Pool including the World's Largest Swim Lesson, Pool Safety Day, and Teen Night will • The Junior Giants program will reach over 200 boys and girls through the Jr. Giants at Home or In-person program • Athletics will introduce a new Flag Football program expected in 2021 and reach at least 100 youth • Community Facilities will host a tournament at Legacy Fields Sports Complex ballfields utilizing the newly installed amenities from Legacy Phase 1D • Publish Request for Proposals/select contractors for Umpire Services for Adult Softball League & for Concession Services at Legacy Fields and Tracy Sports Complex	✓
Transit	• Continue to provide public transit service options during the COVID pandemic • Expand the weekday use of the Tracer PLUS, an on-demand bus service • Continue to help deliver meals to senior residents on a weekly basis as needed • Provide real-time transit information through Google's transit feed • Submit a grant request through the American Rescue Plan Act for operational assistance for the Tracer bus system • Submit a grant request through the State of California Low Carbon Transit Operations Program to continue free ride opportunities • Complete Transit Station lobby renovations	✓
Airport	• Maintain a constant lease rate of 95% of the 51 available city-owned hangars • Generate at least $25,000 in net revenue from fuel sales at the airport • Perform Phase 2 of maintenance upgrades on fuel farm	✓

CURRENT AND PLANNED GOALS FOR FISCAL YEAR 2021-2022

PARKS & REC	Current and Planned Goals Fiscal Year 2021-2022	Quality of Life	Public Safety
Parks Planning & Dev	• Complete the Citywide Parks, Recreation and Trails Master Plan Update • Complete construction of the Airport Restrooms project • Open Tracy's first California Native Garden • Complete Alden Park playground renovations & Lincoln Park Picnic Area improvements • Begin construction of Legacy Fields Phase 1E & Gretchen Talley Park Phase 3 • Update the 5-year capital improvement program • Have a Financial Analysis of Legacy Fields Phase 1 performed • Select a site for the Multi-Generational Recreation Center • Hire an architect for Multi-Generational Recreation Center PH 1 design • Continue to work with Developer on Phasing Plan for the Aquatic Center project • Continue design support of the Public Works' Lawn Reduction Program • Open Tracy's first public disc golf course	✓	
Parks Planning & Dev	• Continue to expand the Park Camera Program	✓	✓

NOTEWORTHY, SIGNIFICANT CHANGES, FISCAL YEARS 2021-2022

Recreation

- Senior Center will continue assisting seniors in the community with connecting to resources for COVID-19 testing, vaccines, and other resources and services needed.
- Collaboration with local service providers to offer 6 Saturday informational workshops for seniors and caretakers reaching approximately 120 participants.
- The Senior Center facility will be available for rental to the public.
- Three new specialty teen camps will be offered.
- The new Rollin Rec Program will be offered throughout the community to engage youth in various recreation programs, targeting areas in the community that are located in underserved areas of Tracy to allow for the greatest outreach to at-risk youth.
- Engagement with local vendors to secure sponsorship opportunities for future events.

Community Facilities Division

- Integration of COVID-19 procedures into division policies such as COVID-19 Waiver for Facility Rentals and "Safety Plans" for aquatics and athletics programs.
- Inclusion of aquatics rental in off-season to expand revenue opportunities.
- Updating of Policy regarding Memorandum of Understanding (MOU) between City and Local Organizations.
- Expansion of "Live Draft" format for all sports fields users for transparent and equitable allocation of fields.
- Updating of Facility Reservation Handbook (last update in 2016).
- Increased Sponsorship opportunities for revenue generation at Legacy Fields following Legacy Phase 1E.
- Provide facility attendant staff access to ACTIVE reports to enable more efficient daily facility scheduling.

Parks Planning & Development Division

- Collaboration with Public Works Department on potential capital improvement needs.
- Collaboration with Public Works Department to establish an ongoing Maintenance and Replacement Program for City park assets including securing funding for asset management software.
- Collaboration with Public Works Department to continue implementation of the Turf Reduction Pilot Program to reduce water consumption, maintenance requirements and increasing habitat for wildlife in the urban forest.
- Coordination with Transit and Engineering Divisions on bikeway and trail enhancement projects.
- Implementation of a sport court lighting project to enhance programming capabilities and increase hours of usage on the City's sport courts.
- Establishment of a turn-key park development process.

FINANCIAL HIGHLIGHTS

Personnel expenditures increased by 7% ($204,724) from FY 2021 amended budget to FY 2022 proposed budget. Services and supplies increased by 14% ($1,095,622) from FY 2021 amended budget to FY 2022 proposed budget.

Staffing Levels

Department	Parks & Rec

	ELECTED/ COMMISSIONS	FY 2017-18 FTE	FY 2018-19 FTE	FY 2019-20 FTE	FY 2020-21 FTE	FY 2021-22 FTE
ADMINISTRATIVE ASSISTANT		1	1	1	1	1
AIRPORT MANAGER		1	1	1	1	1
DIRECTOR OF PARKS AND RECREATION		1	1	1	1	1
EXECUTIVE ASSISTANT		1	1	1	1	1
MAINT WORKER I/II		1	1	1	1	1
P&R COMMISSIONER	7					
PARKS PLANNING & DEVELOPMENT MANAGER		1	1	1	1	1
PROJECT MANAGER		1				
RECREATION PROGRAM COORDINATOR		3	3	3	4	4
RECREATION SERVICES MANAGER					1	1
RECREATION SERVICES SUPERVISOR		3	3	3	1	1
SR MAINT WORKER		1	1	1	1	1
TRANSIT COORDINATOR		1	1	1	1	1
TRANSIT MANAGER		1	1	1	1	1
TRANSPORTATION COMMISSIONER	9					
Grand Total	**16**	**16**	**15**	**15**	**15**	**15**

Department Budget Summary

DEPT	PARKS AND REC

TYPE	OB	Sum of FY 2020	Sum of FY 2021 Amended	Sum of FY 2022 Dept Budget	Sum of FY 2023	Sum of FY 2024
E	CAPITAL	33,671	2,532,637	1,120,000	0	0
	DEBT	0	0	0	0	0
	PERSONNEL	2,879,241	2,576,755	2,781,479	2,808,664	2,836,166
	PURCHASED SERVICES AND SUPPLIES	5,576,571	6,492,211	7,587,833	7,713,098	7,846,344
Grand Total		**8,703,999**	**11,806,765**	**11,872,790**	**10,928,258**	**11,113,408**

Funding Summary by Fund

DEPT	PARKS AND REC
OB	(Multiple Items)

TYPE	FUND TYPE	Sum of FY 2020	Sum of FY 2021 Amended	Sum of FY 2022 Dept Budget	Sum of FY 2023	Sum of FY 2024
E	GENERAL FUND	3,179,813	2,891,617	4,067,806	4,145,564	4,214,320
	AIRPORT	951,618	1,068,313	787,730	800,076	813,690
	TRANSIT	4,572,568	7,846,835	7,017,254	5,982,618	6,085,398
Grand Total		**8,703,999**	**11,806,765**	**11,872,790**	**10,928,258**	**11,113,408**

Division Budgets

DEPT	PARKS AND REC

TYPE	DIVISION NAME	Sum of FY 2020	Sum of FY 2021 Amended	Sum of FY 2022 Dept Budget	Sum of FY 2023	Sum of FY 2024
E	AIRPORT	816,361	732,159	723,864	733,770	744,846
	DEBT	0	0	0	0	0
	GENFD IMPROVEMENTS	1,927,622	184,362	0	0	0
	RECREATION MANAGEMENT	971,746	867,447	1,575,197	1,612,284	1,640,605
	RECREATION PROGRAMS-AQUATICS	354,205	393,019	384,591	391,528	395,809
	RECREATION PROGRAMS-ATHLETICS	210,860	226,584	245,795	251,165	253,678
	RECREATION PROGRAMS-COMMUNITY EVENTS	176,422	168,311	181,300	168,660	185,645
	RECREATION PROGRAMS-FACILITIES	632,735	612,759	617,374	632,829	638,518
	RECREATION PROGRAMS-MCYSN	19,349	23,609	20,456	21,878	22,072
	RECREATION PROGRAMS-MCYSN GRANTS	146,789	42,169	200,000	200,000	202,000
	RECREATION PROGRAMS-SENIOR CITIZENS	226,839	196,682	281,950	287,084	290,079
	RECREATION PROGRAMS-SPECIAL INTEREST CLASSES	197,318	163,720	266,428	272,134	274,824
	RECREATION PROGRAMS-TEEN RECREATION	97,171	97,267	110,005	112,346	113,485
	RECREATION PROGRAMS-YOUTH DEVELOPMENT	146,378	100,050	184,710	195,656	197,605
	TRANSIT	4,572,568	7,846,835	7,017,254	5,982,618	6,085,398
	UAAL PENSION	26,576	0	3,773	3,809	3,847
Grand Total		**8,703,999**	**11,806,765**	**11,872,790**	**10,928,258**	**11,113,408**

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Police Department

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POLICE DEPARTMENT

Mission
The Police Department provides for law enforcement and public safety within the City through patrol, traffic, investigations, animal control, and communication services.

Vision
The Tracy Police Department is committed to the principals set forth in the six Pillars of the 2015 Presidential Task Force Report on 21st Century Policing. By adhering to the six Pillars, the department strengthens community policing and trust among law enforcement officers and the citizens of Tracy through:

1. Building Trust & Legitimacy
2. Policy & Oversight
3. Technology & Social Media
4. Community Policing & Crime Reduction
5. Training & Education
6. Officer Wellness & Safety

Values
Tracy Police Department has established the values of "Service, Integrity, and Excellence" because it recognizes and respects the sanctity of all human life and dignity without prejudice to anyone. The Tracy Police Department has a long history of judicious, lawful and minimal use of force supported by strong policy, training, supervision, progressive thinking, accountability, transparency, community engagement and community expectations. What our values mean to the Police Department:

Service - Always seek long-term resolutions to problems; provide honest, open and timely (HOT) feedback; support organizational goals and strive to achieve them; stand against gossip and rumors; provide prompt response to requests and communications; promote proactive attitudes.

Integrity - Honesty in thought and actions; address behaviors, not reputations; practice organizational loyalty over personal loyalties; place organizational priorities over personal agendas.

Excellence - Challenge status-quo by promoting new creative and innovative ideas; always be proud of the police profession and department; ascribe to being lifelong learners; always perform at department's highest level and organizational standards; lead by example; always take the extra step to meet the community's needs.

Department Overview
The Tracy Police Department is responsible for enhancing overall community safety and safeguarding the rights of individuals. The Tracy Police Department is organized into specialized units and teams, staffed by both sworn and civilian personnel to manage calls for service and facilitate problem solving throughout the community.

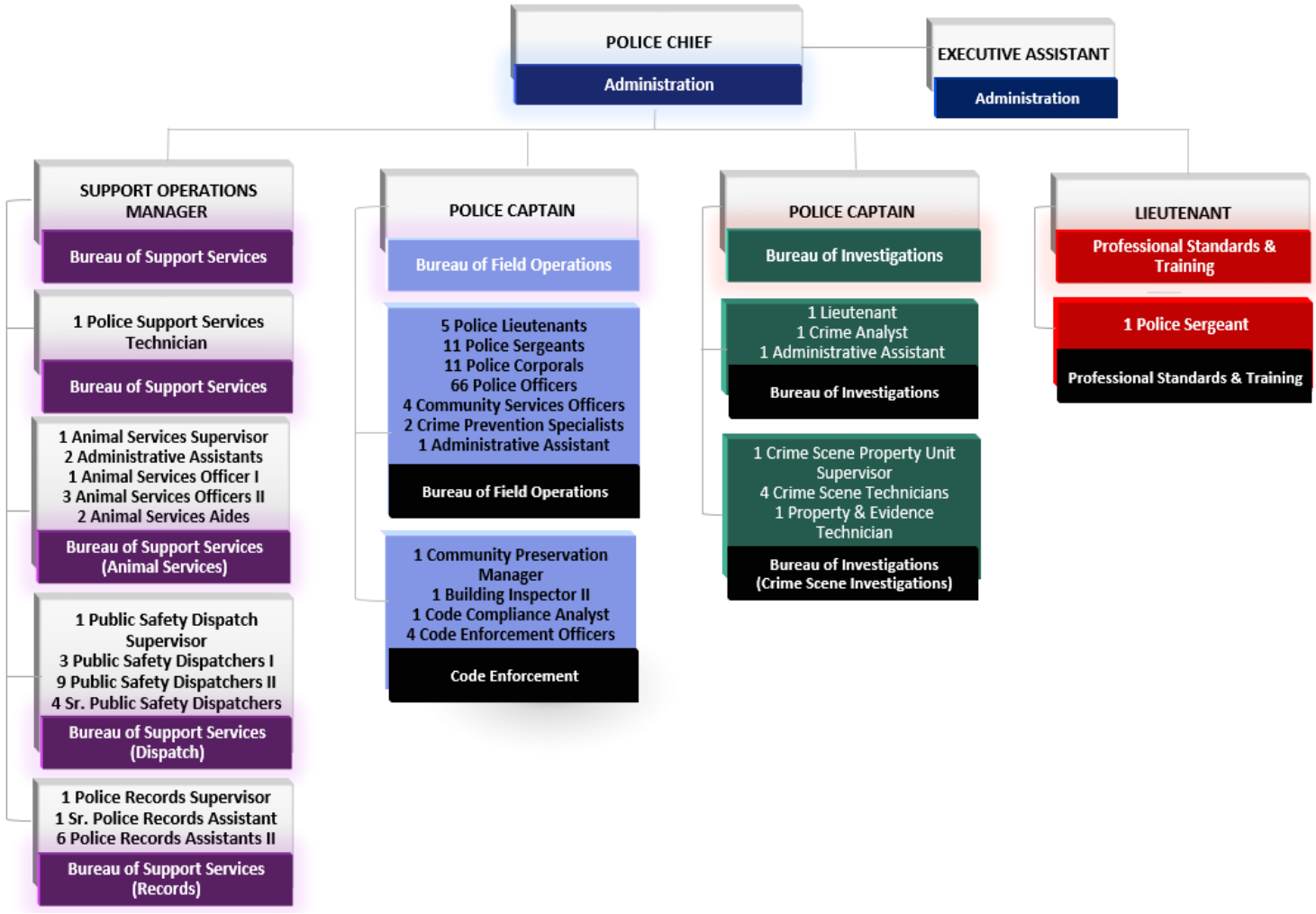
City Boards, Commissions, and Community Partners, Supported
(Proactive was temporarily suspended due to COVID-19)

- Community Advisory Board
- Youth Advisory Board
- Virtual Town Hall Meetings

Organization Chart

POLICE DEPARTMENT

Full-time Equivalents: 158
(Includes Vacancies)



TRACY POLICE DIVISIONS

BUREAU OF FIELD OPERATIONS

Mission: to protect life and property while building community partnerships and maintaining quality of life.

The Bureau of Field Operations is the uniformed front-line law enforcement section of the department that is broken down into two divisions, the Patrol Division and the Community Services Division:

- **Patrol Division**: consists of 6 patrol units, two Special Enforcement Teams, the Crisis Response Unit, and the Training Cadres.

- **Community Services Division** consists of the Traffic Unit and Community Policing Unit, which includes Neighborhood Resource Officers, School Resource Officers, Crime Prevention, Code Enforcement, and the Volunteers in Police Service (VIPS).

Services

- Provide police response and enforcement to ensure crimes are solved and that crime rates are reduced.
- Provide public safety by responding to emergencies, protecting people and property, enforcing motor vehicle and criminal laws.
- Promote community outreach programs that connect every member of the community including those suffering from quality of life issues, youth, and business owners.
- Foster collaborative partnerships to aid in problem solving and reducing crime.

BUREAU OF SUPPORT SERVICES

Mission: to provide the residents and community of Tracy with emergency and non-emergency police services in a courteous, professional, and a timely manner.

The Bureau of Support Services is one of three Bureaus of the Tracy Police Department. The Bureau has a variety of specialized units dedicated to providing quality customer service to the community we serve. Support Services is comprised of the following: 9-1-1 Communications, Records, Fiscal Management, and Animal Services.

Services

9-1-1 Communications

- Provide services to the community through its 24-hour, 365-day 9-1-1 Communications Center.
- Answer, triage, and dispatch emergency and non-emergency calls requiring police response.
- Provide dispatch services for on-call personnel for Public Works and Code Enforcement emergencies.

Records Unit

- Process all reports, manage records and document control.
- Process all warrants, citations, subpoenas, restraining orders, public requests for information, copies of reports.
- Track arson, narcotic, and sex registrants.
- Provide customer service to citizens by phone and at the Police Department's front counter.

Animal Services

- Respond to all animal related calls.
- Enforce related Penal Code and Municipal Codes.
- Provide education to the public.
- operate and maintain the City of Tracy Animal Shelter.

BUREAU OF INVESTIGATIONS

The Bureau of Investigations mission is to investigate crimes while honoring established legal principals, properly document investigations, process evidence, and present the findings for the District Attorney's review.

The Bureau of Investigations consists of specially trained police officers and civilian employees who are responsible for completing complex and time-consuming investigations. It is managed by a Captain, a Lieutenant and supported by an Administrative Assistant and a Crime Analyst. The General Investigations Unit consists of a sergeant and 10 detectives; Special Investigations Unit (SIU) has four detectives and a sergeant; Crime Scene Unit is staffed with four crime scene technicians, a property clerk and a supervisor.

Services

General Investigations Unit

- Investigates crimes against persons such as homicides, sexual assaults (rape), robberies, and felony assaults.
- Investigates crimes against property such as burglaries, grand thefts, vehicle thefts, and high tech crimes.

Special Investigations Unit

- Investigate cases involving illegal drugs, vice and human trafficking.

Crime Scene Unit

- Respond to crime scenes.
- Document and collect evidence.
- Release and dispose of property.

COUNCIL STRATEGIC PRIORITY ACCOMPLISHMENT SUMMARY, FISCAL YEAR 2020-2021

POLICE	Fiscal Year 2020-2021 Accomplishment Summary	Quality of Life	Public Safety	Gov.
Field Ops.	• Reduced homelessness by a multi-facetted approach to include collaboration with Public Works, Parks and Recreation, Code Enforcement, Animal Control, CHP, and CalTrans in the clean-up of Clyde Bland Park homeless encampment and installed security camera system. • Reduced crime through crime prevention education, technology and deployment of resources. • Hired 3 new police officers 2020 • Formed Fireworks workgroup and developed a response strategy, amended the Tracy Municipal Code (3.04.030) to add new enforcement tools. • Awarded $42,500 from Office of Traffic Safety to fund community outreach and additional enforcement for Traffic related issues such as DUIs and distracted driving • Developed and initiated COVID response plan to reduce employee exposures and provided logistical support for the COVID testing sites in Tracy	✓	✓	
	• Applied for and was awarded grants to support the Police Department's Operational Budget: ➢ Corona Virus Emergency Grant - $37,584 ➢ COPS Hiring Grant - $375,000 ➢ Edward Byrne Justice Memorial Grant - $11,654 ➢ Clear the Shelter Grant - $1,500 ➢ CA Animal Welfare Grant - $10,000 • Launched Text to 9-1-1 • Installed and deployed "Rapid Deploy", a GIS system to provide accurate location of cellphone callers • Hired an Animal Services Supervisor, an Animal Services Officer, and Animal Services Aide and two Public Safety Dispatchers • Streamlined the Administrative Citation Process in collaboration with Animal Services, Finance, and Code Enforcement to ensure timely collection of revenue • Enhanced technology and communication by designing several interfaces to ensure consistent and timely data collection from Animal Services and Code Enforcement to the department's Computer Aided Dispatch and Records Management System, Mark43	✓	✓	✓

COUNCIL STRATEGIC PRIORITY ACCOMPLISHMENT SUMMARY, FISCAL YEAR 2020-2021

POLICE	Fiscal Year 2020-2021 Accomplishment Summary	Quality of Life	Public Safety
Investigations	• Maintained clearance rate above 50% for all cases assigned to the General Investigations Unit • Solved and made arrests on all five (5) homicides in 2020 • Reduced crime rate through enforcement, education and prevention • Hired an Administrative Assistant • Continued to expand hi-tech crimes investigative techniques and focus on internet crimes against children • Seized 4,160 marijuana plants, 55 lbs. of processed marijuana for sale, 96.54 grams of Cocaine, 21.21 lbs. of methamphetamine, 387 illegal pills, 11 firearms, and $132,910 in cash	✓	✓
Office of the Chief of Police	• Created a Chief of Staff Position • Created a Public Information Officer positon • Established a Youth Advisory Board • Ensured that Command Staff and Supervisory Staff completed National Incident Management System (NIMS) training • Created a Professional Standards and Training Division	✓	✓

CURRENT AND PLANNED GOALS FOR FISCAL YEAR 2021-2022

POLICE	Current and Planned Goals FY 2021-2022	Quality of Life	Public Safety	Gov.
Field Ops.	• Create a drone program for enhanced crime fighting, and search and rescue capabilities • Develop and deploy a Neighborhood Impact Team to respond after critical incidents in support of the community • Maintain quality of life initiative at Lincoln Park and Clyde Bland Park • Develop and deploy a Mental Health Evaluation Team partnering with County Mental Health and utilizing the Community Services Unit and ancillary officers	✓	✓	
Support Services	• Implement Alarm Permit and False Alarm Program to streamline permit process, reduce false alarms, and collect revenue from false alarm penalties • Implement Quality Assurance program to audit calls and radio traffic in the dispatch center • Continue to seek additional grant opportunities to support the police department's operational budget • Continue progress towards Next Generation 9-1-1 • Implement a Spay, Neuter and Release Program for community cats	✓	✓	✓
Office of the Chief of Police	• Develop and deploy a comprehensive Community Oriented Policing Strategy in conjunction with the Community Advisory Board. • Deploy an updated annual evaluation, employee commendation, and recognition process. • Full deployment of Mark 43, the departments new Computer Aided Dispatch System and Records Management System (CAD/RMS)	✓	✓	✓

CURRENT AND PLANNED GOALS FOR FISCAL YEAR 2021-2022

POLICE	Current and Planned Goals FY 2021-2022	Quality of Life	Econ. Dev.	Public Safety	Gov.
Investig.	• Install CCTV cameras and stationary License Plate Readers to light poles at main intersections and thoroughfares • Develop a second high-tech Detective and update equipment to assist in investigations and evidence retrieval. • Explore new opportunities to use technology to investigate cases • Successfully complete investigations with a high closure rate. • Add a vehicle for the drug interdiction K9 and handler. • Proactively investigate human trafficking. • Install surveillance cameras in the North Annex (covering property and evidence). • Purchase and install two generators at the North Annex through the use of Prop 69 grant money	✓	✓	✓	✓

NOTEWORTHY, SIGNIFICANT CHANGES FISCAL YEARS 2021-2022

The Tracy Police Department experienced significant changes that impacted Fiscal Year 2020-2021 and will carry forward into the 2021-22 Fiscal year.

- In 2020, the Code Enforcement Unit was transferred from the Development Services Department to the Police Department. The transition necessitated the purchase of additional equipment such as body-worn cameras, ballistic vests and radios, and uniforms a rebranding of Code Enforcement's fleet with police department logos; coordination of code enforcement into the department's Computer Aided Dispatch and Records Management systems, and management of the Code Enforcement unit's budget.

- In 2020, the Tracy Police Department also encountered sizable impacts to the operational budget with many demonstrations and protests sparked by national and world news events including George Floyd and Breonna Taylor.

- Impacts from the COVID-19 Pandemic placed a significant burden on the operational budget with the need to purchase personal protective equipment, change business operation protocol, and handle excess personnel cost due to emergency schedule and operational changes.

- Lastly, due to the economic strain sustained by the entire City, the Police Department had to hold several positions vacant including 3 officers, 1 Community Service Officer, and 1 Animal Control Officer.

FINANCIAL HIGHLIGHTS

Personnel expenditures increased by 2% ($681,114) from FY 2021 amended budget to FY 2022 proposed budget. Services and supplies expenditures decreased by 7% ($332,949) from FY 2021 amended budget to FY 2022 proposed budget.

Staffing Levels

Department Police Department

	FY 2017-18 FTE	FY 2018-19 FTE	FY 2019-20 FTE	FY 2020-21 FTE	FY 2021-22 FTE
ADMINISTRATIVE ASSISTANT	3	3	4	4	4
ANIMAL SERVICES AIDE	2	2	2	2	2
ANIMAL SERVICES OFFICER I	2	2	2	2	1
ANIMAL SERVICES OFFICER II	2	2	2	2	3
ANIMAL SERVICES SUPERVISOR	1	1	1	1	1
BUILDING INSPECTOR II	1	1	1	1	1
CODE COMPLIANCE ANALYST	1	1	1	1	1
CODE ENFORCEMENT OFFICER	3	3	3	3	4
COMMUNITY PRESERVATION MANAGER	1	1	1	1	1
COMMUNITY SERVICES OFFICER	3	4	4	4	4
CRIME ANALYST	1	1	1	1	1
CRIME PREVENTION SPECIALIST	2	2	2	2	2
CRIME SCENE PROPERTY UNIT SUPERVISOR	1	1	1	1	1
CRIME SCENE TECHNICIAN	4	4	4	4	4
EXECUTIVE ASSISTANT	1	1	1	1	1
POLICE CAPTAIN	2	2	2	2	2
POLICE CHIEF	1	1	1	1	1
POLICE CORPORAL	13	13	12	12	11
POLICE LIEUTENANT	4	5	5	5	7
POLICE OFFICER	58	60	62	62	66
POLICE OFFICER-DET	1	1	1	1	0
POLICE RECORDS ASSISTANT II	6	6	6	6	6
POLICE RECORDS SUPERVISOR	1	1	1	1	1
POLICE SERGEANT	11	11	13	13	13
POLICE SUPPORT OPERATIONS MANAGER	1	1	1	1	1
POLICE SUPPORT SERVICES TECHNICIAN	1	1	1	1	1
PROPERTY & EVIDENCE TECHNICIAN	1	1	1	1	1
PUB SAFETY DISP LEAD	1	1	1	1	0
PUBLIC SAFETY DISPATCH SUPERVISOR	1	1	1	1	1
PUBLIC SAFETY DISPATCHER I	4	4	4	4	3
PUBLIC SAFETY DISPATCHER II	9	9	9	9	9
SENIOR POLICE RECORDS ASSISTANT	1	1	1	1	1
SENIOR PUBLIC SAFETY DISPATCHER	3	3	3	3	4
Grand Total	**147**	**151**	**155**	**155**	**158**

Department Budget Summary

DEPT	POLICE

TYPE	OB	Sum of FY 2020	Sum of FY 2021 Amended	Sum of FY 2022 Dept Budget	Sum of FY 2023	Sum of FY 2024
E	CAPITAL	0	0	0	0	0
	PERSONNEL	28,409,704	28,623,077	29,304,191	29,594,985	29,888,723
	PURCHASED SERVICES AND SUPPLIES	4,982,969	5,432,741	5,099,792	5,707,041	5,758,861
	UTILITIES	343,680	384,837	241,253	255,736	271,089
Grand Total		**33,736,353**	**34,440,655**	**34,645,236**	**35,557,763**	**35,918,674**

Funding Summary by Fund

DEPT	POLICE
OB	(Multiple Items)

TYPE	FUND TYPE	Sum of FY 2020	Sum of FY 2021 Amended	Sum of FY 2022 Dept Budget	Sum of FY 2023	Sum of FY 2024
E	GENERAL FUND	32,917,261	33,864,153	34,299,027	35,209,968	35,569,264
	SPECIAL REVENUE	819,092	576,502	346,209	347,795	349,410
E Total		**33,736,353**	**34,440,655**	**34,645,236**	**35,557,763**	**35,918,674**

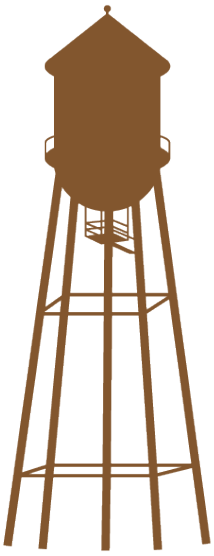
Division Budgets

DEPT	POLICE

TYPE	DIVISION NAME	Sum of FY 2020	Sum of FY 2021 Amended	Sum of FY 2022 Dept Budget	Sum of FY 2023	Sum of FY 2024
E	CAPITAL	13,844	4,165	4,165	4,206	4,248
	CODE ENFORCEMENT	874,101	964,865	907,591	923,144	932,308
	PD OPERATIONS	19,107,052	19,908,794	20,018,170	20,574,851	20,776,363
	PD SPECIAL ACTIVITIES	844,058	886,679	363,199	382,177	386,194
	POLICE ADMIN	1,309,960	1,764,695	1,680,236	1,710,971	1,733,801
E Total		**33,736,353**	**34,440,655**	**34,645,236**	**35,557,763**	**35,918,674**
Grand Total		**33,736,353**	**34,440,655**	**34,645,236**	**35,557,763**	**35,918,674**

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Public Works Department



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PUBLIC WORKS DEPARTMENT

Mission
Maintain, repair and operate Tracy's public facilities and infrastructure in an efficient and cost effective manner while preserving and improving the health, safety, and aesthetics of our community.

Vision
To preserve and improve the quality of life for the residents, businesses, and visitors of Tracy so that the City continues to prosper and grow.

Values

Service – Strive for consistent excellent customer service through empathy, communication, and action.

Integrity – Be honest and forthright in all interactions; be true to the core values of the organization; do the right thing even when no one is watching; respect everyone encountered during the course of the work day.

Teamwork – Support the efforts of all fellow employees; facilitate the needs and operations of all City departments; develop strong relationships with co-workers through honest and respectful communication.

Stewardship – Protect and enhance the environment through conscientious attendance to our surroundings and the effects our actions have on our living surroundings. Also, be strong stewards of the resources provided us by the public through efficient operation and innovative solutions.

Accountability – Answer to the trust and responsibilities given us by the public; be transparent in our actions; uphold high standards of performance.

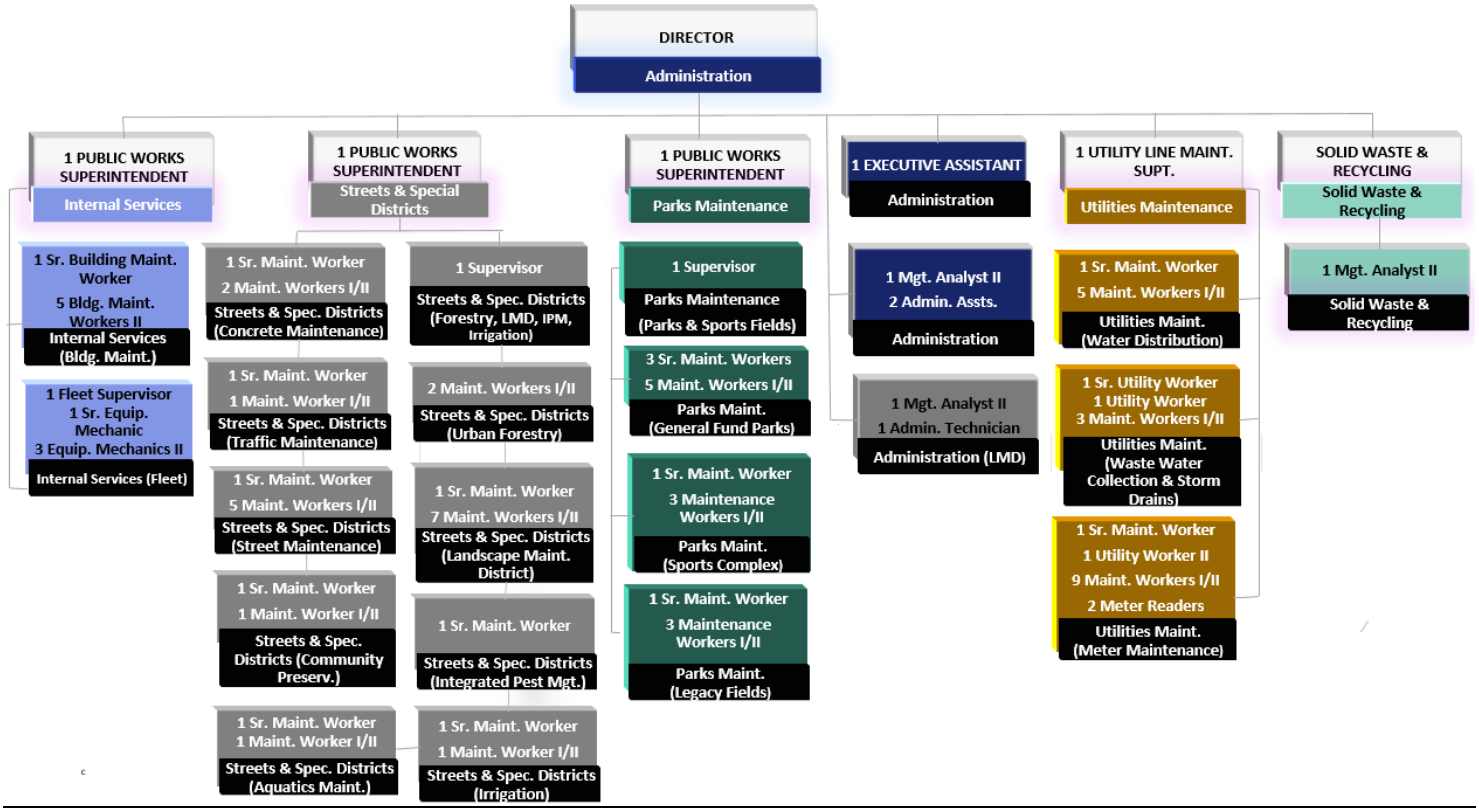
Department Overview
Public Works consists of nine divisions that provide the vast majority of the public infrastructure maintenance and repair functions for the city. They are: Public Works Administration; Solid Waste & Recycling; Internal Services; Aquatics Maintenance; Utility Line Maintenance; Urban Forestry & Integrated Pest Management; Special Assessment Districts; Parks & Sports Field Maintenance; and Street, Community Preservation, Concrete & Traffic Maintenance Division.

The Department's responsibilities include managing a budget of approximately $43,000,000 to oversee maintenance and repair of municipal facilities and public assets in the Tracy community such streets, trees landscaping, traffic control systems, parks, public buildings, City vehicles, and the City's water and sewer conveyance and drainage system. Public Works also administers the solid waste and recycling programs.

Organization Chart

PUBLIC WORKS

Full-time Equivalents: 93
(Includes Vacancies)



PUBLIC WORKS DIVISIONS

ADMINISTRATION

Administration's key role is to assure quality customer service to residents, businesses and visitors to Tracy by providing the necessary support and resources for the Department's Operations staff.

Services

- Manage and direct over 20 different Public Works programs.
- Manage a budget of over $43,000,000 and approximately 100 employees.

INTERNAL SERVICES

Responsibilities include support and maintenance of the City's vehicle and equipment fleet, and public buildings and amenities. The units that makeup the Internal Services Division are:

- Building Maintenance
- Fleet Maintenance
- Aquatics Maintenance

Services

- Procure support, maintain, and repair City vehicles and equipment.
- Provide fuel services for all City vehicles and equipment.
- Oversee and monitor contracted repairs.
- Maintain vehicle and equipment records including replacement schedules.
- Provide maintenance and repair services for all City buildings and facilities including structural, electrical, plumbing, HVAC, and roofing maintenance and repair.
- Provide support for the Graffiti Abatement Program
- Oversee custodial services for public buildings.
- Maintain City water features and recreational facilities.

STREETS & SPECIAL DISTRICTS

Responsibilities include performing maintenance and repair of streets and City public right-of-ways, and supporting the Tracy Police Department's Code Enforcement clean-up operations; planning for the future Tracy urban forest and protecting the existing urban forest; and strategizing long term prevention against weeds and pests throughout City landscaping, parks, and public right-of-ways.

The units that makeup the Streets and Special District Maintenance Division are:

- **Concrete Maintenance**
- **Streets Maintenance**
- **Traffic Maintenance**
- **Urban Forestry**
- **Irrigation**
- **Integrated Pest Management**
- **Community Preservation**
- **Landscape Maintenance District Maintenance**

Services

Concrete

- Maintain and repair concrete surfaces of City sidewalks, curbs and gutters, and ADA ramps.

Streets

- Maintain and repair roadway surfaces.
- Perform asphalt pothole repair, crack sealing, and minor overlay projects throughout the City.
- Administer City's street sweeping contract.
- Provide support services related to improvement projects at City facilities.

Community Preservation Unit (CPU)

- Support the Police Department's Code Enforcement division with clean-up of illegal dumping and encampments; removal of illegal signs and shopping carts.

Traffic Maintenance

- Maintain all City signs, street markings, banners and flag displays in the public right-of-way.
- Perform lane closures for various repair projects
- Assist with community events and rentals such as parades and downtown block parties
- Provide additional support to the CPU for larger clean-up activities.

Urban Forestry/Integrated Pest Management

Responsibilities include planning for the future Tracy urban forest and protecting the existing urban forest; and strategizing long-term prevention against weeds and pests throughout City landscaping, parks, and public right-of-ways.

Services

- Maintain approximately 37,000 City trees.
- Manage contracted tree services, and tree planting.
- Coordinate emergency response to storm related tree hazards.
- Control pests through environmentally sensitive pest management while preserving assets and protecting the health and safety of the Urban Forest and City Parks and Landscaping.

Landscape Maintenance District/Irrigation

The Special District Landscape Division oversees the operation and maintenance of the City's Tracy Consolidate Landscape Maintenance District (TCLMD) and Community Facility Districts (CFD) parks and landscaping. Irrigation maintenances is provided to all City public areas.

Services

- Plan, manage, and maintain landscaping for approximately 230 acres of streetscapes, medians, channel ways, and parks.
- Maintain park equipment and amenities.
- Oversee associated contracted services.

PARKS AND SPORTS FIELDS

The Parks and Sports Fields Division is responsible for maintaining and assuring safe, functional and aesthetic sports fields and non-Special District parks for the use and enjoyment of the Tracy community. The units that makeup the Parks and Sports Fields Division are:

- Parks & Sports Field Maintenance
- General Fund Parks Maintenance
- Sports Complex Maintenance
- Legacy Fields Maintenance

Services

- Maintain and repair of over 200 acres of developed land consisting of 21 neighborhood and community parks.
- Maintain and repair landscaping in the Front Street Plaza, the Transit Center, and the Civic Center complex.
- Prepare, maintain, and repair all City sports facilities and fields.

SOLID WASTE AND RECYCLING

Responsibilities include overseeing solid waste collection, disposal, and recycling services throughout the City; overseeing community compliance with related regulations; and coordinating recycling events.

Services

- Administer agreements for all waste collection, disposal, and recycling.
- Perform educational outreach.
- Oversee adherence to local and State codes and regulations.
- Provide recycling opportunities through numerous community events.

UTILITY LINE MAINTENANCE

Responsibilities include maintenance and service of the City's, water, wastewater, and storm drainage conveyance systems and water meters. The units that makeup the Utility Line Maintenance Division are:

- Water Distribution
- Meter Maintenance
- Waste Water Collection and Storm Drains

Services

- Water Distribution Program - maintain and repair the City's water distribution system including water mains, service connections, valves and fire hydrants.
- Water Meter Program - read, test, repair and replace water meters on the City's water system and provide field support for the City's Finance Department's utility billing staff.
- Wastewater Collection Program - maintain and repair the City's sewer collection system including sewer mains and laterals.
- Storm Drainage Program - maintain and repair the City's storm drainage system including storm drains, channel ways, retention and detention basins; provide support for the clean up of illegal dumping and encampments within the storm water conveyance system.

COUNCIL STRATEGIC PRIORITY ACCOMPLISHMENT SUMMARY, FISCAL YEAR 2020-2021

PUBLIC WORKS	Fiscal Year 2020-2021 Accomplishment Summary	Quality of Life	Econ. Dev.	Public Safety	Gov.
Admin.	• Developed and implemented daily tracking of field staff "task completion" • Improved performance standards and expectations throughout the Department to ensure greater accountability		✓		
Solid Waste & Recycling	• Sent information to 293 businesses and 143 multi-family complexes regarding implementation and compliance of the State's required recycling mandate • Provided free recycling events to residents which included: three free e-waste events, two document shredding events, and two compost give-away events; reviewed feasibility of increased events • Coordinated various locations for year-round battery recycling • Updated the website to provide information to residents on recycling events, garbage and recycling guide and schedule, annual residential clean-up program, appliance vouchers, options of how to dispose of household hazardous waste and medications.	✓			
Solid Waste & Recycling	• Conducted a solid waste rate study to implement new and upcoming state mandates				✓
Internal Services, Fleet	• Provided maintenance and repairs to 282 City vehicles and 179 pieces of equipment. • Completed 1,496 work orders • Added zero-idle aerial truck to the fleet	✓			
Internal Services, Building Maint.	• Responded to 2625 request for building maintenance • Responded to 170 service requests for graffiti abatement (response time for most requests was within 24 hours)	✓			
Internal Services, Building Maint.	• Updated fire alarm system at PD • Installed COVID barriers on City Hall, PD, BSC, Senior Center and Council Chamber			✓	

COUNCIL STRATEGIC PRIORITY ACCOMPLISHMENT SUMMARY, FISCAL YEAR 2020-2021

PUBLIC WORKS	Fiscal Year 2020-2021 Accomplishment Summary	Quality of Life	Public Safety	Gov.
Utility Line Maintenance, Water Distribution	• Investigated over 400 reports of water leaks or water in the street • Continued flushing of the potable water system • Repaired a combination of 200 water main breaks and service leaks	✓		
Utility Line Maintenance, Water Distribution	• Repaired or replaced 40 fire hydrants • Continued valve exercising program • Quickly restored water service when there was a water main leak or service leak		✓	
Utility Line Maintenance Water Meters	• Installed over 1,500 flex net water meters • Tested and/or replaced 800 water meters including dead or aging meters • Continued prompt service with our customer service	✓		
Utility Line Maintenance Water Meters	• Responded to 800 meter issues and requests for water turn-ons/shut-offs		✓	
Utility Line Maintenance Water Meters	• Verified GPS on all newly installed flex-net boxes			✓
Utility Line Maintenance Wastewater Collection	• Responded quickly to over 400 calls for backed-up sewers • Flushed 20 miles of sewer mains • Checked and cleaned sewer hotspots to reduce the possibilities of sanitary sewer overflows • Repaired 12 manholes and eight laterals sewer lines	✓		
Utility Line Maint., Wastewater Collection	• Continue to educate the public on fats, oil, and grease (FOG) issues related to the sewer system	✓		
Utility Line Maintenance, Storm Drainage	• Responded to over 300 calls of plugged storm drains		✓	
Utility Line Maintenance, Storm Drainage	• Repaired drain inlets to maintain water flow • Installed or repaired grates in storm channels • Continued to keep drains and channel ways clear to reduce the potential of flooding		✓	
Utility Line Maintenance, Storm Drainage	• Continued Maintain-A-Drain program through public outreach			✓

COUNCIL STRATEGIC PRIORITY ACCOMPLISHMENT SUMMARY, FISCAL YEAR 2020-2021

PUBLIC WORKS	Fiscal Year 2020-2021 Accomplishment Summary	Quality of Life	Public Safety	Gov.
Streets & Special Districts, Street Maintenance	• Closed 322 service requests for Streets Maintenance only (non-water patch repair) • Applied 155.75 tons of Hot Asphalt Mix (HMA) to roadway repairs • Applied 648 boxes of Crack Seal materials to prolong pavement maintenance and roadway life span • Applied 274 containers of D-tack pavement sealer • Responded to 78 pothole service requests for immediate repair • Applied 7 tons of HMA and 93 bags (30.7 yards) of street cold patch during pothole repair operations	✓	✓	✓
Streets & Special Districts, Street Maintenance	• Utilized forms in Google Docs that were created in-house for daily tracking of work performed to quantify production and workflow • Created a mapping system for the Pavement Crack Seal Program to track and improve efficiencies and rotations			✓
Streets & Special Districts, Community Preservation	• Responded to 1,373 service requests and site responses at 116 various locations YTD (3/16/21) • Collected 479 tons of debris YTD (3/16/21) • Assigned a 3rd Maintenance Worker II to the crew to assist with work load • Took over the scheduling and posting of all enforced clean-up operations to better coordinate the Police Department and Code Enforcement staffing assignments • Posted a Request for Proposal and awarded a contract to clean-up and remove bio-hazards encountered	✓	✓	✓
Streets & Special Districts, Traffic Maintenance (Signage & Striping)	• Began tracking and completed 19 service requests through March, 2021 • Performed 474 reparations not identified in the service request systems – such as reflectivity sign surveys • Purchased 196 new American Flags due to fatigue of stock • Hung American flags citywide in support of the #Tracy Strong COVID-19 campaign	✓		
Streets & Special Districts, Traffic Maintenance (Signage & Striping)	• Purchased new thermos-plastic striping equipment to better handle production, efficiencies and capabilities for roadway striping and markings			✓

COUNCIL STRATEGIC PRIORITY ACCOMPLISHMENT SUMMARY, FISCAL YEAR 2020-2021

PUBLIC WORKS	Fiscal Year 2020-2021 Accomplishment Summary	Quality of Life	Public Safety	Gov.
Streets & Special Districts, Urban Forestry/ Integrated Pest Management	• Oversaw contracted trimming to 6,101 City trees through grid pruning maintenance • Responded to and closed 507 service requests	✓		
Streets & Special Districts, Urban Forestry/ Integrated Pest Management	• Oversaw contracted removal of approximately 194 dead, dying, or diseased trees.		✓	
Streets & Special Districts, Urban Forestry/ Integrated Pest Management	• As part of our Cal Fire grant program, oversaw contractor installation of approximately 200 new 15-gallon trees throughout the City within the state identified disadvantaged community area • Established a contract with Nutrien-Solutions for the purchase of pest management materials			✓
Park Maintenance Parks (GF) & Sports fields,	• Supported crime prevention efforts with CPTED pruning at Hoyt Park Dry Climate Area, Clyde Bland, Veterans, Hoyt, & Lincoln Parks		✓	
Park Maintenance Parks (GF) & Sports fields, Park Maintenance	• Improved irrigation efficiency and continue upgrades to centralized control system; • Repaired all tennis lights at Dr. Powers Park			✓
Park Maintenance Parks (GF)	• Maintained all General Fund parks and amenities in a safe and aesthetically pleasing condition • Complete minor renovations to various planter beds and turf areas as needed • Volunteers planted trees in Clyde Bland Park • Renovated Cora Dog Park turf during COVID-19 shutdown • Coordinated various volunteer activities at Ceciliani, Kenner, Talley, & Thoming Parks, including two Eagle Scout projects • Renovated turf of Ceciliani Park	✓		
Park Maintenance Legacy Fields	• Installed flush valves to solve mollusk infestation in irrigation system at Legacy Fields • Assumed maintenance responsibilities for the eastern wagon wheel at Legacy Fields • Coordinated volunteer project at Legacy Fields	✓		
Park Maintenance Legacy Fields	• Assisted contractor as needed to facilitate construction of Phase 1D of the development of Legacy Fields Sports Complex	✓		

COUNCIL STRATEGIC PRIORITY ACCOMPLISHMENT SUMMARY, FISCAL YEAR 2020-2021

PUBLIC WORKS	Fiscal Year 2020-2021 Accomplishment Summary	Quality of Life	Econ. Dev.	Public Safety	Gov.
Park Maintenance Sports Field Maintenance	• Eradicated rodents from the Sports Complex • Implemented rodent abatement strategy at Plasencia Fields • Trained and equipped crew at Sports Complex to perform ballfield netting repairs			✓	
Park Maintenance Parks (GF) & Sports fields	• Enhanced irrigation system at Legacy to comply with Reclaimed Water mandates • Assisted contractor with installation of VFD and filter improvements at Legacy Fields				✓
Special District Parks & Landscape Maintenance	• Renovated numerous wood benches, picnic tables, and arbors throughout various Landscape Maintenance District (LMD) zones • Divided LMD Division into specialized crews of "amenities and "irrigation" to improve efficiencies • Established a new landscape contractor, Terracare, to provide a better and more efficient service to City LMD/CFD parks and landscaping • Established a new irrigation contract with Horizon, LLC to supply City crews with irrigation supplies • Converted 52,396 square feet of turf to drought tolerant landscaping	✓			

CURRENT AND PLANNED GOALS FOR FISCAL YEAR 2021-2022

PUBLIC WORKS	Current and Planned Goals Fiscal Year 2021-2022	Quality of Life	Gov.
Admin.	• Provide support and direction to over 20 operational programs that care for and manage the City's infrastructure • Continue to implement efficient, sustainable, and innovative practices in all areas	✓	
Admin.	• Administer a budget of over $46 million • Improve resource and contract management		✓
Solid Waste and Recycling	• Continue to provide recycling events and programs • Enforce recycling compliance ordinance • Increase the number of events and recycling and disposal methods available to residents • Continue to implement an organic food waste recycling program • Increase educational outreach efforts at schools and community events • Implement the recommendations from the Solid Waste Fee Study • Maintain the City's Solid Waste And Recycling Webpage with updated information on events, compliance information, disposal options, etc.	✓	
Internal Services, Fleet	• Continue to provide maintenance, repairs, and fuel to the City fleet • Continue compliance with local, State, and Federal regulations • Replace 16 vehicles/equipment • Add the City fleet to the Continuous Testing Program (CTP) for emissions testing compliance	✓	
Internal Services, Fleet	• Purchase alternative fuel vehicles/equipment • Update Automatic Vehicle Locator (AVL) devices		✓
Internal Services, Building Maintenance	• Continue energy-efficient retrofitting of existing building lighting systems • Replace HVAC controllers to reduce energy cost		✓
Utilities, Water Distribution	• Expand the water main flushing and valve exercising programs • Maintain 465 miles of water mains and over 25,000 services	✓	
Utilities, Water Distribution	• Continue to mark USAs in accordance with the law • Continue to implement changes and maintain records for the AWWA water loss TAPS program • Record analysis of pipes, updated GIS/GPS data, utility lines, service connections repairs and maintenance. Expand records on infrastructure maintenance. • Change out polybutylene lines when possible • Change out or rehabilitate water valves		✓

CURRENT AND PLANNED GOALS FOR FISCAL YEAR 2021-2022

PUBLIC WORKS	Current and Planned Goals for Fiscal Year 2021-2022	Quality of Life	Public Safety	Gov.
Utilities, Water Distribution	• Change or repair at least 65 fire hydrants • Implement the use of reflective hydrant markers to prevent unauthorized use and communicate maintenance or repair needs		✓	
Utilities, Water Meters	• Improve system by replacing approximately 500 meters with Flex-Net automated devices • Test or replace 800 water meters • Repair meter leaks when reported • Continue to have customer service respond in a timely manner	✓		
Utilities Maintenance, Water Meters	• Implement new changes in maintaining records for the AWWA Water Loss TAPS Program • Record analysis of meters; updated GIS/GPS data; and service connections, repairs, and maintenance • Increase records on infrastructure maintenance			✓
Utilities, Wastewater Collection	• Broaden preventative maintenance of the collection system by cleaning 40 miles of sewer mains • Continue to maintain 450 miles of sewer mains and for over 25,000 laterals • Continue to check Hot Spots to reduce sanitary sewer overflows	✓		
Utilities, Wastewater Collection	• Clean up sewer spills and report to the California Integrated Water Quality System (CIWQS) • Respond quickly to sewer issues such as backed up laterals • Report all sewer issues to the State to stay in compliance with the Water Board • Record analysis of pipes; update GIS/GPS data; utility lines and service connections, repairs, and maintenance. Expand records on infrastructure maintenance			✓
Utilities, Storm Drainage	• Promote the Maintain-A-Drain Program	✓		
Utilities, Storm Drainage	• Maintain fences around ponds and channel ways • Continue to replace broken drainage inlets and grates • Prevent intrusion of hazardous materials into the storm drainage system by responding quickly to spills and illegal dumping • Increase the amount of drainage inlets cleaned yearly to reduce street flooding		✓	
Streets & Special Districts, Street Maintenance	• Continue to develop mapping program to document historical data and forecast measures for future years • Create an in-house pavement improvement program using the data collected over the previous two years, creating forecast models and production goals	✓		

CURRENT AND PLANNED GOALS FOR FISCAL YEAR 2021-2022

PUBLIC WORKS	Current and Planned Goals for Fiscal Year 2021-2022	Quality of Life	Public Safety	Gov.
Streets & Special Districts, Street Maintenance	• Survey pavement and roadways in need of repairs per PCI rating and provide feedback to Engineering for future CIP consideration • Continue to pursue funding for an asphalt grinder to enable advanced repairs of the roadways, increase safety, and slow the deterioration of the City roadway system; and assist in increasing Citywide Pave-way Condition Index (PCI) by 2-3%			✓
Streets & Special Districts, Community Preservation	• Continue to provide support and cooperation to the Tracy Police Department and Code Enforcement • Assist when practical, with the establishment of a temporary housing shelter a 500 Arbor Road		✓	
Streets & Special Districts, Traffic	• Increase frequency of reapplication of thermoplastic and painted street markings in high-traffic areas		✓	
Streets & Special Districts, Urban Forestry	• Grid-trim 5,500 City trees to adhere to a five to seven-year maintenance cycle • Install 100 new carbon sequestering trees throughout the City of Tracy	✓		
Parks (GF) & Sports fields, Park Maintenance	• Maintain all General Fund (GF) parks and amenities in a safe and aesthetically pleasing condition; complete minor renovations to various planter beds and turf areas as needed • Make continuous improvements in the aesthetics of all sports facilities to enhance the customer experience and improve marketability of the facilities. • Provide training to all crews to more effectively apply resources and improve outcomes	✓		
Parks (GF) & Sports fields, Park Maintenance	• Improve irrigation efficiency and continue upgrades to centralized control system • Maintain all City sports complexes and fields at a high standard to ensure safety and playability			✓

FINANCIAL HIGHLIGHTS

Personnel expenditures increased by 6% ($648,925) from FY 2021 amended budget to FY 2022 proposed budget. Services and supplies expenditures decreased by 0.05% ($15,546) from FY 2021 amended budget to FY 2022 proposed budget.

Department	Public Works

	FY 2017-18 FTE	FY 2018-19 FTE	FY 2019-20 FTE	FY 2020-21 FTE	FY 2021-22 FTE
ADMINISTRATIVE ASSISTANT	2	2	2	2	2
ADMINISTRATIVE TECHNICIAN	1	1	1	1	1
BLDG MAINT ASSISTANT	1	1	1		
BLDG MAINT WORKER				1	0
BUILDING MAINTENANCE WORKER II	4	4	4	4	5
DIRECTOR OF PUBLIC WORKS	1	1	1	1	1
EQUIPMENT MECHANIC I	1	1	1	1	0
EQUIPMENT MECHANIC II	2	2	2	2	3
EXECUTIVE ASSISTANT	1	1	1	1	1
FLEET SUPERVISOR	1	1	1	1	1
LANDSCAPE INSPECTOR	**1**	**1**	**1**		
MAINT WORKER I/II	48	51	51	52	48
MANAGEMENT ANALYST II	3	3	3	3	3
METER READER	3	3	3	2	2
PLANS CHECK EXAMINER	1	1	1		
PUBLIC WK SUPERVISOR	1	1	1	1	0
PUBLIC WORKS SUPERINTENDENT	3	3	3	3	3
PUBLIC WORKS SUPERVISOR	1	1	1	1	2
SENIOR BUILDING MAINTENANCE WORKER	1	1	1	1	1
SENIOR EQUIPMENT MECHANIC	1	1	1	1	1
SR MAINT WORKER	13	14	14	14	15
SENIOR UTILITIES WORKER					1
UTILITY LINE MAINTENANCE SUPERINTENDENT	1	1	1	1	1
UTILITIES WORKER II					2
Grand Total	**91**	**95**	**95**	**93**	**93**

Department Budget Summary

DEPT	PUBLIC WORKS

TYPE	OB	Sum of FY 2020	Sum of FY 2021 Amended	Sum of FY 2022 Dept Budget	Sum of FY 2023	Sum of FY 2024
E	CAPITAL	648,441	1,155,401	2,261,079	2,285,570	2,308,426
	PERSONNEL	10,956,038	10,425,696	11,074,621	11,185,966	11,298,530
	PURCHASED SERVICES AND SUPPLIES	31,003,075	31,476,098	31,460,552	32,063,506	32,361,776
	UTILITIES	1,963,301	2,296,928	2,707,617	2,870,132	3,042,393
Grand Total		**44,570,855**	**45,354,123**	**47,503,869**	**48,405,174**	**49,011,125**

Funding Summary by Fund

DEPT	PUBLIC WORKS
OB	(Multiple Items)

TYPE	FUND TYPE	Sum of FY 2020	Sum of FY 2021 Amended	Sum of FY 2022 Dept Budget	Sum of FY 2023	Sum of FY 2024
E	GENERAL FUND	6,543,580	6,426,562	7,049,948	7,462,490	7,598,899
	GAS TAX	487,166	443,084	390,408	394,304	398,246
	SPECIAL REVENUE	3,189,812	3,828,450	4,001,776	4,099,241	4,204,601
	INTERNAL SERVICES FUND	4,437,666	4,927,132	5,330,990	5,404,403	5,480,906
	WATER	3,635,613	3,449,815	3,763,515	3,802,064	3,847,733
	WASTEWATER	2,582,627	2,638,625	3,878,336	3,917,943	3,915,870
	SOLID WASTE	22,942,018	23,181,224	22,585,151	22,813,425	23,044,552
	STORM DRAIN	752,174	459,231	503,745	511,304	520,318
Grand Total		**44,570,855**	**45,354,123**	**47,503,869**	**48,405,174**	**49,011,125**

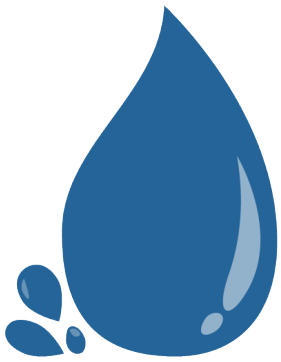
Division Budgets

DEPT	PUBLIC WORKS

TYPE	DIVISION NAME	Sum of FY 2020	Sum of FY 2021 Amended	Sum of FY 2022 Dept Budget	Sum of FY 2023	Sum of FY 2024
E	BUILDING INSPECTIONS		0	0		
	CAPITAL	200	0	0	0	0
	DEPRECIATION	(895,801)	0	0	0	0
	DRAINAGE IMPROVEMENTS	151	0	0	0	0
	FACILITIES IMPROVEMENTS	0	1,005	0	0	0
	ISF CHARGES	1,326,070	1,295,428	1,337,502	1,358,050	1,377,135
	PW ADMIN	655,329	622,438	645,246	666,466	675,252
	PW INTERNAL MAINTENANCE	3,424,556	3,571,190	3,948,536	4,010,795	4,066,082
	PW LMD	3,092,323	3,719,819	3,920,722	4,017,380	4,121,921
	PW PARKS MAINTENANCE	4,623,906	4,576,767	4,981,754	5,225,405	5,339,046
	PW SOLID WASTE	22,524,621	22,695,715	22,094,714	22,315,959	22,539,856
	PW STREETS	2,314,232	2,308,298	2,368,672	2,516,812	2,542,983
	PW UTILITIES MAINTENANCE	6,884,486	6,541,739	8,132,450	8,217,668	8,269,754
	UAAL PENSION	124,982	0	20,044	20,241	20,442
	UNDEFINED	67,580	0	54,229	56,398	58,654
Grand Total		**44,570,855**	**45,354,123**	**47,503,869**	**48,405,174**	**49,011,125**

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Utilities Department



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UTILITIES DEPARTMENT

Mission

To maintain and operate Tracy's public facilities and infrastructure related to water, wastewater, storm drainage, street lights and traffic signals in an efficient and cost effective manner while complying with Federal, State and Local regulatory requirements and preserving the health, safety and aesthetics of our community.

Vision

A maintained and improved City water, recycled water, wastewater, storm drainage, streetlights, traffic signals, other infrastructure, and facilities for the most efficient and reliable services to the community and environment preservation.

Values

The Utilities Department staff work vigorously to exceed the City values of honesty, excellence, customer service, respect, innovation, stewardship and hospitality.

Department Overview

The Utilities Department constructs, operates and maintains the City's water treatment plant, water wells, reservoirs, pump stations, wastewater treatment plant, sewer lift stations, streetlights, and traffic signals. This Department also enforces water quality through the Pre-Treatment Program, storm water management and discharge control, and by making conservation a way of life through water conservation and efficiency mandates. The Department also manages the operations of street lights, traffic signals and storm drainage compliance.

City Boards, Commissions, and Community Partners Supported

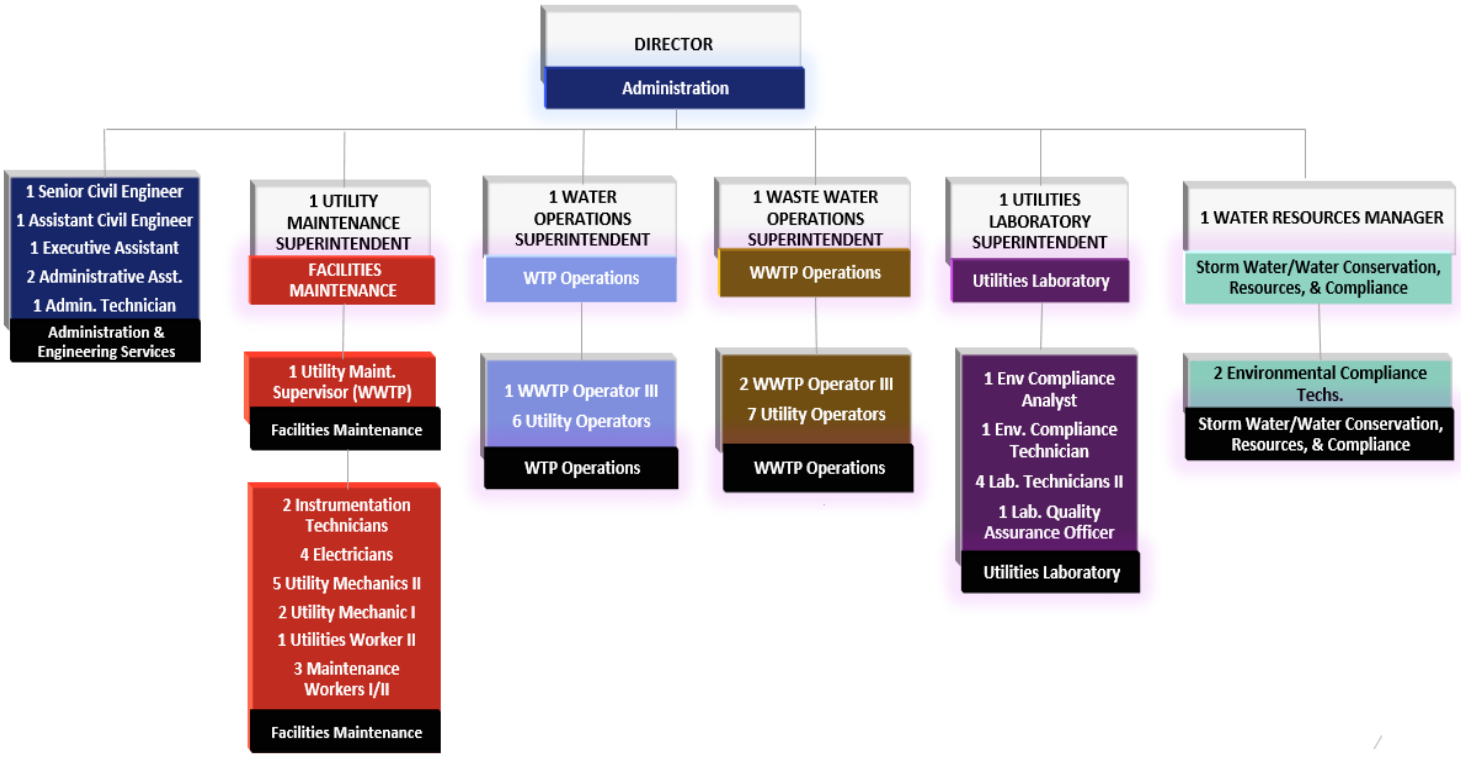
- California Storm Water Quality Association (CASQA)
- California Water Environment Association (CWEA) Central Valley Salinity Coalition (CVSC)
- Delta Regional Monitoring Program (RMP)
- San Joaquin County Advisory Water Commission

Organization Chart

UTILITIES DEPARTMENT

FY 2021-2022

Total Full-time Equivalents: 55
(Includes Vacancies)



UTILITIES DEPARTMENT DIVISIONS

ADMINISTRATION

This Division administers and directs Utilities programs to assure public health and safety for the City's water and wastewater customers.

Services
Manage more than 50 professional and para-professional employees with an annual budget over $17 million; the day-to-day operations of the Department and provides guidance and coordination in dealing with the County, State, and Federal agencies for operations; maintain regulatory compliance of water and wastewater treatment, storage and pumping facilities.

ENGINEERING SERVICES

Engineering Services is responsible for water, recycled water, wastewater facilities, system engineering, construction oversight and contract administration.

Services
- Provide design, engineering and construction services, technical support, analysis, and contract administration for maintenance, operational, and construction projects for both the water and wastewater systems.
- Maintain street lights and traffic signals.
- Coordinate and collaborate with Federal and State agencies, Water Districts and other agencies for development and renewal of permits and agreements related to water, recycled water, and wastewater services.
- Represent the City in compliance with the Groundwater Sustainability Act.
- Manage the Groundwater Sustainability Plan.
- Represent City to coordinate water operations and delivery from South San Joaquin Irrigation District.

WATER TREATMENT PLANT OPERATIONS

This Division provides potable water treatment and operates the water distribution network throughout the City for the Tracy community.

Services
- Operate the John Jones Water Treatment Plant, 8 wells and 1 aquifer storage recovery system (ASR), as well as 6 water reservoirs, various pump and booster stations, and pressure relief valves (PRVs).
- Coordinate and assist in cost effective utilization of water supplies from South San Joaquin Irrigation District, Byron Bethany Irrigation District, and the Delta-Mendota Canal.
- Assure compliance with drinking water standards.
  Monitor water distribution and treatment systems, and report to Federal, State and local agencies.

WASTEWATER TREATMENT PLANT OPERATIONS

This Division oversees the treatment of the City's wastewater and recycled water systems.

Services

- Treat wastewater, remove, and dispose of the collected sludge and liquid effluent.
- Operate lift stations and pump stations.
- Operate the City's recycled water system.
- Monitor wastewater and recycled water systems to assure safety compliance.
- Provide reports to Federal, State and Local agencies for compliance.

FACILITIES MAINTENANCE

The Facilities Maintenance Division is responsible for maintaining the systems and related appurtenances of the water and wastewater plants, wells, water reservoirs, pump stations and sewer lift stations ensuring the health and safety of the City's customers.

Services

- Maintain, repair, and replace mechanical and electrical plant equipment at the City's Water Treatment Plant and Wastewater Treatment Plant, recycled water system, groundwater wells, water reservoirs, booster pump stations and wastewater lift (pump) stations.
- Respond to interruptions to the Water or Wastewater Plant systems to ensure service to the Tracy community.
- Ensure uninterrupted, efficient traffic circulation and well-lit streets.
- Maintain and repair approximately 8,500 City street lights and 90 traffic signals.
- Respond to traffic accidents in order to repair damaged streetlights and traffic signals.

UTILITIES LABORATORY

This Division maintains and operates an E-Lap certified laboratory to ensure State and Federal compliance for water/wastewater effluent at the Water and Wastewater Treatment Plants, water wells and water and wastewater infrastructure systems.

Services

- Sample and test water, recycled water, and wastewater to ensure compliance with Federal, State and Local mandates.

STORM WATER, WATER CONSERVATION, RESOURCES & ENVIRONMENTAL COMPLIANCE

This section, under Utilities Laboratory, administers and enforces water quality and safe drinking water regulations through its National Pollutant Discharge Elimination System (NPDES) permits per the Safe Drinking Water Act. It coordinates compliance of Federal and State regulations for wastewater collection systems and the City's water distribution network.

Services

- Administer the Cross Connection and Backflow Prevention Program to ensure that there is no contamination of potable water when plumbing fixtures or water-utilizing equipment are connected to the City's water distribution system.
- Administer the Pre-Treatment Program for compliance of Federal, State and Local regulatory

- requirements for industrial wastewater discharges and Fats, Oils and Greases (FOG)
- Administer the Storm Water Management and Discharge Control Program for compliance to Federal, State and Local mandates for pollution prevention in storm water and non-storm water discharges within the City.
- The Water Resources Division is responsible for assisting the Department in identifying new water resources and supporting water conservation and efficiency efforts within the City of Tracy to ensure adequate water supplies for the City's water demands.
- Update records of existing water resources and assist in identifying new water resources to meet the City's growth.
- Administer, enforce, and implement Federal, State and Local mandates for water conservation and efficiency.
- Provide education and outreach to residents, businesses, industry, and government to reduce water consumption.
- Provide incentives to aid in the State's mission to "Make Conservation a Way of Life."
- Provide compliance and monitoring reports to Federal and State agencies.
- Develop and update the Water Management Plan and the Urban Water Management Plan every 5 years.

COUNCIL STRATEGIC PRIORITY ACCOMPLISHMENT SUMMARY, FISCAL YEAR 2020-2021

UTILITIES	Fiscal Year 2020-2021 Accomplishment Summary	Quality of Life	Not Applicable to Council Strategic Priorities	Gov.
Admin.	• Effectively managed budget and staffing levels for all programs • Ensured uninterrupted treatment processes at Water and Wastewater Treatment Plants			✓
Water Treatment Operations	• Continued operating Water Treatment Plant and associated appurtenances throughout the City cost-effectively without shut downs or interruptions • Constructed and began supplying water to Tracy Hills from new Booster Pump Station • Continued conversion from Chlorine Gas to Hypochlorite • Started design of the Tracy Hills Zone 5 Pump Station	✓		✓
Wastewater Treatment Operations	• Continued operating Wastewater Treatment Plant and associated appurtenances throughout the City cost-effectively without shut downs or interruptions	✓		✓
Engineering Services	• Continued coordination with BBID for water supplies for Tracy Hills Development		✓	
Administration & Engineering Services	• Completed majority of construction for the Recycled Water Project • Working on sprinkler retrofit for Legacy Fields		✓	
Administration & Engineering Services	• Completed the design for Chlorine Gas conversion to Hypochlorite at the Wastewater Treatment Plant • Completed design of the next phase of the Wastewater Treatment Plant expansion • Continued the rehabilitation of the Lewis Manor Well		✓	
Administration & Engineering Services	• Awarded construction contract for the Wastewater Effluent Outfall Pipeline and began construction		✓	
Facilities Maintenance	• Continued life-cycle replacement of equipment at both treatment plants • Continued maintenance related improvements and repairs at Water Treatment Plant, Wastewater Treatment Plant, water wells, reservoirs, and pump stations		✓	

COUNCIL STRATEGIC PRIORITY ACCOMPLISHMENT SUMMARY, FISCAL YEAR 2020-2021

UTILITIES	Fiscal Year 2020-2021 Accomplishment Summary	Quality of Life	Gov.
Utilities Laboratory	• Received ELAP Certification from the State Water Board		✓
Environmental Compliance	• Increased inspections and responses to Government Outreach requests • Ensured compliance to both water and wastewater regulations including water conservation		✓
Water Resources	• Conducted research for new water efficiency and conservation incentives • Increased inspections and responses to Government Outreach requests • Continued completion of the Urban Water Management Plan	✓	

CURRENT AND PLANNED GOALS FOR FISCAL YEAR 2021-2022

UTILITIES	Current and Planned Goals for Fiscal Year 2021-2022	Quality of Life	Gov.
Admin.	• Continue to cost-effectively manage budget and staffing levels for all programs		✓
Admin.	• Increasing safety training and purchase proper safety equipment and gear for both water and wastewater operations and maintenance staff		✓
Water Treatment Operations	• Continuing to provide uninterrupted water services to our internal and external customers • Properly remove waste materials at the Water Treatment Plant for regulatory compliance • Complete tank inspections for the Water Treatment Plant as required by State mandate • Continue coordination for water purchases from BBID to supply Tracy Hills' water needs • Upgrade the data connection network at the Water Treatment Plant for better communication and speed to external facilities • Minimize operational violations • Continue submitting reports to State for compliance purposes		✓
Water Treatment Operations	• Continue to provide uninterrupted treatment of Wastewater services to our internal and external customers	✓	
Waste Water Treatment Operations	• Continue to provide uninterrupted treatment of Wastewater services to our internal and external customers	✓	
Waste Water Treatment Operations	• Minimize operational violations • Continue submitting reports to State for compliance purposes		✓
Facilities Maintenance	• Ensure maintenance of all equipment for uninterrupted processing at Utilities facilities		✓
Street Lights & Traffic Signals	• Continue replacement and repair of street lights and traffic signals to ensure health and safety		✓
Water Resources	• Continue implementing the Urban Water Management Plan • Research and implement new revenue streams for Federal and State mandates to recover costs associated with these requirements		✓

CURRENT AND PLANNED GOALS FOR FISCAL YEAR 2021-2022

UTILITIES	Current and Planned Goals for Fiscal Year 2021-2022	Not Applicable to Council Strategic Priorities	Gov.
Engineering Services	• Continue construction of the Wastewater Effluent Outfall Project • Start construction of the next phase of the Wastewater Treatment Plant Expansion • Coordinate and complete of the sprinklers retrofit at Legacy Fields.	✓	
Engineering Services	• Complete design of Risk Management Study for compliance with EPA mandates. • Continue finalizing a Sewer Rate Study to assist with cost of wastewater services and equipment.	✓	
Environmental Compliance	• Continue to coordinate the underground water basin sustainability programs • Research and implement revenue streams for Federal and State mandates to recover costs associated with these requirements • Continuing to submit Federal and State reporting as required		✓

FINANCIAL HIGHLIGHTS

Personnel expenditures increased by 8% ($731,594) from FY 2021 amended budget to FY 2022 proposed budget. Supplies and services expenditures increased by 7% ($903,630) from FY 2021 amended budget to FY2022 proposed budget.

Staffing Levels

Department Utilities

	FY 2017-18 FTE	FY 2018-19 FTE	FY 2019-20 FTE	FY 2020-21 FTE	FY 2021-22 FTE
ADMINISTRATIVE ASSISTANT	2	1	2	2	2
ADMINISTRATIVE TECHNICIAN		1	1	1	1
ASSISTANT CIVIL ENGINEER		1	1	1	1
DIRECTOR OF UTILTIIES	1	1	1	1	1
ELECTRICIAN	4	4	4	4	4
ENVIRONMENTAL COMPLIANCE ANALYST	1	1	1	1	1
ENVIRONMENTAL COMPLIANCE TECHNICIAN	3	3	3	3	3
EXECUTIVE ASSISTANT	1	1	1	1	1
INSTRUMENTATION TECHNICIAN	2	2	2	2	2
LABORATORY QUALITY ASSURANCE OFFICER	1	1	1	1	1
LABORATORY TECHNICIAN II	4	4	4	4	4
MAINT WORKER I/II	3	4	5	4	3
PLANT MECHANIC II	1	1	1	1	0
SENIOR WASTEWATER TREATMENT PLANT OPERATOR	1	1	1	1	0
SR CIVIL ENGINEER-UT	1	1	1	1	1
SR WTP OPERATOR	1	1	1	1	0
UTILITIES LABORATORY SUPERINTENDENT	1	1	1	1	1
UTILITY MAINTENANCE SUPERINTENDENT		1	1	1	1
UTILITY MAINTENANCE SUPERVISOR	1	1	1	1	1
UTILITY MECHANIC I	1	1	1	1	2
UTILITY MECHANIC II	5	5	5	5	5
UTILITY OPERATOR	12	12	13	13	13
UTILITIES WORKER II					1
WASTEWATER TREATMENT PLANT OPERATOR III	2	2	2	1	1
WATER OPERATIONS SUPERINTENDENT	1	1	1	1	1
WATER RESOURCES MANAGER	1	1	1	1	1
WW OPERATIONS SUPERINTENDENT	1	1	1	1	1
WW TREATMENT PLANT OPERATOR III					2
Grand Total	**51**	**54**	**57**	**55**	**55**

Department Budget Summary

DEPT	UTILITIES

TYPE	OB	Sum of FY 2020	Sum of FY 2021 Amended	Sum of FY 2022 Dept Budget	Sum of FY 2023	Sum of FY 2024
E	CAPITAL	20,435	0	985,000	995,102	1,020,700
	PERSONNEL	8,367,639	8,385,688	9,117,282	9,214,519	9,313,022
	PURCHASED SERVICES AND SUPPLIES	8,663,746	11,428,638	12,332,268	12,142,092	12,277,070
	UTILITIES	3,471,528	4,148,738	3,881,412	4,114,307	4,361,178
Grand Total		**20,523,347**	**23,963,064**	**26,315,962**	**26,466,020**	**26,971,970**

Funding Summary by Fund

DEPT	UTILITIES
OB	(Multiple Items)

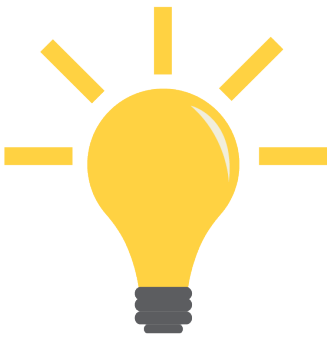
TYPE	FUND TYPE	Sum of FY 2020	Sum of FY 2021 Amended	Sum of FY 2022 Dept. Budget	Sum of FY 2023	Sum of FY 2024
E	GENERAL FUND	500,042	564,413	567,130	592,296	598,775
	GAS TAX	828,297	947,435	972,293	1,011,126	1,052,067
	WATER	11,015,328	11,762,199	13,275,333	13,182,573	13,427,841
	WASTEWATER	8,078,151	10,559,075	11,371,264	11,548,787	11,760,735
	STORM DRAIN	101,529	129,942	129,942	131,238	132,552
Grand Total		**20,523,347**	**23,963,064**	**26,315,962**	**26,466,020**	**26,971,970**

Division Budgets

DEPT	UTILITIES

TYPE	DIVISION NAME	Sum of FY 2020	Sum of FY 2021 Amended	Sum of FY 2022 Dept. Budget	Sum of FY 2023	Sum of FY 2024
E	ELECTRICAL OPERATIONS	2,365,822	2,615,718	3,513,552	3,596,716	3,681,322
	UAAL PENSION	6,885	0	0	0	0
	UTILITY ADMIN	1,623,582	1,844,472	2,175,720	2,198,651	2,222,634
	UTILITY LABATORY	1,494,079	1,561,240	1,630,515	1,648,501	1,668,953
	W PLANT OPERATOR	7,617,733	8,065,166	8,231,470	8,226,897	8,374,784
	WELLS AND PUMPS	829,306	1,114,957	1,296,268	1,170,926	1,211,081
	WW LIFT STATION	216,010	216,444	230,554	235,416	240,942
	WWT Plant Operations	4,443,036	5,215,529	5,366,711	5,489,607	5,628,554
	WWT TRT MAINT	1,926,894	3,329,538	3,871,172	3,899,306	3,943,700
Grand Total		**20,523,347**	**23,963,064**	**26,315,962**	**26,466,020**	**26,971,970**

Capital Improvement



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CIP Overview:

The Capital Improvement Plan (CIP) is the City's comprehensive multi-year plan for the development ofthe City's capital facilities and improvements. The plan identifies all the capital maintenance, facilities, and improvements needed within the next fiscal year. The projects to accomplish or develop usually involve high costs, take a year or more to complete and result in the creation of a capital asset.

Below are summaries of the FY 2021/2022 Capital Budget and the 5-year Capital Improvement Plan Outlook.

FY 2021/2022 CAPITAL BUDGET SUMMARY

FY 2021/22 Appropriation for existing projects	$	45,382,830
FY 2021/22 Appropriation for newly approved projects	$	26,458,885
Carryover of previously approved CIP funds	$	98,657,580
FY 2021/22 TOTAL	**$**	**170,499,295**

5-YEAR CAPITAL IMPROVEMENT PLAN (CIP) OUTLOOK

Carryover	FY 21/22 Proposed	FY 22/23 Forecasted	FY 23/24 Forecasted	FY 24/25 Forecasted	FY 25/26 Forecasted	Total
$98,657,580	$71,841,715	$20,625,000	$2,900,000	$2,900,000	$46,900,000	$243,824,295

CIP Format:

The format for the CIP emphasizes the functional grouping of CIP projects. All CIP projects of a similar type are listed together regardless of their funding sources. The format also shows the projects over their respective project lives. Thus, the long-term history and projection of expenditures can be viewedfor each project and considered in relation to similar projects.

Functional Groups

Under the format, CIP projects are grouped into eight functional groups as follows:

Group 71: General Government and Public Safety Facilities
Group 72: Traffic Safety

Group 73: Streets & Highways
Group 74: Wastewater Improvements
Group 75: Water Improvements
Group 76: Drainage Improvements
Group 77: Airport and Transit Improvements
Group 78: Parks & Recreation Improvements

CIP Project Numbering

CIP Projects are numbered in the following manner "7xyyy". The "7x" component designates the respective project group, for example, 71000. A "yyy" designates an active project or one proposed to become a new capital project.

Capital Budget Carryovers

Outstanding encumbrances and unexpended appropriations for uncompleted capital projects from the prior fiscal year's capital budget are eligible to be carried over at the end of the fiscal year. The capital budget figures for the Fiscal Year 2021-22 reflect new appropriations and carryovers.

Completed Projects

The beginning of the section includes all of the completed projects for the last 5 years starting with FY2020-21. Each years projects are listed separately for each fiscal year and include a map indicatingthe project location(s).

Summary by Funding Sources

The first schedule shows the totals for all current projects by funding source for Carryovers and new appropriations for FY2021-22.

The second schedule shows all the new projects proposed by funding source for new appropriations for FY2021-22.

Summary by Functional Groups

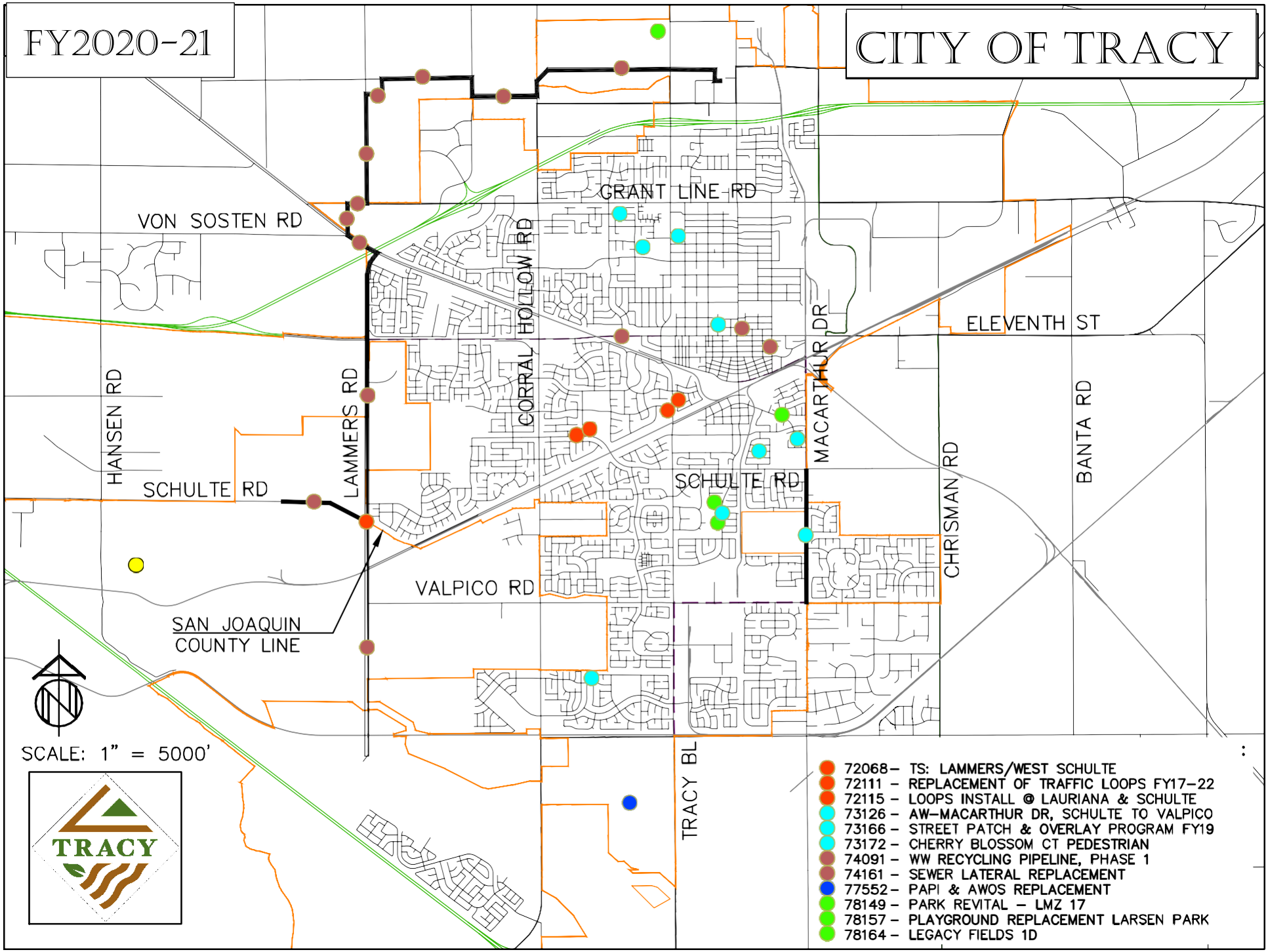
The beginning of each functional group starts with a map for all current projects, followed by a summaryof funding. The CIP budget proposed is comprised of current, active un-funded, and proposed funded/un-funded projects. Current projects are those that have not yet been completed and were funded in prior fiscal years. Active un-funded projects are those that were approved projects, but were un-funded for higher priorities and should funding become available during the fiscal year be brought back to council for appropriations. The proposed funded projects have funding identified, where the proposed un-funded are similar to the active un-funded.

The project detail sheets include a description, the project manager, customers served, a brief justification, projected beginning and end dates, as well as the estimated project cost.

Capital Budget

The Council's budget review included consideration of the proposed capital budget. Any modifications to the proposed capital budget resulting from City Council deliberations have been incorporated into the proposed Capital budget, which will provide authorization for City staff to start work upon the approved capital projects.

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FY2020-21
CITY OF TRACY
GRANT LINE RD
VON SOSTEN RD
HOLLOW RD
ELEVENTH ST
MACARTHUR DR
HANSEN RD
LAMMERS RD
CORRAL
SCHULTE RD
SCHULTE RD
CHRISMAN RD
BANTA RD
VALPICO RD
SAN JOAQUIN
COUNTY LINE
TRACY BL
SCALE: 1" = 5000'
TRACY
72068– TS: LAMMERS/WEST SCHULTE
72111 – REPLACEMENT OF TRAFFIC LOOPS FY17–22
72115 – LOOPS INSTALL @ LAURIANA & SCHULTE
73126 – AW–MACARTHUR DR, SCHULTE TO VALPICO
73166 – STREET PATCH & OVERLAY PROGRAM FY19
73172 – CHERRY BLOSSOM CT PEDESTRIAN
74091 – WW RECYCLING PIPELINE, PHASE 1
74161 – SEWER LATERAL REPLACEMENT
77552– PAPI & AWOS REPLACEMENT
78149 – PARK REVITAL – LMZ 17
78157 – PLAYGROUND REPLACEMENT LARSEN PARK
78164 – LEGACY FIELDS 1D

FY2020-21 Completed Capital Improvement Projects

- **72068 Traffic Signal at Lammers and Schulte Road** - The project consists of roadway improvements at the intersection of Lammers and Schulte Road. This project included the installation of a new traffic signal and the widening of the roadway and addition of lanes near the intersection.

- **72111 & 72115 Traffic Signal Modifcation at Schulte Road/Lauriana Lane & Tracy Blvd/Tennis Lane** – The scope of the Project consisted of traffic signal modifications at the intersections of Schulte Road/Lauriana Lane and, Tracy Boulevard/Tennis Lane. In addition, the Project consists of removal of existing video detection, installation of detector loops, pavement rehabilitation and signage and striping at Schulte Road and Lauriana Lane. Finally included were the removal and replacement of detector loops on the eastbound lanes on Tennis Lane at Tracy Boulevard Intersection and the installation of accessible pedestrian signals at both intersections.

- **72063 & 73126 MacArthur Drive Widening and Reconstruction** - The scope of the Project included widening approximately one mile of MacArthur Drive from a two-lane roadway to a four lane arterial, including building demolition, earthwork, grading, paving, curb, gutter sidewalk, median island, street lights, landscaping, signing, striping, storm drain, water, sewer, and modification of existing traffic signal.

- **73166 & 78182 Street Overlay and Slurry Seal 2018-19** - The scope of the project included asphalt concrete overlay on El Portal Street, 12th Street between El Portal Street to Adam Street, Highland Avenue between El Portal Street to Holly drive and Duncan Drive between Lincoln Blvd to Chester Drive, including pavement repair, signage and striping. Finally, this project also included overlaying and striping the American Legion Parking Lot on Tracy Blvd.

- **73172 Cherry Blossom Ct** - The scope of work of this project included construction of a sidewalk and associated landscaping in the existing corridor between Middlefield Drive and Cherry Blossom Court.

- **74091 Wastewater Recycling** - The scope of work for this project involved installation of 5.6 miles of 24" and 30" diameter recycled water lines from the WWTP up to the intersection of Grant Line Road and Lammers Road. Construction of a pump station at the WWTP. Recycled Water Infrastructure involving installation of 9,670 feet of 24" diameter recycled water transmission line from the terminus of Phase 1 at the intersection of Grant Line Road and Lammers Road up to Lammers Road and the Eleventh

Street intersection. Installation of an additional 2,698 linear feet of 24" diameter line on Lammers Road, up to south of Kimball High School.

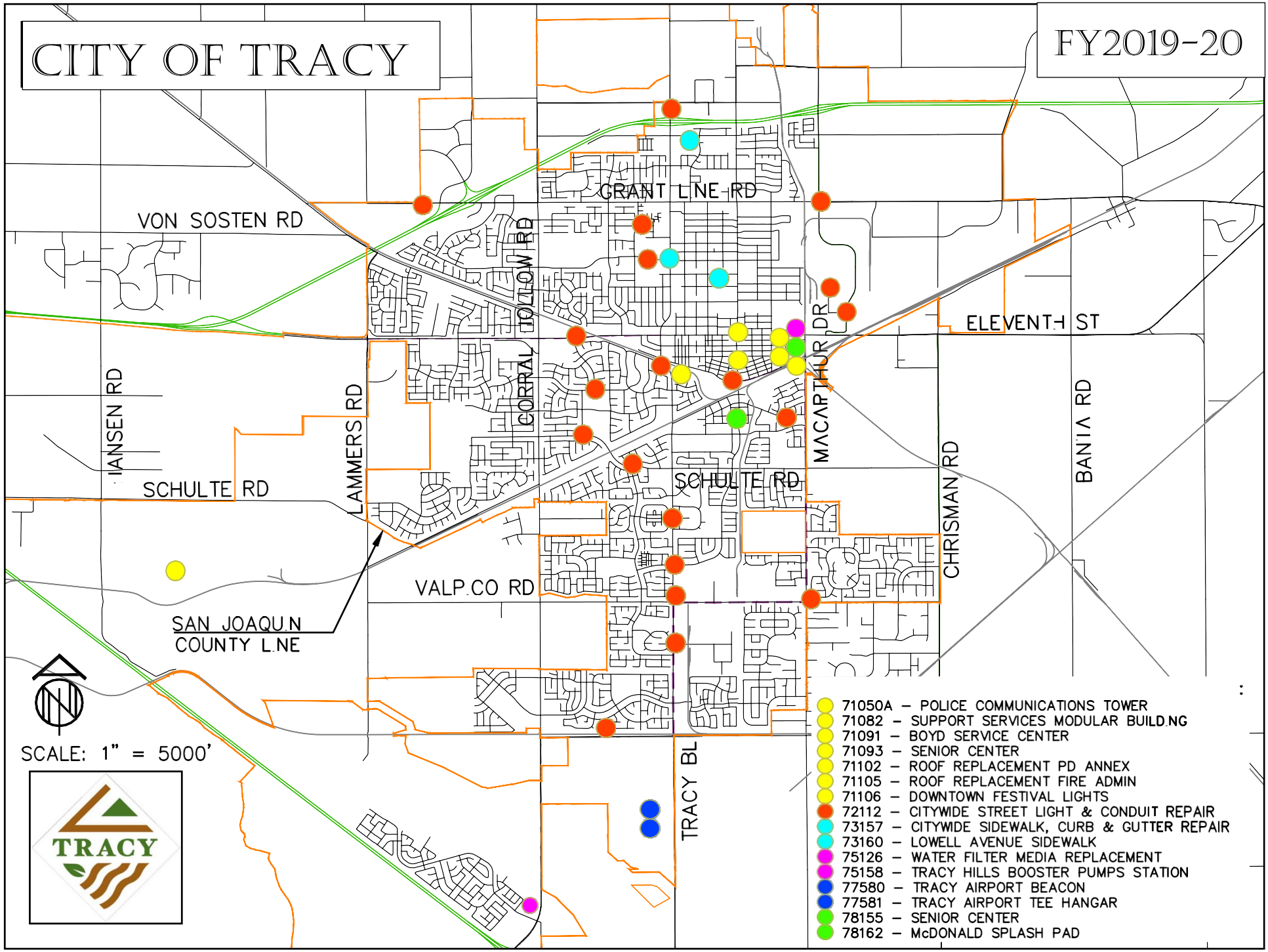
- **74161 Sewer Lateral Replacements** – The scope of work for this project included the replacement of laterals along Highland Avenue between El Portal Street and Holly Drive, and El Portal Street from Eleventh Street to the end.

- **77552 PAPI & AWOS Replacement** – The scope of work included furnishing and installing a new 2-box PAPI on Runway 30 and upgrading the PAPIs on Runways 12, 8, and 26. Replaced the existing AWOS III instrumentation, gauges, equipment, and power equipment with new AWOS III gauges, instruments, and power and control equipment at the Tracy Municipal Airport.

- **78149 Sullivan & Huck Park** – The scope of the project included demolition and removal of existing playground equipment, surfacing and concrete ramps. Contractor completed earthwork and grading operations including the installation of playground equipment, play area surfacing and concrete curb ramps to comply with the American Disability Acts.

- **78157 William Larsen Park** - The scope of the project included demolition and removal of existing playground equipment, surfacing and concrete ramps. Contractor completed earthwork and grading operations including the installation of playground equipment, play area surfacing and concrete paving.

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CITY OF TRACY
FY2019-20
GRANT LINE RD
VON SOSTEN RD
ELEVENTH ST
SCHULTE RD
VALPICO RD
TRACY BL
MACARTHUR DR
CHRISMAN RD
BANTA RD
LAMMERS RD
CORRAL HOLLOW RD
JANSEN RD
SAN JOAQUIN COUNTY LINE
SCALE: 1" = 5000'
TRACY
71050A – POLICE COMMUNICATIONS TOWER
71082 – SUPPORT SERVICES MODULAR BUILDING
71091 – BOYD SERVICE CENTER
71093 – SENIOR CENTER
71102 – ROOF REPLACEMENT PD ANNEX
71105 – ROOF REPLACEMENT FIRE ADMIN
71106 – DOWNTOWN FESTIVAL LIGHTS
72112 – CITYWIDE STREET LIGHT & CONDUIT REPAIR
73157 – CITYWIDE SIDEWALK, CURB & GUTTER REPAIR
73160 – LOWELL AVENUE SIDEWALK
75126 – WATER FILTER MEDIA REPLACEMENT
75158 – TRACY HILLS BOOSTER PUMPS STATION
77580 – TRACY AIRPORT BEACON
77581 – TRACY AIRPORT TEE HANGAR
78155 – SENIOR CENTER
78162 – McDONALD SPLASH PAD

FY2019-20 Completed Capital Improvement Projects

- **71050A Police Communications Tower** - The project consists of construction of 12-foot by 30-foot prefabricated shelter for the tower communications equipment, including emergency generator, secured fencing and access roadway

- **71082 Support Services Modular Building** – The project Scope included installation on of Electrical and fiber optics cables for Modular Supports Services Building.

- **71091 Boyd Service Center** - The scope of this Project included renovation existing approximately 5,000 Square Feet Public Works Yard Building B for Streets/Sidewalks and Water/Sewer crews. New facilities include showers, changing rooms, locker rooms, restrooms, supervisor's offices, and crew workrooms.

- **71093 & 78155 Senior Center** - Project Scope included replacement of 7'x11' automatic sliding door at Tracy Senior Center entrance and the project construction expanded the building size by 40%.

- **71102 & 71105 Roof Replacement PD Annex & Fire Admin** - The scope of work included installation of Thermoplastic membrane roofing system on top of the existing system, installation of prefabricated flashings, corners, stacks, etc.; removal and disposal of existing roof debris, pull tests to verify plywood underlayment condition, new parapet roof scuppers, installation of pressure treated wood blocking under existing conduits and pipelines, and re-connection of all A/C condensation lines.

- **71106 Downtown Festival Lights** - The Project consists of installation of permanent festival lighting in the Downtown Business District. Project completion will lit the decorative lighting fixtures on 10th Street (Central Avenue to A Street), Central Avenue (6th Street to 11th Street) and 6th Street (Central Avenue to D Street) year-round.

- **72112 Street Light & Conduit Repair** - The project consisted of the installation of street lights and also installation of conduits for street lights at various locations selected from the repair lists.

- **73157 Sidewalk, Curb & Gutter Repair** - The scope of the Project included repair and replacement of approximately 1.5 miles of sidewalks and curb ramps.

FY2019-20 Completed Capital Improvement Projects

- **73160 Lowell Avenue Sidewalk** - The scope of work included the installation of 8,000 square feet of concrete sidewalk on Lowell Avenue from Tracy Boulevard to Chester Drive and at Dr. Powers Park. Work included concrete sidewalk, earthwork, landscaping, irrigation, miscellaneous asphalt paving, concrete curb-gutter, and ADA ramps.

- **75126 Water Filter Media Replacement**

- **75158 Tracy Hills Booster Pump Station** - The project involved Installation of the new pump station and other auxiliary equipment.

- **77580 Tracy Airport Tee Hangar** - The scope of work included the reconstruction of the South Tee Hangar Taxi lanes, at the Tracy Municipal Airport.

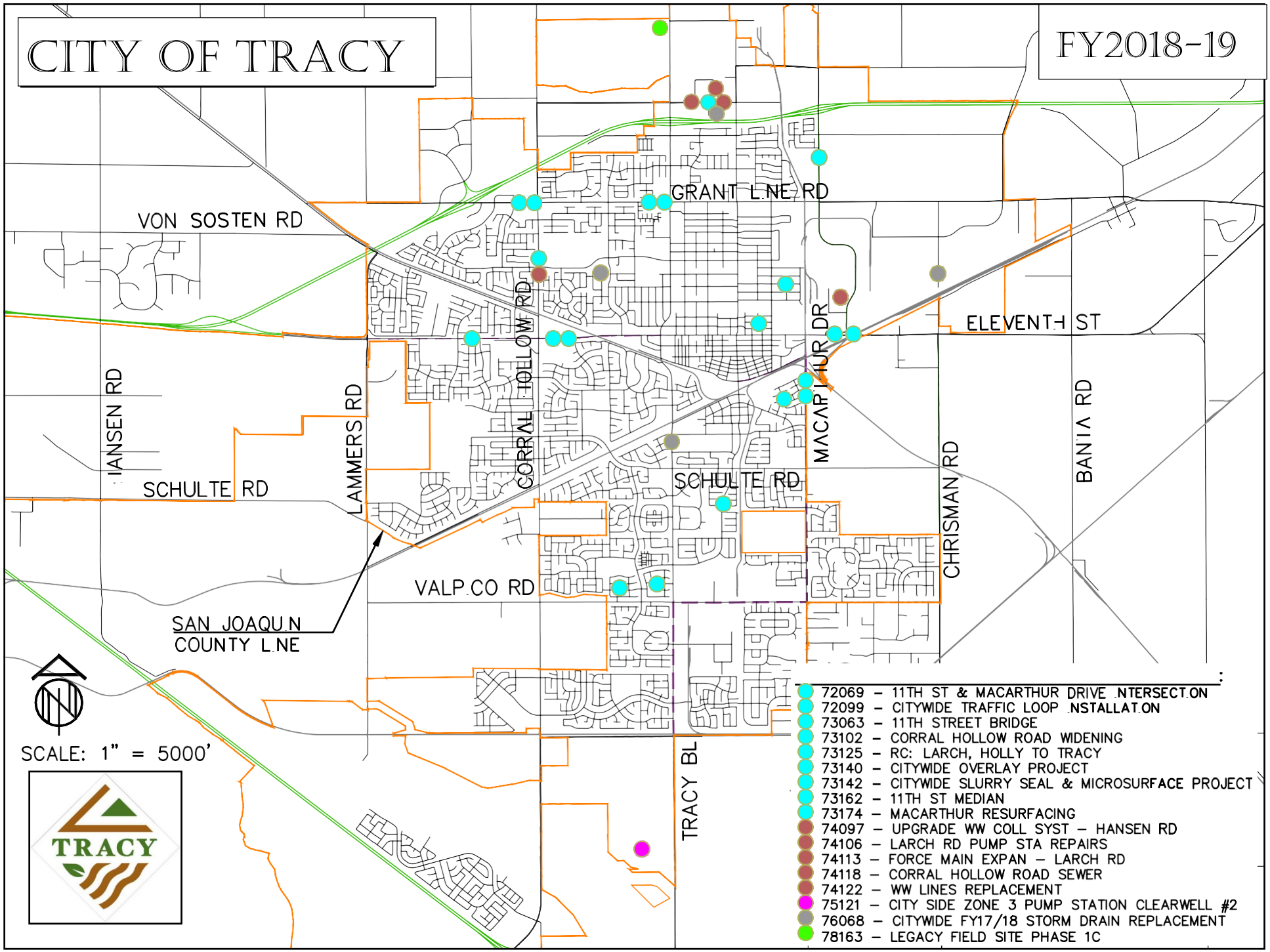
- **77581 Tracy Airport Beacon** - The scope of work included removing the existing airport beacon and power and control facilities, furnishing and installing new airport beacon on the existing tower, and furnishing and installing new duct and cable, at the Tracy Municipal Airport.

- **78162 McDonald Splash Pad** - The Scope of the project included the retrofit a filtration system to an existing splash pad, including prefabricated masonry equipment building, underground tank, trenching, Utilities, landscaping, mechanical, plumbing, electrical, and concrete.

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CITY OF TRACY

FY2018-19



FY2018-19 Completed Capital Improvement Projects

- **72069 11th St & MacArthur Drive Intersection** - The Project realigned the intersection of 11th Street and MacArthur Drive, a Project necessary for the successful completion of the adjacent 11th Street Overhead (Bridge) Project.

- **72099 Traffic Loop Installation & 73140 Overlay Project** - The Project consisted of rubberized asphalt concrete overlay on Grant Line Road (Toste Road to Corral Hollow Road), Eleventh Street (Corral Hollow Road to Alden Glen Drive), and MacArthur Drive (Sixth Street to Mt. Diablo Avenue), including pavement repair, signing, striping, traffic signal loop replacement, wedge grinding and installation of traffic loops at Alden Glen Drive and Eleventh Street.

- **73063 11th Street Bridge** - This project replaced the existing Eleventh Street overhead bridge with two travel lanes in each direction, including median, sidewalk, and bike lanes.

- **73102 & 74118 Corral Hollow Road Widening & Sewer - between Byron Rd & I205 -** The Projects consisted of the widening and reconstruction of the existing Corral Hollow Road from four lanes to six lanes from Byron Road to Grant Line Road and construction of a parallel sanitary sewer from Fairview Road to 1- 205.

- **73125, 74097, 74106 & 74113 Reconstruction: Larch, Holly to Tracy** - The Larch Road Reconstruction and Hansen Road Wastewater Collection System Upgrade Project consisted of approximately three- quarters of a mile road between Tracy Boulevard and Hansen Road.

- **73142 Slurry Seal & Micro surface Project** - The streets chosen for this specific project were Central Avenue (Deerwood to Schulte), Highland Avenue, Berverdor and 12th Street (EI Portal to East), Mae (Highland to 12t"), Fine (Beverly to Eaton), Acacia, Carlton Way, E. Beverly, E. Hollywood, E. Eaton (E. Beverly to Franklin) and Franklin (Acacia to E. Easton Street selections were based on life cycle and a cost -benefit analysis using the City' s Pavement Management Program and in coordination with the City' s Public Works Department Street Maintenance Division.

- **73162 11th St Median** - The project consisted of: (1) Clearing and removing existing landscaping including the existing trees and ground cover; (2) Removing portions of hardscape and irrigation lines while protecting certain areas of curb, cobble, street lights, and other improvements; (3) Installing of new irrigation lines; and finally, (4) Installing new landscaping including trees and ground cover.

FY2018-19 Completed Capital Improvement Projects

- **73174 MacArthur Resurfacing** - The Project consisted of asphalt concrete overlay of the full width of approximately ¾ mile of MacArthur Drive between Grant Line Road and the 1-205 interchange. The Project also included localized structural section repair and the addition of a pavement reinforcing grid to avoid future failures of the roadway section.

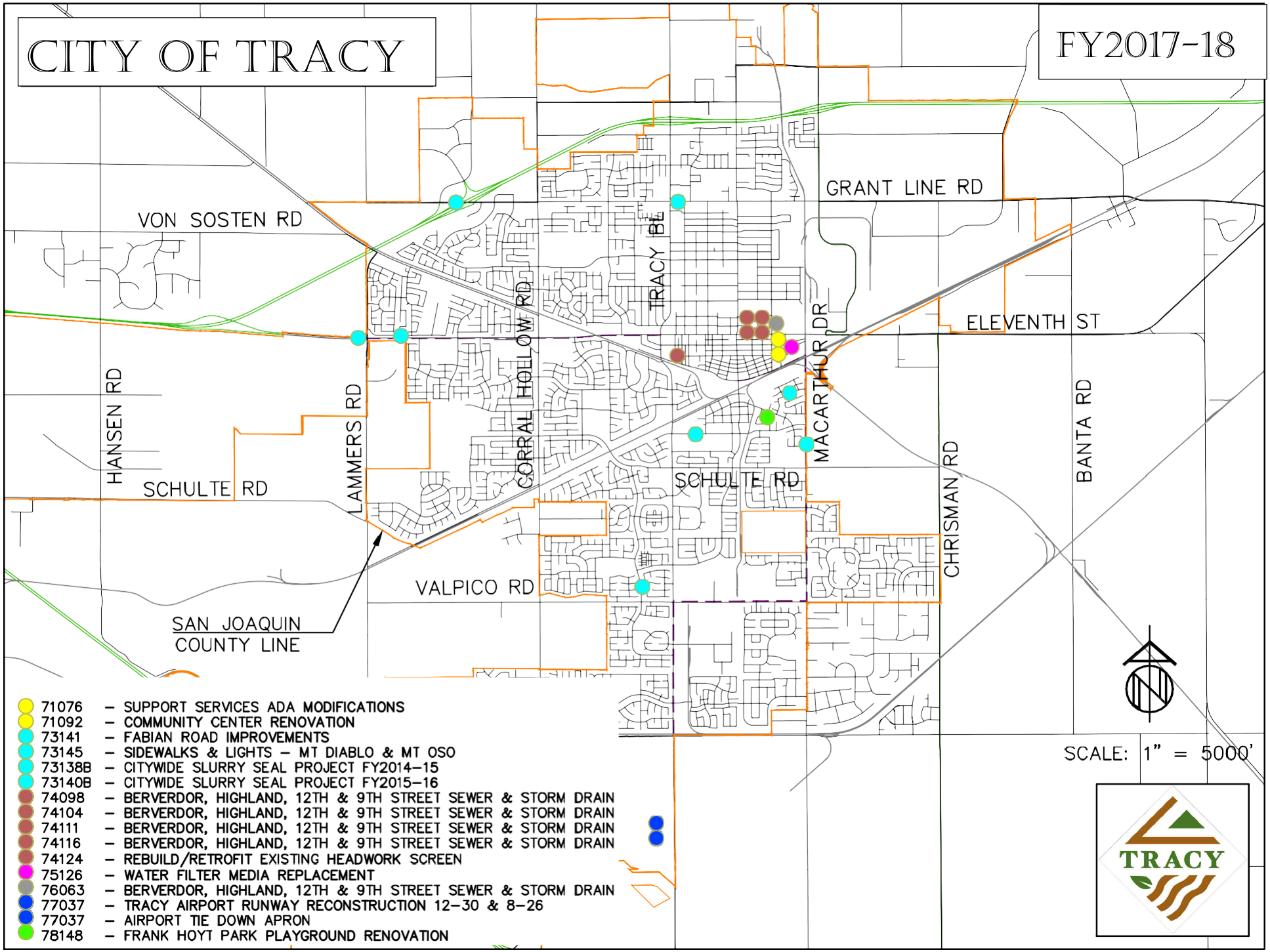
- **74122 WW Lines Replacement** - The scope of work included relocating the sewer lateral under the modular building and construct a new lateral to the location of the existing four inch sewer main.

- **75121 City Side Zone 3 Pump Station Clearwell #2** - The project involved replacement of existing old pumps on clearwell # 2 with three new pumps to serve new developments in City' s water zone 3 area including Ellis and International Park of Commerce.

- **76068 FY17/18 Storm Drain Replacement** - This Project consisted of replacing storm drains at four locations is one of the projects chosen by the Public Works Department.

- **78163 Legacy Field Site Phase 1C** - The scope of work included construction of one playable baseball field at the western wagon wheel, outfield and foul line fencing on all five baseball fields of the western wagon wheel, a remote maintenance yard, two combination restroom/concession buildings, one restroom building, the extension of electrical service to the maintenance yard and aforementioned buildings, site amenities and minimum ADA hardscaping to buildings.

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CITY OF TRACY
FY2017-18
GRANT LINE RD
VON SOSTEN RD
ELEVENTH ST
TRACY BL
CORRAL HOLLOW RD
MACARTHUR DR
HANSEN RD
LAMMERS RD
SCHULTE RD
SCHULTE RD
CHRISMAN RD
BANTA RD
VALPICO RD
SAN JOAQUIN COUNTY LINE
71076 – SUPPORT SERVICES ADA MODIFICATIONS
71092 – COMMUNITY CENTER RENOVATION
73141 – FABIAN ROAD IMPROVEMENTS
73145 – SIDEWALKS & LIGHTS – MT DIABLO & MT OSO
73138B – CITYWIDE SLURRY SEAL PROJECT FY2014–15
73140B – CITYWIDE SLURRY SEAL PROJECT FY2015–16
74098 – BERVERDOR, HIGHLAND, 12TH & 9TH STREET SEWER & STORM DRAIN
74104 – BERVERDOR, HIGHLAND, 12TH & 9TH STREET SEWER & STORM DRAIN
74111 – BERVERDOR, HIGHLAND, 12TH & 9TH STREET SEWER & STORM DRAIN
74116 – BERVERDOR, HIGHLAND, 12TH & 9TH STREET SEWER & STORM DRAIN
74124 – REBUILD/RETROFIT EXISTING HEADWORK SCREEN
75126 – WATER FILTER MEDIA REPLACEMENT
76063 – BERVERDOR, HIGHLAND, 12TH & 9TH STREET SEWER & STORM DRAIN
77037 – TRACY AIRPORT RUNWAY RECONSTRUCTION 12–30 & 8–26
77037 – AIRPORT TIE DOWN APRON
78148 – FRANK HOYT PARK PLAYGROUND RENOVATION
SCALE: 1" = 5000'
TRACY

FY2017-18 Completed Capital Improvement Projects

- **71076 Support Services ADA Modifications** - The scope of work included modifying doors, handrails, signs and other work in the Support Services Building to comply with American Disabilities Act (ADA) requirements.

- **71092 Community Center Renovation** - The scope of work included upgrades to the kitchen, including installation of new counter tops and sinks, remodeling of restrooms, installation of wooden flooring and carpet in the multipurpose room, lobby and stage, painting of the multipurpose room and hallway, removal of lockers in janitor's closet, and sewer upgrades.

- **73138B Slurry Seal Project FY2014-15** - The scope of work included the application of slurry seal on Grant Line Road from Naglee Road to Toste Road and Tracy Boulevard to Bessie Avenue, MacArthur Drive from Schulte Road to Mt. Diablo Avenue, and Eleventh Street from Lammers Road to 280 feet west of Lammers Road.

- **73140B Slurry Seal Project FY2015-16** - The scope of work included slurry seal and installation of pavement markings on 37 residential streets and courts in the Muirfield and Quail Run Subdivisions, including Wagtail Way, Steinbeck Way, Cagney Way and Chaplin Way

- **73141 Fabian Road Improvements** - The scope of work included construction of one-half mile of asphalt and concrete sidewalks on the north side of Fabian Road between Lammers Road and Mamie Anderson Lane, associated drainage and landscaping improvements, and a"cut through" pedestrian access ramp to 11 Street at Berg Avenue.

- **73145 Sidewalks & Lights - Mt Diablo & Mt Oso** - The scope of work included installation of approximately one mile of sidewalk and associated shoulder, curb and gutter and storm drainage to provide a safe route to Southwest Park Elementary School.

- **74098, 74104, 74111, 74116 & 76063 Berverdor, Highland, 12th & 9th Street Sewer & Storm Drain** - The scope of work included the of replacement of approximately 90 lineal feet of root-damaged sewer laterals on Berverdor, Highland, and 12 Streets between Mae and EI Portal Streets, and miscellaneous storm drainage work an 9 Street at Roosevelt Street.

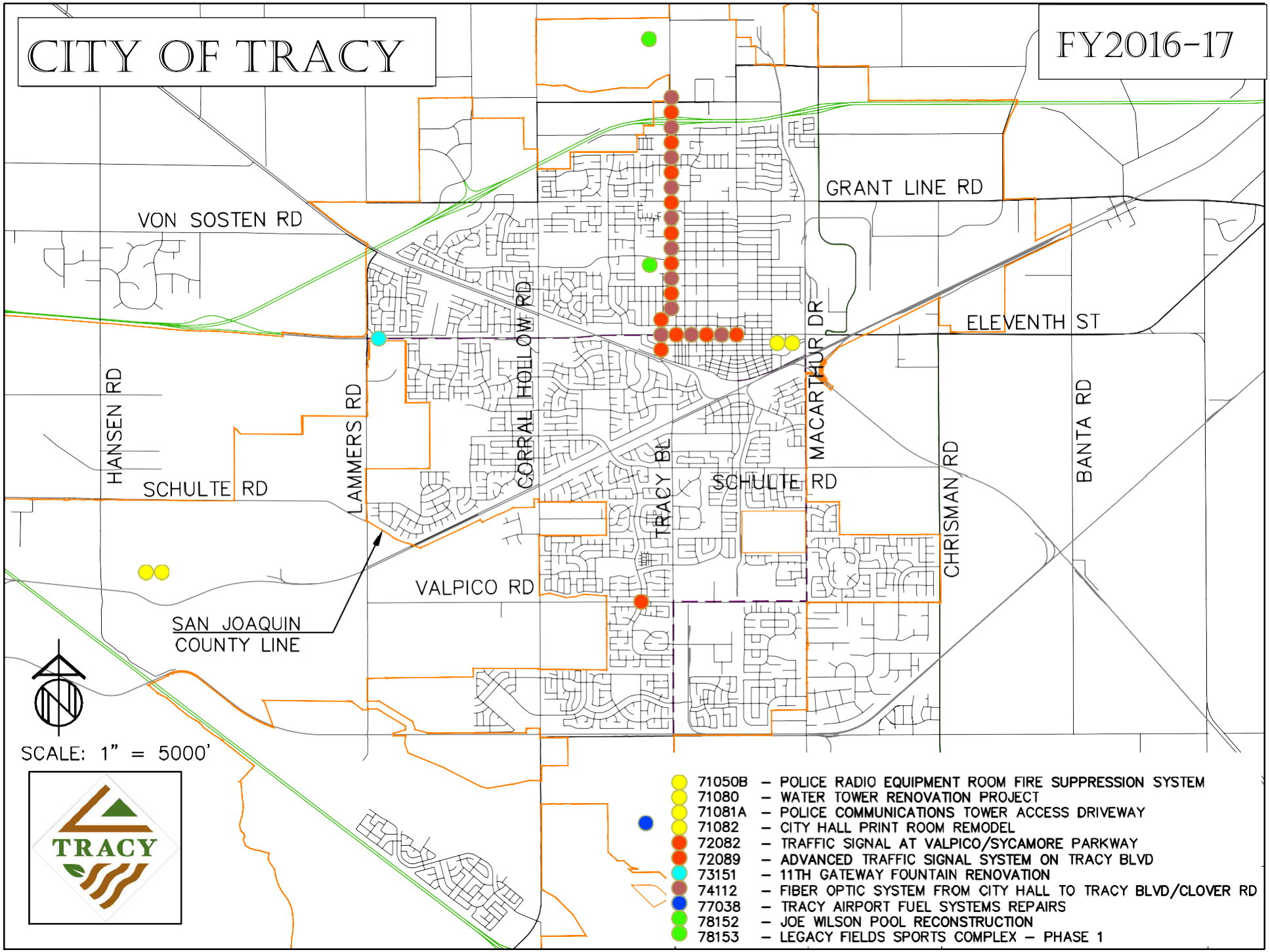
- **74124 Rebuild/Retrofit existing Headwork Screen** – The scope of work included the rebuild and retrofit of an existing screen at the headwork's located at the Wastewater Treatment Plant.

- **75126 Water Filter Media Replacement**

- **77037 Tracy Airport Runway Reconstruction 12-30 & 8-26 & Airport Tie Down Apron** - The scope of work included reconstruction of the pavements on Runway 12-30, Runway 8-26, Taxiways A, B, D, and E, and the runway intersections at the Tracy Municipal Airport. Also included reconstruction of the pavements on the general aviation tie down apron at the Tracy Municipal Airport.

- **78148 Frank Hoyt Park Playground Renovation** - The scope of work included installation of ADA access ramps, removal and replacement of playground equipment, replacement of the existing sand resilient surface in the play areas with wood fiber, and enhancement of the drainage system inside the play areas.

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CITY OF TRACY
FY2016-17
GRANT LINE RD
VON SOSTEN RD
ELEVENTH ST
HANSEN RD
LAMMERS RD
CORRAL HOLLOW RD
MACARTHUR DR
TRACY BL
SCHULTE RD
CHRISMAN RD
BANTA RD
VALPICO RD
SAN JOAQUIN COUNTY LINE
SCALE: 1" = 5000'
71050B – POLICE RADIO EQUIPMENT ROOM FIRE SUPPRESSION SYSTEM
71080 – WATER TOWER RENOVATION PROJECT
71081A – POLICE COMMUNICATIONS TOWER ACCESS DRIVEWAY
71082 – CITY HALL PRINT ROOM REMODEL
72082 – TRAFFIC SIGNAL AT VALPICO/SYCAMORE PARKWAY
72089 – ADVANCED TRAFFIC SIGNAL SYSTEM ON TRACY BLVD
73151 – 11TH GATEWAY FOUNTAIN RENOVATION
74112 – FIBER OPTIC SYSTEM FROM CITY HALL TO TRACY BLVD/CLOVER RD
77038 – TRACY AIRPORT FUEL SYSTEMS REPAIRS
78152 – JOE WILSON POOL RECONSTRUCTION
78153 – LEGACY FIELDS SPORTS COMPLEX – PHASE 1

FY2016-17 Completed Capital Improvement Projects

- **71050B Police Radio Equipment Room Fire Suppression System** - The scope of work for this project consisted of providing engineering, installation, and testing required for a complete integrated fire protection system including addressable fire suppression system control panel, alarm, monitoring.

- **71080 Water Tower Renovation Project** - The scope of work included preparation, cleaning and painting exterior exposed structural metal surfaces of the tank roof, sides, and bottom. The project also included re-painting the existing logo.

- **71081A Police Communications Tower Access Driveway** - The scope of work for this project involved construction of an access driveway to the new proposed police communication tower on City-owned property along Old Schulte Road (Antenna Farm Site).

- **71082 City Hall Print Room Remodel** - The project consisted of remodeling the existing City Hall Print Room and creating two new offices to accommodate additional staffing. The scope of work included removal of existing walls, cabinets and installation of new walls, doors, HVAC ducts, and electrical lighting.

- **72082 Traffic Signal at Valpico/Sycamore Parkway** - The scope of work for this project involved installation of a traffic signal at Valpico Road and Sycamore Parkway.

- **72089 Advanced Traffic Signal System on Tracy Blvd & 74112 Fiber Optic System from City Hall to Tracy Blvd/Clover Rd** - The scope of work included installation of advanced traffic signal controllers at eight intersections, CCTV cameras at four locations, and interconnects system to provide communication over fiber optics to connect the traffic signals on the corridor with the City's Traffic Management Center located at the Support Services Building. The project also included installation of a fiber optic system from the Traffic Control Center to the intersection of Tracy Boulevard and Clover Road that will ultimately be extended to the Wastewater Treatment Plant.

- **73151 11th Gateway Fountain Renovation** - This project involved the renovation of the 11th Street Gateway Fountain located in the median, east of the Lammers Road intersection. The scope of the project consisted of removal and replacement of the decorative tiles on the fountain walls, renovation of the existing pumping system, including motors, valves, filters, electrical lighting fixtures, and upgrades to power system.

- **77038 Tracy Airport Fuel Systems Repairs** - The scope of work for the project included installation of a tank monitoring system, two gas vents, and three check valves for fuel sampler hand pumps; replacement of fuel hoses, cleaning tanks, and calibration of three fuel pumps.

- **78152 Joe Wilson Pool Reconstruction** - The scope of work for the Joe Wilson Pool Reconstruction project consisted of the demolition, reconstruction, and widening of the existing swimming pool including all its accessories, site improvements, equipment and mechanical systems, pool's pump room renovations, bathroom improvements, and modifications.

- **78153 Legacy Fields Sports Complex- Phase 1** - The scope of work included construction of sixteen sports fields for Phase I of the Legacy Fields Complex. This included the construction of six soccer fields and seven baseball fields with playable improvements and construction of subsurface improvements to one baseball field and two soccer fields.

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Project Number	Project Name	Fund	Fund Name	Carryover	FY2021/22
71113	Station 91 Renovations	211	SSJCFA	$ 302,358	$ -
Fund 211 Total				$ 302,358	$ -
71110	Multipurpose Reality Based Training Facility	231	Asset Forteiture	$ -	$ 250,000
Fund 231 Total				$ -	$ 250,000
77584	Transit Station Rehab	241	TDA Funds	$ -	$ 700,000
78171	Bikeways Master Plan	241	TDA Funds	$ 17,800	$ -
78183	City Wide Bikeways Maintenance	241	TDA Funds	$ 141,692	$ -
Fund 241 Total				$ 159,492	$ 700,000
72098	Adaptive Traffic System	242	Transp Sales Tax	$ -	$ 701,250
72104	Intersection Improvements - Corral Hollow & Linne	242	Transp Sales Tax	$ -	$ 330,000
72113	Adaptive Traffic System - CHR	242	Transp Sales Tax	$ -	$ 877,250
72116	Flashing Beacon Installation at 11th and F St Intersection	242	Transp Sales Tax	$ 230,000	$ -
73144	AW: Cor Hol Rd, Linne to Old Schult	242	Transp Sales Tax	$ 4,161	$ 13,000,000
73147	OC Upgrade-I205 & Mt House Pkwy	242	Transp Sales Tax	$ -	$ 2,541,000
73175	Tracy Boulevard Sidewalk	242	Transp Sales Tax	$ 629,546	$ -
73176	Street Patch & Overlay Program FY20	242	Transp Sales Tax	$ 536,500	$ -
73178	Street Patch & Overlay Program FY21	242	Transp Sales Tax	$ 140,000	$ -
73181	Asphalt Concrete Pedestrian Path Installation on Lammers Road	242	Transp Sales Tax	$ 80,581	$ -
73182	Street Patch FY21	242	Transp Sales Tax	$ 386,636	$ -
77564	Transit Station Security Cameras	242	Transp Sales Tax	$ 138,467	$ -
Fund 242 Total				$ 2,145,891	$ 17,449,500
72098	Adaptive Traffic System	245	Gas Tax	$ 94,448	$ -
72113	Adaptive Traffic System - CHR	245	Gas Tax	$ 100,000	$ -
72116	Flashing Beacon Installation at 11th and F St Intersection	245	Gas Tax	$ 38,163	$ -
72117	Traffic Cameras Repair/Replacement	245	Gas Tax	$ 49,482	$ -
72118	Upgrade and Maintenance of Traffic Management Center Software	245	Gas Tax	$ 139,277	$ -
72119	Local Roadway Safety Plan (LRSP)	245	Gas Tax	$ 8,000	$ -
73109	IC: I205 & Paradise	245	Gas Tax	$ 71,125	$ -
73144	AW: Cor Hol Rd, Linne to Old Schult	245	Gas Tax	$ -	$ -
73168	Reconstruction 6th St, west of Tracy Blvd	245	Gas Tax	$ 366,037	$ -
73171	City Sidewalk - Clover Road, 6th St & West St	245	Gas Tax	$ 233,643	$ -
73176	Street Patch & Overlay Program FY20	245	Gas Tax	$ 135,000	$ -
73177	ADA Accessibility Improvements	245	Gas Tax	$ 94,761	$ 65,000
73178	Street Patch & Overlay Program FY21	245	Gas Tax	$ 135,000	$ -
73179	Pavement Management System Update	245	Gas Tax	$ 47,372	$ -
73180	Sidewalk, Curb, and Gutter Repair	245	Gas Tax	$ 245,751	$ -
Fund 245 Total				$ 1,758,059	$ 65,000
73176	Street Patch & Overlay Program FY20	248	SB1 RMRA	$ 1,405,789	$ -
73178	Street Patch & Overlay Program FY21	248	SB1 RMRA	$ 1,435,996	$ -
73182	Street Patch FY21	248	SB1 RMRA	$ -	$ 300,000
Fund 248 Total				$ 2,841,785	$ 300,000
71112	Temporary Emergency Housing	252	Cares ACT	$ 109,000	$ -
Fund 252 Total				$ 109,000	$ -
71112	Temporary Emergency Housing	261	ISTEA	$ -	$ 329,400

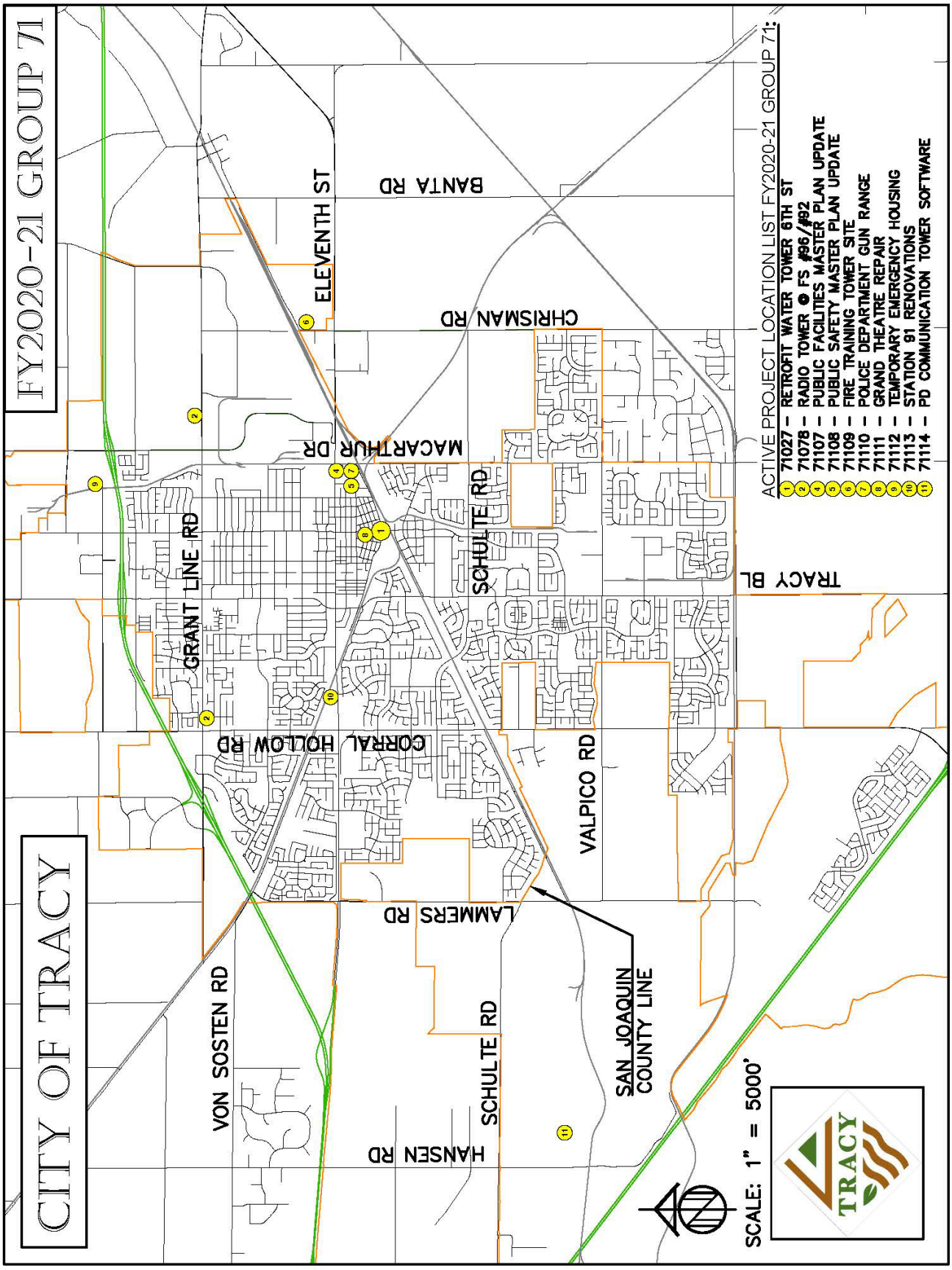
Project Number	Project Name	Fund	Fund Name	Carryover	FY2021/22
72119	Local Roadway Safety Plan (LRSP)	261	ISTEA	$ 68,937	$ -
73147	OC Upgrade-I205 & Mt House Pkwy	261	ISTEA	$ 24,884,000	$ -
73178	Street Patch & Overlay Program FY21	261	ISTEA	$ 1,284,163	$ -
Fund 261 Total				$ 26,237,100	$ 329,400
71112	Temporary Emergency Housing	268	Comm Dev Block Grant	$ 85,580	$ -
73177	ADA Accessibility Improvements	268	Comm Dev Block Grant	$ 150,000	$ -
Fund 268 Total				$ 235,580	$ -
78179	Parks Master Plan	271	LMD	$ 1,358	$ -
Fund 271 Total				$ 1,358	$ -
71112	Temporary Emergency Housing	282	Housing Successor	$ 500,000	$ 190,000
Fund 282 Total				$ 500,000	$ 190,000
71027	Retrofit Water Tower 6th St	301	General Projects	$ 204,289	$ -
71078	New Radio Tower - Station 96	301	General Projects	$ 100,781	$ -
71110	Multipurpose Reality Based Training Facility	301	General Projects	$ 265,164	$ -
73161	Widening - Corral Hollow I580/Linne	301	General Projects	$ 717,666	$ -
73175	Tracy Boulevard Sidewalk	301	General Projects	$ 66,000	$ -
78173	Playground Replacements Alden & Yasui Parks	301	General Projects	$ 720,791	$ -
78179	Parks Master Plan	301	General Projects	$ 4,813	$ -
78180	Nature Park	301	General Projects	$ 383,342	$ -
78181	Lincoln Park Landscape Improvements	301	General Projects	$ 130,095	$ -
Fund 301 Total				$ 2,592,941	$ -
78054	Aquatics Center	307	Measure V	$ 958,152	$ -
78178	Multi-Generational Rec Center	307	Measure V	$ 3,917,443	$ -
Fund 307 Total				$ 4,875,595	$ -
78170	Gretchen Tally Park Phase III	311	Infill Parks	$ 1,998,197	$ -
Fund 311 Total				$ 1,998,197	$ -
76066	Detention Basin 2B-Blue Zone	312	Infill Drainage	$ 10,133	$ -
Fund 312 Total				$ 10,133	$ -
77582	Airport RDA Improvements	317	Redev Agency	$ 501,257	$ -
Fund 317 Total				$ 501,257	$ -
78170	Gretchen Tally Park Phase III	321	Plan C Parks	$ -	$ 1,098,930
Fund 321 Total					$ 1,098,930
76059	Dng Imp: SoMacAr, Ph 2	322	Plan C Drainage	$ 54,000	$ -
Fund 322 Total				$ 54,000	$ -
73103	AWid: CHR, 11th to Schulte	323	Arterials Plan "C"	$ 549,819	$ -
Fund 323 Total				$ 549,819	$ -
78054	Aquatics Center	324	Gen Fac Plan "C"	$ 1,645,520	$ -
Fund 324 Total				$ 1,645,520	$ -
74107	WWTP Expan Phase II	325	Utilities - Plan "C"	$ -	$ 5,250,000
Fund 325 Total					$ 5,250,000
72104	Intersection Improvements - Corral Hollow & Linne	331	Ellis Area Traffic	$ 294,737	$ -

Project Number	Project Name	Fund	Fund Name	Carryover	FY2021/22
Fund 331 Total				$ 294,737	$ -
74107	WWTP Expan Phase II	337	Ellis Area Wastewater	$ -	$ 2,900,000
Fund 337 Total					$ 2,900,000
76059	Dng Imp: SoMacAr, Ph 2	352	South MacArthur PA	$ 446,546	$ -
Fund 352 Total				$ 446,546	$ -
74107	WWTP Expan Phase II	353	I205 Area Spec Plan	$ -	$ 3,050,000
Fund 353 Total					$ 3,050,000
72095	TS: CHR & Valpico	354	Industrial SP, South	$ 236,606	$ -
74084	WW Lines Upgrade, East Side	354	Industrial SP, South	$ 720,923	$ -
74107	WWTP Expan Phase II	354	Industrial SP, South	$ -	$ 200,000
78054	Aquatics Center	354	Industrial SP, South	$ 231,500	$ -
Fund 354 Total				$ 1,189,029	$ 200,000
78054	Aquatics Center	355	Presidio Area	$ 114,700	$ -
Fund 355 Total				$ 114,700	$ -
73084	IC Impvts:I205 & Lammers	356	Tracy Gateway Area	$ 265,483	$ -
Fund 356 Total				$ 265,483	$ -
74083	Second Outfall Pipeline - WW Treatment Plant	357	NE Industrial Area #2	$ 218,184	$ -
74107	WWTP Expan Phase II	357	NE Industrial Area #2	$ -	$ 4,700,000
Fund 357 Total				$ 218,184	$ 4,700,000
78179	Parks Master Plan	361	TIMP Parks	$ 119,936	$ -
Fund 361 Total				$ 119,936	$ -
72104	Intersection Improvements - Corral Hollow & Linne	363	TIMP Traffic	$ 295,000	$ -
73109	IC: I205 & Paradise	363	TIMP Traffic	$ 374,097	$ -
73144	AW: Cor Hol Rd, Linne to Old Schult	363	TIMP Traffic	$ 1,276,875	$ -
73146	OC Upg-I205 & MH Pkwy	363	TIMP Traffic	$ 1,215,480	$ -
73147	OC Upgrade-I205 & Mt House Pkwy	363	TIMP Traffic	$ 547,746	$ -
73148	BW: DMC & Mt House Pkwy	363	TIMP Traffic	$ 84,498	$ -
73149	BW: DMC & Old Schulte Rd	363	TIMP Traffic	$ 90,858	$ -
73150	BW-DM Canal & Old Schulte	363	TIMP Traffic	$ 75,930	$ -
73161	Widening - Corral Hollow I580/Linne	363	TIMP Traffic	$ 489,908	$ -
73173	Transportation Master Plan	363	TIMP Traffic	$ 121,038	$ -
Fund 363 Total				$ 4,571,430	$ -
74107	WWTP Expan Phase II	364	TIMP Wastewater	$ -	$ 8,900,000
74159	Wastewater Master Plan	364	TIMP Wastewater	$ 312,358	$ -
Fund 364 Total				$ 312,358	$ 8,900,000
75159	Water Master Plan	365	TIMP Water	$ 262,210	$ -
75160	Water Lines Lammers Rd	365	TIMP Water	$ 4,649,400	$ -
Fund 365 Total				$ 4,911,610	$ -
71110	Multipurpose Reality Based Training Facility	367	TIMP Public Safety Police	$ 149,298	$ -
71114	PD Communication Tower Software	367	TIMP Public Safety Police	$ 135,000	$ -
Fund 367 Total				$ 284,298	$ -
71107	Public Facilities Master Plan Update	391	Program Management	$ 210,874	$ -

Project Number	Project Name	Fund	Fund Name	Carryover	FY2021/22
71108	Public Safety Master Plan Update	391	Program Management	$ 366,745	$ -
73173	Transportation Master Plan	391	Program Management	$ 104,568	$ -
76086	Storm Drain Master Plan	391	Program Management	$ 11,099	$ -
78179	Parks Master Plan	391	Program Management	$ 9,380	$ -
Fund 391 Total				$ 702,667	$ -
75130	Replace SCADA System - Water Treatment Plant	513	Water Capital	$ 255,512	$ -
75153	Luis Manner Well Rehab	513	Water Capital	$ 862,455	$ -
75156	WTP UV Upgrade	513	Water Capital	$ 306,061	$ -
Fund 513 Total				$ 1,424,028	$ -
74083	Second Outfall Pipeline - WW Treatment Plant	523	Wastewater Capital	$ 17,780,235	$ -
74107	WWTP Expan Phase II	523	Wastewater Capital	$ 2,795,177	$ -
Fund 523 Total				$ 20,575,412	
77583	Airport Layout Plan/Geospatial Info	563	Airport Capital	$ 176,685	$ -
Fund 563 Total				$ 176,685	$ -
77560	Paratransit Bus Replacement FY17-22	573	Transit Capital	$ 397,368	$ -
77561	Fixed Route Transit Bus Repl FY22	573	Transit Capital	$ 2,300,000	$ -
77584	Transit Station Rehab	573	Transit Capital	$ 385,939	$ -
77585	Tracer Bus Stop Improvements	573	Transit Capital	$ 500,000	$ -
77586	Transit Maintenance & Storage Yard (Land Acquisition)	573	Transit Capital	$ 1,000,000	$ -
Fund 573 Total				$ 4,583,307	$ -
71109	Fire Training Tower Site	605	Equip Replacement	$ 346,227	$ -
71110	Multipurpose Reality Based Training Facility	605	Equip Replacement	$ 200,000	$ -
Fund 605 Total				$ 546,227	$ -
71111	Grand Theater Repair	615	Building Maintenance	$ 370,263	$ -
Fund 615 Total				$ 370,263	$ -
73084	IC Impvts:I205 & Lammers	808	Reg Transport Impact	$ 305,539	$ -
73146	OC Upg-I205 & MH Pkwy	808	Reg Transport Impact	$ 1,827,819	$ -
73147	OC Upgrade-I205 & Mt House Pkwy	808	Reg Transport Impact	$ 7,951,755	$ -
Fund 808 Total				$ 10,085,113	$ -
72121	Median and Crosswalk Improvements at Grant Line Rd & MacArthur Drive	DC	Developer Contribution	$ 118,440	$ -
78054	Aquatics Center	DC	Developer Contribution	$ 829,042	$ -
Developer Contribution Total				$ 947,482	$ -
Grand Total				**$ 98,657,580.38**	**$ 45,382,830.00**

Project Number	Project Name	Fund	Fund Name	FY2020/21
77587	ITS Infrastructure Planning and Construction	241	TDA Funds	$ 280,000
Fund 241 Total				$ 280,000
72123	Adaptive Traffic Signal System - Grant Line Road	242	Transp Sales Tax	$ 875,000
73183	Pavement Rehabilitation Project FY 21-22	242	Transp Sales Tax	$ 540,000
Fund 242 Total				$ 1,415,000
72122	Holly Drive Pedestrian and Bikeway Improvements	245	Gas Tax	$ 105,000
72123	Adaptive Traffic Signal System - Grant Line Road	245	Gas Tax	$ 140,000
73183	Pavement Rehabilitation Project FY 21-22	245	Gas Tax	$ 135,000
Fund 245 Total				$ 380,000
71115	Cardiac Monitors	252	Cares ACT	$ 293,750
Fund 252 Total				$ 673,750
73183	Pavement Rehabilitation Project FY 21-22	248	SB1 RMRA	$ 1,213,747
73184	Slurry Seal FY21-22	248	SB1 RMRA	$ 600,000
Fund 248 Total				$ 1,813,747
71115	Cardiac Monitors	252	Grant Funding	$ 293,750
Fund 252 Total				$ 293,750
73183	Pavement Rehabilitation Project FY 21-22	261	ISTEA	$ 641,698
Fund 261 Total				$ 641,698
78185	Legacy Fields Phase 1E	307	Measure V	$ 17,150,000
Fund 307 Total				$ 17,150,000
76087	Eastside Channel Lining from Grant Line Road to MacArthur	350	NEI Storm Drain	$ 2,500,000
Fund 350 Total				$ 2,500,000
77587	ITS Infrastructure Planning and Construction	573	Transit Capital	$ 1,120,000
Fund 573 Total				$ 1,120,000
78184	Hoyt Park - Per Capita Improvements	GF	Grant Funding	$ 234,065
Grant Funding Total				$ 527,815
Grand Total				**$ 26,458,885**

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FY2020-21 GROUP 71
CITY OF TRACY
ACTIVE PROJECT LOCATION LIST FY2020-21 GROUP 71:
71027 – RETROFIT WATER TOWER 6TH ST
71078 – RADIO TOWER @ FS #96/#92
71107 – PUBLIC FACILITIES MASTER PLAN UPDATE
71108 – PUBLIC SAFETY MASTER PLAN UPDATE
71109 – FIRE TRAINING TOWER SITE
71110 – POLICE DEPARTMENT GUN RANGE
71111 – GRAND THEATRE REPAIR
71112 – TEMPORARY EMERGENCY HOUSING
71113 – STATION 91 RENOVATIONS
71114 – PD COMMUNICATION TOWER SOFTWARE
SCALE: 1" = 5000'
BANTA RD
ELEVENTH ST
CHRISMAN RD
MACARTHUR DR
GRANT LINE RD
SCHULTE RD
TRACY BL
CORRAL HOLLOW RD
VALPICO RD
LAMMERS RD
VON SOSTEN RD
SCHULTE RD
SAN JOAQUIN COUNTY LINE
HANSEN RD

General Government Public Safety Facilties (CIP Group 71)

This category includes new construction, maintenance and rehabilitation of City facilities, including fire station renovations, roof replacement, and facility expansions. It also encompasses other general capital projects, such as police equipment replacement, Public Safety and Facilities master plan updates, vehicle and equipment replacement.

Active Projects Carried Forward (10)											
Project Number	Project Name	Fund	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Un-Funded	Total
71027	Retrofit Water Tower 6th St	301	5,711	204,289	-	-	-	-	-	-	210,000
71078	New Radio Tower - Station 96	301	20,569	97,511	-	-	-	-	-	-	118,080
71107	Public Facilities Master Plan Update	391	290,833	159,167	-	-	-	-	-	-	450,000
71108	Public Safety Master Plan Update	391	69,603	180,397	-	-	-	-	-	-	250,000
71109	Fire Training Tower Site	605	103,939	346,061	-	-	-	-	-	410,000	860,000
71110	Multipurpose Reality Based Training Facility	231	-	-	250,000	-	-	-	-	-	250,000
71110	Multipurpose Reality Based Training Facility	301	-	265,164	-	-	-	-	-	684,836	950,000
71110	Multipurpose Reality Based Training Facility	367	52,175	147,825	-	-	-	-	-	-	200,000
71110	Multipurpose Reality Based Training Facility	605	-	200,000	-	-	-	-	-	-	200,000
71111	Grand Theater Repair	615	41,462	379,538	-	-	-	-	-	669,000	1,090,000
71112	Temporary Emergency Housing	252	581	108,419	-	-	-	-	-	1,571,600	1,680,600
71112	Temporary Emergency Housing	268	14,420	85,580	-	-	-	-	-	-	100,000
71112	Temporary Emergency Housing	282	-	500,000	190,000	-	-	-	-	-	690,000
71112	Temporary Emergency Housing	261	-	-	329,400	-	-	-	-	-	329,400
71113	Station 91 Renovations	211	64,447	300,553	-	-	-	-	-	-	365,000
71114	PD Communication Tower Software	367	-	135,000	-	-	-	-	-	-	135,000

New Proposed Funded Projects (1)									
Project Number	Project Name	Fund	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Un-Funded	Total
71115	Cardiac Monitors	252	293,750	-	-	-	-	-	293,750

New Proposed Un-Funded Projects (2)									
Project Number	Project Name	Fund	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Un-Funded	Total
PP1-71XXX	Police Headquarters Cooling System Study		-	-	-	-	-	80,000	80,000
PP2-71XXX	Police Headquarters Level III Impact Resistant Windows		-	-	-	-	-	170,000	170,000

Capital Improvement Plan

General Government Public Safety Facilties
Retrofit Water Tower 6th St
Active Project



Project Number: 71027
Project Budget: $210,000
Project Manager: Ilene Macintire
Department: Development Services
Project Priority: Low
Project Type: Capital Maintenance
Customers Served: City Services Residents
Council Strategic Priority: Quality of Life

Description: Renovation of this historic tower is necessary to protect against corrosion. The foundation requires reinforcement and the existing steel components require repair & protection from corrosion. The Tower is historical, but not part of the City's water distribution system..

Justification: Due to the history of the construction of this tower, and the past representation, this tower must be protected and kept as a monumental structure for the City. Water Tower foundation and structure needs to be renovated for corrosion.

Comments: This project cannot moved forward until negotiations with cell service providers has determine how the removal of the existing equipment will be handeled. Civic Center Water Tower (CIP 71080) was renovated in 2016 at a cost of $162,000. This Tower is anticipated to have a similar scope but with the addition of the removal and reinstallation of cellular phone equipment which may drive the cost of this project up 30% to $210,000 The project budget was developed in 2018, and may need to be augmented once work can proceed.

Resolutions:

Year Identified: 2017 **Start Date:** 2018/2019 **Est. Completion Date:**

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Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
301 - General Projects	$ 5,711	$ 204,289	$ -	$ -	$ -	$ -	$ -	$ 210,000

Capital Improvement Plan

General Government Public Safety Facilties
New Radio Tower - Station 96
Active Project



Project Number: 71078
Project Budget: $118,080
Project Manager: Ilene Macintire
Department: Fire
Project Priority: Low
Project Type: Capital Improvement
Customers Served: City Services Residents
Council Strategic Priority: Public Safety

Description: District has contracted with a consultant to determine a independent communication system. Draft report was forwarded to Engineering in Feb 2020. The report identified the need for radio towers to reduce gaps in service area radio coverage and improve communications between Fire stations. Geotechnical investigations at the site have been initiated to determine foundation engineering design requirements.

Justification: There are existing gaps in radio transmission coverage within the City. The two new radio towers will improve communications between Fire facilities and provide improved service area radio coverage.

Comments:

Resolutions:

Year Identified: **Start Date:** 2018/2019 **Est. Completion Date:**

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Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
301 - General Projects	$ 20,569	$ 97,511	$ -	$ -	$ -	$ -	$ -	$ 118,080

Capital Improvement Plan

General Government Public Safety Facilties
Public Facilities Master Plan Update
Active Project

Project Number: 71107
Project Budget: $500,000
Project Manager: Ilene Macintire
Department: Development Services
Project Priority: Low
Project Type: Capital Improvement
Customers Served: City Services
Council Strategic Priority: Quality of Life

Description: The Citywide Public Facilities Master Plan (CPFMP) is a planning level document that evaluates existing City facilities and determines future facility needs for both public and City operational needs. The condition of existing facilities is evaluated. Upgrades, repairs and space needs to accommodate growth under fully developed condition are determined on a facility by facility basis.

Justification: The current Facilities Master Plan was prepared under a PSA with Indigo and adopted by City Council in early 2013. Since that time, the City has experienced significant development and population growth, requiring an update of the CPFMP to include newly completed upgrades to City facilities and evaluate future needs based on condition and space requirements.

Comments:

Resolutions: 2020-032

Year Identified: **Start Date:** 2019/2020 **Est. Completion Date:** 2021/2022

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Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
391 - Program Management	$ 290,833	$ 159,167	$ -	$ -	$ -	$ -	$ -	$ 450,000

Capital Improvement Plan

General Government Public Safety Facilties
Public Safety Master Plan Update
Active Project

Project Number: 71108
Project Budget: $250,000
Project Manager: Ilene Macintire
Department: Development Services
Project Priority: Low
Project Type: Capital Improvement
Customers Served: City Services
Council Strategic Priority: Public Safety

Description: The updated Public Safety Master Plan will create a new 20 to 30 year vision for its Fire Department and Police Department by providing an assessment of current and future public safety needs in the City of Tracy and the city's sphere of influence.

Justification: The existing Pubic Faciilties Master Plan was approved in 2013 and based on information that is approacing 10 years old. Significant development, code updates, and the formation of the South County Fire District have occurred since that time which warrant a revision.

Comments: It is anticipated that the Final Draft Document will be completed by May 2021 and that the drafts will be presented to City Council in the Fall of 2021.

Resolutions: 2020-032 2020-177

Year Identified: **Start Date:** 2019/2020 **Est. Completion Date:** 2021/2022

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Fund Name	**Expended**	**Carryover**	**FY2021/22**	**FY2022/23**	**FY2023/24**	**FY2024/25**	**FY2025/26**	**Total**
391 - Program Management	$ 69,603	$ 180,397	$ -	$ -	$ -	$ -	$ -	$ 250,000

Capital Improvement Plan

General Government Public Safety Facilties
Fire Training Tower at NEI Reservoir
Active Project

Project Number: 71109
Project Budget: $860,000
Project Manager: Ilene Macintire
Department: Fire
Project Priority: Low
Project Type: Capital Asset
Customers Served: City Services
Council Strategic Priority: Public Safety

Description: A fire department training tower site for site improvements at NEI reservoir. The project involves the grading, fencing, and site work associated with the improvments on the NEI reservoir site to allow for the installation of a Fire Training Tower. The project currently fulfills the obligations to terms of a FEMA funded grant awarded to the Fire Department.

Justification: Over the last 10 years, the numbers of fires and fire deaths have gradually declined and the number of EMS incidents have increased. Demanding EMS training has become a strength in the fire service. However, fewer fires equates to firefighters not receiving the same level of training for actual firefighting. To ensure our high standards for firefighting are met, it is essential we provide everyone with continued hands-on training to acquire or sharpen skills. It is essential that we assign the same high standard of continuing education we place on EMS training to firefighting as well.

Comments: Project design is complete and ready to bid, pending allocation of additional funding.

Resolutions:

Year Identified: **Start Date:** 2018/2019 **Est. Completion Date:** 2021/2022

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Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
605 - Equip Replacement	$ 103,939	$ 346,061	$ -	$ -	$ -	$ -	$ -	$ 450,000
Un-Funded	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ 410,000

Capital Improvement Plan

General Government Public Safety Facilties
Multipurpose Reality Based Training Facility
Active Project

Project Number: 71110
Project Budget: $1,600,000
Project Manager: Ilene Macintire
Department: Police
Project Priority: Low
Project Type: Capital Asset
Customers Served: City Services
Council Strategic Priority: Public Safety

Description: Provide a new 48' x 60' prefabricated classroom at existing range facility. New classroom to have one large room and one office area. Project will require grading to existing site and requires electrical service modification.

Justification: Existing Prefabricated Classroom is falling apart due to age. The new structure will allow classroom training facilities for approximately 50 officers at one time.

Comments: A PSA for Engineering Design will be awarded in April of 2021 and design will begin immediately, construction is expected to be completed by early 2022.

Resolutions: 2020-035 2020-032 2019-245

Year Identified: **Start Date:** 2018/2020 **Est. Completion Date:** 2021/2022

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Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
231 - Asset Forteiture	$ -	$ -	$ 250,000	$ -	$ -	$ -	$ -	$ 250,000
301 - General Projects	$ -	$ 265,164	$ -	$ -	$ -	$ -	$ -	$ 265,164
367 - TIMP Public Safety	$ 52,175	$ 147,825	$ -	$ -	$ -	$ -	$ -	$ 200,000
605 - Equip Replacement	$ -	$ 200,000	$ -	$ -	$ -	$ -	$ -	$ 200,000
Un-Funded	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ 684,836

Capital Improvement Plan

General Government Public Safety Facilties
Grand Theater Repair
Active Project



Project Number: 71111
Project Budget: $1,090,000
Project Manager: Ilene Macintire
Department: City Manager
Project Priority: High
Project Type: Capital Maintenance
Customers Served: City Services Residents
Council Strategic Priority: Quality of Life

Description: An updated detailed engineering investigation has determind that significant exterior repairs are needed to protect the structure from water infiltration and damage. The existing skylights require replacement, a large portion of the roof requires replacement, and work needs to be done on the marguee to repair and preserve it.

Justification: A detailed investigation has been completed and the resulting report has identified hcondition problems the historic Grand Theatre exterior envelope. Required repairs will incude reroofing a significant portion of the building, replacement of the parapit wall caps, existing skylights, and wooden framed windows. The work is recommented to be completed in 3 phases.

Comments:
Phase I Roof Repair - $255,000
Phase II Roof Repair & Skylight Replacement - $598,657
Phase III Historic Marquee and Misc Exterior Repairs - $50,000

Resolutions: 2020-208 2020-032

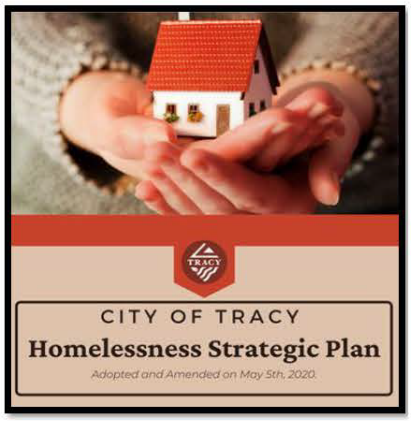
Year Identified: 2019 **Start Date:** 2020/2021 **Est. Completion Date:** 2021/2022

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Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
615 - Building Maintenance	$ 41,462	$ 379,538	$ -	$ -	$ -	$ -	$ -	$ 421,000
Un-Funded	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ 669,000

Capital Improvement Plan

General Government Public Safety Facilties
Temporary Emergency Housing
Active Project



Project Number: 71112
Project Budget: $2,800,000
Project Manager: Ilene Macintire
Department: City Manager
Project Priority: High
Project Type: Capital Asset
Customers Served: City Services Residents
Council Strategic Priority: Public Safety - Implement the Adopted Homelessness Strategic Plan

Description: Construction of a secured, temporary housing facility on a 0.8 acres City owned property on Arbor Road. The project includes site development, construction of all infrastructure needed to support the ADA compliant facility. Construction of a high tension membrane structure that will house clients and support services, and additional facilites to provide restrooms and showers. and food service.

Justification: Project site is located on 0.8 acres of unimproved City property. Site infrastructure needs to address access and utility connections to site to ensure it can operate as a temporary emergency husing facility.
Effects of homelessness on people has been growing regionally and statewide in California. From 2017-2019 the homeless population grew in Tracy from 30 unsheltered individuals to 155 according to San Joaquin County Point in Time Counts. In May 2020 the Tracy City Council adopted the Tracy Homeless Strategic Plan and also adopted the San Joaquin Community Response to Homelessness - 2020 San Joaquin Strategic Plan in October 2020
"Project site is located on 0.8 acres of unimproved City property. Site infrastructure needs to address access and

Comments:

Resolutions: 2020-163

Year Identified: **Start Date:** 2020/2021 **Est. Completion Date:** 2021/2022

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Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
252 - Cares ACT	$ 581	$ 108,419	$ -	$ -	$ -	$ -	$ -	$ 109,000
268 - Comm Dev Block Grant	$ 14,420	$ 85,580	$ -	$ -	$ -	$ -	$ -	$ 100,000
282 - Housing Successor	$ -	$ 500,000	$ 190,000	$ -	$ -	$ -	$ -	$ 690,000
261 - ISTEA	$ -	$ -	$ 329,400	$ -	$ -	$ -	$ -	$ 329,400
Un-Funded	$ -	$ -	$ 1,571,600	$ -	$ -	$ -	$ -	$ 1,571,600

Capital Improvement Plan

General Government Public Safety Facilties
Station 91 Renovations
Active Project

Project Number: 71113
Project Budget: $365,000
Project Manager: Ilene Macintire
Department: Fire
Project Priority: High
Project Type: Capital Maintenance
Customers Served: City Services Residents
Council Strategic Priority: Public Safety

Description: Fire Station 91 was constructed with shared dormitory rooms. The existing rooms are being reconfigured to create separate sleeping quarters to help prevent transimission of illnesses, like Covid-19, between and to provide adequate privacy.

Justification: Fire Station 91 houses 6 active firefighters at all times, but has only 4 dormitories. Separate sleeping quarters will provide increased privacy and reduce risks of illness transission between firefighters. This is an Essential Services structure and modifications required design services of a qualified structural engineer, along with services for mechanical, electrical and alarm design work.

Comments: Project desing is 90% complete and under City review. It is anticpated that construction will be complete by December of 2021.

Resolutions:

Year Identified: **Start Date:** 2020/2021 **Est. Completion Date:** 2021/2022

			<- - - - - - - Projected - - - - ->					
Fund Name	**Expended**	**Carryover**	**FY2021/22**	**FY2022/23**	**FY2023/24**	**FY2024/25**	**FY2025/26**	**Total**
211 - SSJCFA	$ 64,447	$ 300,553	$ -	$ -	$ -	$ -	$ -	$ 365,000

Capital Improvement Plan

General Government Public Safety Facilties
PD Communication Tower Software
Active Project

Project Number: 71114
Project Budget: $135,000
Project Manager: Ilene Macintire
Department: Police
Project Priority: High
Project Type: Capital Improvement
Customers Served: City Services
Council Strategic Priority: Public Safety

Description: The equipment purchased for the PD communications Tower as part of CIP 71050 (completed in FY20), has not been installed. Since the orginal project had significant delays, the software is now outdated and needs to be updated prior to use.

Justification:

Comments: The software purchase is considered part of the original project, there will be additional annual costs of $40,000 that will be included in the Police Operational budget starting in FY2022/2023.

Resolutions:

Year Identified: 2021 **Start Date:** 2020/2021 **Est. Completion Date:** 2021/2022

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
367 - TIMP Public Safety	$ -	$ 135,000	$ -	$ -	$ -	$ -	$ -	$ 135,000

Capital Improvement Plan

General Government Public Safety Facilties
Cardiac Monitors
Proposed Project

Project Number: 71115
Project Budget: $293,750
Project Manager:
Department: Fire
Project Priority: High
Project Type: Capital Asset
Customers Served: City Services Residents
Council Strategic Priority: Public Safety

Description: Replacement of 10 ECG cardiac monitors currently in use by the South San Joaquin County Fire Authority (SSJCFA).

Justification: The current ECG monitor model being utilized is the Physio-Control LifePak 12. These units are between 13 and 15 years old. Many of our existing monitors were purchased originally as "refurbished" to reduce costs. The most significant challenge we are now facing is the manufacturer no longer makes this model, they do not make replacement parts nor do they service the monitors.

Comments: The total estimated cost to replace this essential equipment is approximately $470,000 (10 monitors @ $47,000 each). Based upon the anticipated change in the cost sharing formula (62.5% City/37.5% District), the City's estimated pro-rata share is $293,750 and Tracy Rural's share is $176,250. The objective is to purchase these new monitors at the beginning of the Fiscal Year 2021-22. Possible CARES or other grant funding.

Resolutions:

Year Identified: 2021 **Start Date:** 2021/2022 **Est. Completion Date:** 2021/2022

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Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
252 - Cares ACT	$ -	$ -	$ 293,750	$ -	$ -	$ -	$ -	$ 293,750

Capital Improvement Plan

General Government Public Safety Facilties
Police Headquarters Cooling System Study
Proposed Project

Project Number: PP1-71XXX
Project Budget: $80,000
Project Manager: Ilene Macintire
Department: Public Works
Project Priority: High
Project Type: Capital Maintenance
Customers Served: City Services
Council Strategic Priority: Public Safety

Description: Engineering study of the existing closed loop water system that is experiencing frequent failures.

Justification: The existing closed loop water system in the Police Headquarters building provides cool water to the buildings multiple thirty two (32) heat exchangers, throughout the building. The heat exchangers warm and cool the air inside the building. The heat exchangers are failing at a rate of 1-2 a year and replacements must be custom built at significant costs. A detailed study needs to be conducted to determine what should be done to address the ongoing failures and develop a plan for repairs, to be done as a future CIP. (Recent cost to replace one heat exchanger was $12,000)

Comments:

Resolutions:

Year Identified: 2021 **Start Date:** **Est. Completion Date:**

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
Un-Funded	$ -	$ -	$ 80,000	$ -	$ -	$ -	$ -	$ 80,000

Capital Improvement Plan

General Government Public Safety Facilties
Police Headquarters Level III Impact Resistant Windows
Proposed Project

Project Number: PP2-71XXX
Project Budget: $170,000
Project Manager: Ilene Macintire
Department: Police
Project Priority: Moderate
Project Type: Capital Improvement
Customers Served: City Services
Council Strategic Priority: Public Safety

Description: Level III Impact Resistant Windows at PD Headquarters

Justification: Level III Impact (bullet) resistant windows will be added to the front lobby reception desk, the north entrance to the facility and to the Public Records window to provide a higher level of protection to staff in the PD Headquarters facility.

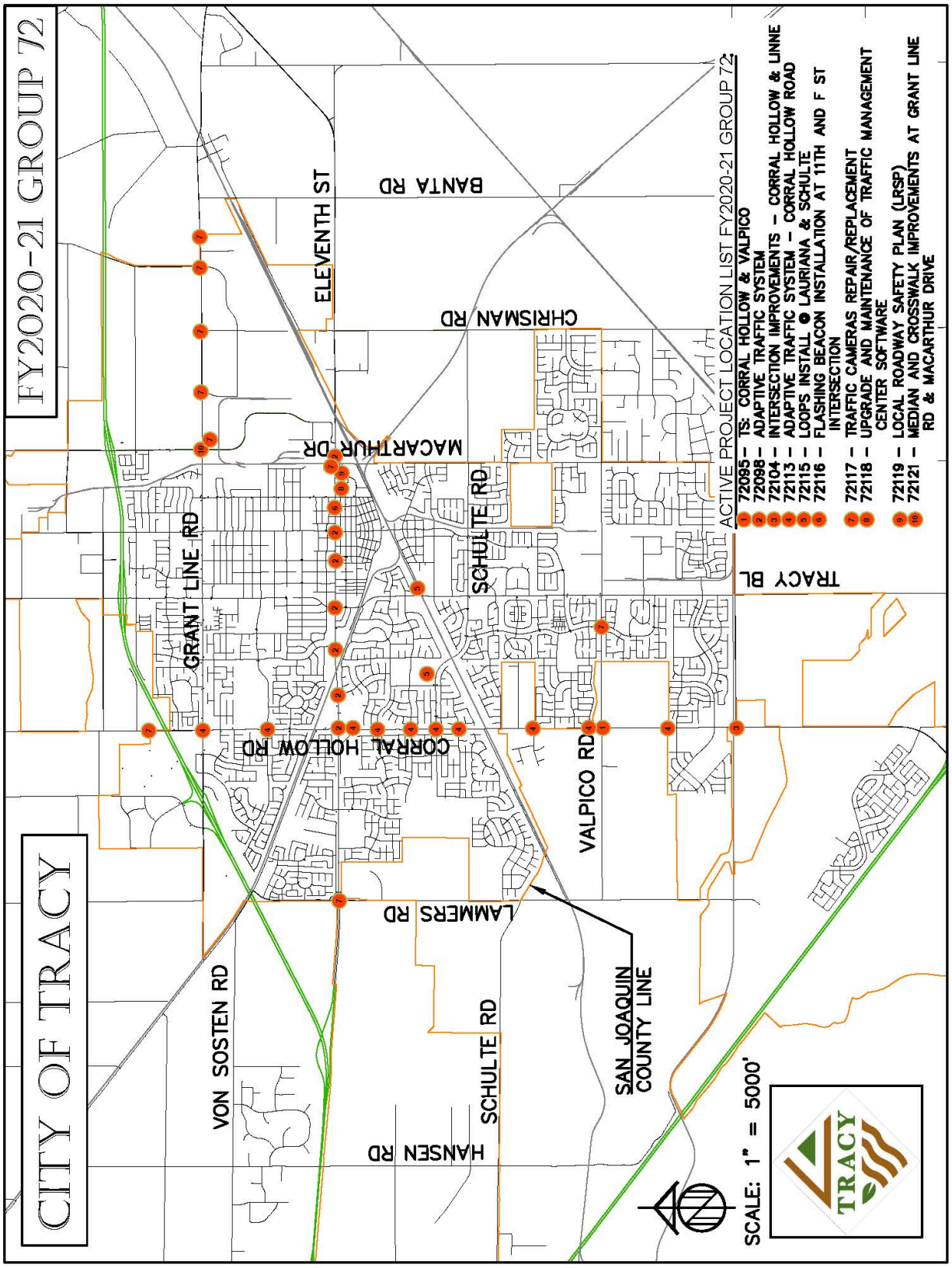
Comments:

Resolutions:

Year Identified: 2021 **Start Date:** **Est. Completion Date:**

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
Un-Funded	$ -	$ -	$ 170,000	$ -	$ -	$ -	$ -	$ 170,000


FY2020-21 GROUP 72
CITY OF TRACY
ACTIVE PROJECT LOCATION LIST FY2020-21 GROUP 72
1 72095 – TS: CORRAL HOLLOW & VALPICO
2 72098 – ADAPTIVE TRAFFIC SYSTEM
3 72104 – INTERSECTION IMPROVEMENTS – CORRAL HOLLOW & LINNE
4 72113 – ADAPTIVE TRAFFIC SYSTEM – CORRAL HOLLOW ROAD
5 72115 – LOOPS INSTALL @ LAURIANA & SCHULTE
6 72116 – FLASHING BEACON INSTALLATION AT 11TH AND F ST INTERSECTION
7 72117 – TRAFFIC CAMERAS REPAIR/REPLACEMENT
8 72118 – UPGRADE AND MAINTENANCE OF TRAFFIC MANAGEMENT CENTER SOFTWARE
9 72119 – LOCAL ROADWAY SAFETY PLAN (LRSP)
10 72121 – MEDIAN AND CROSSWALK IMPROVEMENTS AT GRANT LINE RD & MACARTHUR DRIVE
SCALE: 1" = 5000'
BANTA RD
ELEVENTH ST
CHRISMAN RD
MACARTHUR DR
SCHULTE RD
GRANT LINE RD
TRACY BL
CORRAL HOLLOW RD
VALPICO RD
LAMMERS RD
VON SOSTEN RD
SCHULTE RD
HANSEN RD
SAN JOAQUIN COUNTY LINE

Traffic Safety (CIP Group 72)

This category involves maintenance and upgrading of the City's existing roadways as it relates to traffic safety. Common projects include design and installation of new roadway, intersection, and traffic signal improvements to ensure adequate, safe, and efficient movement of traffic throughout the City.

Active Projects Carried Forward (9)											
Project Number	Project Name	Fund	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Un-Funded	Total
72095	TS: CHR & Valpico	354	63,394	236,606	-	-	-	-	-	-	300,000
72098	Adaptive Traffic System	242	-	-	701,250	-	-	-	-	-	701,250
72098	Adaptive Traffic System	245	5,715	94,448	-	-	-	-	-	-	100,163
72104	Intersection Improvements - Corral Hollow & Linne	242	-	-	330,000	-	-	-	-	-	330,000
72104	Intersection Improvements - Corral Hollow & Linne	331	5,263	294,737	-	-	-	-	-	-	300,000
72104	Intersection Improvements - Corral Hollow & Linne	363	-	295,000	-	-	-	-	-	-	295,000
72113	Adaptive Traffic System - CHR	242	-	-	877,250	-	-	-	-	-	877,250
72113	Adaptive Traffic System - CHR	245	-	100,000	-	-	-	-	-	-	100,000
72116	Flashing Beacon Installation at 11th and F St Intersection	242	-	230,000	-	-	-	-	-	-	230,000
72116	Flashing Beacon Installation at 11th and F St Intersection	245	11,837	38,163	-	-	-	-	-	-	50,000
72117	Traffic Cameras Repair/Replacement	245	518	49,482	-	-	-	-	-	-	50,000
72118	Upgrade and Maintenance of Traffic Management Center Software	245	723	139,277	-	-	-	-	-	-	140,000
72119	Local Roadway Safety Plan (LRSP)	245	-	8,000	-	-	-	-	-	-	8,000
72119	Local Roadway Safety Plan (LRSP)	261	3,063	68,937	-	-	-	-	-	-	72,000
72121	Median and Crosswalk Improvements at Grant Line Rd & MacArthur Drive	DC	-	118,440	-	-	-	-	-	-	118,440

New Proposed Funded Projects (2)										
Project Number	Project Name	Fund	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Un-Funded	Total
72122	Holly Drive Pedestrian and Bikeway Improvements	245	105,000	100,000	-	-	-	-	205,000
72122	Holly Drive Pedestrian and Bikeway Improvements	Grant	-	1,625,000	-	-	-	-	1,625,000
72123	Adaptive Traffic Signal System - Grant Line Road	242	875,000	-	-	-	-	-	875,000
72123	Adaptive Traffic Signal System - Grant Line Road	245	140,000	-	-	-	-	-	140,000

New Proposed Un-Funded Projects (1)									
Project Number	Project Name	Fund	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Un-Funded	Total
72120	IS:I-580 Ramps and Corral Hollow Rd		-	-	-	-	-	-	-

In Active Projects Carried Forward (1)										
Project Number	Project Name	Fund	Expended	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Un-Funded	Total
72074	IS Imp: Tracy & Valpico	354	3,652	-	-	-	-	-	-	3,652

Capital Improvement Plan

Traffic Safety
Traffic Signal - Corral Hollow & Valpico
Active Project



Project Number: 72095
Project Budget: $300,000
Project Manager: Anju Pillai
Department: Development Services
Project Priority: High
Project Type: Capital Asset
Customers Served: Residents
Council Strategic Priority: Quality of Life - Advance green and roadway infrastructure projects that improve connectivity, including bike lanes

Description: Installation of fully activated vehicular and pedestrian traffic signal at the intersection.

Justification: To improve the traffic flow in the area.

Comments: Awarded CMAQ Grant from SJCOG for $664,000 12/17/2012 towards construction, CTIPS ID: 212-0000-0606. This project is contingent on the Corral Hollow Widening project CIP 73144.

Resolutions: 2020-084

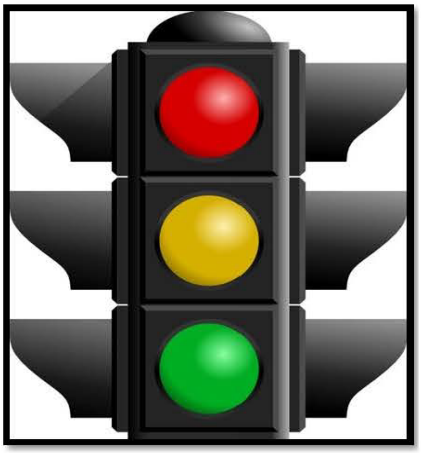
Year Identified: 2006 **Start Date:** **Est. Completion Date:** 2022/2023

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
354 - Industrial SP, South	$ 63,394	$ 236,606	$ -	$ -	$ -	$ -	$ -	$ 300,000

Capital Improvement Plan

Traffic Safety
Adaptive Traffic System - 11th Street
Active Project



Project Number: 72098
Project Budget: $801,413
Project Manager: Anju Pillai
Department: Development Services
Project Priority: High
Project Type: Capital Improvement
Customers Served: Residents
Council Strategic Priority: Quality of Life - Advance green and roadway infrastructure projects that improve connectivity, including bike lanes

Description: Upgrade existing traffic signal controller and provide high speed communication system for the corridor and provide system components to implement adaptive signal corridor system. Also, provide CCTA cameras at key locations to provide traffic information to Traffic Control Center.

Justification: Improve traffic flow on 11th Street corridor. Replace number of stops and delay to vehicular traffic and thereby improving congestion and environment.

Comments: Awarded CMAQ Grant from SJCOG for $701,250 12/17/2012 towards construction, CTIPS ID: 212-0000-0597. This project will be done in conjunction with CIP 72113 & CIP 72118

Resolutions:

Year Identified: 2006 **Start Date:** **Est. Completion Date:** 2022/2023

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
242 - Transp Sales Tax	$ -	$ -	$ 701,250	$ -	$ -	$ -	$ -	$ 701,250
245 - Gas Tax	$ 5,715	$ 94,448	$ -	$ -	$ -	$ -	$ -	$ 100,163

Capital Improvement Plan

Traffic Safety
Intersection Improvements - Corral Hollow & Linne
Active Project



Project Number: 72104
Project Budget: $925,000
Project Manager: Anju Pillai
Department: Development Services
Project Priority: High
Project Type: Capital Improvement
Customers Served: Residents
Council Strategic Priority: Quality of Life - Advance green and roadway infrastructure projects that improve connectivity, including bike lanes

Description: Installation of fully activated pedestrian and vehicular traffic signal. Intersection improvements include railroad crossing improvements.

Justification: To improve traffic flow.

Comments: Intersection improvements will include installation of traffic signals, traffic signal interconnect, widening of roadway and installation of curb, gutter and sidewalk. $330,000 has been awarded in CMAQ funds towards construction.

Resolutions: 2019-245

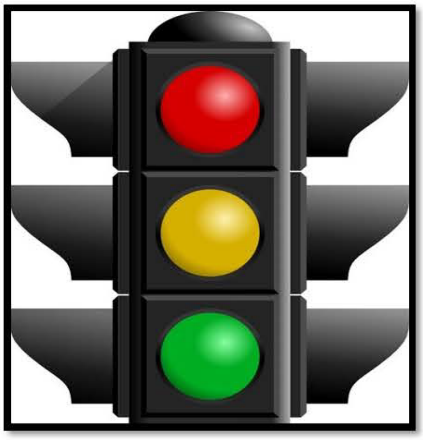
Year Identified: 2006 **Start Date:** **Est. Completion Date:** 2022/2023

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
242 - Transp Sales Tax	$ -	$ -	$ 330,000	$ -	$ -	$ -	$ -	$ 330,000
331 - Ellis Area Traffic	$ 5,263	$ 294,737	$ -	$ -	$ -	$ -	$ -	$ 300,000
363 - TIMP Traffic	$ -	$ 295,000	$ -	$ -	$ -	$ -	$ -	$ 295,000

Capital Improvement Plan

Traffic Safety
Adaptive Traffic System - Corral Hollow Road
Active Project



Project Number: 72113
Project Budget: $977,250
Project Manager: Anju Pillai
Department: Development Services
Project Priority: High
Project Type: Capital Improvement
Customers Served: Residents
Council Strategic Priority: Quality of Life - Advance green and roadway infrastructure projects that improve connectivity, including bike lanes

Description: Upgrade existing traffic signal controller & provide high speed communication system for the corridor & provide system components to implement adaptive signal corridor system. Also, provide CCTA cameras at key locations to provide traffic information to Traffic Control Center.

Justification: Improve traffic flow on Corral Hollow Road corridor. Replace number of stops and delay to vehicular traffic and thereby improving congestion and environment.

Comments: Awarded CMAQ Grant from SJCOG for $877,250 12/17/2012 towards construction, CTIPS ID: 212-0000-0598. This project will be done in conjunction with CIP 72098 & CIP 72118.

Resolutions:

Year Identified: 2012 **Start Date:** **Est. Completion Date:** 2021/2022

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
242 - Transp Sales Tax	$ -	$ -	$ 877,250	$ -	$ -	$ -	$ -	$ 877,250
245 - Gas Tax	$ -	$ 100,000	$ -	$ -	$ -	$ -	$ -	$ 100,000

Capital Improvement Plan

Traffic Safety
Flashing Beacon Installation at 11th and F St Intersection
Active Project



Project Number: 72116
Project Budget: $280,000
Project Manager: Anju Pillai
Department: Development Services
Project Priority: High
Project Type: Capital Improvement
Customers Served: Residents
Council Strategic Priority: Quality of Life - Advance green and roadway infrastructure projects that improve connectivity, including bike lanes

Description: This project will install Overhead Flashing Beacons at the uncontrolled crosswalk on 11th Street at F Street intersection, and will fund the the study of appropriate measures for enhancing the uncontrolled crosswalks at W Grant Line Road at O' Hara Drive and Schulte Road at Amaretto Drive.

Justification: The crosswalk at 11th and F St is used by a high volume of pedestrians and school kids. The current crosswalk is uncontrolled. The proximity to several businesses and the Tracy High School necessitates increasing the safety of the pedestrians using this crosswalk. The City received Measure K Non-Competitve Grants for the safety enhancement at this crosswalk and also to fund the study of appropriate crosswalk enhancement required at the uncontrolled crosswalks at W Grant Line Road at O'Hara Drive and Schulte Road at Amaretto Drive.

Comments:

Resolutions: 2020-152

Year Identified: 2019 **Start Date:** **Est. Completion Date:** 2021/2022

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
242 - Transp Sales Tax	$ -	$ 230,000	$ -	$ -	$ -	$ -	$ -	$ 230,000
245 - Gas Tax	$ 11,837	$ 38,163	$ -	$ -	$ -	$ -	$ -	$ 50,000

Capital Improvement Plan

Traffic Safety
Traffic Cameras Repair/Replacement
Active Project

Project Number: 72117
Project Budget: $50,000
Project Manager: Anju Pillai
Department: Development Services
Project Priority: Low
Project Type: Capital Improvement
Customers Served: Residents City Services
Council Strategic Priority: Quality of Life - Advance green and roadway infrastructure projects that improve connectivity, including bike lanes

Description: This project will install Overhead Flashing Beacons at the uncontrolled crosswalk on 11th Street at F Street intersection, and will fund the the study of appropriate measures for enhancing the uncontrolled crosswalks at W Grant Line Road at O' Hara Drive and Schulte Road at Amaretto Drive.

Justification: The crosswalk at 11th and F St is used by a high volume of pedestrians and school kids. The current crosswalk is uncontrolled. The proximity to several businesses and the Tracy High School necessitates increasing the safety of the pedestrians using this crosswalk. The City received Measure K Non-Competitve Grants for the safety enhancement at this crosswalk and also to fund the study of appropriate crosswalk enhancement required at the uncontrolled crosswalks at W Grant Line Road at O'Hara Drive and Schulte Road at Amaretto Drive.

Comments: Project is funded for evaluation and design to determine total project costs.

Resolutions:

Year Identified: 2019 **Start Date:** 2020/2021 **Est. Completion Date:**

<- - - - - - Projected - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
245 - Gas Tax	$ 518	$ 49,482	$ -	$ -	$ -	$ -	$ -	$ 50,000

Capital Improvement Plan

Traffic Safety
Upgrade and Maintenance of Traffic Management Center Software
Active Project

Project Number: 72118
Project Budget: $140,000
Project Manager: Anju Pillai
Department: Development Services
Project Priority: High
Project Type: Capital Maintenance
Customers Served: Residents City Services
Council Strategic Priority: Quality of Life - Advance green and roadway infrastructure projects that improve connectivity, including bike lanes

Description: This project will upgrade the existing Traffic Management Center Software to the current version.

Justification: The Traffic Management Center (TMC) is the hub for connection to all the traffic signals which are connected through fiber or copper signal interconnect wires. The current installed software Quicknet is outdated and requires an update to the most current version Transparity to support all the existing signal controllers more efficiently. The newly installed will require periodic maintenance as recommended by the vendor.

Comments:

Resolutions:

Year Identified: 2020 **Start Date:** 2020/2021 **Est. Completion Date:** 2021/2022

			<- - - - - - - Projected - - - - ->					
Fund Name	**Expended**	**Carryover**	**FY2021/22**	**FY2022/23**	**FY2023/24**	**FY2024/25**	**FY2025/26**	**Total**
245 - Gas Tax	$ 723	$ 139,277	$ -	$ -	$ -	$ -	$ -	$ 140,000

Capital Improvement Plan

Traffic Safety
Local Roadway Safety Plan (LRSP)
Active Project

Project Number: 72119
Project Budget: $80,000
Project Manager: Anju Pillai
Department: Development Services
Project Priority: High
Project Type: Capital Maintenance
Customers Served: Residents Business
Council Strategic Priority: Quality of Life - Advance green and roadway infrastructure projects that improve connectivity, including bike lanes

Description: Prepare LRSP. An LRSP provides a framework for organizing stakeholders to identify, analyze, and prioritize roadway safety improvements on local and rural roads. The City was allocated $72,000.00 of State LRSP Grant funds for the PE phase (Safety Analysis) of this project.

Justification: LRSP is required to apply for future Highway Safety Improvement Program (HSIP) funds; we intend to apply for future HSIP funds; not dependent on another project; 10% match for LRSP Grant is required

Comments:

Resolutions:

Year Identified: 2020 **Start Date:** 2020/2021 **Est. Completion Date:** 2021/2022

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
245 - Gas Tax	$ -	$ 8,000	$ -	$ -	$ -	$ -	$ -	$ 8,000
261 - ISTEA	$ 3,063	$ 68,937	$ -	$ -	$ -	$ -	$ -	$ 72,000

Capital Improvement Plan

Traffic Safety
Median and Crosswalk Improvements at Grant Line Rd & MacArthur Drive
Active Project

Project Number: 72121
Project Budget: $118,440
Project Manager: Anju Pillai
Department: Development Services
Project Priority: Moderate
Project Type: Capital Improvement
Customers Served: Residents
Council Strategic Priority: Quality of Life - Advance green and roadway infrastructure projects that improve connectivity, including bike lanes

Description: This project will install a fence on the concrete median on Grant Line Road, west of MacArthur Drive. The project will also installing blank out signs on traffic signals which prevents right turning movement of vehicles on red phase of the signal, on the north crosswalk.

Justification: Leprino Foods Co., at the corner of MacArthur Drive and Grant Line Road, reached out to the City for measures to improve the safety of their employees using the crosswalk. City identified the necessary improvements.

Comments: Funding agreement with Leprino Foods Company to fund the improvements.

Resolutions:

Year Identified: **Start Date:** 2021/2022 **Est. Completion Date:**

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
Developer Contribution	$ -	$ 118,440	$ -	$ -	$ -	$ -	$ -	$ 118,440

Capital Improvement Plan

Traffic Safety
Holly Drive Pedestrian and Bikeway Improvements
Proposed Project



Project Number: 72122
Project Budget: $1,830,000
Project Manager: Anju Pillai
Department: Development Services
Project Priority: High
Project Type: Capital Improvement
Customers Served: Residents
Council Strategic Priority: Quality of Life - Advance green and roadway infrastructure projects that improve connectivity, including bike lanes

Description: On Holly Drive between 11th Street and Clover Road, install Class 3 bike route, Class 2 bicycle lanes, sidewalk, pavement patching, slurry seal, signage, striping, and pavement markings.

Justification: Provide active transportation users a safe route to school and Downtown Tracy. The project is funded in part by an Active Transportation Program (ATP) grant. The project is not dependent on another project.

Comments: Design: FY 21/22 and FY 22/23
Construction: FY 22/23 and FY 23/24

Resolutions:

Year Identified: 2021 **Start Date:** 2021/2022 **Est. Completion Date:** 2023/2024

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
245 - Gas Tax	$ -	$ -	$ 105,000	$ 100,000	$ -	$ -	$ -	$ 205,000
Grant	$ -	$ -	$ -	$ 1,625,000	$ -	$ -	$ -	$ 1,625,000

Capital Improvement Plan

Traffic Safety
Adaptive Traffic Signal System - Grant Line Road
Proposed Project

Project Number: 72123
Project Budget: $1,015,000
Project Manager: Anju Pillai
Department: Development Services
Project Priority: Moderate
Project Type: Capital Improvement
Customers Served: Residents
Council Strategic Priority: Quality of Life - Advance green and roadway infrastructure projects that improve connectivity, including bike lanes

Description: This project will install the adaptive traffic signal system on Grant Line Road, between Byron Road and Naglee Road.

Justification: Grant Line Road is a major arterial in the City which operates on a deficient LOS between Byron Road and Naglee Road. This project is needed to improve the LOS and make the traffic flow efficient. The City applied and received CMAQ grant of
$875 K for this project.

Comments:

Resolutions:

Year Identified: **Start Date:** **Est. Completion Date:**

<- - - - - - Projected - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
242 - Transp Sales Tax	$ -	$ -	$ 875,000	$ -	$ -	$ -	$ -	$ 875,000
245 - Gas Tax	$ -	$ -	$ 140,000	$ -	$ -	$ -	$ -	$ 140,000

Capital Improvement Plan

Traffic Safety
IS:I-580 Ramps and Corral Hollow Rd
Proposed Project

Project Number: 72120
Project Budget:
Project Manager: Anju Pillai
Department: Development Services
Project Priority: High
Project Type: Capital Improvement
Customers Served: Residents
Council Strategic Priority: Quality of Life - Advance green and roadway infrastructure projects that improve connectivity, including bike lanes

Description: This project will design and install the necessary roadway improvements (Traffic Signals or Roundabouts) at the intersection of I-580 Ramps and Corral Hollow Road.

Justification: This project is part of the traffic mitigations of Tracy Hills Development.improvements.

Comments:

Resolutions:

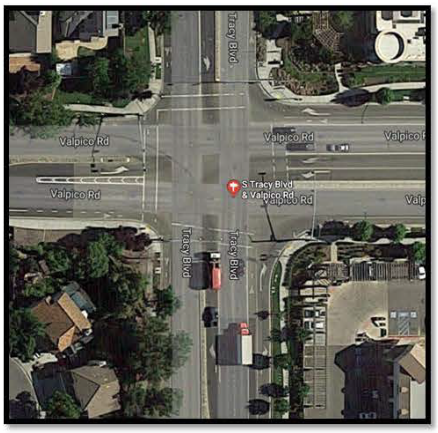
Year Identified: **Start Date:** **Est. Completion Date:**

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Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -

Capital Improvement Plan

Traffic Safety
Intersection Improvements - Tracy Blvd & Valpico Road
In Active Project



Project Number: 72074
Project Budget:
Project Manager: Ilene Macintire
Department: Development Services
Project Priority: Low
Project Type: Capital Maintenance
Customers Served: City Services
Council Strategic Priority: N/A

Description: Intersection improvements to provide STAA movements for truck routes and to realign intersection to provide adequate transition.

Justification: Ph 1: Traffic signal is needed as part of an Industrial Specific Plan project, to improve traffic flow at new intersection.
Ph 2: Truck access to Industrial properties in South ISP area.

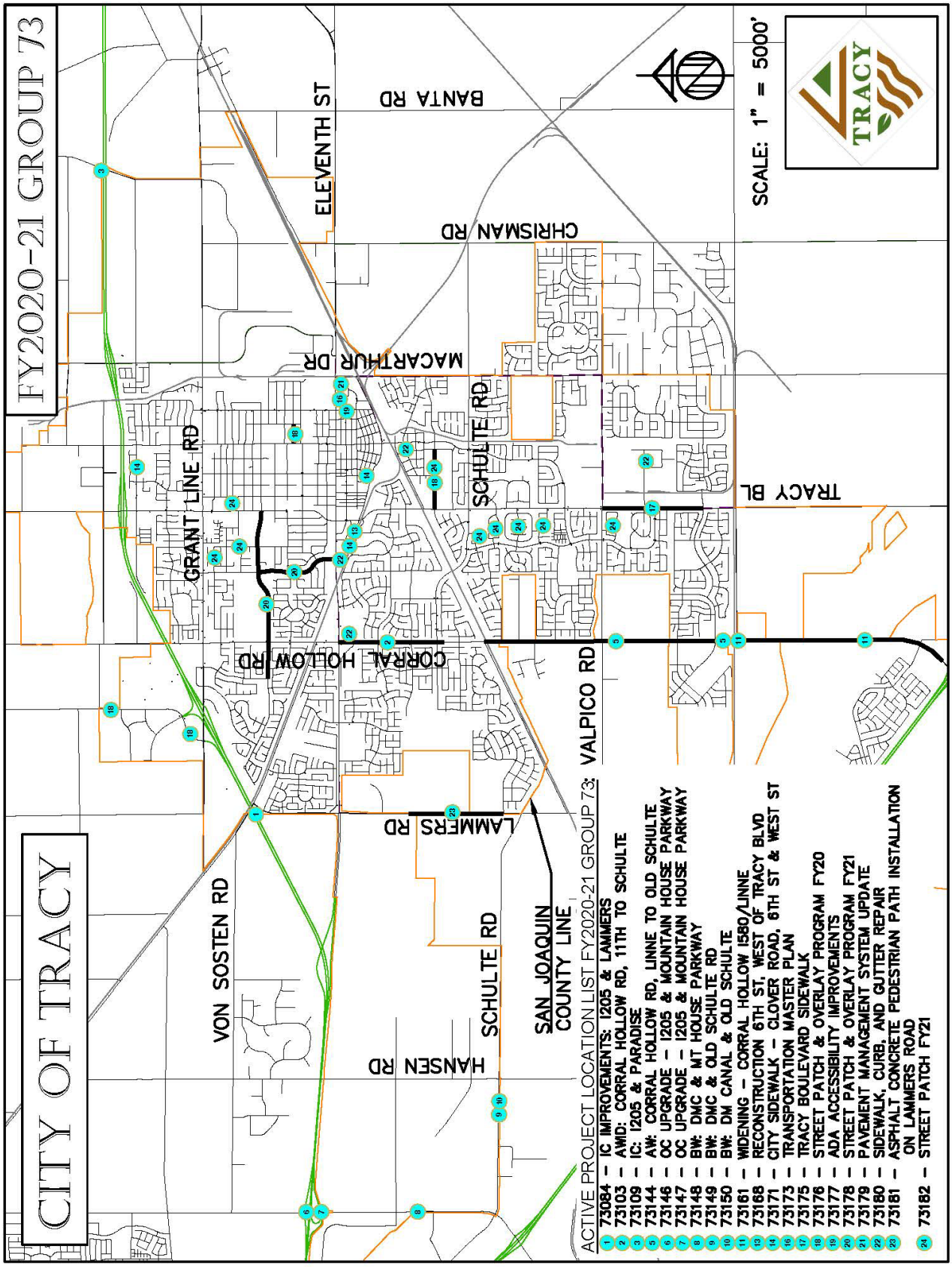
Comments:

Resolutions: 2019-245

Year Identified: 2009 **Start Date:** **Est. Completion Date:**

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
354 - Industrial SP, South	$ 3,652	$ -	$ -	$ -	$ -	$ -	$ -	$ 3,652


CITY OF TRACY
FY2020-21 GROUP 73
SCALE: 1" = 5000'
TRACY
ELEVENTH ST
BANTA RD
CHRISMAN RD
MACARTHUR DR
SCHULTE RD
TRACY BL
GRANT LINE RD
CORRAL HOLLOW RD
VALPICO RD
LAMMERS RD
VON SOSTEN RD
SCHULTE RD
HANSEN RD
SAN JOAQUIN COUNTY LINE
ACTIVE PROJECT LOCATION LIST FY2020-21 GROUP 73:
1 73084 – IC IMPROVEMENTS: I205 & LAMMERS
2 73103 – AWID: CORRAL HOLLOW RD, 11TH TO SCHULTE
3 73109 – IC: I205 & PARADISE
5 73144 – AW: CORRAL HOLLOW RD, LINNE TO OLD SCHULTE
6 73146 – OC UPGRADE – I205 & MOUNTAIN HOUSE PARKWAY
7 73147 – OC UPGRADE – I205 & MOUNTAIN HOUSE PARKWAY
8 73148 – BW: DMC & MT HOUSE PARKWAY
9 73149 – BW: DMC & OLD SCHULTE RD
10 73150 – BW: DM CANAL & OLD SCHULTE
11 73161 – WIDENING – CORRAL HOLLOW I580/LINNE
12 73168 – RECONSTRUCTION 6TH ST, WEST OF TRACY BLVD
14 73171 – CITY SIDEWALK – CLOVER ROAD, 6TH ST & WEST ST
16 73173 – TRANSPORTATION MASTER PLAN
17 73175 – TRACY BOULEVARD SIDEWALK
18 73176 – STREET PATCH & OVERLAY PROGRAM FY20
19 73177 – ADA ACCESSIBILITY IMPROVEMENTS
20 73178 – STREET PATCH & OVERLAY PROGRAM FY21
21 73179 – PAVEMENT MANAGEMENT SYSTEM UPDATE
22 73180 – SIDEWALK, CURB, AND GUTTER REPAIR
23 73181 – ASPHALT CONCRETE PEDESTRIAN PATH INSTALLATION ON LAMMERS ROAD
24 73182 – STREET PATCH FY21

Streets and Highways (CIP Group 73)

The Streets and Highways category of projects encompasses the maintenance/replacement of existing, new or the construction of street and roadways. Examples include the new I-205 freeway interchanges, Corral Hollow Widening, various roadway reconstructions, rehabilitations, extensions, and widening, as well as sidewalk improvements.

Active Projects Carried Forward (21)											
Project Number	Project Name	Fund	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Un-Funded	Total
73084	IC Impvts:I205 & Lammers	356	27,517	265,483	-	-	-	-	-	-	293,000
73084	IC Impvts:I205 & Lammers	808	409,461	305,539	-	-	-	-	-	-	715,000
73103	AWid: CHR, 11th to Schulte	323	191,010	549,819	-	-	-	-	-	-	740,829
73109	IC: I205 & Paradise	245	244,951	71,125	-	-	-	-	-	-	316,076
73109	IC: I205 & Paradise	363	6,117	374,097	-	-	-	-	-	-	380,214
73144	AW: Cor Hol Rd, Linne to Old Schult	242	3,012,605	4,161	13,000,000	-	-	-	-	3,200,000	19,216,766
73144	AW: Cor Hol Rd, Linne to Old Schult	245	82,735	-	-	-	-	-	-	-	82,735
73144	AW: Cor Hol Rd, Linne to Old Schult	363	1,577,946	1,276,875	-	-	-	-	-	-	2,854,821
73144	AW: Cor Hol Rd, Linne to Old Schult	808	157,616	-	-	-	-	-	-	-	157,616
73146	OC Upg-I205 & MH Pkwy	363	1,000,000	1,215,480	-	-	-	-	-	-	2,215,480
73146	OC Upg-I205 & MH Pkwy	808	1,567,232	1,827,819	-	-	-	-	-	-	3,395,051
73147	OC Upgrade-I205 & Mt House Pkwy	242	-	-	2,541,000	-	-	-	-	12,806,000	15,347,000
73147	OC Upgrade-I205 & Mt House Pkwy	261	-	24,884,000	-	-	-	-	-	-	24,884,000
73147	OC Upgrade-I205 & Mt House Pkwy	363	452,254	547,746	-	-	-	-	-	-	1,000,000
73147	OC Upgrade-I205 & Mt House Pkwy	808	245	7,951,755	-	-	-	-	-	-	7,952,000
73148	BW: DMC & Mt House Pkwy	363	16,707	84,498	-	-	-	-	-	-	101,205
73149	BW: DMC & Old Schulte Rd	363	10,858	90,858	-	-	-	-	-	-	101,716
73150	BW-DM Canal & Old Schulte	363	25,786	75,930	-	-	-	-	-	-	101,716
73161	Widening - Corral Hollow I580/Linne	301	992,565	717,666	-	-	-	-	-	-	1,710,231
73161	Widening - Corral Hollow I580/Linne	363	10,092	489,908	-	-	-	-	-	-	500,000

Project Number	Project Name	Fund	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Un-Funded	Total
73168	Reconstruction 6th St, west of Tracy Blvd	245	33,963	366,037	-	-	-	-	-	-	400,000
73171	City Sidewalk - Clover Road, 6th St & West St	245	16,357	233,643	-	-	-	-	-	-	250,000
73173	Transportation Master Plan	363	328,962	121,038	-	-	-	-	-	-	450,000
73173	Transportation Master Plan	391	145,432	104,568	-	-	-	-	-	-	250,000
73175	Tracy Boulevard Sidewalk	242	29,035	629,546	-	-	-	-	-	-	658,581
73175	Tracy Boulevard Sidewalk	301	-	66,000	-	-	-	-	-	-	66,000
73176	Street Patch & Overlay Program FY20	242	3,500	536,500	-	-	-	-	-	-	540,000
73176	Street Patch & Overlay Program FY20	245	-	135,000	-	-	-	-	-	-	135,000
73176	Street Patch & Overlay Program FY20	248	94,211	1,405,789	-	-	-	-	-	-	1,500,000
73177	ADA Accessibility Improvements	245	20,239	94,761	65,000	-	-	-	-	-	180,000
73177	ADA Accessibility Improvements	268	-	150,000	-	-	-	-	-	-	150,000
73178	Street Patch & Overlay Program FY21	242	-	140,000	-	-	-	-	-	-	140,000
73178	Street Patch & Overlay Program FY21	245	-	135,000	-	-	-	-	-	-	135,000
73178	Street Patch & Overlay Program FY21	248	16,703	1,435,996	-	-	-	-	-	-	1,452,699
73178	Street Patch & Overlay Program FY21	261	-	1,284,163	-	-	-	-	-	-	1,284,163
73179	Pavement Management System Update	245	2,628	47,372	-	-	-	-	-	-	50,000
73180	Sidewalk, Curb, and Gutter Repair	245	4,249	245,751	-	-	-	-	-	-	250,000
73181	Asphalt Concrete Pedestrian Path Installation on Lammers Road	242	-	80,581	-	-	-	-	-	-	80,581
73182	Street Patch FY21	242	13,364	386,636	-	-	-	-	-	-	400,000
73182	Street Patch FY21	248	-	-	300,000	-	-	-	-	-	300,000

New Proposed Funded Projects (5)									
Project Number	Project Name	Fund	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Un-Funded	Total
73183	Pavement Rehabilitation Project FY 21-22	242	540,000	-	-	-	-	-	540,000
73183	Pavement Rehabilitation Project FY 21-22	245	135,000	-	-	-	-	-	135,000
73183	Pavement Rehabilitation Project FY 21-22	248	1,231,747	-	-	-	-	-	1,231,747
73183	Pavement Rehabilitation Project FY 21-22	261	641,698	-	-	-	-	-	641,698
73184	Slurry Seal FY21-22	248	600,000	-	-	-	-	-	600,000
FF1-73XXX	Annual Pavement Rehabilitation Project	242	-	540,000	540,000	540,000	540,000	-	2,160,000
FF1-73XXX	Annual Pavement Rehabilitation Project	245	-	135,000	135,000	135,000	135,000	-	540,000
FF1-73XXX	Annual Pavement Rehabilitation Project	248	-	1,300,000	1,300,000	1,300,000	1,300,000	-	5,200,000
FF2-73XXX	Annual Slurry Seal Project	248	-	600,000	600,000	600,000	600,000	-	2,400,000
FF3-73XXX	Sidewalk, Curb, and Gutter Repair FY 22-26	245	-	250,000	250,000	250,000	250,000	-	1,000,000

New Proposed Un-Funded Projects (1)									
Project Number	Project Name	Fund	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Un-Funded	Total
PP1-73XXX	Reconstruction Bessie Avenue Between Lowell Avenue and Grant Line Road		-	-	-	-	-	1,800,000	1,800,000

Capital Improvement Plan

Streets and Highways
New Interchange - I-205 & Lammers Road - Ph I, EIR Study
Active Project



Project Number: 73084
Project Budget: $1,008,000
Project Manager: Anju Pillai
Department: Development Services
Project Priority: Low
Project Type: Capital Improvement
Customers Served: Residents
Council Strategic Priority: Quality of Life - Advance green and roadway infrastructure projects that improve connectivity, including bike lanes

Description: Construction of new interchange, including ramps, to provide access to north and south side of Tracy.
Ph 1 - EIR study FY09-11.

Justification: Construction of new interchange to accommodate new development and improve traffic circulation. Future phases funded by Gateway & other new development.

Comments: This project is under study for environmental issues and consideration of Federal Highways Administration.

Resolutions: 2012-171 2013-121 2015-053 2018-115 2019-130 2020-117

Year Identified: 2004 **Start Date:** **Est. Completion Date:**

<- - - - - - - Projected - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
356 - Tracy Gateway Area	$ 27,517	$ 265,483	$ -	$ -	$ -	$ -	$ -	$ 293,000
808 - Reg Transport Impact	$ 409,461	$ 305,539	$ -	$ -	$ -	$ -	$ -	$ 715,000

Capital Improvement Plan

Streets and Highways
Widening - Corral Hollow, 11th to Schulte
Active Project



Project Number: 73103
Project Budget: $740,829
Project Manager: Anju Pillai
Department: Development Services
Project Priority: Low
Project Type: Capital Improvement
Customers Served: Residents
Council Strategic Priority: Quality of Life - Advance green and roadway infrastructure projects that improve connectivity, including bike lanes

Description: Widening from a 4-lane arterial to a 6-lane major arterial which includes modification of existing 40' median to accommodate 2 traffic lanes. Median modifications include new landscaping, irrigation system and installation of new railroad crossing arms.

Justification: This is required by the City's Road Master Plan.

Comments: RR Crossing work completed Sep 12. Modifications of median on Corral Hollow Rd., CIP 72086 must be done with conjunction with this project. Roadway design is 90% complete.

Resolutions: 2010-126 2011-138 2011-200 2017-078 2018-010

Year Identified: 2007 **Start Date:** **Est. Completion Date:** 2022/2023

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
323 - Arterials Plan "C"	$ 191,010	$ 549,819	$ -	$ -	$ -	$ -	$ -	$ 740,829

Capital Improvement Plan

Streets and Highways
I-205 @ Chrisman Rd Interchange
Active Project



Project Number: 73109
Project Budget: $696,290
Project Manager: Anju Pillai
Department: Development Services
Project Priority: Low
Project Type: Capital Improvement
Customers Served: Residents Business
Council Strategic Priority: Quality of Life - Advance green and roadway infrastructure projects that improve connectivity, including bike lanes

Description: Construction of new interchange, including ramps, to provide access to the northeast and southeast areas of Tracy. Ph I: Project study report - FY10-12; Ph II: EIR - FY13-15. Ph III: Design - FY15-18; Ph IV: Construction - FY19-22.

Justification: The construction of this new interchange is to accommodate new industrial development and northeast developments. The cost of the project will be shared by the City of Lathrop.

Comments: Project is in the planning stage. Consultant will be on board for Project Study Report (PSR) in March 2012. The General Plan identified this project as a new interchange. Coordination with City of Lathrop and CalTrans regarding interchange location in progress. Ph 1 - PSR, including selection of alternative; Phase II - EIR; Ph 3 - Final design; Ph 4 - construction.

Resolutions: 2012-011 2013-112 2018-163

Year Identified: 2010 **Start Date:** **Est. Completion Date:** 2023/2024

<- - - - - - - Projected - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
245 - Gas Tax	$ 244,951	$ 71,125	$ -	$ -	$ -	$ -	$ -	$ 316,076
363 - TIMP Traffic	$ 6,117	$ 374,097	$ -	$ -	$ -	$ -	$ -	$ 380,214

Capital Improvement Plan

Streets and Highways
Widening - Corral Hollow, Linne to Old Schulte
Active Project



Project Number: 73144
Project Budget: $22,311,938
Project Manager: Kul Sharma
Department: Utilities
Project Priority: High
Project Type: Capital Improvement
Customers Served: Residents Business
Council Strategic Priority: Quality of Life - Advance green and roadway infrastructure projects that improve connectivity, including bike lanes

Description: Widen existing road from a 2 lane rural road to a 4 lane major arterial between Old Schulte Road and Linne Road. Construction - FY19-22.

Justification: To accommodate traffic generation/circulation within new/proposed development in the south side of the City.

Comments: $280K received on 1/05/04 from Meritage Homes, for Eastgate Unit 1, CR# 1302181, for improvements within the County on Corral Hollow Rd. Measure K funding for $13,000,000 available as advance/loan against future revenue.

Resolutions: 2017-057 2017-078 2018-029 2018-163 2019-136 2019-152 2019-167 2019-225 2019-231 2020-056 2020-084 2020-131

Year Identified: 2010 **Start Date:** **Est. Completion Date:** 2023/2024

<- - - - - - - Projected - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
242 - Transp Sales Tax	$ 3,012,605	$ 4,161	$ 13,000,000	$ -	$ -	$ -	$ -	$ 16,016,766
245 - Gas Tax	$ 82,735	$ -	$ -	$ -	$ -	$ -	$ -	$ 82,735
363 - TIMP Traffic	$ 1,577,946	$ 1,276,875	$ -	$ -	$ -	$ -	$ -	$ 2,854,821
808 - Reg Transport Impact	$ 157,616	$ -	$ -	$ -	$ -	$ -	$ -	$ 157,616
Un-Funded	$ -	$ -	$ 3,200,000	$ -	$ -	$ -	$ -	$ 3,200,000

Capital Improvement Plan

Streets and Highways
I-205/Mountain House Overcrossing
Active Project



Project Number: 73146
Project Budget: $5,610,531
Project Manager: Anju Pillai
Department: Development Services
Project Priority: Low
Project Type: Capital Improvement
Customers Served: Residents Business
Council Strategic Priority: Quality of Life - Advance green and roadway infrastructure projects that improve connectivity, including bike lanes

Description: Includes widening of the Mountain House Parkway overpass, construction of a westbound loop on-ramp and construction of a new intersection, relocation and widening of the westbound off-ramp, restriping of the eastbound off-ramp, widening of the eastbound on-ramp, restriping the northbound approach at the eastbound ramps to include two free right turn lanes, and signalizing the intersections.

Justification: Required as part of the Transportation Master Plan to serve new development in the City.

Comments:

Resolutions: 2017-186 2018-033 2018-163 2018-216

Year Identified: **Start Date:** 2015/2016 **Est. Completion Date:**

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
363 - TIMP Traffic	$ 1,000,000	$ 1,215,480	$ -	$ -	$ -	$ -	$ -	$ 2,215,480
808 - Reg Transport Impact	$ 1,567,232	$ 1,827,819	$ -	$ -	$ -	$ -	$ -	$ 3,395,051

Capital Improvement Plan

Streets and Highways
I-580/Mountain House Overcrossing
Active Project

Project Number: 73147
Project Budget: $49,183,000
Project Manager: Anju Pillai
Department: Development Services
Project Priority: Low
Project Type: Capital Improvement
Customers Served: Residents Business
Council Strategic Priority: Quality of Life - Advance green and roadway infrastructure projects that improve connectivity, including bike lanes



Description: Includes widening of the Mountain House Parkway overpass, construction of a westbound loop on-ramp and construction of a new intersection, relocation and widening of the westbound off-ramp, restriping of the eastbound off-ramp, widening of the eastbound on-ramp, restriping the northbound approach at the eastbound ramps to include two free right turn lanes, and signalizing the intersections.

Justification: Required as part of the Transportation Master Plan to serve new development in the City.

Comments: This project was awarded 2 TCEP Grants ($18,531,000 & $6,353,000). The city is actively pursuing an INFRA Grant for this project for additional funding.

Resolutions: 2017-186 2018-033 2018-163 2018-216

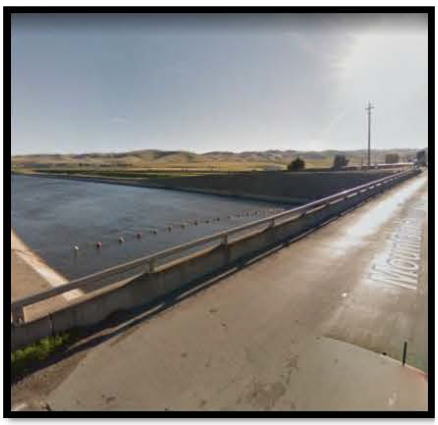
Year Identified: **Start Date:** 2015/2016 **Est. Completion Date:**

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Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
242 - Transp Sales Tax	$ -	$ -	$ 2,541,000	$ -	$ -	$ -	$ -	$ 2,541,000
261 - ISTEA	$ -	$ 24,884,000	$ -	$ -	$ -	$ -	$ -	$ 24,884,000
363 - TIMP Traffic	$ 452,254	$ 547,746	$ -	$ -	$ -	$ -	$ -	$ 1,000,000
808 - Reg Transport Impact	$ 245	$ 7,951,755	$ -	$ -	$ -	$ -	$ -	$ 7,952,000
Un-Funded	$ -	$ -	$ 12,806,000	$ -	$ -	$ -	$ -	$ 12,806,000

Capital Improvement Plan

Streets and Highways
Bridge Widening Over Delta Mendota Canal on Mountain House Parkway
Active Project



Project Number: 73148
Project Budget: $101,205
Project Manager: Anju Pillai
Department: Development Services
Project Priority: Low
Project Type: Capital Improvement
Customers Served: Residents Business
Council Strategic Priority: Quality of Life - Advance green and roadway infrastructure projects that improve connectivity, including bike lanes

Description: Widen bridge on Mountain House Parkway over the Delta Mendota Canal. The bridge is approximately 335 LF and is currently 36 feet wide. Ultimate bridge is to be 78 feet in width. the intersections.
eastbound off-ramp, widening of the eastbound on-ramp, restriping the northbound approach at the eastbound ramps to include two free right turn lanes, and signalizing the intersections.

Justification: Required as part of the Transportation Master Plan to serve new development in the City.

Comments:

Resolutions: 2018-163

Year Identified: **Start Date:** 2015/2016 **Est. Completion Date:**

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
363 - TIMP Traffic	$ 16,707	$ 84,498	$ -	$ -	$ -	$ -	$ -	$ 101,205

Capital Improvement Plan

Streets and Highways
Bridge Widening Over Delta Mendota Canal on Mountain House Parkway
Active Project



Project Number: 73149
Project Budget: $101,716
Project Manager: Anju Pillai
Department: Development Services
Project Priority: Low
Project Type: Capital Improvement
Customers Served: Residents
Council Strategic Priority: Quality of Life - Advance green and roadway infrastructure projects that improve connectivity, including bike lanes

Description: Widen bridge on Mountain House Parkway over the California Aqueduct. The bridge is approximately 350 LF and is currently 72 feet wide. Ultimate bridge is to be 100 feet in width.

Justification: Required as part of the Transportation Master Plan to serve new development in the City.

Comments:

Resolutions: 2018-163

Year Identified: **Start Date:** 2015/2016 **Est. Completion Date:**

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
363 - TIMP Traffic	$ 10,858	$ 90,858	$ -	$ -	$ -	$ -	$ -	$ 101,716

Capital Improvement Plan

Streets and Highways
Bridge Widening Over Delta Mendota Canal on Old Schulte Road
Active Project



Project Number: 73150
Project Budget: $101,716
Project Manager: Anju Pillai
Department: Development Services
Project Priority: Low
Project Type: Capital Improvement
Customers Served: Residents
Council Strategic Priority: Quality of Life - Advance green and roadway infrastructure projects that improve connectivity, including bike lanes

Description: Widen bridge on Old Schulte Road over the Delta Mendota Canal. The existing bridge is approximately 325 feet long and 49 feet wide. Ultimate bridge will be 85 feet wide.

Justification: Required as part of the Transportation Master Plan to serve new development in the City.

Comments:

Resolutions: 2018-163

Year Identified: **Start Date:** 2015/2016 **Est. Completion Date:**

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
363 - TIMP Traffic	$ 25,786	$ 75,930	$ -	$ -	$ -	$ -	$ -	$ 101,716

Capital Improvement Plan

Streets and Highways
Reconstruction - Corral Hollow Road
Active Project



Project Number: 73161
Project Budget: $2,210,231
Project Manager: Kul Sharma
Department: Utilities
Project Priority: Low
Project Type: Capital Improvement
Customers Served: Residents
Council Strategic Priority: Quality of Life - Advance green and roadway infrastructure projects that improve connectivity, including bike lanes

Description: Widen 2L to 4L arterial - Corral Hollow Road from Linne Road to I-580

Justification: Required per TIMP. The project will include design of bridges and Environmental Clearance. Current project is to be designed and made shelf ready for future funding opportunities and when TIMP funds are avaialble.

Comments: This project is currently funded only for design.

Resolutions: 2017-100 2017-265

Year Identified: **Start Date:** 2015/2016 **Est. Completion Date:**

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Fund Name	**Expended**	**Carryover**	**FY2021/22**	**FY2022/23**	**FY2023/24**	**FY2024/25**	**FY2025/26**	**Total**
301 - General Projects	$ 992,565	$ 717,666	$ -	$ -	$ -	$ -	$ -	$ 1,710,231
363 - TIMP Traffic	$ 10,092	$ 489,908	$ -	$ -	$ -	$ -	$ -	$ 500,000

Capital Improvement Plan

Streets and Highways
Reconstruction - Corral Hollow Road
Active Project

Project Number: 73168
Project Budget: $400,000
Project Manager: Anju Pillai
Department: Development Services
Project Priority: Low
Project Type: Capital Maintenance
Customers Served: Residents
Council Strategic Priority: Quality of Life - Advance green and roadway infrastructure projects that improve connectivity, including bike lanes

Description: Street pavement rehabilitation project

Justification: To comply with pavement management plan.

Comments:

Resolutions:

Year Identified: **Start Date:** 2019/2020 **Est. Completion Date:** 2021/2022

<- - - - - - - Projected - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
245 - Gas Tax	$ 33,963	$ 366,037	$ -	$ -	$ -	$ -	$ -	$ 400,000

Capital Improvement Plan

Streets and Highways
City Sidewalk - 6th St & West St
Active Project

Project Number: 73171
Project Budget: $250,000
Project Manager: Anju Pillai
Department: Development Services
Project Priority: Low
Project Type: Capital Improvement
Customers Served: Residents
Council Strategic Priority: Quality of Life - Advance green and roadway infrastructure projects that improve connectivity, including bike lanes

Description: 6th & West need modified curb ramps. New sidewalk along West Street (north of Mt. Diablo Avenue). ADA Curb Ramp and sidewalk upgrades.

Justification: ADA compliance.

Comments:

Resolutions:

Year Identified: **Start Date:** 2019/2020 **Est. Completion Date:** 2021/2022

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
245 - Gas Tax	$ 16,357	$ 233,643	$ -	$ -	$ -	$ -	$ -	$ 250,000

Capital Improvement Plan

Streets and Highways
Roadway Master Plan
Active Project

Project Number: 73173
Project Budget: $700,000
Project Manager: Anju Pillai
Department: Development Services
Project Priority: Low
Project Type: Capital Improvement
Customers Served: Residents
Council Strategic Priority: Quality of Life - Advance green and roadway infrastructure projects that improve connectivity, including bike lanes

Description: The City of Tracy Citywide Roadway and Transportation Master Plan was updated in November 2012. Since 2012, the assumed grown pattern has varied from the actual pattern, new infrastructure has been installed consistent with the master plans, and construction costs have increased.

Justification: The current Citywide Road and Transportation Plan should include the Bikeway Master Plan in the document. Changes in state law, including Senate Bill 743, now require agencies to use a new metric to analyze traffic impacts to keep in conformance with environmental requirements. This new metric is VMT (Vehicle Miles Traveled). This project to update the Transportation Master Plan will include analysis and recommendations for the City to keep current with the new VMT metric.

Comments:

Resolutions: 2018-187

Year Identified: **Start Date:** 2018/2019 **Est. Completion Date:** 2021/2022

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Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
363 - TIMP Traffic	$ 328,962	$ 121,038	$ -	$ -	$ -	$ -	$ -	$ 450,000
391 - Program Management	$ 145,432	$ 104,568	$ -	$ -	$ -	$ -	$ -	$ 250,000

Capital Improvement Plan

Streets and Highways
Tracy Boulevard Sidewalk
Active Project

Project Number: 73175
Project Budget: $724,581
Project Manager: Anju Pillai
Department: Development Services
Project Priority: Low
Project Type: Capital Improvement
Customers Served: Residents
Council Strategic Priority: Quality of Life - Advance green and roadway infrastructure projects that improve connectivity, including bike lanes

Description: Tracy Boulevard is an existing four lane arterial with frontage improvements and a landscaped median. A segment of the road north and south of Gandy Dancer Drive does not have sidewalk on the site. The proposed improvements include construction of Portland Cement Concrete sidewalk on the east side of the street to conform with existing sidewalks.

Justification: This Project will close the missing sidewalk gap to the ACE Train Station parking lot immediately south of the project area.

Comments: The Project was eligible to receive Measure K funds and staff applied for the grant and SJCOG, acting as the Local Transportation Authority, authorized issuance of Measure K funds from Smart Growth Incentive Program to the City of Tracy in the amount of $658,581 toward the estimated costs of construction and construction management.

Resolutions: 2021-011

Year Identified: **Start Date:** 2018/2019 **Est. Completion Date:** 2021/2022

			<- - - - - - - Projected - - - - ->					
Fund Name	**Expended**	**Carryover**	**FY2021/22**	**FY2022/23**	**FY2023/24**	**FY2024/25**	**FY2025/26**	**Total**
242 - Transp Sales Tax	$ 29,035	$ 629,546	$ -	$ -	$ -	$ -	$ -	$ 658,581
301 - General Projects	$ -	$ 66,000	$ -	$ -	$ -	$ -	$ -	$ 66,000

Capital Improvement Plan

Streets and Highways
Street Patch & Overlay Program FY20
Active Project

Project Number: 73176
Project Budget: $2,175,000
Project Manager: Anju Pillai
Department: Development Services
Project Priority: Low
Project Type: Capital Maintenance
Customers Served: Residents
Council Strategic Priority: Quality of Life - Advance green and roadway infrastructure projects that improve connectivity, including bike lanes

Description: Street patch and overlay annual program and location to be detrmined by the City's Pavement Management Program. Locations: Naglee Road (from Grant Line Road to Pavilion Parkway); Naglee Road (from Larch Road to 331 feet south of Larch Road); Hollywood Avenue (from Holly Drive to Mae Avenue).

Justification: Annual Maintenance program to keep streets in driving condition.

Comments:

Resolutions:

Year Identified: **Start Date:** 2019/2020 **Est. Completion Date:** 2021/2022

			<- - - - - - - Projected - - - - ->					
Fund Name	**Expended**	**Carryover**	**FY2021/22**	**FY2022/23**	**FY2023/24**	**FY2024/25**	**FY2025/26**	**Total**
242 - Transp Sales Tax	$ 3,500	$ 536,500	$ -	$ -	$ -	$ -	$ -	$ 540,000
245 - Gas Tax	$ -	$ 135,000	$ -	$ -	$ -	$ -	$ -	$ 135,000
248 - SB1 RMRA	$ 94,211	$ 1,405,789	$ -	$ -	$ -	$ -	$ -	$ 1,500,000

Capital Improvement Plan

Streets and Highways
ADA Accessibility Improvements
Active Project

Project Number: 73177
Project Budget: $330,000
Project Manager: Anju Pillai
Department: Development Services
Project Priority: Low
Project Type: Capital Improvement
Customers Served: Residents
Council Strategic Priority: Quality of Life - Advance green and roadway infrastructure projects that improve connectivity, including bike lanes

Description: New ADA parking stalls along 10th Street; install crosswalk and upgrade curb ramps at intersection of 9th and East Street; and sidewalk, curb, and gutter repair along 6th Street, 7th Street, and E Street.

Justification: Community Development Block Grant (CDBG) ADA Project for low- to moderate-income areas.

Comments:

Resolutions:

Year Identified: **Start Date:** 2019/2020 **Est. Completion Date:** 2021/2022

<- - - - - - - Projected - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
245 - Gas Tax	$ 20,239	$ 94,761	$ 65,000	$ -	$ -	$ -	$ -	$ 180,000
268 - Comm Dev Block Grant	$ -	$ 150,000	$ -	$ -	$ -	$ -	$ -	$ 150,000

Capital Improvement Plan

Streets and Highways
Pavement Rehabilitation Project FY21
Active Project

Project Number: 73178
Project Budget: $3,011,862
Project Manager: Anju Pillai
Department: Development Services
Project Priority: Low
Project Type: Capital Maintenance
Customers Served: Residents
Council Strategic Priority: Quality of Life - Advance green and roadway infrastructure projects that improve connectivity, including bike lanes

Description: Street patch and overlay annual program. Locations: Lowell Avenue (between Orchard Parkway and Tracy Boulevard) and Lincoln Boulevard (between Lowell Avenue and 11th Street).

Justification: Annual Maintenance program to keep streets in driving condition.

Comments:

Resolutions:

Year Identified: **Start Date:** 2019/2020 **Est. Completion Date:** 2021/2022

<- - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
242 - Transp Sales Tax	$ -	$ 140,000	$ -	$ -	$ -	$ -	$ -	$ 140,000
245 - Gas Tax	$ -	$ 135,000	$ -	$ -	$ -	$ -	$ -	$ 135,000
248 - SB1 RMRA	$ 16,703	$ 1,435,996	$ -	$ -	$ -	$ -	$ -	$ 1,452,699
261 - ISTEA	$ -	$ 1,284,163	$ -	$ -	$ -	$ -	$ -	$ 1,284,163

Capital Improvement Plan

Streets and Highways
Pavement Management System Update
Active Project

Project Number: 73179
Project Budget: $50,000
Project Manager: Mark Bretz
Department: Development Services
Project Priority: Low
Project Type: Capital Maintenance
Customers Served: Residents Business
Council Strategic Priority: Quality of Life - Advance green and roadway infrastructure projects that improve connectivity, including bike lanes

Description: Street condition surveys; update StreetSaver; renew StreetSaver subscription ($2,500 annually); hard copy and digital Pavement Management System Update Report; $2,500 for StreetSaver subscription renewal; $47,500 for Consultant to perform street condition surveys, update StreetSaver, and provide reports.

Justification: Pavement Management System last updated in May 2017; Pavement Management System should be updated every 2-4 years.

Comments: RFQ: August - October
Consultant performs surveys/provides report: November - April

Resolutions:

Year Identified: **Start Date:** 2020/2021 **Est. Completion Date:** 2021/2022

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
245 - Gas Tax	$ 2,628	$ 47,372	$ -	$ -	$ -	$ -	$ -	$ 50,000

Capital Improvement Plan

Streets and Highways
Sidewalk, Curb, and Gutter Repair
Active Project

Project Number: 73180
Project Budget: $250,000
Project Manager: Mark Bretz
Department: Development Services
Project Priority: Low
Project Type: Capital Improvement
Customers Served: Residents
Council Strategic Priority: Quality of Life - Advance green and roadway infrastructure projects that improve connectivity, including bike lanes

Description: Sidewalk, curb, and gutter repair; curb ramp upgrades; citywide

Justification: ADA compliance

Comments:

Resolutions:

Year Identified: **Start Date:** 2019/2020 **Est. Completion Date:** 2021/2022

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
245 - Gas Tax	$ 4,249	$ 245,751	$ -	$ -	$ -	$ -	$ -	$ 250,000

Capital Improvement Plan

Streets and Highways
Asphalt Concrete Pedestrian Path Installation on Lammers Road
Active Project

Project Number: 73181
Project Budget: $80,581
Project Manager: Anju Pillai
Department: Development Services
Project Priority: Low
Project Type: Capital Improvement
Customers Served: Residents
Council Strategic Priority: Quality of Life - Advance green and roadway infrastructure projects that improve connectivity, including bike lanes

Description: Installation of an Asphalt Concrete Pedestrian Path on the east side of Lammers Road, between Red bridge Road and Kimball High School, to assist with the safe movement of pedestrians and bicyclists.

Justification:

Comments: SJCOG's Measure K Non-Competitive Bicycle, Pedestrian, and Safe Routes to School Program

Resolutions: 2020-152

Year Identified: **Start Date:** 2020/2021 **Est. Completion Date:** 2021/2022

<- - - - - - Projected - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
242 - Transp Sales Tax	$ -	$ 80,581	$ -	$ -	$ -	$ -	$ -	$ 80,581

Capital Improvement Plan

Streets and Highways
Street Patch FY21
Active Project

Project Number: 73182
Project Budget: $700,000
Project Manager: Anju Pillai
Department: Development Services
Project Priority: Low
Project Type: Capital Maintenance
Customers Served: Residents
Council Strategic Priority: Quality of Life - Advance green and roadway infrastructure projects that improve connectivity, including bike lanes

Description: Slurry seal subdivisions of Sienna Park 2, Hearthstone 1, Regency Square, Muirfield 1, and Warmington Homes at Glencreek Units 1 and 2.

Justification:

Comments:

Resolutions:

Year Identified: **Start Date:** 2020/2021 **Est. Completion Date:** 2021/2022

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
242 - Transp Sales Tax	$ 13,364	$ 386,636	$ -	$ -	$ -	$ -	$ -	$ 400,000
248 - SB1 RMRA	$ -	$ -	$ 300,000	$ -	$ -	$ -	$ -	$ 300,000

Capital Improvement Plan

Streets and Highways
Pavement Rehabilitation Project FY 21-22
Proposed Project

Project Number: 73183
Project Budget: $2,530,445
Project Manager: Anju Pillai
Department: Development Services
Project Priority: High
Project Type: Capital Maintenance
Customers Served: Residents
Council Strategic Priority: Quality of Life - Advance green and roadway infrastructure projects that improve connectivity, including bike lanes

Description: Pavement Rehabilitation of Various Street Segments based on their Pavement Condition Index (PCI)

Justification: Pavement rehabilitation by overlays or reconstruction is essential to reach the City's goal of achieving higher overall PCI for the roadway network; available funding is dependent on previous year's pavement rehabilitation projects carryover funds, and the SB1 funds received during the current fiscal year.

Comments:

Resolutions:

Year Identified: **Start Date:** 2020/2021 **Est. Completion Date:** 2021/2022

<- - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
242 - Transp Sales Tax	$ -	$ -	$ 540,000	$ -	$ -	$ -	$ -	$ 540,000
245 - Gas Tax	$ -	$ -	$ 135,000	$ -	$ -	$ -	$ -	$ 135,000
248 - SB1 RMRA	$ -	$ -	$ 1,213,747	$ -	$ -	$ -	$ -	$ 1,213,747
261 - ISTEA	$ -	$ -	$ 641,698	$ -	$ -	$ -	$ -	$ 641,698

Capital Improvement Plan

Streets and Highways
Slurry Seal FY21-22
Active Project

Project Number: 73184
Project Budget: $600,000
Project Manager: Anju Pillai
Department: Development Services
Project Priority: High
Project Type: Capital Maintenance
Customers Served: Residents
Council Strategic Priority: Quality of Life - Advance green and roadway infrastructure projects that improve connectivity, including bike lanes

Description: Performing Slurry Sealing of various street segments as preventative maintenance.

Justification: Preventive maintenance is required to prevent the streets from further deterioration and dropping its pavement condition index (PCI). Project is essential to reach the City's goal of achieving higher overall PCI for the roadway network.

Comments: Design/Environmental: July - February
Bidding: March - May
Construction: June - August

Resolutions:

Year Identified: **Start Date:** 2020/2021 **Est. Completion Date:** 2021/2022

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
248 - SB1 RMRA	$ -	$ -	$ 600,000	$ -	$ -	$ -	$ -	$ 600,000

Capital Improvement Plan

Streets and Highways
Annual Pavement Rehabilitation Project
Proposed Project

Project Number: FF1-73XXX
Project Budget: $1,975,000 *Annually
Project Manager: Anju Pillai
Department: Development Services
Project Priority: Low
Project Type: Capital Maintenance
Customers Served: Residents
Council Strategic Priority: Quality of Life - Advance green and roadway infrastructure projects that improve connectivity, including bike lanes

Description:

Justification:

Comments:

Resolutions:

Year Identified: **Start Date:** **Est. Completion Date:** Ongoing

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
242 - Transp Sales Tax	$ -	$ -	$ -	$ 540,000	$ 540,000	$ 540,000	$ 540,000	$ 2,160,000
245 - Gas Tax	$ -	$ -	$ -	$ 135,000	$ 135,000	$ 135,000	$ 135,000	$ 540,000
248 - SB1 RMRA	$ -	$ -	$ -	$ 1,300,000	$ 1,300,000	$ 1,300,000	$ 1,300,000	$ 5,200,000

Capital Improvement Plan

Streets and Highways
Annual Slurry Seal Project
Proposed Project

Project Number: FF2-73XXX
Project Budget: $600,000 *Annually
Project Manager: Anju Pillai
Department: Development Services
Project Priority: Low
Project Type: Capital Maintenance
Customers Served: Residents
Council Strategic Priority: Quality of Life - Advance green and roadway infrastructure projects that improve connectivity, including bike lanes

Description:

Justification:

Comments:

Resolutions:

Year Identified: **Start Date:** 2022/2023 **Est. Completion Date:** Ongoing

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
248 - SB1 RMRA	$ -	$ -	$ -	$ 600,000	$ 600,000	$ 600,000	$ 600,000	$ 2,400,000

Capital Improvement Plan

Streets and Highways
Sidewalk, Curb, and Gutter Repair FY 22-26
Proposed Project

Project Number: FF3-73XXX
Project Budget: $250,000 *Annually
Project Manager: Mark Bretz
Department: Development Services
Project Priority: Low
Project Type: Capital Maintenance
Customers Served: Residents
Council Strategic Priority: Quality of Life - Advance green and roadway infrastructure projects that improve connectivity, including bike lanes

Description:

Justification:

Comments:

Resolutions:

Year Identified: **Start Date:** 2022/2023 **Est. Completion Date:** Ongoing

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
245 - Gas Tax	$ -	$ -	$ -	$ 250,000	$ 250,000	$ 250,000	$ 250,000	$ 1,000,000

Capital Improvement Plan

Streets and Highways
Reconstruction Bessie Avenue Between Lowell Avenue and Grant Line Road
Proposed Project

Project Number: PP1-73XXX
Project Budget: $1,800,000
Project Manager: Anju Pillai
Department: Development Services
Project Priority: Low
Project Type: Capital Maintenance
Customers Served: Residents
Council Strategic Priority: Quality of Life - Advance green and roadway infrastructure projects that improve connectivity, including bike lanes

Description: The scope of work of this project will include replacement of curb and gutter and removal and replacement of existing asphalt. This project will replace existing 12" sewer pipe between Emerson Avenue and Grant Line Road (CIP 74103).

Justification: To comply with pavement management plan.

Comments: Reconstruction of thase one between 11th Street and Lowell Ave. has been completed and this the second phase.

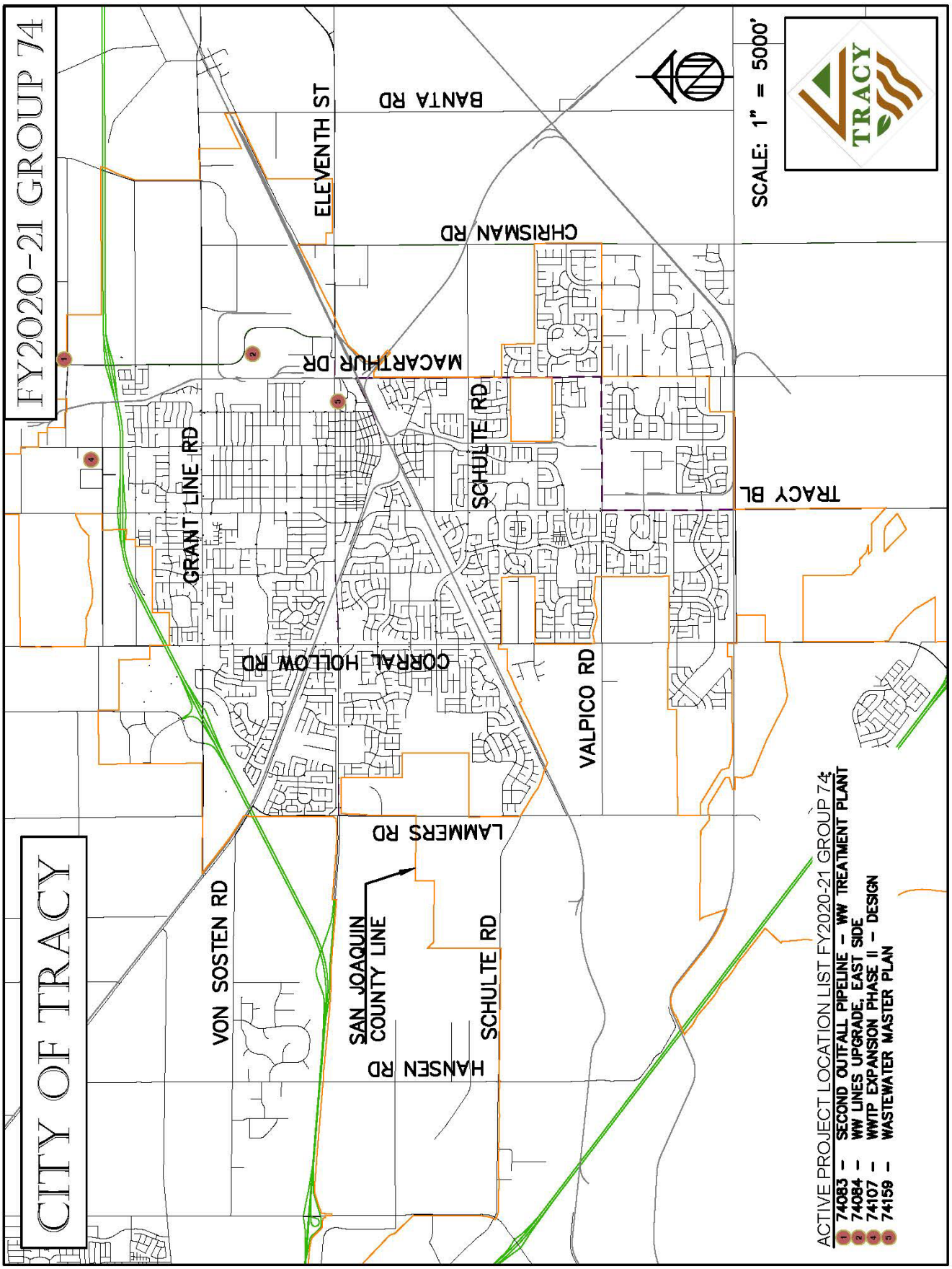
Resolutions:

Year Identified: **Start Date:** **Est. Completion Date:**

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
Un-Funded	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ 1,800,000

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FY2020-21 GROUP 74
CITY OF TRACY
SCALE: 1" = 5000'
BANTA RD
ELEVENTH ST
CHRISMAN RD
MACARTHUR DR
SCHULTE RD
TRACY BL
GRANT LINE RD
CORRAL HOLLOW RD
VALPICO RD
LAMMERS RD
VON SOSTEN RD
SAN JOAQUIN COUNTY LINE
HANSEN RD
SCHULTE RD
ACTIVE PROJECT LOCATION LIST FY2020-21 GROUP 74:
1 74083 – SECOND OUTFALL PIPELINE – WW TREATMENT PLANT
2 74084 – WW LINES UPGRADE, EAST SIDE
4 74107 – WWTP EXPANSION PHASE II – DESIGN
5 74159 – WASTEWATER MASTER PLAN

Wastewater Improvements (CIP Group 74)

Maintenance of and improvements to the City's wastewater infrastructure and treatment facility are included in this category. Typical projects in this category include upgrades, extensions, and replacements to wastewater treatment lines and equipment, and expansion of the wastewater treatment plant.

Active Projects Carried Forward (4)											
Project Number	Project Name	Fund	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Un-Funded	Total
74083	Second Outfall Pipeline - WW Treatment Plant	357	4,781,816	218,184	-	-	-	-	-	-	5,000,000
74083	Second Outfall Pipeline - WW Treatment Plant	523	17,119,765	17,780,235	-	-	-	-	-	-	34,900,000
74084	WW Lines Upgrade, East Side	354	34,987	720,923	-	-	-	-	-	4,284,090	5,040,000
74107	WWTP Expan Phase II	325	-	-	5,250,000	-	-	-	-	-	5,250,000
74107	WWTP Expan Phase II	337	-	-	2,900,000	-	-	-	-	-	2,900,000
74107	WWTP Expan Phase II	353	-	-	3,050,000	-	-	-	-	-	3,050,000
74107	WWTP Expan Phase II	354	-	-	200,000	-	-	-	-	-	200,000
74107	WWTP Expan Phase II	357	-	-	4,700,000	-	-	-	-	-	4,700,000
74107	WWTP Expan Phase II	364	-	-	8,900,000	-	-	-	-	-	8,900,000
74107	WWTP Expan Phase II	523	3,204,823	2,795,177	-	-	-	-	-	-	6,000,000
74159	Wastewater Master Plan	364	468,842	312,358	-	-	-	-	-	-	781,200

New Proposed Un-Funded Projects (20)									
Project Number	Project Name	Fund	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Un-Funded	Total
PP1-74XXX	Clean up of Pond 1 and Berm	523	-	-	-	-	-	1,750,000	1,750,000
PP2-74XXX	Primary Sludge Pumps 1 & 3	523	-	-	-	-	-	60,000	60,000
PP3-74XXX	Selector' mixers and MLR pumps Replacement	523	-	-	-	-	-	270,000	270,000
PP4-74XXX	RAS Pumps Vertical Centrifugal Pumps	523	-	-	-	-	-	390,000	390,000
PP5-74XXX	Digester Sludge Pumps	523	-	-	-	-	-	90,000	90,000
PP6-74XXX	Digester Sludge Mixing Pumps	523	-	-	-	-	-	240,000	240,000
PP7-74XXX	Plant Water Pumps	523	-	-	-	-	-	50,000	50,000
PP8-74XXX	WWTP and lift station PLC Replacement	523	-	-	-	-	-	650,000	650,000
PP9-74XXX	Repair of Overhead Cranes	523	-	-	-	-	-	150,000	150,000
PP10-74XXX	Odor demisters by the South and the East side of the Drying Beds	523	-	-	-	-	-	200,000	200,000
PP11-74XXX	Chlorine Contact Basins 5 & 6 Concrete Repairs	523	-	-	-	-	-	550,000	550,000
PP12-74XXX	Digester Flare Gas upgrade	523	-	-	-	-	-	1,000,000	1,000,000
PP13-74XXX	Sludge Drying Bed Valve Replacement	523	-	-	-	-	-	300,000	300,000
PP14-74XXX	Convert Old Chlorine Building to Maintenance Shop	523	-	-	-	-	-	500,000	500,000
PP15-74XXX	Relocate Old Chlorine Building Generator to Headworks	523	-	-	-	-	-	450,000	450,000
PP16-74XXX	Replace Turblex Blowers	523	-	-	-	-	-	1,800,000	1,800,000
PP17-74XXX	Replace Primary Effluent Pumps	523	-	-	-	-	-	1,100,000	1,100,000
PP18-74XXX	Relocate Electrical System from Basement to Electrical Room	523	-	-	-	-	-	1,000,000	1,000,000
PP19-74XXX	HVAC & Roof Replacement - WWTP - LAB/Admin Building	523	-	-	-	-	-	450,000	450,000
PP20-74XXX	Sewer Main and Lateral Annual Replacements	523	-	-	-	-	-	6,000,000	6,000,000

In Active Projects Carried Forward (8)										
Project Number	Project Name	Fund	Expended	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Un-Funded	Total
74103	WW Lines Replacement : Bessie Road	523	-	-	-	-	-	-	1,107,848	1,107,848
74106	Larch Rd Pump Station Repairs	523	171,306	-	-	-	-	-	2,290,000	2,461,306
74127	Aeration Diffuser Replacement	523	30,248	-	-	-	-	-	400,000	430,248
74128	Security System Cameras WWTP	523	7,793	-	-	-	-	-	400,000	407,793
74137	Cleanup of Pond 1 & Berm	523	-	-	-	-	-	-	250,000	250,000
74138	FOG Removal & Septage Rec Systm	523	130,000	-	-	-	-	-	600,000	730,000
74139	Screen & Grit Removal	523	130,000	-	-	-	-	-	600,000	730,000
74160	Chemical Disinfection System Replacement & Expansion	523	75,887	-	-	-	-	-	1,400,000	1,475,887

Capital Improvement Plan

Wastewater Improvements
New Outfall Pipeline WW Treatment Plant- Larch Road & Holly Drive
Active Project



Project Number: 74083
Project Budget: $39,900,000
Project Manager: Kul Sharma
Department: Utilities
Project Priority: High
Project Type: Capital Asset
Customers Served: City Services Residents
Council Strategic Priority: Quality of Life

Description: Construction of a second wastewater effluent discharge pipeline to the Old River. Approximately 3.5 miles of 42" diameter pipe crossing under the Tom Paine Slough and Paradise Cut. The project includes a second diffuser in the Old River.

Justification: The existing 33" diameter outfall pipeline is at capacity is nearly 40 years old, and is constructed of asbestos cement which is a brittle material subject to cracking. The failure of the one current pipeline would result in a significant problem with wastewater disposal and possible WW environmental violations and large fines.

Comments: The funding for this project was determined to be 56% from existing rate payers and 44% from New Development.

Resolutions: 2009-140 2010-161 2013-001 2016-084 2017-090 2018-111 2019-182 2019-183 2019-184 2019-185 2019-193

Year Identified: **Start Date:** 2013/2014 **Est. Completion Date:** 2022/2023

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
357 - NE Industrial Area #2	$ 4,781,816	$ 218,184	$ -	$ -	$ -	$ -	$ -	$ 5,000,000
523 - Wastewater Capital	$ 17,119,765	$ 17,780,235	$ -	$ -	$ -	$ -	$ -	$ 34,900,000

Capital Improvement Plan

Wastewater Improvements
WW Line Upgrades - East Side Sewer mains on Grant Line Rd
Active Project



Project Number: 74084
Project Budget: $5,040,000
Project Manager: Ilene Macintire
Department: Public Works
Project Priority: Low
Project Type: Capital Asset
Customers Served: City Services Residents
Council Strategic Priority: Quality of Life

Description: Fix existing bottleneck on East Side Sewer mains south of Grant Line Road and MacArthur intersection. Install 4,000 LF of parallel 24 inch line or replace existing line 30 inch line south of Grant Line Rd.

Justification: In order to provide adequate conveyance of sewer for the ISP South area, portions of the sewer main have to be upgraded. The existing bottleneck must be fixed.

Comments: This project will be done in conjunction with the Grant Line Rd reconstruction project, CIP 7352. The project was triggered by the high density ISP South site or Infill projects that need to connect to the East Side Sewer.
See 74PP-113 for further east side work.

Resolutions:

Year Identified: **Start Date:** 2015/2016 **Est. Completion Date:**

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Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
354 - Industrial SP, South	$ 34,987	$ 720,923	$ -	$ -	$ -	$ -	$ -	$ 755,910
Unidentified Funding	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ 4,284,090

Capital Improvement Plan

Wastewater Improvements
Wastewater Treatment Plant Expansion - Phase 2
Active Project



Project Number: 74107
Project Budget: $8,150,000
Project Manager: Kul Sharma
Department: Utilities
Project Priority: High
Project Type: Capital Maintenance
Customers Served: Residents City Services
Council Strategic Priority: Quality of Life

Description: Expansion of treatment capacity to handle the additional inflow resulting from new development in the city. Expansion of pumping, primary treatment, secondary treatment, operations and administration building, paved drying beds, and digester.

Justification: Expansion of WW facilities is needed to meet the demands of future anticipated development. Funding to be provided by new development. The expanded facility needs additional space for the operational and administrative staff.

Comments: The project is funded through the design phase by new development.

Resolutions: 2017-269 2019-031

Year Identified: **Start Date:** **Est. Completion Date:**

<- - - - - - - Projected - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
325 - Utilities - Plan "C"	$ -	$ -	$ 5,250,000	$ -	$ -	$ -	$ -	$ 5,250,000
337 - Ellis Area Wastewater	$ -	$ -	$ 2,900,000	$ -	$ -	$ -	$ -	$ 2,900,000
353 - I205 Area Spec Plan	$ -	$ -	$ 3,050,000	$ -	$ -	$ -	$ -	$ 3,050,000
354 - Industrial SP, South	$ -	$ -	$ 200,000	$ -	$ -	$ -	$ -	$ 200,000
357 - NE Industrial Area #	$ -	$ -	$ 4,700,000	$ -	$ -	$ -	$ -	$ 4,700,000
364 - TIMP Wastewater	$ -	$ -	$ 8,900,000	$ -	$ -	$ -	$ -	$ 8,900,000
523 - Wastewater Capital	$ 3,204,823	$ 2,795,177	$ -	$ -	$ -	$ -	$ -	$ 6,000,000

Capital Improvement Plan

Wastewater Improvements
Wastewater Masterplan
Active Project



Project Number: 74159
Project Budget: $781,200
Project Manager: Paul Verma
Department: Development Services
Project Priority: Low
Project Type: Capital Improvement
Customers Served: Residents City Services
Council Strategic Priority: Quality of Life

Description: The Tracy Wastewater Master Plan is a planning level document that outlines existing wastewater collection system facilities and upgrades needed to accommodate wastewater system demand within the City's Sphere of Influence under a fully developed condition.

Justification: The current Wastewater System Master Plan was prepared by CH2M Hill and adopted by City Council in early 2013 to provide a comprehensive planning document that identifies the required improvements to the existing Wastewater System to ccommodate new growth consistent within 2011 General Plan Update. However, recent development patterns and forecasts have revised the growth estimates creating the need for an update to the Wastewater System Master Plan.

Comments: The master plan update will also reflect changes and refinements in Wastewater system infrastructure planning approaches and new regulatory requirements that have been adopted at the State and local level.

Resolutions: 2018-020

Year Identified: **Start Date:** 2015/2016 **Est. Completion Date:** 2021/2022

<- - - - - - Projected - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
364 - TIMP Wastewater	$ 468,842	$ 312,358	$ -	$ -	$ -	$ -	$ -	$ 781,200

Capital Improvement Plan

Wastewater Improvements
Clean up of Pond 1 and Berm
Proposed Project



Project Number: PP1-74XXX
Project Budget: $1,750,000
Project Manager: Lemar Saffi
Department: Utilities
Project Priority: High
Project Type: Capital Maintenance
Customers Served: Residents City Services
Council Strategic Priority: Quality of Life

Description: The pond Receives wastewater which is rich in solids; the pond has not been cleaned for a long time.

Justification: Built up solids render the pond useless and threaten treatment. Once cleaned, the pond can be operated with continuous removal protocol, minimizing large clean up efforts.

Comments:

Resolutions:

Year Identified: **Start Date:** 2021/2022 **Est. Completion Date:** 2025/2026

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
523 - Wastewater Capital	$ -	$ -	$ 350,000	$ 350,000	$ 350,000	$ 350,000	$ 350,000	$ 1,750,000

Capital Improvement Plan

Wastewater Improvements
Primary Sludge Pumps 1 & 3
Proposed Project



Project Number: PP2-74XXX
Project Budget: $60,000
Project Manager: Lemar Saffi
Department: Utilities
Project Priority: Moderate
Project Type: Capital Maintenance
Customers Served: Residents City Services
Council Strategic Priority: Quality of Life

Description: They remove settled sludge from the primary clarifiers.

Justification: Their replacement is normally required within 5 - 10 years.

Comments:

Resolutions:

Year Identified: **Start Date:** 2022/2023 **Est. Completion Date:** 2025/2026

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
523 - Wastewater Capital	$ -	$ -	$ -	$ 15,000	$ 15,000	$ 15,000	$ 15,000	$ 60,000

Capital Improvement Plan

Wastewater Improvements
Selector' mixers and MLR pumps Replacement
Proposed Project



Project Number: PP3-74XXX
Project Budget: $270,000
Project Manager: Lemar Saffi
Department: Utilities
Project Priority: Moderate
Project Type: Capital Maintenance
Customers Served: Residents City Services
Council Strategic Priority: Quality of Life

Description: The Selector comprises an unaerated section. Mixers are needed in absence of air to keep the solids afloat, and also to be returned from the end to the beginning of the aeration process as a part of the recycle.

Justification: Life Cycle replacement strategy requires replacing these units

Comments:

Resolutions:

Year Identified: **Start Date:** 2021/2022 **Est. Completion Date:** 2025/2026

			<- - - - - - - Projected - - - - ->					
Fund Name	**Expended**	**Carryover**	**FY2021/22**	**FY2022/23**	**FY2023/24**	**FY2024/25**	**FY2025/26**	**Total**
523 - Wastewater Capital	$ -	$ -	$ 70,000	$ 50,000	$ 50,000	$ 50,000	$ 50,000	$ 270,000

Capital Improvement Plan

Wastewater Improvements
RAS Pumps Vertical Centrifugal Pumps
Proposed Project



Project Number: PP4-74XXX
Project Budget: $390,000
Project Manager: Lemar Saffi
Department: Utilities
Project Priority: Moderate
Project Type: Capital Maintenance
Customers Served: Residents City Services
Council Strategic Priority: Quality of Life

Description: Return Activated Sludge (RAS) is an essential part of the Activated Slduge process, which treats the wastewater. The solids settled in the secondary clarifiers are returned to the start of the aertion basins to supply the microbial solids for the biological reaction.

Justification: Life Cycle replacement strategy requires replacing these units.

Comments:

Resolutions:

Year Identified: **Start Date:** 2021/2022 **Est. Completion Date:**

			<- - - - - - - Projected - - - - ->					
Fund Name	**Expended**	**Carryover**	**FY2021/22**	**FY2022/23**	**FY2023/24**	**FY2024/25**	**FY2025/26**	**Total**
523 - Wastewater Capital	$ -	$ -	$ 78,000	$ 78,000	$ 78,000	$ 78,000	$ 78,000	$ 390,000

Capital Improvement Plan

Wastewater Improvements
Digester Sludge Pumps
Proposed Project



Project Number: PP5-74XXX
Project Budget: $90,000
Project Manager: Lemar Saffi
Department: Utilities
Project Priority: Moderate
Project Type: Capital Maintenance
Customers Served: Residents City Services
Council Strategic Priority: Quality of Life

Description: Digestor contents are pumped through heat exchangers to supply heat so the contetns may be kept at an optimum temperature. The digester pumps do the pumping.

Justification: Life Cycle replacement strategy requires replacing these units

Comments:

Resolutions:

Year Identified: **Start Date:** 2021/2022 **Est. Completion Date:**

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
523 - Wastewater Capital	$ -	$ -	$ 18,000	$ 18,000	$ 18,000	$ 18,000	$ 18,000	$ 90,000

Capital Improvement Plan

Wastewater Improvements
Digester Sludge Mixing Pumps
Proposed Project



Project Number: PP6-74XXX
Project Budget: $240,000
Project Manager: Lemar Saffi
Department: Utilities
Project Priority: Moderate
Project Type: Capital Maintenance
Customers Served: Residents City Services
Council Strategic Priority: Quality of Life

Description: Digesters are anaerobic and cannot utilize air for mixing; mechanical mixing is needed to keep the contents from settling.

Justification: Life Cycle replacement strategy requires replacing these units

Comments:

Resolutions:

Year Identified: **Start Date:** 2022/2023 **Est. Completion Date:**

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
523 - Wastewater Capital	$ -	$ -	$ -	$ 60,000	$ 60,000	$ 60,000	$ 60,000	$ 240,000

Capital Improvement Plan

Wastewater Improvements
Plant Water Pumps
Proposed Project



Project Number: PP7-74XXX
Project Budget: $50,000
Project Manager: Lemar Saffi
Department: Utilities
Project Priority: High
Project Type: Capital Maintenance
Customers Served: City Services
Council Strategic Priority: Quality of Life

Description: Plant drain pumps remove seal water and water flowing through the turbidity meters.

Justification: Life Cycle replacement strategy requires replacing these units

Comments:

Resolutions:

Year Identified: **Start Date:** 2021/2022 **Est. Completion Date:** 2025/2026

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
523 - Wastewater Capital	$ -	$ -	$ 10,000	$ 15,000	$ -	$ 10,000	$ 15,000	$ 50,000

Capital Improvement Plan

Wastewater Improvements
WWTP and lift station PLC Replacement
Proposed Project



Project Number: PP8-74XXX
Project Budget: $650,000
Project Manager: Lemar Saffi
Department: Utilities
Project Priority: High
Project Type: Capital Maintenance
Customers Served: Residents City Services
Council Strategic Priority: Quality of Life

Description: Programmable Logic Controllers, PLCs, are critical to the many 'controlled' components of the treatment plant.

Justification: Life Cycle replacement strategy requires replacing these units

Comments:

Resolutions:

Year Identified: **Start Date:** 2022/2023 **Est. Completion Date:** 2025/2026

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
523 - Wastewater Capital	$ -	$ -	$ 130,000	$ 130,000	$ 130,000	$ 130,000	$ 130,000	$ 650,000

Capital Improvement Plan

Wastewater Improvements
Repair of Overhead Cranes
Proposed Project



Project Number: PP9-74XXX
Project Budget: $150,000
Project Manager: Lemar Saffi
Department: Utilities
Project Priority: High
Project Type: Capital Maintenance
Customers Served: Residents City Services
Council Strategic Priority: Quality of Life

Description: Some of the equipment used can weigh substantial amount. Cranes are essential to remove equipment for service, repair or inpsection.

Justification: Life Cycle replacement strategy requires replacing these units

Comments:

Resolutions:

Year Identified: **Start Date:** 2021/2022 **Est. Completion Date:** 2025/2026

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
523 - Wastewater Capital	$ -	$ -	$ 30,000	$ 30,000	$ 30,000	$ 30,000	$ 30,000	$ 150,000

Capital Improvement Plan

Wastewater Improvements
Odor demisters by the South and the East side of the Drying Beds
Proposed Project



Project Number: PP10-74XXX
Project Budget: $200,000
Project Manager: Lemar Saffi
Department: Utilities
Project Priority: Moderate
Project Type: Capital Maintenance
Customers Served: Residents City Services
Council Strategic Priority: Quality of Life

Description: The solids produced by the wastewater treatment process requires them to be dried prior to disposal. The final part of the drying process can produce some odors, though this is minimized by various strategies such cenfugation to remove most of the water. Odors need to kept at low levels to prevent nuisance odors in the community.

Justification: The demisters will be installed and periodic repairs will be looked after from the funds in the normal operations and maintenance budget.

Comments:

Resolutions:

Year Identified: **Start Date:** 2022/2023 **Est. Completion Date:** 2023/2024

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
523 - Wastewater Capital	$ -	$ -	$ -	$ 100,000	$ 100,000	$ -	$ -	$ 200,000

Capital Improvement Plan

Wastewater Improvements
Chlorine Contact Basins 5 & 6 Concrete Repairs
Proposed Project



Project Number: PP11-74XXX
Project Budget: $550,000
Project Manager: Lemar Saffi
Department: Utilities
Project Priority: High
Project Type: Capital Maintenance
Customers Served: Residents City Services
Council Strategic Priority: Quality of Life

Description: The condition of the concrete in the basins in poor.

Justification: Urgent repairs are needed to prevent collapse.

Comments:

Resolutions:

Year Identified: **Start Date:** 2021/2022 **Est. Completion Date:**

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
523 - Wastewater Capital	$ -	$ -	$ 100,000	$ 200,000	$ 200,000	$ 50,000	$ -	$ 550,000

Capital Improvement Plan

Wastewater Improvements
Digester Flare Gas Upgrade
Proposed Project



Project Number: PP12-74XXX
Project Budget: $350,000
Project Manager: Lemar Saffi
Department: Utilities
Project Priority: High
Project Type: Capital Maintenance
Customers Served: Residents City Services
Council Strategic Priority: Quality of Life

Description: The Methane gas (combustible) produced by the digesters is currently being flare; RFPs have been issued to utilize the energy. Even when the gas is being utilized, the flare gas mechanism is needed for safe flaring of the gas; the unflared methane is not allowed to be released, it being a greenhouse gas, is detrimental to the environment.

Justification: The digester flare system needs to be repaired and replaced.

Comments:

Resolutions:

Year Identified: **Start Date:** 2021/2022 **Est. Completion Date:** 2022/2023

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
523 - Wastewater Capital	$ -	$ -	$ 175,000	$ 175,000	$ -	$ -	$ -	$ 350,000

Capital Improvement Plan

Wastewater Improvements
Sludge Drying Bed Valve Replacement
Proposed Project



Project Number: PP13-74XXX
Project Budget: $300,000
Project Manager: Lemar Saffi
Department: Utilities
Project Priority: High
Project Type: Capital Maintenance
Customers Served: City Services
Council Strategic Priority: Quality of Life

Description: The bed valves allow draining liquid out of the sludge back into the plant, leaving the sludge to dry quickly and with minimal odors.

Justification: Life Cycle replacement strategy requires replacing these units. Many of the drain lines are not operating properly.

Comments:

Resolutions:

Year Identified: **Start Date:** 2021/2022 **Est. Completion Date:** 2021/2022

			<- - - - - - - Projected - - - - ->					
Fund Name	**Expended**	**Carryover**	**FY2021/22**	**FY2022/23**	**FY2023/24**	**FY2024/25**	**FY2025/26**	**Total**
523 - Wastewater Capital	$ -	$ -	$ 300,000	$ -	$ -	$ -	$ -	$ 300,000

Capital Improvement Plan

Wastewater Improvements
Convert Old Chlorine Building to Maintenance Shop
Proposed Project



Project Number: PP14-74XXX
Project Budget: $500,000
Project Manager: Lemar Saffi
Department: Utilities
Project Priority: Low
Project Type: Capital Maintenance
Customers Served: Residents City Services
Council Strategic Priority: Quality of Life

Description:

Justification:

Comments:

Resolutions:

Year Identified: **Start Date:** 2022/2023 **Est. Completion Date:** 2023/2024

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
523 - Wastewater Capital	$ -	$ -	$ -	$ 200,000	$ 300,000	$ -	$ -	$ 500,000

Capital Improvement Plan

Wastewater Improvements
Relocate Old Chlorine Building Generator to Headworks
Proposed Project



Project Number: PP15-74XXX
Project Budget: $450,000
Project Manager: Lemar Saffi
Department: Utilities
Project Priority: Low
Project Type: Capital Maintenance
Customers Served: Residents City Services
Council Strategic Priority: Quality of Life

Description:

Justification:

Comments:

Resolutions:

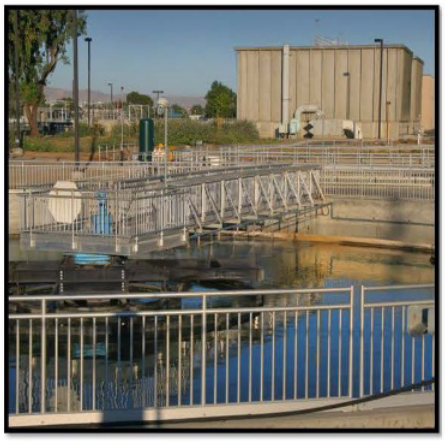
Year Identified: **Start Date:** 2021/2022 **Est. Completion Date:** 2022/2023

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
523 - Wastewater Capital	$ -	$ -	$ 250,000	$ 200,000	$ -	$ -	$ -	$ 450,000

Capital Improvement Plan

Wastewater Improvements
Replace Turblex Blowers
Proposed Project



Project Number: PP16-74XXX
Project Budget: $1,800,000
Project Manager: Lemar Saffi
Department: Utilities
Project Priority: Moderate
Project Type: Capital Maintenance
Customers Served: Residents City Services
Council Strategic Priority: Quality of Life

Description: The air blowers supply essential air to the aeration basins where much of the treatment of the waste is performed.

Justification: Life Cycle replacement strategy requires replacing these units

Comments:

Resolutions:

Year Identified: **Start Date:** 2022/2023 **Est. Completion Date:** 2023/2024

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
523 - Wastewater Capital	$ -	$ -	$ -	$ 850,000	$ 950,000	$ -	$ -	$ 1,800,000

Capital Improvement Plan

Wastewater Improvements
Replace Primary Effluent Pumps
Proposed Project



Project Number: PP17-74XXX
Project Budget: $1,100,000
Project Manager: Lemar Saffi
Department: Utilities
Project Priority: Moderate
Project Type: Capital Maintenance
Customers Served: Residents City Services
Council Strategic Priority: Quality of Life

Description: Essential pumps to transport primary settled wastewater to the next step in treatment, i.e., the aeration basins

Justification: Life Cycle replacement strategy requires replacing these units.

Comments:

Resolutions:

Year Identified: **Start Date:** 2021/2022 **Est. Completion Date:**

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
523 - Wastewater Capital	$ -	$ -	$ 220,000	$ 220,000	$ 220,000	$ 220,000	$ 220,000	$ 1,100,000

Capital Improvement Plan

Wastewater Improvements
Relocate Electrical System from Basement to Electrical Room
Proposed Project



Project Number: PP18-74XXX
Project Budget: $1,000,000
Project Manager: Lemar Saffi
Department: Utilities
Project Priority: Low
Project Type: Capital Maintenance
Customers Served: Residents City Services
Council Strategic Priority: Quality of Life

Description:

Justification:

Comments:

Resolutions:

Year Identified: **Start Date:** 2021/2023 **Est. Completion Date:** 2022/2023

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
523 - Wastewater Capital	$ -	$ -	$ 400,000	$ 600,000	$ -	$ -	$ -	$ 1,000,000

Capital Improvement Plan

Wastewater Improvements
HVAC & Roof Replacement - WWTP - LAB/Admin Building
Proposed Project



Project Number: PP19-74XXX
Project Budget: $450,000
Project Manager: Lemar Saffi
Department: Utilities
Project Priority: High
Project Type: Capital Maintenance
Customers Served: Residents City Services
Council Strategic Priority: Quality of Life

Description:

Justification: Life Cycle replacement strategy requires replacing these units.

Comments:

Resolutions:

Year Identified: **Start Date:** 2021/2022 **Est. Completion Date:** 2021/2022

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
523 - Wastewater Capital	$ -	$ -	$ 450,000	$ -	$ -	$ -	$ -	$ 450,000

Capital Improvement Plan

Wastewater Improvements
Sewer Main and Lateral Annual Replacements
Proposed Project



Project Number: PP20-74XXX
Project Budget: $1,200,000
Project Manager: Ilene Macintire
Department: Public Works
Project Priority: High
Project Type: Capital Maintenance
Customers Served: Residents City Services
Council Strategic Priority: Quality of Life

Description:

Justification:

Comments:

Resolutions:

Year Identified: **Start Date:** 2021/2022 **Est. Completion Date:** Ongoing

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
523 - Wastewater Capital	$ -	$ -	$ 1,200,000	$ 1,200,000	$ 1,200,000	$ 1,200,000	$ 1,200,000	$ 6,000,000

Capital Improvement Plan

Wastewater Improvements
Wastewater Lines Replacement : Bessie Road
In Active Project



Project Number: 74103
Project Budget: $1,107,848
Project Manager: Ilene Macintire
Department: Public Works
Project Priority: Low
Project Type: Capital Maintenance
Customers Served: Residents City Services
Council Strategic Priority: Quality of Life

Description:

Justification:

Comments:

Resolutions:

Year Identified: **Start Date:** 2021/2022 **Est. Completion Date:** 2022/2023

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
523 - Wastewater Capital	$ -	$ -	$ 110,000	$ 997,848	$ -	$ -	$ -	$ 1,107,848

Capital Improvement Plan

Wastewater Improvements
Larch Rd Pump Station Repairs
In Active Project



Project Number: 74106
Project Budget: $2,461,306
Project Manager: Kul Sharma
Department: Utilities
Project Priority: High
Project Type: Capital Improvement
Customers Served: Residents City Services
Council Strategic Priority: Quality of Life

Description: Complete new sanitary sewer pump station to replace the existing pump station.

Justification: The existing pump station was constructed in approximately 1986. Because of increased flow volume and rates, the existing wetwell is hydraulically undersized. The existing site is too small to accommodate a larger wetwell. An alternate site is located across the street.

Comments: This project is needed to accomodate current growth. The property should be acquired, design should be completed before proceeding for construction.

Resolutions:

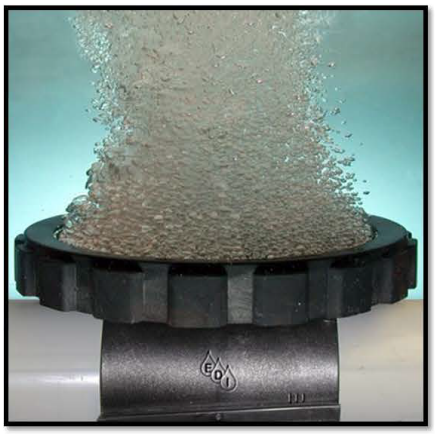
Year Identified: **Start Date:** 2018/2019 **Est. Completion Date:**

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
523 - Wastewater Capital	$ 171,306	$ -	$ -	$ -	$ -	$ -	$ -	$ 171,306
Unidentified Funding	$ -	$ -	$ -	$ 2,290,000	$ -	$ -	$ -	$ 2,290,000

Capital Improvement Plan

Wastewater Improvements
Aeration Diffuser Replacement
In Active Project



Project Number: 74127
Project Budget: $430,248
Project Manager: Lemar Saffi
Department: Utilities
Project Priority: Moderate
Project Type: Capital Maintenance
Customers Served: Residents City Services
Council Strategic Priority: Quality of Life

Description: Existing diffusers have run out of life and need replacement

Justification: Generally the aeration diffusers have 10 years of life. The existing 3 aeration basins are located at the southwestern part of the WWTP prior to the secondary clarifiers. The fourth aeration basin is located post tertiary treatment and prior to outflow. The aeration basins are full of grit and debris which needs to be removed, cleaned, and flushed.

Comments: Equipment will be purchased from the vendor and installed in house. Removal of grit and debris will be done by a contractor.

Resolutions:

Year Identified: **Start Date:** 2021/2022 **Est. Completion Date:** 2023/2024

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
523 - Wastewater Capital	$ 30,248	$ -	$ 150,000	$ 130,000	$ 120,000	$ -	$ -	$ 430,248

Capital Improvement Plan

Wastewater Improvements
Larch Rd Pump Station Repairs
In Active Project



Project Number: 74128
Project Budget: $407,793
Project Manager: Lemar Saffi
Department: Utilities
Project Priority: Low
Project Type: Capital Improvement
Customers Served: City Services
Council Strategic Priority: Quality of Life

Description: Fencing, Cameras, lighting upgrades, parking, paving. Project includes various plant improvements to improve safety and security of the facility. The improvements include installtion new fence at the property boundaries, grading property, security cameras, lighting, and parking within the secured area.

Justification: There has been safety related issues at the WWTP. The intrusions have created a need to install the fence at the Plant Boundaries and grade existing areas to match the with boundary areas. The security cameras and lighting will provide safety and security improvments. In addition, parking within the secured areas are requied to accomdoate the plant staff.

Comments: Will be conducted in phases

Resolutions:

Year Identified: **Start Date:** 2018/2019 **Est. Completion Date:**

			<- - - - - - - Projected - - - - ->					
Fund Name	**Expended**	**Carryover**	**FY2021/22**	**FY2022/23**	**FY2023/24**	**FY2024/25**	**FY2025/26**	**Total**
523 - Wastewater Capital	$ 7,793	$ -	$ -	$ -	$ -	$ -	$ -	$ 7,793
Unidentified Funding	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ 400,000

Capital Improvement Plan

Wastewater Improvements
Cleanup of Pond 1 & Berm Study
In Active Project



Project Number: 74137
Project Budget: $250,000
Project Manager: Lemar Saffi
Department: Utilities
Project Priority: High
Project Type: Capital Maintenance
Customers Served: Residents City Services
Council Strategic Priority: Quality of Life

Description: Study to find a way to remove the sludge.

Justification: Pond 1 at the WWTP facility is full of settleed solids that is reducing the pond holding capacity. The solid deposits at the bottom of the pond need removal, offsite hauling and disposal. Cleaning of the other ponds and strengthening of berms are required to ensure compliance with NPDES permit, integrity of the ponds, and safety of equipment and operators around the ponds.

Comments:

Resolutions:

Year Identified: **Start Date:** 2021/2022 **Est. Completion Date:** 2022/2023

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
523 - Wastewater Capital	$ -	$ -	$ 125,000	$ 125,000	$ -	$ -	$ -	$ 250,000

Capital Improvement Plan

Wastewater Improvements
FOG Removal & Septage Receiving Station
In Active Project



Project Number: 74138
Project Budget: $730,000
Project Manager: Lemar Saffi
Department: Utilities
Project Priority: Low
Project Type: Capital Maintenance
Customers Served: Residents City Services
Council Strategic Priority: Quality of Life

Description: Install new FOG removal integerated with sewer receiving station at headwork. Evaluate and rehabilitate existing system and structures at headworks to modify and provide for new systems.

Justification: Due to significant intrusion of fats, oils and grease through the headworks into the treatment process has created several challenges at treatment plant including shutdown of pump stations and clogging of pipes. In addition, the septage receiving station needs to be eqiuped for monitoring and controlling purposes.

Comments:

Resolutions: 2017-269 2019-031

Year Identified: **Start Date:** 2021/2022 **Est. Completion Date:** 2022/2023

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
523 - Wastewater Capital	$ 130,000	$ -	$ 300,000	$ 300,000	$ -	$ -	$ -	$ 730,000

Capital Improvement Plan

Wastewater Improvements
Screen & Grit Removal system
In Active Project



Project Number: 74139
Project Budget: $730,000
Project Manager: Lemar Saffi
Department: Utilities
Project Priority: High
Project Type: Capital Maintenance
Customers Served: Residents City Services
Council Strategic Priority: Quality of Life

Description: Replace screens with a 1) Low maintenance more efficient screens with effective removal of rags and debris 2) Without delicate side-plates or similar weaknesses and install a grit removal system to prevent any grit from reaching the screens

Justification: These screens have been the most troublesome equipment in the WWTP and have been inefficient with removing large debris and rags from the flow. Not only have these screens required too much time and money, also the large debris collects, clogs and causes more work downstream throughout the plant, impacting the treatment

Comments: Improvement/Replacement of screens is critical for operators of the plant

Resolutions: 2017-269 2019-031

Year Identified: **Start Date:** 2021/2022 **Est. Completion Date:** 2021/2022

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
523 - Wastewater Capital	$ 130,000	$ -	$ 600,000	$ -	$ -	$ -	$ -	$ 730,000

Capital Improvement Plan

Wastewater Improvements
Chemical Disinfection System Replacement & Expansion
In Active Project



Project Number: 74160
Project Budget: $1,475,887
Project Manager: Lemar Saffi
Department: Utilities
Project Priority: High
Project Type: Capital Maintenance
Customers Served: Residents City Services
Council Strategic Priority: Quality of Life

Description: Replace existing Chemcial Disenfection system with the new Chlorine Bleach and Sodium BiSulphate system. The system will accommodate existing and near future chemical disenfiction needs. Sphere of Influence under a fully developed condition.

Justification: The existing chemical system is near its terminal life. The currently used chemical systems are extremely hazardous. Most wastewater system in the state has shifted from gaseous system to liquid disenfiction system. The project will help improve safety for the plant and City of Tracy.

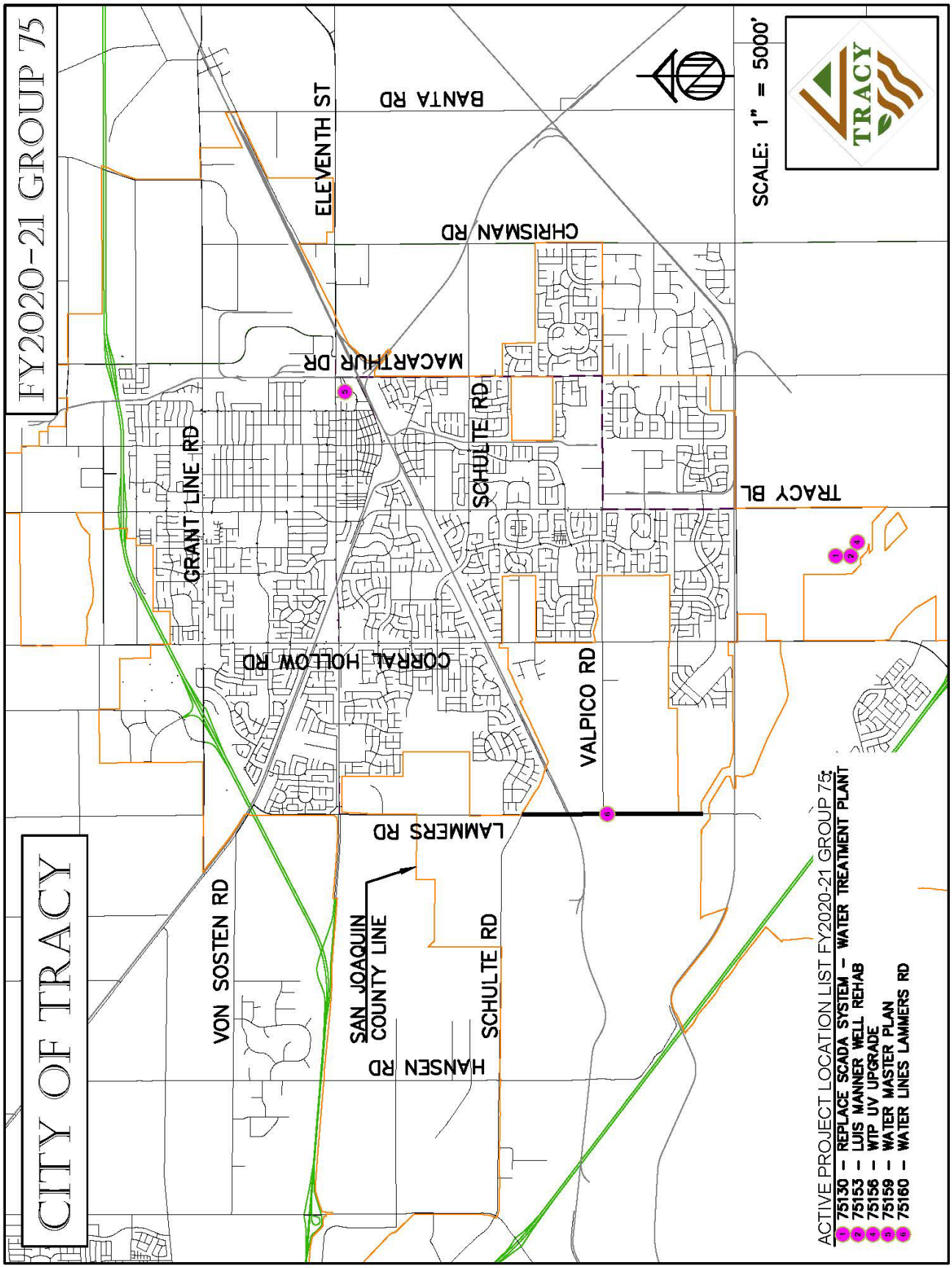
Comments:

Resolutions:

Year Identified: **Start Date:** 2015/2016 **Est. Completion Date:** 2021/2022

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
523 - Wastewater Capital	$ 75,887	$ -	$ 1,400,000	$ -	$ -	$ -	$ -	$ 1,475,887


FY2020-21 GROUP 75
CITY OF TRACY
SCALE: 1" = 5000'
TRACY
ELEVENTH ST
BANTA RD
CHRISMAN RD
MACARTHUR DR
SCHULTE RD
GRANT LINE RD
TRACY BL
CORRAL HOLLOW RD
VALPICO RD
LAMMERS RD
VON SOSTEN RD
SAN JOAQUIN COUNTY LINE
HANSEN RD
SCHULTE RD
ACTIVE PROJECT LOCATION LIST FY2020-21 GROUP 75:
1 75130 – REPLACE SCADA SYSTEM – WATER TREATMENT PLANT
2 75153 – LUIS MANNER WELL REHAB
4 75156 – WTP UV UPGRADE
5 75159 – WATER MASTER PLAN
6 75160 – WATER LINES LAMMERS RD

Water Improvements (CIP Group 75)

Water projects include the purchase of water supply, installation of new water infrastructure (pipeline, pump stations, water tanks, etc), and rehabilitation/replacement of water infrastructure throughout the City.

Active Projects Carried Forward (5)

Project Number	Project Name	Fund	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Un-Funded	Total
75130	Replace SCADA System - Water Treatment Plant	513	354,488	255,512	-	-	-	-	-	-	610,000
75153	Luis Manner Well Rehab	513	102,518	862,455	-	-	-	-	-	-	964,973
75156	WTP UV Upgrade	513	93,939	306,061	-	-	-	-	-	-	400,000
75159	Water Master Plan	365	397,557	262,210	-	-	-	-	-	-	659,767
75160	Water Lines Lammers Rd	365	150,600	4,649,400	-	-	-	-	-	-	4,800,000

New Proposed Un-Funded Projects (6)

Project Number	Project Name	Fund		FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Un-Funded	Total
PP1-75XXX	Filter Valves Replacement at	513		-	-	-	-	-	600,000	600,000
PP2-75XXX	Water Intake Pump Station	513		-	-	-	-	-	200,000	200,000
PP3-75XXX	Wells (1, 2, 3 4 and Lincoln) Study	513		-	-	-	-	-	400,000	400,000
PP4-75XXX	Larch Rd 12" Water Line	513		-	-	-	-	-	2,500,000	2,500,000
PP5-75XXX	Annual Water Service/Line	513		-	-	-	-	-	3,500,000	3,500,000
PP6-75XXX	Water Valve Replacement	513		-	-	-	-	-	500,000	500,000

In Active Projects Carried Forward (1)

Project Number	Project Name	Fund	Expended		FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Un-Funded	Total
75155	Up flow Clarifier Rehab	513	-	-	-	-	-	-	-	650,000	650,000

Capital Improvement Plan

Water Improvements
SCADA Replacement
Active Project



Project Number: 75130
Project Budget: $610,000
Project Manager: Kul Sharma
Department: Utilities
Project Priority: Low
Project Type: Capital Maintenance
Customers Served: City Services
Council Strategic Priority: Quality of Life

Description: Upgrades of Supervisor Control and Data Acquisition (SCADA) System - software and hardware which monitors and controls plant equipment and processes to maintain regulatory compliance, including necessary modifications and integration with Plant Programmable logic Controllers (PLC).

Justification: At present, the SCADA software is obsolete and cannot be maintained which would leave the water treatment plant vulnerable. In order to compensate for this, staffing would have to double.

Comments:

Resolutions: 2017-004

Year Identified: **Start Date:** 2017/2018 **Est. Completion Date:** 2021/2022

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
513 - Water Capital	$ 354,488	$ 255,512	$ -	$ -	$ -	$ -	$ -	$ 610,000

Capital Improvement Plan

Water Improvements
Luis Manner Well Rehab
Active Project



Project Number: 75153
Project Budget: $964,973
Project Manager: Lemar Saffi
Department: Utilities
Project Priority: Low
Project Type: Capital Maintenance
Customers Served: City Services
Council Strategic Priority: Quality of Life

Description: Remove pump, shaft, clean, replace casing screens, testing sleeve as required and reinstall pump.

Justification: Luis Manner Well is 35 years old and was rehabbed 25 years ago. It has manganese and chemical issues. The State Water Board is requiring the City to mitigate the chemical issues or abandon the well.

Comments:

Resolutions: 2019-194

Year Identified: **Start Date:** 2018/2019 **Est. Completion Date:** 2021/2022

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Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
513 - Water Capital	$ 102,518	$ 862,455	$ -	$ -	$ -	$ -	$ -	$ 964,973

Capital Improvement Plan

Water Improvements
WTP UV Upgrade
Active Project



Project Number: 75156
Project Budget: $400,000
Project Manager: Lemar Saffi
Department: Utilities
Project Priority: Low
Project Type: Capital Maintenance
Customers Served: City Services
Council Strategic Priority: Quality of Life

Description: The UV system needs a complete upgrade to achieve State Validation for disinfection credit. This includes a computer upgrade and the replacement of obsolete components of the UV system.

Justification: The State of California Drinking Water Department requires that all water treated meets stringent disinfection requirements. Along with chlorine, the UV system is an integral part of the disinfection process.

Comments: UV upgrade needed for validation certification of WTP for 30 MGD capacity from the state.

Resolutions:

Year Identified: 2018 **Start Date:** 2018/2019 **Est. Completion Date:** 2021/2022

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
513 - Water Capital	$ 93,939	$ 306,061	$ -	$ -	$ -	$ -	$ -	$ 400,000

Capital Improvement Plan

Water Improvements
Water Master Plan
Active Project



Project Number: 75159
Project Budget: $659,767
Project Manager: Paul Verma
Department: Utilities
Project Priority: Low
Project Type: Capital Improvement
Customers Served: City Services
Council Strategic Priority: Quality of Life

Description: The Citywide Water System Master Plan is a planning level document that outlines existing water system facilities and upgrades needed to accommodate water system demand within the City's Sphere of Influence under a fully developed condition.

Justification: The current Citywide Water System Master Plan was prepared by West Yost Associates and was adopted by City Council in early 2013 to provide a comprehensive planning document that identifies the required improvements to the existing Water System to accommodate new growth consistent within 2011 General Plan Update. However, recent development patterns and forecasts have revised the growth estimates, creating the need for an update to the Citywide Water System Master Plan.

Comments: The master plan update will also reflect changes and refinements in water system infrastructure planning approaches and water demand/usage factors for some areas and new regulatory requirements that have been adopted at the State and local level.

Resolutions: 2018-019

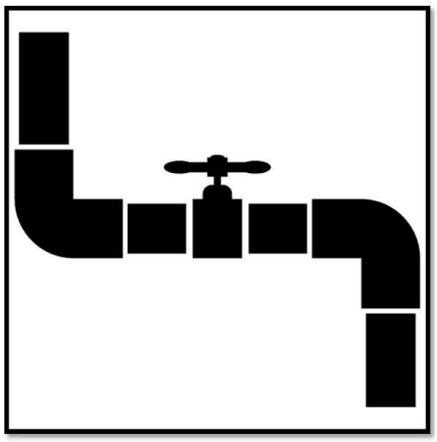
Year Identified: 2018 **Start Date:** 2018/2019 **Est. Completion Date:** 2021/2022

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
365 - TIMP Water	$ 397,557	$ 262,210	$ -	$ -	$ -	$ -	$ -	$ 659,767

Capital Improvement Plan

Water Improvements
Water Lines Lammers Rd
Active Project



Project Number: 75160
Project Budget: $4,800,000
Project Manager: Ilene Macintire
Department: Public Works
Project Priority: Low
Project Type: Capital Asset
Customers Served: City Services
Council Strategic Priority: Quality of Life

Description: Construction of new water transmission main along Lammers Road to enable a connection from City-side Zone 3 in the Ellis Subdivision to the Cordes Ranch Specific Plan area.

Justification: To provide adequate storage and pressure for the Ellis subdivision and Cordes Ranch, a 20-inch water line on Lammers Road needs to be connected to the existing 24-inch water line on West Schulte Road. Construction of the 20-inch water line needs to be designed and constructed by the City under the proposed Capital Improvement Project. Project Desing is at 90% and construction in anticipated to be in the Fall of 2021

Comments: Preliminary cost estimate of the project is $4,800,000, and Prologis, the developer of Cordes Ranch, has paid the City $1,840,665 towards the design and construction of this water project that has been deposited into the TIMP Water Fund (F365).

Resolutions:

Year Identified: 2018 **Start Date:** 2018/2019 **Est. Completion Date:**

			<- - - - - - Projected - -	- - - ->				
Fund Name	**Expended**	**Carryover**	**FY2021/22**	**FY2022/23**	**FY2023/24**	**FY2024/25**	**FY2025/26**	**Total**
365 - TIMP Water	$ 150,600	$ 4,649,400	$ -	$ -	$ -	$ -	$ -	$ 4,800,000

Capital Improvement Plan

Water Improvements
Filter Valves Replacement at JJWTP
Proposed Project



Project Number: PP1-75XXX
Project Budget: $600,000
Project Manager: Lemar Saffi
Department: Utilities
Project Priority: High
Project Type: Capital Maintenance
Customers Served: City Services
Council Strategic Priority: Quality of Life

Description: Replace 48 filter valves at JJWTP in two years (24 in each year)

Justification: The existing valves for 6 filters at JJWTP are beyond their service life. These valves have been leaking and many of these valves are not working. Therefore, two of the six filters are currently not in operation. As a result, the plant processing capacity has been reduced by 33%. This makes the filter maintenance and repairs impossible.

Comments:

Resolutions:

Year Identified: **Start Date:** 2022/2023 **Est. Completion Date:** 2023/2024

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
513 - Water Capital	$ -	$ -	$ -	$ 300,000	$ 300,000	$ -	$ -	$ 600,000

Capital Improvement Plan

Water Improvements
Water Intake Pump Station Rehabilitation at DMC - JJWTP
Proposed Project



Project Number: PP2-75XXX
Project Budget: $200,000
Project Manager: Lemar Saffi
Department: Utilities
Project Priority: Low
Project Type: Capital Maintenance
Customers Served: City Services
Council Strategic Priority: Quality of Life

Description: Replace existing pumps, mag meters and accessories at Water Intake Pump Station located at DMC - JJWTP.

Justification: The existing pumps and mag meters are beyond their serviceable life. These pumps are working inefficiently and cannot deliver adequate water in accordance to design criteria. These pumps have been rebuilt several times over the last decade and thus needs replacement.

Comments: Project timeline 12-18 months.

Resolutions:

Year Identified: **Start Date:** **Est. Completion Date:**

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
513 - Water Capital	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -

Capital Improvement Plan

Water Improvements
Wells (1, 2, 3 4 and Lincoln) Study and Mitigation
Proposed Project



Project Number: PP3-75XXX
Project Budget: $400,000
Project Manager: Lemar Saffi
Department: Utilities
Project Priority: High
Project Type: Capital Improvement
Customers Served: City Services
Council Strategic Priority: Quality of Life

Description: Study the existing wells (1,2,3,4 and Lincoln) Well to identify existing conditions of Bacteriological and PFOS and PFAS containment levels and initiate mitigations to address these issues.

Justification: The wells have shown bacteriological issues in recent years making these wells inadequate to run during certain times of the year. In addition, recent requirements from NPDES permit requirements require the staff to study PFOS and PFAS containments affecting these wells due to its close proximity to airport. The non compliance will limit City's production capacity and effect the water delivery during drought period.

Comments:

Resolutions:

Year Identified: **Start Date:** **Est. Completion Date:**

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
513 - Water Capital	$ -	$ -	$ 400,000	$ -	$ -	$ -	$ -	$ 400,000

Capital Improvement Plan

Water Improvements
Larch Rd 12" Water Line Replacement
Proposed Project

Project Number: PP4-75XXX
Project Budget: $2,500,000
Project Manager: Ilene Macintire
Department: Public Works
Project Priority: High
Project Type: Capital Maintenance
Customers Served: City Services
Council Strategic Priority: Quality of Life

Description: Replace 5400 LF of 12" water line and 58 services on Larch Rd. between Tracy Blvd. and Corral Hollow.

Justification: The 12" water main was put in in 1972 . IT was not wrapped in plastic and the soil in that area is very corrosive so the 12" line is just basically rusting away. Public Works has had 29 service calls leaks on this mile long pipe in 3 years. Larch Road is narrow and dangerous always requiring traffic control with flaggers and a safety buffer. Water services are polybutylene, which had been found to give off harmful elements in residential water.

Comments: The project will be completed in 3 phases.

Resolutions:

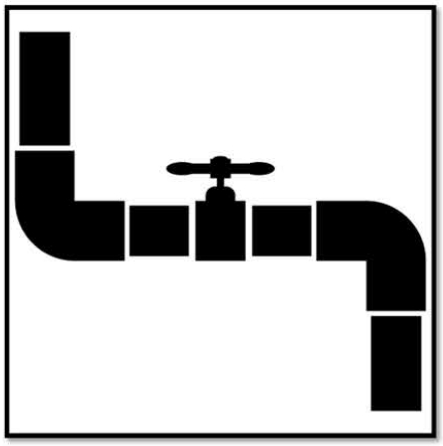
Year Identified: **Start Date:** 2022/2023 **Est. Completion Date:** 2024/2025

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Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
513 - Water Capital	$ -	$ -	$ -	$ 1,000,000	$ 750,000	$ 750,000	$ -	$ 2,500,000

Capital Improvement Plan

Water Improvements
Annual Water Service/Line Replacement
Proposed Project



Project Number: PP5-75XXX
Project Budget: $700,000 *Annual Costs
Project Manager: Ilene Macintire
Department: Public Works
Project Priority: High
Project Type: Capital Maintenance
Customers Served: City Services
Council Strategic Priority: Quality of Life

Description: We have approximately 2100 water services that are made of polybutylene (plastic).Polybutylene and cold chlorinated water do not get along and shortens the life expectancy from 30 yrs. to 10-15 yrs. They are the main feed to to the houses so they always have old chlorinated water in them. All of ours are 30+ years old and need to be replaced. .

Justification: The is frequently working on service leaks in the areas with Polybutylene.

Comments:

Resolutions:

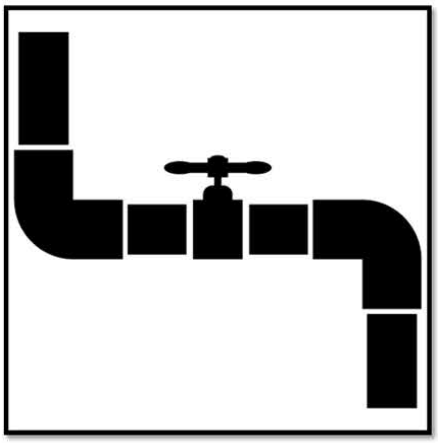
Year Identified: **Start Date:** 2021/2022 **Est. Completion Date:** Ongoing

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
513 - Water Capital	$ -	$ -	$ 700,000	$ 700,000	$ 700,000	$ 700,000	$ 700,000	$ 3,500,000

Capital Improvement Plan

Water Improvements
Water Valve Replacement
Proposed Project



Project Number: PP6-75XXX
Project Budget: $100,000 *Annual Costs
Project Manager: Ilene Macintire
Department: Public Works
Project Priority: High
Project Type: Capital Maintenance
Customers Served: City Services
Council Strategic Priority: Quality of Life

Description: Replace Water Distribution Valves in various parts of the City.

Justification: We have water valves that are either broken or no longer functional. This can affect quality and delivery of water throughout the city. The water crew is only able to work on emergencies, leaving no additional time and resources to make any of the repairs and replacements.

Comments:

Resolutions:

Year Identified: **Start Date:** 2021/2022 **Est. Completion Date:** Ongoing

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
513 - Water Capital	$ -	$ -	$ 100,000	$ 100,000	$ 100,000	$ 100,000	$ 100,000	$ 500,000

Capital Improvement Plan

Water Improvements
Up flow Clarifier Rehab
In-Active Project



Project Number: 75155
Project Budget: $650,000
Project Manager: Lemar Saffi
Department: Utilities
Project Priority: Low
Project Type: Capital Maintenance
Customers Served: City Services
Council Strategic Priority: Quality of Life

Description: The Upflow clarifier is an important componet of the water treatment process. Coagulation, floculation and sedementation are achevied through the upflow clarifier. The project involves rehab of the existing concrete structure, replacement of new flo circulation rake, sludge rake, settling tubes and the master support column including the gear box.

Justification: The State required the City to paint and remove all surface rust in the primary clarifier to put back into operation. The City completed a temporary fix (2-4 years) until funds could be appropriated for a full rehabilitation. The original clarifier was installed in 1978. In 1987, an upgrade was made to increase treatment capacity through the clarifier with the addition of settling tubes. This increased plant flow from 10 million gallons a day to 15 million gallons a day. The steel and concrete are from the original construction and is in a state of deterioration. If we do not complete this project, the State could prevent us from using the Clarifier. This would result in a potential loss of 15 million gallons a day of treated water.

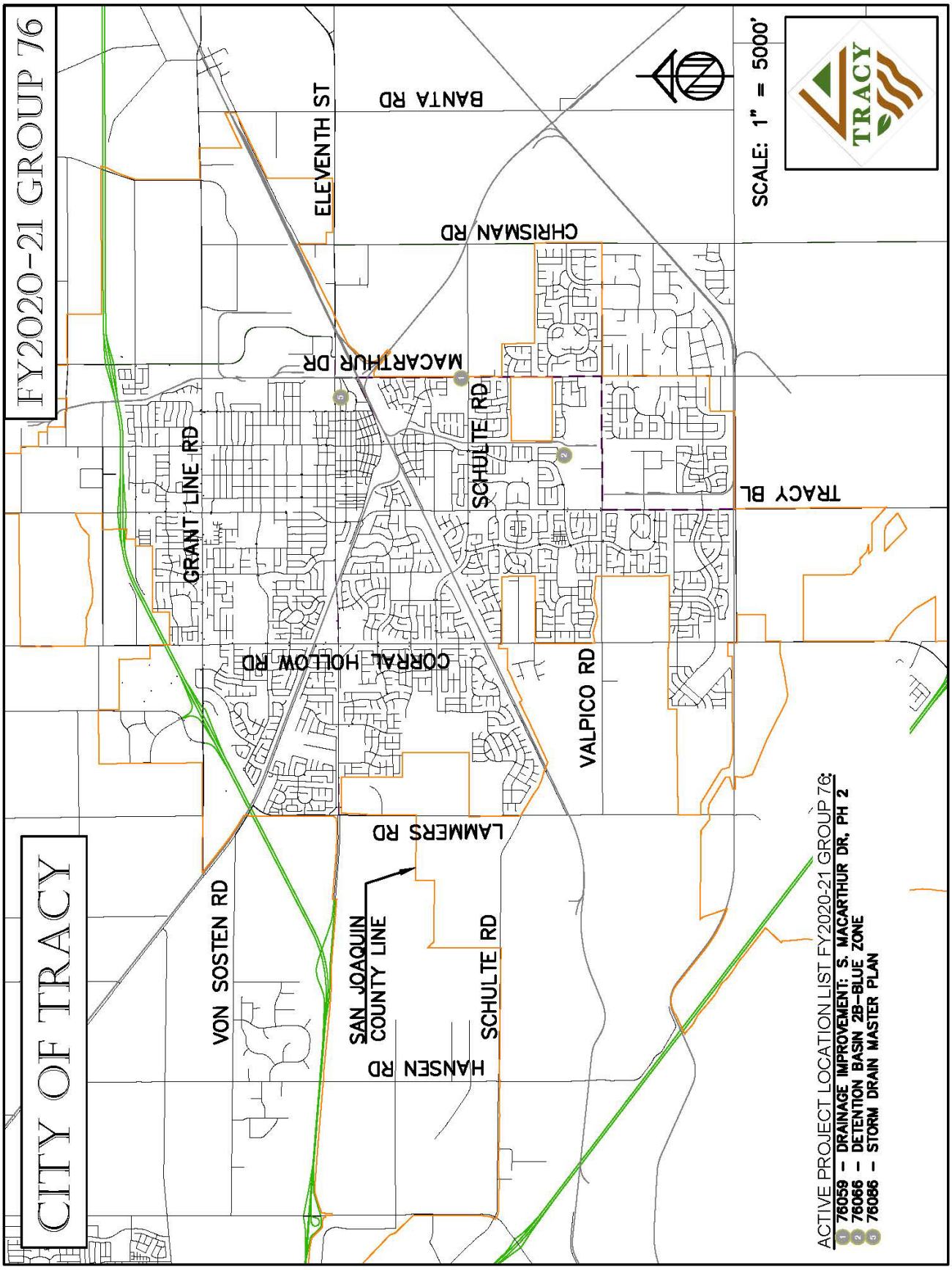
Comments: Completion of the project is essential to keep certification of the WTP to 30 mgd.

Resolutions:

Year Identified: **Start Date:** 2018/2019 **Est. Completion Date:** 2021/2022

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
Future Funding	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -


FY2020-21 GROUP 76
CITY OF TRACY
SCALE: 1" = 5000'
BANTA RD
ELEVENTH ST
CHRISMAN RD
MACARTHUR DR
SCHULTE RD
GRANT LINE RD
TRACY BL
CORRAL HOLLOW RD
VALPICO RD
LAMMERS RD
VON SOSTEN RD
SAN JOAQUIN COUNTY LINE
SCHULTE RD
HANSEN RD
ACTIVE PROJECT LOCATION LIST FY2020-21 GROUP 76:
1 76059 – DRAINAGE IMPROVEMENT: S. MACARTHUR DR, PH 2
2 76066 – DETENTION BASIN 2B-BLUE ZONE
5 76086 – STORM DRAIN MASTER PLAN

Drainage Improvements (CIP Group 76)

This category of projects includes storm drain line replacements, installation of new storm drain lines, channel improvements and pump station upgrades.

Active Projects Carried Forward (3)

Project Number	Project Name	Fund	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Un-Funded	Total
76059	Dng Imp: SoMacAr, Ph 2	322	-	54,000	-	-	-	-	-	-	54,000
76059	Dng Imp: SoMacAr, Ph 2	352	53,461	446,546	-	-	-	-	-	-	500,007
76066	Detention Basin 2B-Blue Zone	312	269,760	10,133	-	-	-	-	-	610,107	890,000
76066	Detention Basin 2B-Blue Zone	322	504,598	-	-	-	-	-	-	-	504,598
76066	Detention Basin 2B-Blue Zone	354	1,205,402	-	-	-	-	-	-	-	1,205,402
76086	Storm Drain Master Plan	362	365,474	-	-	-	-	-	-	-	365,474
76086	Storm Drain Master Plan	391	287,977	11,099	-	-	-	-	-	-	299,076

New Proposed Funded Projects (1)

Project Number	Project Name	Fund	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Un-Funded	Total
76087	Eastside Channel Lining from Grant Line Road to MacArthur	350	2,500,000	-	-	-	-	-	2,500,000

New Proposed Un-Funded Projects (3)

Project Number	Project Name	Fund	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Un-Funded	Total
PP1-76XXX	Eastside Channel Desilting	541	-	-	-	-	-	700,000	700,000
PP2-76XXX	Annual Drainage Inlet Repair	541	-	-	-	-	-	85,000	85,000
PP3-76XXX	Trash Capture devices for storm water conveyance system	541	-	-	-	-	-	8,000,000	8,000,000

In Active Projects Carried Forward (2)

Project Number	Project Name	Fund	Expended	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Un-Funded	Total
76070	Detention Basin 10 Storm		57,638	-	-	-	-	-	242,362	300,000
76082	Drainage Improvements-Arbor		27,663	-	-	-	-	-	682,337	710,000

Capital Improvement Plan

Drainage Improvements

South MacArthur, Ph 2-From Northern Boundary of Elissagaray Ranch to Connection at Eastside Channel

Active Project

Project Number: 76059
Project Budget: $554,007
Project Manager: Ilene Macintire
Department: Public Works
Project Priority: Low
Project Type: Capital Maintenance
Customers Served: City Services
Council Strategic Priority: Quality of Life

Description: Improve capacity of 6800 LF of existing V-ditch channel completed under CIP 7634. The southern 2500 LF of channel will be enclosed in 60" pipe and the bottom of 4300 LF open channel will be widened to create a trapizodal channel with a lined low flow channel to mitigate maintenance issues. Additional 54" pipes will be placed parrallel to existing pipes to increase conveyance under UPRR and into DB- 4.

Justification: Due to the slow down in development, the ultimate v-ditch will not be built in a timely manner. Therefore, additional improvements are needed to mitigate maintenance issues. This is a SMPA and Plan C project.

Comments: Plan C funds from CIP 76PP-035. $75,600 reimbursement to Bright Development under CIP 7634 - total of $151,200 due. See 76PP-039 for Ph 3 improvements.

Resolutions: 2014-002

Year Identified: **Start Date:** 2015/2016 **Est. Completion Date:** 2023/2024

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
322 - Plan C Drainage	$ -	$ 54,000	$ -	$ -	$ -	$ -	$ -	$ 54,000
352 - South MacArthur PA	$ 53,461	$ 446,546	$ -	$ -	$ -	$ -	$ -	$ 500,007

Capital Improvement Plan

Drainage Improvements
Detention Basin 2B
Active Project



Project Number: 76066
Project Budget: $2,600,000
Project Manager: Ilene Macintire
Department: Public Works
Project Priority: Low
Project Type: Capital Maintenance
Customers Served: City Services
Council Strategic Priority: Quality of Life

Description: Construct Detention Basin 2B. Expand an existing small basin and construct a pump station to discharge stormwater downstream at a controlled rate. Property was purchased during 20/21.

Justification: Westward expansion of existing City detention basin located to the north of the existing Stewart Walker Company industrial property. Will attenuate the increased runoff before its discharge into the existing Central Avenue drainage channel. To provide for the runoff from the DeBord, Cose, & Westco parcels.

Comments: Serves ISP, Infill and Plan C.

Resolutions: 2019-141 2020-199

Year Identified: **Start Date:** 2015/2016 **Est. Completion Date:** 2023/2024

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
312 - Infill Drainage	$ 269,760	$ 10,133	$ -	$ -	$ -	$ -	$ -	$ 279,893
322 - Plan C Drainage	$ 504,598	$ -	$ -	$ -	$ -	$ -	$ -	$ 504,598
354 - Industrial SP, South	$ 1,205,402	$ -	$ -	$ -	$ -	$ -	$ -	$ 1,205,402
Unidentified Funding	$ -	$ -	$ 610,107	$ -	$ -	$ -	$ -	$ 610,107

Capital Improvement Plan

Drainage Improvements
Storm Drain Master Plan
Active Project

Project Number: 76086
Project Budget: $664,550
Project Manager: Ilene Macintire
Department: Utilities
Project Priority: Low
Project Type: Capital Improvement
Customers Served: City Services
Council Strategic Priority: Quality of Life

Description: The Storm Drainage Master Plan (SDMP) is a planning level document that outlines existing storm drainage facilities and upgrades needed to accommodate storm runoff generated within the City's Sphere of Influence under a fully developed condition.

Justification: The current SDMP was prepared under a PSA with Stantec Consultant Services and adopted by City Council in early 2013. Since that time, the City has experienced significant development and population growth, requiring an update of the SDMP to include newly built or needed storm drainage infrastructure.

Comments: The SDMP update would also reflect changes and refinements in storm drainage infrastructure planning approaches for some areas and new regulatory requirements that have been adopted at the State and local level.

Resolutions: 2017-211 2019-166

Year Identified: **Start Date:** 2017/2018 **Est. Completion Date:** 2021/2022

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
362 - TIMP Drainage	$ 365,474	$ -	$ -	$ -	$ -	$ -	$ -	$ 365,474
391 - Program Management	$ 287,977	$ 11,099	$ -	$ -	$ -	$ -	$ -	$ 299,076

Capital Improvement Plan

Drainage Improvements
Eastside Channel Lining from Grant Line Road to MacArthur
Proposed Project

Project Number: 76087
Project Budget: $2,500,000
Project Manager: Ilene Macintire
Department: Public Works
Project Priority: High
Project Type: Capital Maintenance
Customers Served: City Services
Council Strategic Priority: Quality of Life

Description: Concrete line the bottom and sides 2500 feet of the Eastside Channel from from 400 feet north of Pescadero (downstream end of CHP channel improvements) to MacArthur

Justification: The channel capacity is significantly impacted due to accumulated silt and vegetation
Concrete lining the channel will increase velocity and allow for easier future maintenance and silt removal.

Comments:

Resolutions:

Year Identified: **Start Date:** 2021/2022 **Est. Completion Date:** 2022/2023

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
350 - NEI Storm Drain	$ -	$ -	$ 2,500,000	$ -	$ -	$ -	$ -	$ 2,500,000

Capital Improvement Plan

Drainage Improvements
Eastside Channel Desilting
Proposed Project

Project Number: PP1-76XXX
Project Budget: $700,000
Project Manager: Ilene Macintire
Department: Public Works
Project Priority: High
Project Type: Capital Maintenance
Customers Served: City Services
Council Strategic Priority: Quality of Life

Description: Eastside Channel Desilting from Pescadero Road to Arbor Road. (Excluding the portion adjacent to the proposed CHP facility)

Justification: The Eastside Channel has accumulated sediment over the last 20 years due to the channel design and low flow velocities. The sediment layer is approximately 2 feet thick, impacting the capacity of the channel and potentially impacting upstream storm water conveyance during large storm events. The sediment is host to invasive vegetation that further restricts stormwater flows and poses a potential fire hazard. Work to clean out the channel will require bypass pumping, a discharge water treatment system, and discharge water monitoring to comply with with State regulations for discharges into the Old River. Approximately 4500 tons of silt and debris will be removed from 6000 feet of channel.

Comments:

Resolutions:

Year Identified: **Start Date:** **Est. Completion Date:**

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
541 - Drainage	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -

Capital Improvement Plan

Drainage Improvements
Annual Drainage Inlet Repair
Proposed Project

Project Number: PP2-76XXX
Project Budget: $85,000 *Annually
Project Manager: Ilene Macintire
Department: Public Works
Project Priority: Moderate
Project Type: Capital Maintenance
Customers Served: City Services
Council Strategic Priority: Quality of Life

Description: Annual Storm Drainage Inlet Repairs

Justification: Storm Drain inlets become damaged by trucks. Most storm drain inlets are integrated into or adjacent to sidewalks, so damaged inlets can be a pedestrian issue. Funding is requested to repair approximately 10 inlets per year.

Comments:

Resolutions:

Year Identified: **Start Date:** **Est. Completion Date:**

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
541 - Drainage	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -

Capital Improvement Plan

Drainage Improvements
Trash Capture devices for storm water conveyance system
Proposed Project

Project Number: PP3-76XXX
Project Budget: $8,000,000
Project Manager: Ilene Macintire
Department: Public Works
Project Priority: Moderate
Project Type: Capital Improvement
Customers Served: City Services
Council Strategic Priority: Quality of Life

Description: The State Regional Water Quality Control Board implemented regulations in 2019 that require NPEDS MS4 storm water permit agencies begin the implementation of for trash capture systems in all storm water conveyance systems. The period of time to complete the construction of these systems is 10 years so they must be in place in 2029. The requirement is intended to prevent the flow of any trash particles larger than 5mm into waters of the US.

Justification: This project is mandated by the State Regional Water Quality Control Board as part of the City's NPDES MS 4 Storm Water Discharge Permit. Failure to comply will likely result in significant fines and further regulatory action. The project must be fully constructed and implemented within the time frame defined within the regulations.

Comments: The City opted for an approach that allows for all full capture equipment to be in place by 2029. 2019 -
2021 - ID preliminary locations; Begin Storm water fee/rate study
2021 - 2023 - Complete storm water rate/fee study
2023 - 2026 - Initial collect of funding (inc. possible grants) & Design of project
2026 - 2027 - RFP and award of contract
2027 - 2029 - Construction of project

Resolutions:

Year Identified: 2019 **Start Date:** **Est. Completion Date:**

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
Unidentified Funding	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -

Capital Improvement Plan

Drainage Improvements
Detention Basin 10 Storm Water Pump Station Upgrade
In Active Project

Project Number: 76070
Project Budget: $300,000
Project Manager: Kul Sharma
Department: Utilities
Project Priority: Low
Project Type: Capital Maintenance
Customers Served: City Services
Council Strategic Priority: Quality of Life

Description: Replacement of aging inefficient low performance pumps. Remove and replace the three existing submersible pumps, including all electrical and mechanical appurtenances.

Justification: The existing pump station was constructed in 1995. It is no longer feasible to continue pump repairs. All pumps need to be replaced.

Comments:

Resolutions:

Year Identified: **Start Date:** 2019/2020 **Est. Completion Date:**

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
541 - Drainage	$ 57,638	$ -	$ -	$ -	$ -	$ -	$ -	$ 57,638

Capital Improvement Plan

Drainage Improvements
Arbor Ave. - MacArthur to Outfall Ditch.
In Active Project

Project Number: 76082
Project Budget: $710,000
Project Manager: Ilene Macintire
Department: Public Works
Project Priority: Low
Project Type: Capital Maintenance
Customers Served: City Services
Council Strategic Priority: Quality of Life

Description: Drainage improvements consisting of pipe and storm water inlets or other drainage methods. Approximately 1,300 ft of pipe and 6 storm water inlets

Justification: Storm water ponds along the north side of the roadway and occasionally floods the road. No existing sidewalks, curbs or drainage. Potential safety access issue for public services.

Comments: Assume 1300 LF of 12" &18" inch storm drain pipe, 6 catch basins, grading, traffic control, asphalt trench repairs on Arbor Ave, topo survey will be required

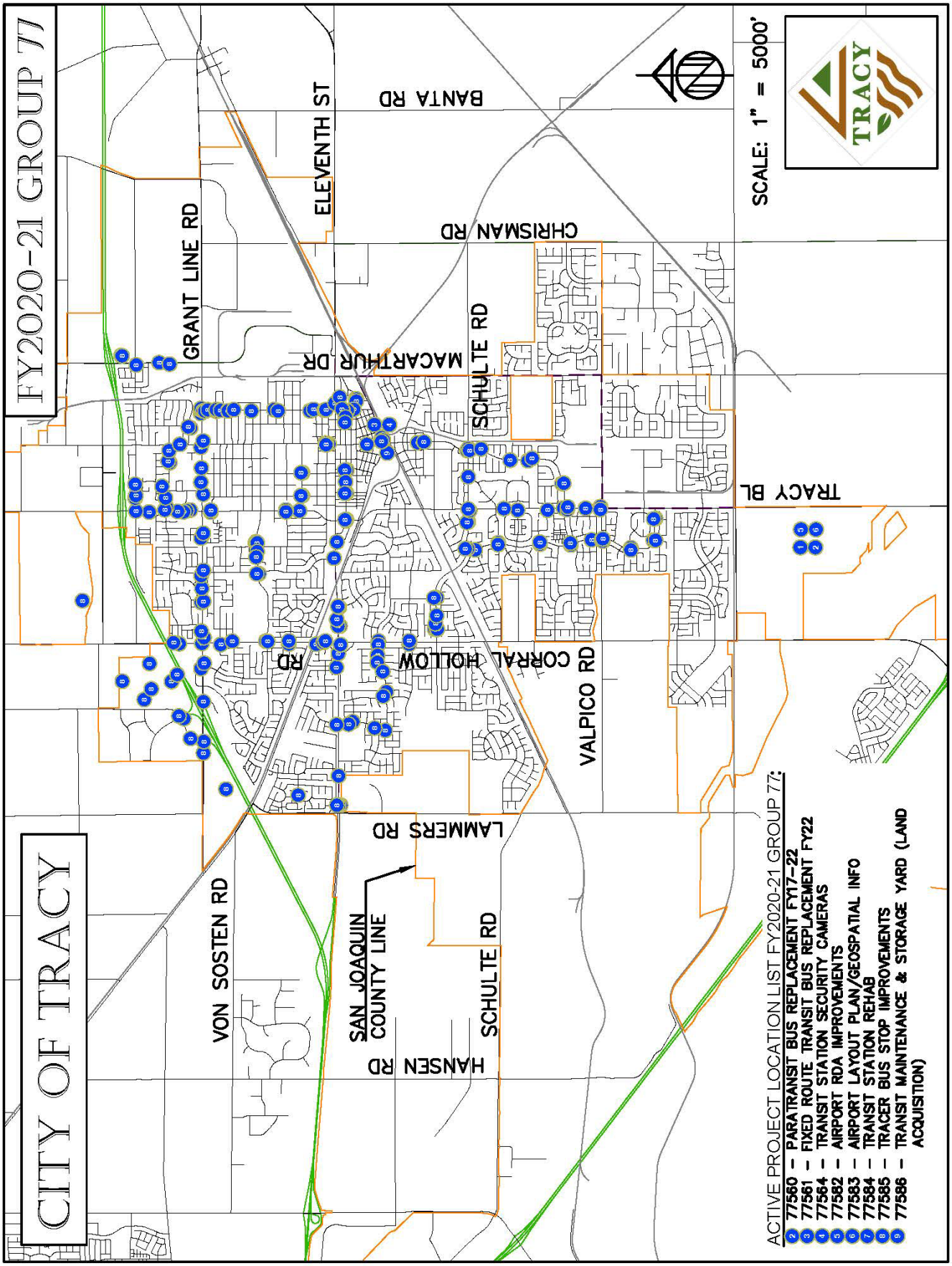
Resolutions:

Year Identified: **Start Date:** 2017/2018 **Est. Completion Date:**

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
541 - Drainage	$ 27,663	$ -	$ -	$ -	$ -	$ -	$ -	$ 27,663

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FY2020-21 GROUP 77
CITY OF TRACY
SCALE: 1" = 5000'
GRANT LINE RD
ELEVENTH ST
BANTA RD
CHRISMAN RD
MACARTHUR DR
SCHULTE RD
TRACY BL
CORRAL HOLLOW RD
VALPICO RD
LAMMERS RD
VON SOSTEN RD
SAN JOAQUIN COUNTY LINE
HANSEN RD
SCHULTE RD
ACTIVE PROJECT LOCATION LIST FY2020-21 GROUP 77:
2 77560 – PARATRANSIT BUS REPLACEMENT FY17-22
3 77561 – FIXED ROUTE TRANSIT BUS REPLACEMENT FY22
4 77564 – TRANSIT STATION SECURITY CAMERAS
5 77582 – AIRPORT RDA IMPROVEMENTS
6 77583 – AIRPORT LAYOUT PLAN/GEOSPATIAL INFO
7 77584 – TRANSIT STATION REHAB
8 77585 – TRACER BUS STOP IMPROVEMENTS
9 77586 – TRANSIT MAINTENANCE & STORAGE YARD (LAND ACQUISITION)

Airport and Transit Improvements (CIP Group 77)

The maintenance and upgrades to the Tracy Airport, transit system improvements, and bus replacements are included in this category. Projects include Transit Station Renovations, Transit and ParaTransit bus replacements.

Active Projects Carried Forward (8)

Project Number	Project Name	Fund	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Un-Funded	Total
77560	Paratransit Bus Replacement FY17-22	573	662,632	397,368	-	-	-	-	-	-	1,060,000
77561	Fixed Route Transit Bus Repl FY22	573	-	2,300,000	-	-	-	-	-	-	2,300,000
77564	Transit Station Security Cameras	242	1,533	138,467	-	-	-	-	-	-	140,000
77582	Airport RDA Improvements	317	1,001,460	501,257	-	-	-	-	-	-	1,502,717
77583	Airport Layout Plan/Geospatial Info	563	64,515	176,685	-	-	-	-	-	-	241,200
77584	Transit Station Renovations	241	-	-	700,000	-	-	-	-	-	700,000
77584	Transit Station Renovations	573	14,061	385,939	-	-	-	-	-	-	400,000
77585	Tracer Bus Stop Improvements	573	-	500,000	-	-	-	-	-	-	500,000
77586	Transit Maintenance & Storage Yard (Land Acquisition)	573	-	1,000,000	-	-	-	-	-	-	1,000,000

New Proposed Funded Projects (1)

Project Number	Project Name	Fund	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Un-Funded	Total
77587	ITS Infrastructure Planning and Construction	241	280,000	-	-	-	-	-	280,000
77587	ITS Infrastructure Planning and Construction	573	1,120,000	-	-	-	-	-	1,120,000

New Proposed Un-Funded Projects (1)

Project Number	Project Name	Fund	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Un-Funded	Total
PP1-77XXX	Airport Masterplan Update		-	-	-	-	-	250,000	250,000

Capital Improvement Plan

Airport and Transit Improvements
Paratransit Bus Replacement FY17-22
Active Project



Project Number: 77560
Project Budget: $1,060,000
Project Manager: Ed Lovell
Department: Transit
Project Priority: Low
Project Type: Capital Asset
Customers Served: City Services Residents
Council Strategic Priority: Quality of Life

Description: Purchase of paratransit buses to replace those that are beyond their useful life, or for buses to expand service as outlined in the Short Range Transit Plan.

Justification: Replacement and expansion vehicles.

Comments:

Resolutions: 2020-155

Year Identified: **Start Date:** 2020/2021 **Est. Completion Date:** 2021/2022

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
573 - Transit Capital	$ 662,632	$ 397,368	$ -	$ -	$ -	$ -	$ -	$ 1,060,000

Capital Improvement Plan

Airport and Transit Improvements
Transit Buses-Replacements, Future Years
Active Project



Project Number: 77561
Project Budget: $2,300,000
Project Manager: Ed Lovell
Department: Transit
Project Priority: Low
Project Type: Capital Asset
Customers Served: City Services Residents
Council Strategic Priority: Quality of Life

Description: Purchase of fixed route buses to replace those that are beyond their useful life, or for buses to expand service as outlined in the Short Range Transit Plan.

Justification: Replacement and expansion vehicles.

Comments:

Resolutions: 2020-141

Year Identified: **Start Date:** 2020/2021 **Est. Completion Date:** 2021/2022

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
573 - Transit Capital	$ -	$ 2,300,000	$ -	$ -	$ -	$ -	$ -	$ 2,300,000

Capital Improvement Plan

Airport and Transit Improvements
Transit Station Security Cameras
Active Project



Project Number: 77564
Project Budget: $140,000
Project Manager: Ilene Macintire
Department: Transit
Project Priority: Low
Project Type: Capital Improvement
Customers Served: City Services Residents
Council Strategic Priority: Quality of Life

Description: Installation of additional cameras at the Tracy Transit Station. Additional cameras will be place in various locations throughout the interior and exterior of the building as well as both parking lots.

Justification: While there are some cameras already at the Tracy Transit Station, additional cameras are needed to provide coverage of the entire facility. These cameras will also help to deter break-ins and vandalism of cars in the Park and ride lot, where there are currently no cameras.

Comments:

Resolutions:

Year Identified: **Start Date:** 2018/2019 **Est. Completion Date:** 2021/2022

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
242 - Transp Sales Tax	$ 1,533	$ 138,467	$ -	$ -	$ -	$ -	$ -	$ 140,000

Capital Improvement Plan

Airport and Transit Improvements
Airport RDA Improvements
Active Project



Project Number: 77582
Project Budget: $1,502,717
Project Manager: Ilene Macintire
Department: Airport
Project Priority: Low
Project Type: Capital Asset
Customers Served: City Services Residents
Council Strategic Priority: Quality of Life

Description: A total of 6 additional restroom stalls are being constructed at the airpot. 3 individual structures to serve hargars, and a 3 stall structure in the park. Construction has been ongoing in 2020 and is nearly complete.

Justification: The Airport does not have a sewer line connected to the City sewer collection and treatment system. The airport uses porta potty services.

Comments: On June 20, 2017, City Council adopted a joint resolution approving an agreement between the City and Successor Agency to the Community Development Agency (CDA). The agreement assigned the City the responsibility of retaining, administrating, programming, disbursing and use of the unspent CDA bond proceeds for uses consistent with the applicable bond covenants. As a result, a total unspent excess bond proceeds in the amount of $2,126,315 can now be used for public infrastructure projects that benefit the former edevelopment area. Per Reso 2018-072 CDA funds were appropriated for this project.

Resolutions: 2019-067 2020-149

Year Identified: **Start Date:** 2018/2019 **Est. Completion Date:** 2021/2022

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
317 - Redev Agency	$ 1,001,460	$ 501,257	$ -	$ -	$ -	$ -	$ -	$ 1,502,717

Capital Improvement Plan

Airport and Transit Improvements
Airport Layout Plan/Geospatial Info
Active Project



Project Number: 77583
Project Budget: $241,200
Project Manager: Paula Jessup
Department: Airport
Project Priority: Low
Project Type: Capital Improvement
Customers Served: City Services Residents
Council Strategic Priority: Quality of Life

Description: The Airport Layout Plan shows in detail all proposed changes to the airport over the next ten years. All airports conducting ALP projects this year are being required to also complete an Airport Geospatial Information System with Obstruction Mitigation Plan by the FAA.

Justification: Per the FAA Airport Improvement Handbook, 49 USC § 47107(a)(16) requires that the City must maintain a current Airport Layout Plan (ALP). Without it, the airport will not receive any grant funding for projects.

Comments: The Airport Geospatial Information System with Obstruction Mitigation Plan will consist of aerial and land surveys around the airport to identify any possible obstructions and a plan that explains how the airport will alleviate those obstructions.

Resolutions: 2019-233 2020-060

Year Identified: **Start Date:** 2019/2020 **Est. Completion Date:** 2021/2022

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
563 - Airport Capital	$ 64,515	$ 176,685	$ -	$ -	$ -	$ -	$ -	$ 241,200

Capital Improvement Plan

Airport and Transit Improvements
Transit Station Renovations
Active Project



Project Number: 77584
Project Budget: $1,100,000
Project Manager: Ilene Macintire
Department: Transit
Project Priority: Low
Project Type: Capital Improvement
Customers Served: City Services Residents
Council Strategic Priority: Quality of Life

Description: Expansion of office facilities at the Transit Center into the Lobby area east of the central lobby doors (apx 600 SQFT). Revisions include modifications to the HVAC system, wall relocation, electricial, flooring, and other interior finishes to the existing structure and addition of a back up emergency generator.

Justification: Current office workspace layout does not provide supervisory staff adequte space to have confidnential meetings for staff reviews and shared workspace prevents the possibility of social distancing. Design is under permit review and construction should being in Summer of 2021.

Comments:

Resolutions:

Year Identified: **Start Date:** 2019/2020 **Est. Completion Date:** 2021/2022

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
241 - TDA Funds	$ -	$ -	$ 700,000	$ -	$ -	$ -	$ -	$ 700,000
573 - Transit Capital	$ 14,061	$ 385,939	$ -	$ -	$ -	$ -	$ -	$ 400,000

Capital Improvement Plan

Airport and Transit Improvements
Tracer Bus Stop Improvements
Active Project



Project Number: 77585
Project Budget: $500,000
Project Manager: Ed Lovell
Department: Transit
Project Priority: Low
Project Type: Capital Improvement
Customers Served: City Services Residents
Council Strategic Priority: Quality of Life

Description: Various improvments to bus stops throughout the City, including but not limited to installation of concrete pads, benches, shelters, trash cans, signs, pullouts, etc.

Justification: Various bus stops thoughout the City are in need of additional improvements to meet ADA accessibility requirements and to provide additional ameniities for passengers.

Comments: Project will be funded through FTA (80%) and TDA (20%).

Resolutions:

Year Identified: 2020 **Start Date:** 2020/2021 **Est. Completion Date:** Ongoing

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
573 - Transit Capital	$ -	$ 500,000	$ -	$ -	$ -	$ -	$ -	$ 500,000

Capital Improvement Plan

Airport and Transit Improvements
Transit Maintenance & Storage Yard (Land Acquisition)
Active Project

Project Number: 77586
Project Budget: $1,000,000
Project Manager: Ed Lovell
Department: Transit
Project Priority: Low
Project Type: Capital Asset
Customers Served: City Services Residents
Council Strategic Priority: Quality of Life



Description: Developemen of storage and amintenance facility fo the Tracer bus system. Project may include heavy duty maintenance bays, overnight parking for the buses, fueling station, bus wash, dispatch center, Final amenities would be dependent on fina design and available funding.

Justification: The City's Short Range Transit Plan (SRTP) calls for the development of a maintenance and storage facilty for the Tracer buses. The Current storage site for the buses is at max capacity.

Comments: FY21/22 - Land Purchase
FY21/22- Design
Construction
FY22/23 -

Resolutions:

Year Identified: 2020 **Start Date:** 2020/2021 **Est. Completion Date:**

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
573 - Transit Capital	$ -	$ 1,000,000	$ -	$ -	$ -	$ -	$ -	$ 1,000,000

Capital Improvement Plan

Airport and Transit Improvements
ITS Infrastructure Planning and Construction
Active Project



Project Number: 77587
Project Budget: $1,400,000
Project Manager: Ed Lovell
Department: Transit
Project Priority: High
Project Type: Capital Improvement
Customers Served: City Services
Council Strategic Priority: Quality of Life

Description: This project will create a plan for the Tracer bus system to implement Intelligent Transportation System (ITS) technology within the City of Tracy. ITS technology can include items such as Automated Passenger Counters, Wifi on buses, traffic signal prioritization, on-board video cameras, and passenger display systems.

Justification: There are many emerging technologies that can be used to help provide better passenger data, increase safety, provide greater mobility access, and better manage the overall transit system. While Tracy has deployed some of these technologies, there is often a disconnect between the systems as they are not able to be connected to each other. By creating an ITS plan, Tracy will be able to create a system that is connected into a system that is as seamless as possible, creating better efficiencies in collecting data, better system management, and increasing the safety and overall experience for the passengers.

Comments: FY21/22: Design of ITS System
FY22/23 - FY23/24: Construction of ITS System

Resolutions:

Year Identified: 2021 **Start Date:** 2021/2022 **Est. Completion Date:** 2023/2024

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
241 - TDA Funds	$ -	$ -	$ 280,000	$ -	$ -	$ -	$ -	$ 280,000
573 - Transit Capital	$ -	$ -	$ 1,120,000	$ -	$ -	$ -	$ -	$ 1,120,000

Capital Improvement Plan

Airport and Transit Improvements
Airport Masterplan Update
Proposed Project



Project Number: PP1-77XXX
Project Budget: $250,000
Project Manager: Paula Jessup
Department: Airport
Project Priority: Low
Project Type: Capital Improvement
Customers Served: City Services
Council Strategic Priority: Quality of Life

Description: This project consists of a master plan update that will encompass both Tracy Municipal Airport and New Jerusalem. The airport master plan will represent the airport's blueprint for long-term development as it will provide an overview of existing airport features, future airport development and anticipated land use.

Justification: The previous Airport Master Plan is outdated. An update will create a more relevant guide to future growth and development at both airports.

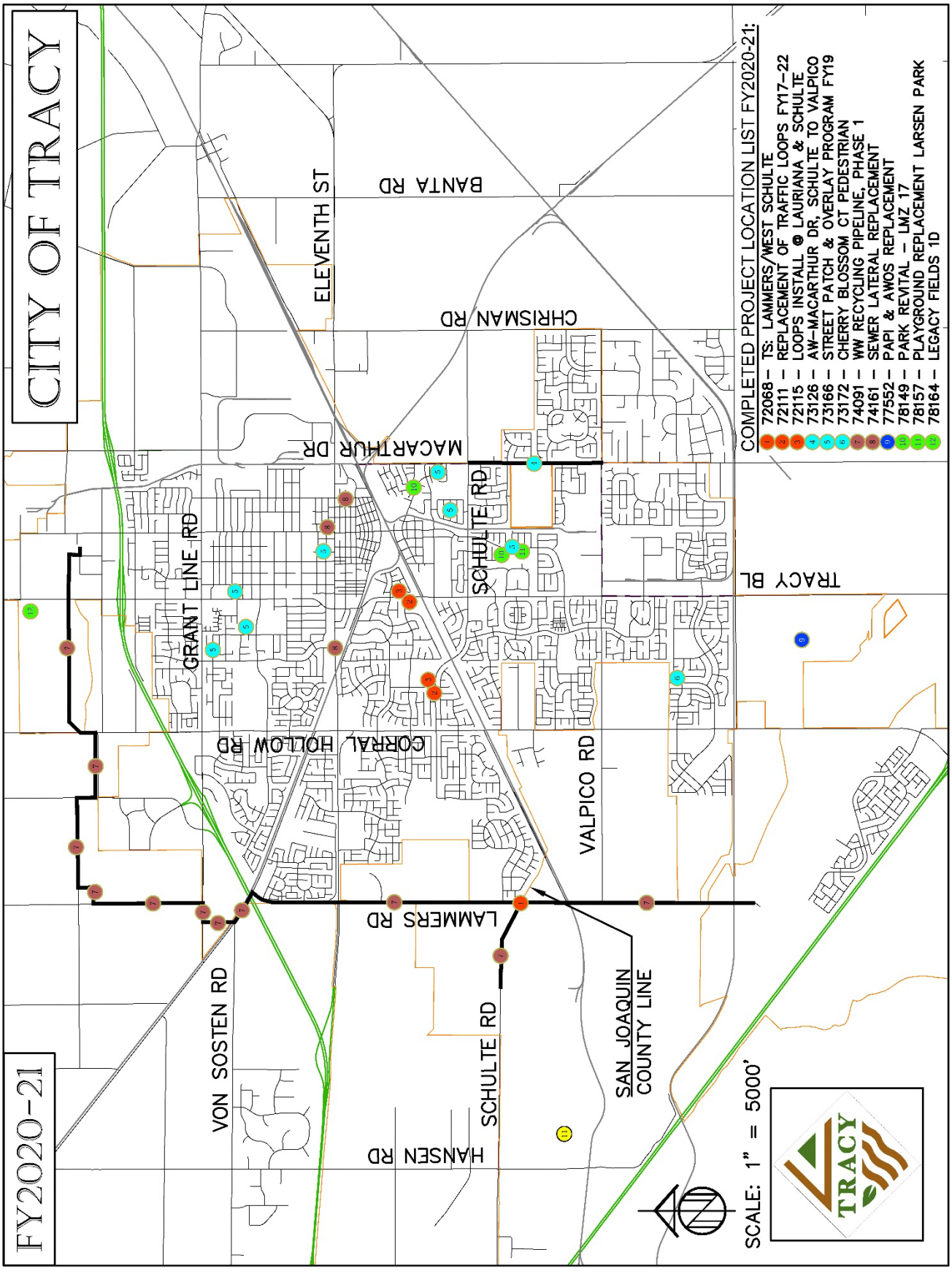
Comments:

Resolutions:

Year Identified: 2020 **Start Date:** **Est. Completion Date:**

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
Un-Funded	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ 250,000


CITY OF TRACY
FY2020-21
COMPLETED PROJECT LOCATION LIST FY2020-21:
72068 – TS: LAMMERS/WEST SCHULTE
72111 – REPLACEMENT OF TRAFFIC LOOPS FY17-22
72115 – LOOPS INSTALL @ LAURIANA & SCHULTE
73126 – AW-MACARTHUR DR, SCHULTE TO VALPICO
73166 – STREET PATCH & OVERLAY PROGRAM FY19
73172 – CHERRY BLOSSOM CT PEDESTRIAN
74091 – WW RECYCLING PIPELINE, PHASE 1
74161 – SEWER LATERAL REPLACEMENT
77552 – PAPI & AWOS REPLACEMENT
78149 – PARK REVITAL – LMZ 17
78157 – PLAYGROUND REPLACEMENT LARSEN PARK
78164 – LEGACY FIELDS 1D
SCALE: 1" = 5000'
BANTA RD
ELEVENTH ST
CHRISMAN RD
MACARTHUR DR
SCHULTE RD
TRACY BL
GRANT LINE RD
CORRAL HOLLOW RD
VALPICO RD
LAMMERS RD
VON SOSTEN RD
SCHULTE RD
HANSEN RD
SAN JOAQUIN COUNTY LINE

Parks and Recreation Improvements (CIP Group 78)

This category consists of park and civic amenity construction, improvements and maintenance. This category includes park improvements, bikeway improvements, playground equipment replacement, aquatics center, etc.

Active Projects (9) Carried Forward											
Project Number	Project Name	Fund	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Un-Funded	Total
78054	Aquatics Center	DC	1,170,958	829,042	-	-	-	-	-	-	2,000,000
78054	Aquatics Center	307	41,848	958,152	-	-	-	-	44,000,000	-	45,000,000
78054	Aquatics Center	324	426	1,645,520	-	-	-	-	-	-	1,645,946
78054	Aquatics Center	354	-	231,500	-	-	-	-	-	-	231,500
78054	Aquatics Center	355	-	114,700	-	-	-	-	-	-	114,700
78170	Gretchen Tally Park Phase III	311	1,751	1,998,197	-	-	-	-	-	-	1,999,948
78170	Gretchen Tally Park Phase III	321	-	-	1,098,930	-	-	-	-	-	1,098,930
78170	Gretchen Tally Park Phase III	361	501,122	-	-	-	-	-	-	-	501,122
78171	Bikeways Master Plan	241	82,200	17,800	-	-	-	-	-	-	100,000
78173	Playground Replacements Alden & Yasui Parks	301	29,209	720,791	-	-	-	-	-	-	750,000
78178	Multi-Generational Rec Center	307	82,557	3,917,443	-	16,000,000	-	-	-	-	20,000,000
78179	Parks Master Plan	271	9,642	1,358	-	-	-	-	-	-	11,000
78179	Parks Master Plan	301	34,187	4,813	-	-	-	-	-	-	39,000
78179	Parks Master Plan	361	180,064	119,936	-	-	-	-	-	-	300,000
78179	Parks Master Plan	391	66,620	9,380	-	-	-	-	-	-	76,000
78180	Nature Park	301	87,658	383,342	-	-	-	-	-	-	471,000
78181	Lincoln Park Picnic Area Improvements	301	9,905	130,095	-	-	-	-	-	-	140,000
78183	City Wide Bikeways Maintenance	241	13,308	141,692	-	75,000	75,000	75,000	75,000	-	455,000

New Proposed Funded Projects (2)										
Project Number	Project Name	Fund	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Un-Funded	Total
78184	Hoyt Park - Per Capita Improvements	Grant		234,065	-	-	-	-	-	234,065
78185	Legacy Fields Phase 1E	307		17,150,000	-	-	-	-	-	17,150,000

New Proposed Un-Funded Projects (8)									
Project Number	Project Name	Fund	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Un-Funded	Total
PP1-78XXX	Flag Poles City Hall Memorial		-	-	-	-	-	75,000	75,000
PP2-78XXX	Dry Climate Dog Park Project		-	-	-	-	-	350,000	350,000
PP3-78XXX	Annual Park Rehabilitation Program		-	-	-	-	-	5,000,000	5,000,000
PP4-78XXX	Sport Court Lighting Project		-	-	-	-	-	1,800,000	1,800,000
PP5-78XXX	Ritter Family Ball Park Master Planning		-	-	-	-	-	100,000	100,000
PP6-78XXX	Ritter Family Ball Park Landscape Improvements Phase 1		-	-	-	-	-	-	-
PP7-78XXX	Tracy Sports Complex Improvement Project		-	-	-	-	-	670,000	670,000
PP8-78XXX	Tracy Sports Complex Facility & Concession Upgrades		-	-	-	-	-	-	-

Capital Improvement Program

Parks & Recreation Improvements
Aquatics Center
Active Project



Project Number: 78054
Project Budget: $65,000,000
Project Manager: Lyle Campbell
Department: Parks & Recreation
Project Priority: High
Project Type: Capital Asset
Customers Served: Residents Tourists/Visitors
Council Strategic Priority: Quality of Life - Facilitate the completion of Measure V amenities

Description: Council has established the following program element priority, listed in order of imporantance starting with most desireable to least desireable: 1. 50-meter pool, 2. Recreation/warm-up pool, 3. Lazy river, 4. Water slides, 5. Toddler area, 6. Indoor pool (optional), and 7. Fitness center (optional).

Justification: Resolution 2018-043 approved major community amenity capital improvement projects to be funded by Measure V. This established the Aquatics Center as a Council priority and included a $1,000,000 contribution from Measure V to help fund design of the project.

Comments: A Final Conceptual Plan was adopted by City Council (2020-154) with a not-to-exceed total project cost of $65 million, including contingency and soft costs. The project will be located at the corner of Corral Hollow Road and Summit Drive in the Ellis development. The next step on this project is to complete a Phasing Plan with a planned completion of Phase 1 in 2025 and full build out in 2028.

Resolutions: 2010-110 2011-010 2018-043 2019-232 2020-154

Year Identified: **Start Date:** **Est. Completion Date:** 2028/2029

			<- - - - - - - Projected - - - - ->					
Fund Name	**Expended**	**Carryover**	**FY2021/22**	**FY2022/23**	**FY2023/24**	**FY2024/25**	**FY2025/26**	**Total**
307 - Measure V	$ 41,848	$ 958,152	$ -	$ -	$ -	$ -	$ 44,000,000	$ 45,000,000
324 - Gen Fac Plan "C"	$ 426	$ 1,645,520	$ -	$ -	$ -	$ -	$ -	$ 1,645,946
354 - Industrial SP, South	$ -	$ 231,500	$ -	$ -	$ -	$ -	$ -	$ 231,500
355 - Presidio Area	$ -	$ 114,700	$ -	$ -	$ -	$ -	$ -	$ 114,700
Developer Contribution	$ 1,170,958	$ 829,042	$ -	$ -	$ -	$ -	$ -	$ 2,000,000
Future Funding	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ 16,007,854

Capital Improvement Program

Parks & Recreation Improvements
Gretchen Tally Park Phase III
Active Project



Project Number: 78170
Project Budget: $3,600,000
Project Manager: Lyle Campbell
Department: Parks & Recreation
Project Priority: High
Project Type: Capital Improvement
Customers Served: Residents
Council Strategic Priority: Quality of Life

Description: This project is a 4.7-acre expansion of Gretchen Talley Park. Scope of work will include: a new park restroom, new small & large dog park, tennis/pickleball courts, a 2nd basketball court, fitness area, nature play area, pollinator garden, landscaping and open lawn area.

Justification: In December of 2018, the land acquistion of 4.7-acres of land was finalized adjacent to Gretchen Talley Park. The property is zoned "park" land in the City's General Plan and with two prior phases of Gretchen Talley Park already constructed and immediately adjacent, it makes sense to expand the park.

Comments: Public outreach is complete. Design is underway. Construction is tentatively scheduled to begin in Winter 2022.

Resolutions: 2018-254

Year Identified: **Start Date:** 2019/2020 **Est. Completion Date:** 2021/2022

<- - - - - - Projected - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
311 - Infill Parks	$ 1,751	$ 1,998,197	$ -	$ -	$ -	$ -	$ -	$ 1,999,948
321 - Plan C Parks	$ -	$ -	$ 1,098,930	$ -	$ -	$ -	$ -	$ 1,098,930
361 - TIMP Parks	$ 501,122	$ -	$ -	$ -	$ -	$ -	$ -	$ 501,122

Capital Improvement Program

Parks & Recreation Improvements
Bikeways Master Plan
Active Project



Project Number: 78171
Project Budget: $100,000
Project Manager: Anju Pillai
Department: Parks & Recreation
Project Priority: Low
Project Type: Capital Improvement
Customers Served: Residents
Council Strategic Priority: Quality of Life

Description: The existing Bikeways Master Plan needs to be updated to reflect the current master plans and provide development standards for bikeways.

Justification: The Bikeways Master Plan should be updated approximately every 5 years. The last update was completed in 2010. Transportation Development Act funds can be used to pay for the plan update.

Comments:

Resolutions: 2018-187

Year Identified: **Start Date:** 2018/2019 **Est. Completion Date:** 2021/2022

			<- - - - - - - Projected - -	- - - ->				
Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
241 - TDA Funds	$ 82,200	$ 17,800	$ -	$ -	$ -	$ -	$ -	$ 100,000

Capital Improvement Program

Parks & Recreation Improvements
Playground Replacements-Alden and Yasui Parks
Active Project



Project Number: 78173
Project Budget: $750,000
Project Manager: Lyle Campbell
Department: Parks & Recreation
Project Priority: High
Project Type: Capital Improvement
Customers Served: Residents
Council Strategic Priority: Quality of Life

Description: Replacement of the playground structures have reached their life expectancy. Staff is recommending replacing these structures due to hazards and community complaints. The equipment at these parks are highly used. Beyond the loss of "play value" due to sections being closed off, their deteriorating condition is a safety concern.

Justification: These projects were listed as a priority project by the Parks Commission in January 2016. If we do not replace these structures immediately, we may have to close the playgrounds.

Comments: Project is out to bid. Construction to begin in Spring/Summer 2021.

Resolutions:

Year Identified: 2016 **Start Date:** 2019/2020 **Est. Completion Date:** 2021/2022

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
301 - General Projects	$ 29,209	$ 720,791	$ -	$ -	$ -	$ -	$ -	$ 750,000

Capital Improvement Program

Parks & Recreation Improvements
Multi-Generational Recreation Center
Active Project

Project Number: 78178
Project Budget: $40,000,000
Project Manager: Lyle Campbell
Department: Parks & Recreation
Project Priority: High
Project Type: Capital Improvement
Customers Served: Residents
Council Strategic Priority: Quality of Life - Facilitate the completion of Measure V amenities

Description: Design and construction of the multi-generational recreation center. The scope and location have yet to be determined. As a result the timing of this project is likely to change as the project is developed.

Justification: The 2013 Parks Master Plan (New Developments)Identifies the need for new multi-purpose indoor recreation center and gymnasium of approximately 45,000 square feet that includes space for all ages. Also recommends a consideration to integrate a branch library. On March 6, 2018 Council approved Multi-Generational Recreation Center CIP 78178 as part of the major community amenity capital improvement projects funded from Measure V.

Comments: Needs Assessment performed in 2018. Identified community's priorities for the project to include: a library and education spaces, teaching kitchen, gymnasium space for a variety of indoor sports, administrative space, multi-purpose space, and lobby/lounge space. Planning/Design of Phase 1 will include, amongst other things: site selection, additionally public outreach to finalize programming, cost estimates, an operations & maintenance analysis, construction documents and specifications. *Estimated timeline: Phase 1 RFP for design goes out in 2023, 6-12 month design/planning process (2024), 6-12 months for construction (2025). Phase 2: RFP for design goes out in 2029, 6-12 months design/planning process (2030), 6-12 months for construction (2031).*

Resolutions: 2019-232

Year Identified: **Start Date:** 2019/2020 **Est. Completion Date:** 2031

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
307 - Measure V	$ 82,557	$ 3,917,443	$ -	$ 16,000,000	$ -	$ -	$ -	$ 20,000,000
Future Funding	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ 20,000,000

Capital Improvement Program

Parks & Recreation Improvements
Parks Master Plan
Active Project

Project Number: 78179
Project Budget: $426,000
Project Manager: Lyle Campbell
Department: Parks & Recreation
Project Priority: High
Project Type: Capital Improvement
Customers Served: Residents
Council Strategic Priority: Quality of Life

Description: Citywide Parks were updated in March 2013. The City's growth pattern has varied from the assumed pattern, construction costs have increased, and some assumptions in the documents may need to be updated to recognize installed facilities.

Justification:

Comments:

Resolutions: 2019-016

Year Identified: **Start Date:** 2017/2018 **Est. Completion Date:** 2021/2022

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
271 - LMD	$ 9,642	$ 1,358	$ -	$ -	$ -	$ -	$ -	$ 11,000
301 - General Projects	$ 34,187	$ 4,813	$ -	$ -	$ -	$ -	$ -	$ 39,000
361 - TIMP Parks	$ 180,064	$ 119,936	$ -	$ -	$ -	$ -	$ -	$ 300,000
391 - Program Management	$ 66,620	$ 9,380	$ -	$ -	$ -	$ -	$ -	$ 76,000

Capital Improvement Program

Parks & Recreation Improvements
Nature Park
Active Project

Project Number: 78180
Project Budget: $471,000
Project Manager: Lyle Campbell
Department: Parks & Recreation
Project Priority: Low
Project Type: Capital Improvement
Customers Served: Residents
Council Strategic Priority: Quality of Life

Description: Staff was directed by Council on August 21st, 2018 to create a new CIP to begin planning and conducting feasibility studies for a future nature park and approve re-allocating $100,000 from CIP 78176 for this project.

Justification:

Comments: Staff has determined the Nature Park project is eligible to use $371,000 of available Swainson's Hawk Mitigation funds collected from development impacts along the I-205 cooridor. This is based on the project location falling within that cooridor and that the strategic park design will create significant habitat for the Swainson's Hawk, as well as a myriad of other flora and fauna, to thrive.
On February 2nd, 2021 the City Council adopted the Nature Park conceptual master plan and addendum to the 2010 Holly Sugar Sports Complex project environmental impact report.

Resolutions: 2018-206 2019-016

Year Identified: **Start Date:** 2018/2019 **Est. Completion Date:** TBD

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
301 - General Projects	$ 87,658	$ 383,342	$ -	$ -	$ -	$ -	$ -	$ 471,000

Capital Improvement Program

Parks & Recreation Improvements
Lincoln Park Picnic Area Improvements
Active Project



Project Number: 78181
Project Budget: $250,000
Project Manager: Lyle Campbell
Department: Parks & Recreation
Project Priority: Moderate
Project Type: Capital Improvement
Customers Served: Residents
Council Strategic Priority: Quality of Life

Description: The project will include demolition of remaining components of an old picnic area and the installation of a new shaded picnic area adjacent to the existing playground. The new picnic area will consist of a metal shade structure, picnic tables, trash/recycling receptacles and associated concrete improvements.

Justification: In March of 2019 a shade structure and picnic area was removed from Lincoln Park due to concerns over structural integrity. The need for a new picnic area now exists.

Comments: Staff has submitted two rounds of Prop 68 SPP grant applications for Lincoln Park. If awarded, and this money is spent in alignment with the grant application for the shade structure area, the work may be eligible for reimbursement.

Resolutions:

Year Identified: **Start Date:** 2019/2020 **Est. Completion Date:** 2021/2022

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
301 - General Projects	$ 9,905	$ 130,095	$ -	$ -	$ -	$ -	$ -	$ 140,000
Unidentified Funding	$ -	$ -	$ 110,000	$ -	$ -	$ -	$ -	$ 110,000

Capital Improvement Program

Parks & Recreation Improvements
City Wide Bikeways Maintenance
Active Project



Project Number: 78183
Project Budget: $455,000
Project Manager: Anju Pillai
Department: Parks & Recreation
Project Priority: Moderate
Project Type: Capital Improvement
Customers Served: Residents
Council Strategic Priority: Quality of Life

Description: The appropriated funding will be used to improve various bikeways throughout the City of Tracy. In addition to the restriping of faded bike lanes, staff is also working to implement a plan for green bikeways striping in high traffic areas throughout the city.

Justification: Through the TDA claim process, the City of Tracy receives approximately $75,000 annually to be used toward pedestrian/bikeways improvements. In order to spend the received funding on an eligible project, the funds must be first appropriated to a CIP.

Comments: This is a priority and goal of the Transportation Advisory Commission. Future installations of green bikeways will be included as part of the Bikeways Master Plan which is currently in development.

Resolutions: 2019-235

Year Identified: **Start Date:** 2019/2020 **Est. Completion Date:** Ongoing

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
241 - TDA Funds	$ 13,308	$ 141,692	$ -	$ 75,000	$ 75,000	$ 75,000	$ 75,000	$ 455,000

Capital Improvement Program

Parks & Recreation Improvements
Hoyt Park - Per Capita Improvements
Proposed Project

Project Number: 78184
Project Budget: $234,065
Project Manager:
Department: Parks & Recreation
Project Priority: Low
Project Type: Capital Maintenance
Customers Served: Residents City Services
Council Strategic Priority: N/A

Description: The City of Tracy has the opportunity to rehabilitate existing infrastructure at Hoyt Park through the Proposition 68, Per Capita Program. The project includes resurfacing and re-stripping the parking lot, replacing bench and table furnishings in the park, and addressing other amenities, pending available funds.

Justification: Per Capita funds were awarded to the City of Tracy in 2020 and projects that are located within disadvantaged communities are eligible and preferred locations for funds to be spent to "address neighborhoods lacking access to outdoors". Projects must be for capital outlay and recreational purposes with Hoyt Park being an eligible park in the City that can benefit from infrastructure improvements.

Comments:
- Spring 2021- Passing a Resolution accepting Per Capita Funds
- December 2021 – Deadline to submit application package(s) to OGALS
- June 2022 – Contract for projects must be fully encumbered, signed by OGALS and City of Tracy
- December 2023 – Complete all eligible projects
- March 2024 – Deadline for all project completion packages to OGALS

Request for Proposals and construction is expected between January 2022-December 2023

Resolutions:

Year Identified: **Start Date:** 2021/2022 **Est. Completion Date:** 2023/2024

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
Grant Funding	$ -	$ -	$ 234,065	$ -	$ -	$ -	$ -	$ 234,065

Capital Improvement Program

Parks & Recreation Improvements
Legacy Fields Phase 1E
Proposed Project

Project Number: 78185
Project Budget: $17,150,000
Project Manager: Lyle Campbell
Department: Parks & Recreation
Project Priority: High
Project Type: Capital Asset
Customers Served: Residents City Services
Council Strategic Priority: Quality of Life - Facilitate the completion of Measure V amenities

Description: Legacy Fields Phase 1E will be the final sub phase of Phase 1. Improvements would include: paving parking lots, slurry sealing existing asphalt, alternative exit south of the complex, build-out of the Eastern Wagon Wheel to closely resemble the Western Wagon Wheel, and wayfinding & signage.

Justification: Parking and traffic issues related to capacity, mud and dust are the ongoing complaints staff hear from the public at Legacy Fields. The existing spine road and asphalt pedestrian walkways are also due for slurry seal and restriping, as they have been installed for 7+ years at this point. Additionally, there is not an intuitive wayfinding program at the complex giving users a difficult experience when trying to navigate the 72-acre site. Another source of complaints is the Eastern Wagon Wheel where, due to past agreements, the improvements are severely lacking compared to other areas of the complex. In order to fullfil Council's vision of making this complex a tournament destination, staff feels these improvements are a necessity.

Comments: Project out to bid Fall 2021. 12-18 months of construction with a completion tentatively planned for Fall 2022. On March 23, 2021 City Council passed a motion to finance (debt service) Legacy Fields Phase 1E, the city will be moving forward on this Fall 2021.

Resolutions:

Year Identified: 2021 **Start Date:** 2021/2022 **Est. Completion Date:** 2022/2023

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
307 - Measure V	$ -	$ -	$ 17,150,000	$ -	$ -	$ -	$ -	$ 17,150,000

Capital Improvement Program

Parks & Recreation Improvements
Flag Poles City Hall Memorial
Proposed Project



Project Number: PP1-78XXX
Project Budget: $75,000
Project Manager: Lyle Campbell
Department: Parks & Recreation
Project Priority: Low
Project Type: Capital Improvement
Customers Served: Residents City Services
Council Strategic Priority: Quality of Life

Description: This project will install six (6) new flag poles at the Tracy War Memorial in Civic Center Plaza to represent the six (6) branches of the United States Military.

Justification: The City of Tracy and Tracy, Post No. 1537, Veteran's of Foreign Wars (VFW) of the United States, Department of California have a Memorandum of Understanding (MOU). That MOU states that the Tracy VFW will provide, and has provided in the past, funding and services to maintain the armed forces flags and banners at City Center Plaza and the Tracy War Memorial. This includes the ongoing maintenance and replacement of flags.

Comments: The establishment of a CIP project is needed to move forward with purchase and installation of six (6) flag poles.

Resolutions:

Year Identified: 2019 **Start Date:** **Est. Completion Date:**

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
Unidentified Funding	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -

Capital Improvement Program

Parks & Recreation Improvements
Dry Climate Dog Park Project
Proposed Project



Project Number: PP2-78XXX
Project Budget: $350,000
Project Manager: Lyle Campbell
Department: Parks & Recreation
Project Priority: Moderate
Project Type: Capital Asset
Customers Served: Residents City Services
Council Strategic Priority: Quality of Life

Description: This project is proposing to improve a 0.66 acre site known to many as the "Dry Climate Area". The site is located southwest of Frank Hoyt Park on the corner of Dale Odell Drive and San Simeon Way. The project would include demolition of certain existing features, fencing for large and small dog areas, a shaded seating area w/ drinking fountain, landscaping and ADA accessibility improvements.

Justification: Currently the City of Tracy only has one public dog park (Cora K-9 Park @ El Pescadero Park) and one soon to be constructed at the Ellis development. Dog parks are a trending amenity in parks & recreation and the Parks & Recreation Department would like to improve the distribution of dog parks within the park system. Cora K-9 Park serves the northeast part of the city, the Ellis dog park will serve the southern part of the city and this location would establish a centrally located dog park. Additionally, the fenced in park will reduce service calls related to encampments and illegal dumpings at this location.

Comments:

Resolutions:

Year Identified: 2021 **Start Date:** **Est. Completion Date:**

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
Unidentified Funding	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -

Capital Improvement Program

Parks & Recreation Improvements
General Fund Parks Annual Rehabilitation Program
Proposed Project

Project Number: PP3-78XXX
Project Budget: $1,000,000 Annually
Project Manager: Lyle Campbell
Department: Parks & Recreation
Project Priority: Moderate
Project Type: Capital Maintenance
Customers Served: Residents City Services
Council Strategic Priority: Quality of Life

Description: Many parks within the general fund areas have aging amenities, infrastructure and other deferred maintenance. Parks maintenance staff can address many of these concerns, but some too complex for in-house staff or require planning/design.

Justification: The City of Tracy has 78 public parks covering over 344 acres of city-owned land. The Citywide Parks, Recreation & Trails Master Plan has identified low, medium and high priority projects within the park system that need attention. These improvements range park to park, but generally consist of amenity replacement/upgrades, ADA accessibility improvements, irrigation infrastructure improvements, landscape enhancements, and expansion of programming. Staff will present a list of potential projects to the Parks & Community Services Commission and ask for their recommendation as to which project(s) should be prioritized for this program.

Comments:

Resolutions:

Year Identified: 2021 **Start Date:** **Est. Completion Date:**

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
Unidentified Funding	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -

Capital Improvement Program

Parks & Recreation Improvements
General Fund Parks Sport Court Lighting Project
Proposed Project

Project Number: PP4-78XXX
Project Budget: $1,800,000
Project Manager: Lyle Campbell
Department: Parks & Recreation
Project Priority: High
Project Type: Capital Improvement
Customers Served: Residents City Services
Council Strategic Priority: Quality of Life

Description: Installation of lighting for various sport courts to expand hours of use and promote safety within the General Fund parks. The list of sport courts will include basketball, tennis and hand ball courts. Eligible parks include: A.R. Glover, Clyde Bland, McDonald, Henry Barboza, Frank Hoyt, Robert Kenner, William Larsen, and Edgar Thoming.

Justification: Through public outreach for the Citywide Parks, Recreation & Trails Master Plan Update, staff heard that lighting was a priority upgrade in our park system. Allowing the community to use park amenities and recreate in the early morning and evening hours would enhance the quality of life for many and activate our parks further promoting safety.

Comments:

Resolutions:

Year Identified: 2021 **Start Date:** **Est. Completion Date:**

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
Unidentified Funding	$ -	$ -						$ -

Capital Improvement Program

Parks & Recreation Improvements
Ritter Family Ball Park Master Planning
Proposed Project



Project Number: PP5-78XXX
Project Budget: $100,000
Project Manager: Lyle Campbell
Department: Parks & Recreation
Project Priority: Moderate
Project Type: Capital Improvement
Customers Served: Residents City Services
Council Strategic Priority: Quality of Life

Description: Ritter Family Ball Park (formerly Tracy Ball Park) is the oldest sports complex in the City. The first step in updating this dated facility is a master planning process, including public outreach, to establish a modern vision for this much needed infill project.

Justification: The northeastern part of Tracy is lacking neighborhood parks and staff sees an opportunity to redesign the facility to include neighborhood park type amenities with sports fields taking up a smaller footprint. The project may also include reconfiguring the parking lot, landscape and pedestrian/bikeway enhancements along Tracy Boulevard, a walking loop and tot lot. This 10-acre park is located in the heart of the City along a major arterial. Failing infrastructure is an ongoing burden on maintenance staff and programming is very limited for such a large facility. Master planning this facility will ensure that the design is community-based, programming goals are met and construction dollars are spent thoughtfully.

Comments: The master planning process will include feasibility study and phasing options for build out to allow flexible funding options for the City Council to consider. Staff will submit a separate CIP request for Phase 1 of construction once the master planning is complete.

Resolutions:

Year Identified: 2021 **Start Date:** **Est. Completion Date:**

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
Unidentified Funding	$ -	$ -						$ -

Capital Improvement Program

Parks & Recreation Improvements
Ritter Family Ball Park Landscape Improvements Phase 1
Proposed Project



Project Number: PP6-78XXX
Project Budget:
Project Manager: Lyle Campbell
Department: Parks & Recreation
Project Priority: Moderate
Project Type: Capital Improvement
Customers Served: Residents City Services
Council Strategic Priority: Quality of Life

Description: Ritter Family Ball Park is the oldest sports complex in the City. Once the master planning process is complete, Phase 1 will require design and construction funding commitments. Scope of work for Phase 1 will be determined through the master planning process.

Justification: This 10-acre park is located in the heart of the City along a major arterial. Failing infrastructure is an ongoing burden on maintenance staff and programming is very limited for such a large facility. Master Planning is complete and the next step is to begin construction.

Comments:

Resolutions:

Year Identified: 2021 **Start Date:** **Est. Completion Date:**

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
Unidentified Funding	$ -	$ -						$ -

Capital Improvement Program

Parks & Recreation Improvements
Tracy Sports Complex Improvement Project
Proposed Project

Project Number: PP7-78XXX
Project Budget: $670,000
Project Manager: Lyle Campbell
Department: Parks & Recreation
Project Priority: High
Project Type: Capital Maintenance
Customers Served: Residents City Services
Council Strategic Priority: Quality of Life

Description: Repainting of all existing steel posts for baseball outfield netting. Installation of 420 linear feet of 70 foot tall netting and removal of 75 linear feet of 30 foot tall netting. Repair/replacement of damaged sections of netting. Installation of 850 linear feet of 6 foot tubular steel fencing and gates near soccer fields. Replacement of site furnishings including benches, trash receptacles and picnic tables.

Justification: Tracy Sports Complex was built in the 1990's and the facility is showing signs of aging. Steel posts that hold the protective netting for the baseball fields are rusting and need to be addressed before they have to be replaced. Additionally, netting was never installed around Baseball Field 2 creating safety issues beyond the home run fence, including the playground, and limiting the City's ability to program that field. The project is also proposing new fencing around the soccer fields to help better control non-permitted activity on the turf which causes additional work for staff to maintain and monitor this area. Site furnishings (benches, trash receptacles and picnic tables) are in a state of disrepair at this facility and are critical improvements for user enjoyment.

Comments:

Resolutions:

Year Identified: 2021 **Start Date:** **Est. Completion Date:**

<- - - - - - Projected - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
Unidentified Funding	$ -	$ -						$ -

Capital Improvement Program

Parks & Recreation Improvements
Tracy Sports Complex Facility & Concession Upgrades
Proposed Project

Project Number: PP8-78XXX
Project Budget:
Project Manager: Lyle Campbell
Department: Parks & Recreation
Project Priority: Low
Project Type: Capital Maintenance
Customers Served: Residents City Services
Council Strategic Priority: N/A

Description: Renovation/Repair of the concession building. Hardscape improvements to address failing concrete and ADA accessibility upgrades. Wayfinding & signage upgrades.

Justification: Tracy Sports Complex was built in the 1990's and the facility is showing signs of aging.

The concession building is in need of improvements to meet the needs of the facility. This includes modernizing equipment and fixtures, installation of grease trap and related infrastructure to allow for an expanded menu, etc.

ADA accessibility upgrades and concrete repairs are needed throughout the facility for risk avoidance.

Comments: Wayfinding & signage will add a fresh look to the facility, help users navigate to the correct field and potentially Staff would like to see these improvements start within the next 5 years.

Resolutions:

Year Identified: 2021 **Start Date:** **Est. Completion Date:**

<- - - - - - - Projected - - - - - ->

Fund Name	Expended	Carryover	FY2021/22	FY2022/23	FY2023/24	FY2024/25	FY2025/26	Total
Unidentified Funding	$ -	$ -						$ -

Debt Service



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DEBT SERVICE

Debt service obligations of the City and budgeted amounts required to meet these obligations are presented in this section. These obligations involve long-term debt, which was used to build capital facilities or to acquire equipment that the City is now using.

General Government:

The Tracy Operating Partnership Joint Powers Authority (the "Authority") is a joint exercise of powers authority formed by the City of Tracy (the "City") to provide assistance to the City with its financings in accordance with State law.

The Authority previously issued the following outstanding lease revenue bonds ("Outstanding Lease Revenue Bonds"):

- 2007 Lease Revenue Bonds, Series A (Fire Station Project)
- 2007 Lease Revenue Bonds, Series B (1995 COPs Refunding)
- 2008 Lease Revenue Bonds (Refunding and Capital Improvement Projects)

The Outstanding Lease Revenue Bonds are payable from lease payments made by the City, with some support from redevelopment tax increment generated in the Successor Agency's Community Redevelopment Project pursuant to a 2008 reimbursement agreement between the City and the Successor Agency to the Tracy Community Development Agency (the "2008 Reimbursement Agreement"). The purchase price for the Bonds shall be equal to $18,190,000. Principal payments commence on November 1, 2020, and are payable annually on November 1, 2038, with interest payments commence on May 1, 2020. The bonds are priced for optional redemption dates of May 1, 2030.

Enterprise Funds:

A. The City issued Wastewater Certificates of Participation (COPs) in April 2004 to fund the upgrade of the City's wastewater treatment plant. The Wastewater COPs are special obligations of the City and are payable solely from and secured by a pledge of net revenues of the Wastewater Utility System. Principal payments commence on December 1, 2007, and are payable annually on December 1 through 2036. Interest payments commence on December 1, 2004, and are payable semi-annually on December 1 and June 1, thereafter.

   For fiscal year 2020 net revenues amounted to $11,228,835 which represented coverage of 594 % over the $1,889,818 of debt service. The pledge of net revenues ends upon repayment of the City's repayment of the remaining balance of $32,220,608, which is scheduled to occur in 2037.

B. In 2006, the City entered into a loan agreement with the State of California Department of Public Health in the amount of $20,000,000 for assistance in the construction of its new Water

Treatment Plant. The proceeds from the loan were drawn down by the City as needed for construction. The loan is a fully amortized loan over 20 years at an annual interest rate of 2.34%. The City is obligated to make semiannual payments of principal and interest in the amount of $628,960 beginning July 1, 2008 with a final maturity date of January 1, 2028.

Special Assessment Districts:

Special Assessment Districts in various parts of the City have issued debt to finance infrastructure improvements and facilities within their boundaries. The City is the collecting and paying agent for the debt issued by these Districts, but has no direct or contingent liability or moral obligation for the payment of this debt. Therefore, this debt is not included as debt of the City.

Interfund Loans:

The City has balances that represent loan activity between funds that is non-current. Interfund balances are expected to be repaid when sufficient cash is available in the borrowing funds.

A. Water Utility advance from the Wastewater Utility Enterprise Fund in November 2016 to fund operating expenses, capital costs for maintenance and replacement of existing water infrastructure, debt payments and to maintain an adequate reserve for any emergency to continue providing uninterrupted service. The advance bears the interest at the Local Agency Investment Fund (LAIF) rate and was to be repaid in two years. The advance was amended in October 2017 to extend the repayment two additional years to fiscal year 2021. The advance was amended December 2020 to extend the term of the loan four additional years, with payments starting 2021-22 in the amount of $500,000 per fiscal year with interest at LAIF rate.

City of Tracy
Cashflow for Debt Payments
FY 2021-22

			Fiscal Year 2021-2022												
Fund	Name	Debt	7/1/2021	8/1/2021	9/1/2021	10/1/2021	11/1/2021	12/1/2021	1/1/2022	2/1/2022	3/1/2022	4/1/2022	5/1/2022	6/1/2022	Total
408	TOPJPA 2020 Lease Revenue Refunding Bonds	Revenue bonds	-	-	-	-	947,700	-	-	-	-	-	340,800	-	1,288,500
521	2004 Wastewater Treatment Plant Project	COP	-	-	-	-	-	1,413,003	-	-	-	-	-	477,415	1,890,418
511	State Water Loan (2005)	Loan	628,960	-	-	-	-	-	628,960	-	-	-	-	-	1,257,920
853	CFD 2006-01 NE Industrial # 2	Special tax bonds	-	-	452,650	-	-	-	-	-	187,450	-	-	-	640,100
844	CFD 93-1 Tracy Mkt Place (2002)	CFD	-	-	49,135	-	-	-	-	-	49,135	-	-	-	98,270
846	TOPJPA 2005 Series A	Revenue bonds	-	5,060,238	-	-	-	-	-	616,713	-	-	-	-	5,676,950
847	TOPJPA 2005 Series B	Revenue bonds	-	295,706	-	-	-	-	-	19,125	-	-	-	-	314,831
840	TOPJPA Revenue Bonds 2005 Series C	Revenue bonds	-	-	863,788	-	-	-	-	-	209,388	-	-	-	1,073,175
852	Assessment District No. 2003-01 Berg Ave	A.D.	-	-	56,772	-	-	-	-	-	10,619	-	-	-	67,391
854	TOPJPA 2011 Series A	Revenue bonds	-	-	1,171,478	-	-	-	-	-	148,978	-	-	-	1,320,456
844	CFD 93-1 Tracy Mkt Place	CFD	-	-	22,680	-	-	-	-	-	22,680	-	-	-	45,360
837	TPFA Revenue bonds 2014	Revenue bonds	-	-	598,113	-	-	-	-	-	39,488	-	-	-	637,600
850	TPFA Revenue bonds 2015	Revenue bonds	-	-	615,375	-	-	-	-	-	5,500	-	-	-	620,875
495	Tracy Successor Agency 2016 Tax Allocation	Refunding bonds	-	2,217,400	-	-	-	-	-	597,900	-	-	-	-	2,815,300
858	CFD 2016-1 Tracy Hills Series 2018	Special tax bonds	-	-	947,525	-	-	-	-	-	804,725	-	-	-	1,752,250
858	CFD 2016-1 Tracy Hills Series 2019	Special tax bonds	-	-	412,975	-	-	-	-	-	362,225	-	-	-	775,200
857	CFD 2016-2 Ellis Series 2019	Special tax bonds	-	-	419,600	-	-	-	-	-	368,850	-	-	-	788,450

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Policies & Resolutions



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BUDGET & FISCAL POLICIES

The City's budget represents the official financial and organizational plan by which City policies and program are implemented. This budget document presents the budget for the fiscal year July 1, 2020 to June 30, 2021.

The City's budget emphasis is reporting and controlling by programs within departments. A variety of programs representing major work efforts are established within each department. A budget is developed for each program in order to more accurately measure the true cost of providing services.

Tracy City Council

Under the council-manager form of government, the elected governing body, City Council, consists of the Mayor and four Council Members. The Council is responsible for establishing policy, passing local ordinances, voting appropriations, and developing an overall vision for the City of Tracy. Under such a government, the Mayor performs primarily ceremonial duties and is the presiding officer of the City Council. Selection of the Mayor and Council Members is done in November when there is a City Council election.

The City Council then appoints a City Manager to oversee the daily operations of the government and implement the policies established by the governing body. The City Manager serves the governing body, often with an employment agreement or contract that specifies his or her duties and responsibilities.

City staff, under the direction of the City Manager, prepare the City's budget. The City Manager reviews and considers preliminary budget estimates and proposals submitted by City departments, and then formulates these estimates and proposals into a "Proposed Budget" for submission to the City Council. The City Manager is supported in this budget-making role by the Finance Director, Finance Manager and the Budget Officer.

Budget Review and Adoption

The City Council is required to adopt an annual budget by June 30th, prior to beginning of the annual budget cycle. The budget is adopted on a basis that does not differ materially from Generally Accepted Accounting Principles (GAAP). An appropriated annual budget is legally adopted for all Governmental Funds, except for the Developer Deposits Special Revenue Fund.

The proposed Fiscal Year 2020-2021 budget was released on May 19, 2020 and a public hearing was held where the City Council reviewed and considered the proposed operating budget prepared by City staff. The public hearing provided the opportunity for the City Council to discuss the budget and to modify the proposed budget to reflect the Council's priorities. The workshop also permitted public comment and participation in the budget process. Copies of the budget are available for public viewing at the City's Public Library and at City Hall.

After review and deliberation, the City Council adopted and approved the budget on June 2, 2020. The adopted budget includes modifications made by the City Council during their review. The resolution adopted appropriates the monies necessary to fund the budget. Along with the budget resolution, the Council adopts a resolution establishing the appropriation limit for tax proceeds for the fiscal year as required by Article XII (B) of the State Constitution.

After adoption, the Budget Officer prepared and had the approved budget document published. This document represents the official fiscal policy and plan of the City of Tracy for Fiscal Year 2020-2021. It serves as a communications medium for the general public concerning City activities, as well as a directive to City staff concerning the management and operations of City activities.

Basis of Budgeting

The City's financial records are prepared and maintained in accordance with generally accepted accounting principles (GAAP) of governmental accounting. Under GAAP, the modified accrual basis of accounting is used for governmental funds, while the full accrual basis of accounting is used for proprietary funds.

The City's budget is prepared, recorded, and controlled using a modified accrual basis for all funds budgeted, including proprietary funds. Under this basis of budgeting, capital outlays and debt service principal payments are budgeted and recorded as expenditures. Debt proceeds, capital grants, interfund transfers, and interfund loans exceeding one year are budgeted and recorded as revenues. However, for accounting purposes, these entries in the proprietary funds are adjusted at year-end to comply with the full accrual basis of accounting in the City's Comprehensive Annual Financial Report (CAFR). In City budget documents and periodic budget reports, they are treated as revenues and expenditures.

Mandated Balanced Operating Budgets

The preliminary, adopted, and amended operating budgets for the General Fund and all Enterprise Funds shall be balanced budgets. To maintain a "balanced budget" total expenditures in a fund cannot exceed its total of revenues and its beginning balance. An ending fund balance should always be positive. A negative fund balance indicates that the budget for a fund is not balanced. An ending fund balance for the fiscal year also represents the anticipated reserves of the fund.

Operating budgets shall be defined as regular recurring revenues and expenses required to maintain City Council adopted levels of service to citizens and the community. Non-recurring revenues and expenditures shall be defined as those of a one-time or short-term nature, generally associated with Interfund or External Advances and Loans, grant-funded services, subdivision development or capital improvement projects. All City funds should maintain an adequate reserve level and/or ending fund balance, as determined annually and as appropriate for each fund for the fiscal year. Any supplemental appropriations, after the budget is adopted, would decrease the reserves or projected ending fund balances.

Budget Control Procedures

The Finance Department maintains budgetary controls to ensure compliance with legal provisions embodied in the appropriated budget approved by the City Council. The level of budgetary control (the level at which expenditures cannot legally exceed the appropriated amount) is the department level and within a single fund. The City Manager may authorize transfers between administrative control accounts within a department or within a capital project. Supplemental appropriations during the year must be approved bythe City Council. Any transfer of appropriations between departments in the operating budget or between capital projects in the capital budget also requires City Council approval. These appropriations, representing

amendments to the budget during the year, could be significant in relationship to the original budget as adopted.

Base Budget and Augmentations

A base budget is developed for all operating programs for staff, contracted services and commodities accounts. Normally, the base is determined by utilizing the historical spending; but adjusted for an inflation factor plus the current budget amounts. The budget may also be adjusted for specific one-time items that are not considered to be ongoing.

For purposes of budget preparation and formulation, departmental budget submittals for a program consist of two parts: a base request and augmentation requests to enhance the budget. A program's base request details the fiscal resources necessary to carry on the program at its current level. An augmentation request for a program details the funding to increase or significantly change the program budget from its current level (beyond the base request). Usually the base budget and augmentation requests total more than the amount of funds available. Management reviews all augmentation requests in order to determine priority. If possible, high priority augmentations are included in the budget, while maintaining an acceptable reserve level.

Budget Year-End

Normally, budget appropriations are only valid for a fiscal year and budget authority lapses at the end of a fiscal year. Any unspent funds are returned to their respective fund balances. In certain cases, appropriations are carried over from the prior fiscal year. Such prior appropriations are carried over by the Finance Department without further Council action. Action by the City Council on the budget for a new fiscal year is concerned with new appropriations.

Prior fiscal year appropriations eligible to be carried over at year-end include any outstanding encumbrances for $1,000 or more against the operating budget still open at year-end. It is expected that these encumbrances will be expended in the new fiscal year. Also, unexpended capital budget appropriations are eligible to be carried over for uncompleted capital projects that are expected to be completed in the new or future fiscal years.

At the end of each fiscal year, the Finance Department requests that each department review its budget for items or programs for which any planned expenditure is pending. This may include a project, which has been initiated, or an item ordered but not completed or received. Administrative carryover requests are reviewed by the Finance Director to determine the merit of the request and to ensure funding is available. A recommendation is made to the City Manager on the carryover request; the City Manager then determines which requests are appropriate and authorizes the administrative carryover request.

Throughout the year, the City Council considers departmental requests for additional appropriations to fund activities not included in the adopted budget.

When carried over and budgeted in the new fiscal year, these appropriations shall be offset against the appropriate fund balance and/or revenue source. These carryovers may be made without further Council actions, since prior authorization has been given for these appropriations.

Budget and Financial Controls

The finance department is responsible for the City's budget and financial controls. Finance reviews purchasing transactions and payment requests for compliance with City's rules, regulations, and budgetary limits. Finance also administers the City's payable system to review, process, and pay purchasing transactions and expense claims. Finance receives and deposits all City receipts. City deposits are invested by the elected City Treasurer. Finance also maintains the records of all City receipts, outlays, and cash balances.

Monthly budget detail reports are prepared and distributed to department heads and program managers. These reports are organized by program and show the budget amount authorized as well as expenditures and encumbrances to date.

Finance, in conjunction with Human Resources, maintains a position control system based on the budget to control City staffing. Finance administers the City's payroll system to process personnel transactions and to review and pay personnel expenses.

In the course of the fiscal year, it may become necessary to amend, transfer, or adjust the amounts appropriated in the adopted budget. Any subsequent appropriation of additional monies for a fiscal year requires approval of the City Council. Any transfer of appropriations between departments in the operating budget or between capital projects in the capital budget also requires Council approval. However, the City Manager may approve transfers between administrative control accounts within a department or within a capital project.

Purchasing Policy

The City Council has an adopted Purchasing Policy, which establishes the procedures for the purchasing and contracting of goods and services, in the most economical manner possible, while mitigating risks over the procurement process, and complying with all applicable federal, state, and city laws and regulations. The policy establishes purchasing authority limits for Department Heads, City Manager, City Attorney, as well as establishes limits requiring City Council approval. The City's Purchasing Policy is implemented in accordance with the City's established Purchasing Ordinance.

Fund Balance Policy (GASB 54)

The City Council has an adopted Fund Balance Policy, which establishes the procedures for reporting fund balance in the City of Tracy financial statements. Certain commitments and assignments of fund balance will help ensure that there will be adequate financial resources to protect the City against unforeseen circumstances and events such as revenue shortfalls and unanticipated expenditures. The policy also authorizes and directs the Finance Director to prepare financial reports, which accurately categorize fund balance as per Governmental Accounting Standards Board (GASB) Statement No. 54, Fund Balance Reporting and Governmental Fund Type Definitions. This policy took effect for financial reports for fiscal year ending June 30, 2011.

Financial Structure and Operations

The City's accounting system is organized and operated on a "fund basis" with each fund distinct and self-balancing. A fund is "an independent fiscal and accounting entity used to record all financial transactions related to the specific purpose for which the fund was created". Funds are established for the purpose of

carrying on specific activities or attaining certain objectives in accordance with special regulations, legal restrictions or limitations. The City's annual operating budget is prepared in conformity with Generally Accepted Accounting Principles (GAAP).

ACCOUNTING POLICIES

The City provides a full range of services to its citizens. These include: police, fire, library, parks and recreation, public works, planning and zoning, water and wastewater utilities, economic development, and general administrative services.

Services are categorized into a departmental structure to afford similarity in service provided. Departments may receive funding from one or more funds. Through the budget process and ongoing activity, the City Council oversees the operation of the City and sets forth community priorities and policies to be implemented by the City Manager. The City Manager directs the departments in the administration of City programs and service delivery. The City Manager establishes administrative policy in areas under his/her purview.

To monitor and evaluate departmental effectiveness, a project performance measurement program has been initiated. Under this program, each department sets forth the projects and programs to be undertaken during the fiscal year. Projects and programs may be short-term, (with a specific completion date), or be ongoing programs. Each department's performance measurement directly ties city services and personnel to meeting one or more of the City Council's Strategic Goals.

Measurement Focus and Basis of Accounting

The City of Tracy's accounting records are maintained in full accordance with Generally Accepted Accounting Principles (GAAP), as established by the Government Accounting Standards Board (GASB). Governmental (General, Special Revenue, Debt Service and Capital Projects) Fund Types and Expendable Trust Funds are accounted for on a "current financial resources" measurement focus.

The modified accrual basis of accounting is followed by the Governmental and Expendable Trusts. Under the modified accrual basis of accounting, revenues are susceptible to accrual when they become both measurable and available. Available means collectible within the current period or soon enough thereafter to be used to pay liabilities of the current period. Accordingly, only current assets and current liabilities are included on their balance sheets. The reported fund balance provides an indication of available, spendable resources. Operating statements for Governmental Fund Types and Expendable Trust Funds report increases (revenues) and decreases (expenditures) in available spendable resources. Expenditures, other than interest on long-term debt, are recorded when a current liability is incurred. Liabilities are considered current when they are normally expected to be liquidated with expendable available financial resources.

The Proprietary (Enterprise and Internal Service) Funds are accounted for on an "economic resources" measurement focus. The Proprietary, Nonexpendable Trust, and Pension Trust Funds are accounted for using the accrual basis of accounting. Revenues or contributions are recognized when they are earned or due and expenses or benefits paid are recorded when the related liability is incurred. Accordingly, all assets and liabilities are included on the balance sheet, and the reported fund equity provides an indication of the economic net worth of the fund. Operating statements for Proprietary Funds report increases (revenues) and decreases (expenses) in total economic net worth.

In accordance with GASB Statement No. 20, Accounting and Financial Reporting for Proprietary Funds and Other Government Entities that use Proprietary Fund Accounting, the City applies all GASB pronouncements currently in effect as well as Financial Accounting Standards Board Statements and Interpretations, Accounting Principles Board Opinions and Accounting Research Bulletins of the Committee on Accounting Procedures issued on or before November 30, 1989, as long as they do not conflict with GASB pronouncements. The City applies FASB Statement No. 71 to its enterprise activity. Under FASB Statement No. 71, certain revenues and costs are deferred (under the regulation of City Council) as necessary to match revenues with related expenses.

Recognition

The Finance Department would like to acknowledge the enormous amount of work that city management staff put into this budget book. We appreciate and recognize their dedication and experience; and thank them for their unyielding support and assistance.

CITY OF TRACY

FUND RESERVE POLICY



RENEWED: MAY 18, 2021

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CITY OF TRACY COUNCIL
POLICY A-5 FUND RESERVE BALANCE MANAGEMENT POLICY
UPDATED: May 18, 2021

SECTION 1: PURPOSE

The purpose of this policy is for the City of Tracy to formally establish Contingency/Emergency and Economic/Budget Stability Reserves. The purpose of the Reserves is to mitigate the effect of unanticipated situations such as natural disasters and severe unforeseen events. Reserves also provide the City with stability in times of economic fluctuations that are non-reoccurring and/or unanticipated. Reserves help provide for a smooth, temporary transition to prevent drastic or devastating changes in service levels caused by changes in the City's financial situation. These reserves are presented as Committed Fund balance in fund financial statements and are adopted by City Council by resolution. The City Council may authorize the use of these reserves by declaring a Catastrophic and/or Fiscal Emergency with a four-fifths vote.

SECTION 2: POLICY

The City of Tracy is establishing reserves with the following designations:

FUND CASTASTROPHIC/EMERGENCY RESERVE:

The Catastrophic/Emergency Reserve funds help mitigate the effects of unanticipated situations such as natural disasters and severe, unforeseen events. The Catastrophic Reserve also serves as back-up liquidity to self-insured losses if this need were to arise.

The Catastrophic/Emergency Reserve is to be established with a target goal of 17% of the funds' adopted annual budget for operating expenditures and recurring transfers out.

The City Manager will first evaluate the City's financial condition and make a recommendation to City Council as to the need to make contributions to the Catastrophic Reserve or the possible need to access funds from the Catastrophic/Emergency Reserve. This action is done as part of the annual budget adoption; however, these actions can be completed at any time the City Manager deems necessary.

GENERAL FUND AND ENTERPRISE FUNDS ECONOMIC/BUDGET STABILIZATION RESERVE

The Economic/Budget Stabilization Reserve is to be established with a target goal of 13% of the funds' adopted annual budget for expenditures and recurring transfers out. The Economic/Budget Stabilization Reserve is intended to offset quantifiable revenue/expenditure uncertainty in the multi-year forecast thereby helping to stabilize service levels through economic cycles. The City should develop measures that collectively are necessary to assess City's fiscal sustainability.

These specific measures include:

• Projections of the major individual inflows of resources in dollars and as a percentage of total inflows of resources along with explanations of the known causes of resource fluctuations (including nonrecurring resources)

• Projections of the major individual outflows of resources by program or function in dollars and as a percentage of total outflows of resources along with explanations of the known causes of resource fluctuations

• Projections of major individual financial obligations and total financial obligations including bonds, pensions, OPEB, and long-term contracts

• Projections of annual debt service payments (principal and interest)

• Narrative discussion of the major intergovernmental service interdependencies that exist and the nature of those service interdependencies.

Stabilization amounts may be authorized as expended only when certain specific circumstances exist.

1) Revenue risks: Revenues falling short of budget projections may cause deficits. Transitional funding may be necessary to respond to reductions in major revenues due to local, regional, and national economic downturns. This may be due to a loss in housing values, a loss of a major employer, a loss of major retailor, a sudden spike in unemployment or inflation, and/or other major economic factors. This may also be due to state and/or voter initiatives; including changes in legislation, revenue sources that sunset or expire, or other political impacts to revenues that are outside of the control of the City Council.
   a) Projected fund revenue totals in aggregate are anticipated to decrease by 5% (or more) over the prior-year or decrease by 10% (or more) cumulative over three-years; excluding one-time revenue sources.
   b) Projected fund revenues from a single revenue source are anticipated to decrease by 10% (or more) over the prior-year or decrease by 15% over three-years; excluding one-time revenue sources.
2) Expenditure Risks: The City may require a source of supplemental funding for uncontrollable costs or contractually obligated costs. Such costs may include maintaining service levels.
   a) Projected fund expenditure totals (in aggregate) increase by 10% (or more) over the prior-year or increase by 15% over three-years; excluding one-time expenditure requests.
      i) Examples may include: major individual financial obligations and total financial obligations including bonds or other debt payments, employee contracts, and/or long-term contracts that create a deficit budget of more than one year.
   b) Projected fund expenditures from a single source are anticipated to increase by 10% (or more) over the prior-year or increase by 15% over three-years.
      i) Examples include, but are not limited to: unanticipated increases in CalPERS retirement rates resulting from CalPERS investment performance, or changes in actuarial assumptions CalPERS might impose.

ii) In addition, there may be other cost increases that are beyond the City's control (e.g., various fuel and utility charges).

3) Structural Deficit Risk: Economic factors may create a structural deficit when revenue growth does not keep pace with expenditure growth. The City may elect to use the Economic/Budget Stabilization Reserve for up to 24 months while a plan is developed to correct the deficit.

a) Projected fund expenditure totals (in aggregate) exceed incoming revenues by 5% (or more) over the prior-year or exceed by 10% over three-years; excluding one-time expenditure requests.

b) Need funding for an unanticipated major repair, purchase, or other maintenance charge creating a deficit of 5% of incoming revenues. The nature of these reserves is intended to stabilize the fund budget in the short-term to address non-routine or unanticipated changes in economic position. A regular 5-year forecast should be used to identify foreseeable changes in revenues and or expenditures. However, not all changes in economic and fiscal position are known. In those cases, the City Manager will first evaluate the City's financial condition and make a recommendation to City Council as the possible need to access funds from the Economic/Budget Stability Reserve. This action is done as part of the annual budget adoption; however, these actions can be completed at any time the City Manager deems necessary

ENTERPRISE CAPTIAL REPLENISHMENT RESERVE

The Capital Replenishment Reserve is to be established with a target goal of at least one year of capital depreciation expense in the City's Enterprise Funds. The Capital Replenishment Reserve is intended to offset potential failure of capital assets that were unplanned in order to continue providing services to the public. Capital Replenishment funds should hold at least one year of depreciations and should be supported by a multi-year forecast thereby helping to stabilize revenue and expenditures levels through on-going maintenance and capital replacement cycles. The City should develop measures that collectively are necessary to assess City's fiscal sustainability.

USE AND REPLENISHMENT OF RESERVES

The City Manager must present a report to the City Council to justify the use of the Catastrophic/Emergency Reserve and Economic/Budget Stabilization Reserve and the circumstances of the Catastrophic and/or Fiscal Emergency. All uses of the Catastrophic Reserve and Economic/Budget Stabilization Reserve must be approved by resolution of the City Council with a super majority (four-fifths) vote. Any such uses are to be repaid to the respective reserves over a period to be determined by the City Council at the time of usage approval, with a target repayment period of no more than five years.

YEAR-END EXCESS REVENUE

Year-end excess and/or one-time revenue under the proposed reserves structure would remain in the City's fund balance. As part of the annual budget adoption process, or at any time the City Manager deems necessary, the City Manager would recommend whether the excess funds could be allocated to other reserves, or used as one-time funding with consideration given to economic development and/or capital projects.

Resolution -2021-XX, Dated 05/18/2021

CITY OF TRACY

INVESTMENT POLICY



RENEWED: MAY 18, 2021

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CITY OF TRACY

COUNCIL POLICY

INVESTMENT POLICY A-2
RENEWED MAY 18, 2021

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I. INTRODUCTION

The purpose of this investment policy is to identify various policies and procedures that will foster a prudent and systematic investment program designed to seek the City of Tracy objectives of safety, liquidity and return on investment through a diversified investment portfolio. This policy also serves to organize and formalize the City of Tracy's investment-related activities, while complying with all applicable statutes governing the investment of public funds. This policy is written to incorporate industry best practices and recommendations from sources such as the Government Finance Officers Association (GFOA), California Municipal Treasurers Association (CMTA), California Debt and Investment Advisory Commission (CDIAC) and the Association of Public Treasurers (APT).

This investment policy was endorsed and adopted by the City Council and is effective as of the 16th day of June, 2020, and replaces any previous versions.

II. SCOPE

This policy covers all funds and investment activities under the direct authority of the City of Tracy, as set forth in the State Government Code, Sections 53600 *et seq*., with the following exceptions:

- Proceeds of debt issuance shall be invested in accordance with the City of Tracy's general investment philosophy as set forth in this policy; however, such proceeds are to be invested pursuant to the permitted investment provisions of their specific bond indentures.

- Any other funds specifically exempted by the City Council.

POOLING OF FUNDS

Except for cash in certain restricted and special funds, the City of Tracy will consolidate cash and reserve balances from all funds to maximize investment earnings and to increase efficiencies with regard to investment pricing, safekeeping and administration. Investment income will be allocated to the various funds based on their respective participation and in accordance with generally accepted accounting principles.

III. PRUDENCE

Pursuant to California Government Code, Section 53600.3, all persons authorized to make investment decisions on behalf of the City of Tracy are trustees and therefore fiduciaries subject to the *Prudent Investor Standard*:

> "…all governing bodies of local agencies or persons authorized to make investment decisions on behalf of those local agencies investing public funds pursuant to this chapter are trustees and therefore fiduciaries subject to the prudent investor standard. When investing, reinvesting, purchasing,

acquiring, exchanging, selling, or managing public funds, a trustee shall act with care, skill, prudence, and diligence under the circumstances then prevailing, including, but not limited to, the general economic conditions and the anticipated needs of the Agency, that a prudent person acting in a like capacity and familiarity with those matters would use in the conduct of funds of a like character and with like aims, to safeguard the principal and maintain the liquidity needs of the Agency. Within the limitations of this section and considering individual investments as part of an overall strategy, investments may be acquired as authorized by law."

The Treasurer and other authorized persons responsible for managing the City of Tracy's funds acting in accordance with written procedures and this investment policy and exercising due diligence shall be relieved of personal responsibility for an individual security's credit risk or market price changes provided that the Treasurer or other authorized persons acted in good faith. Deviations from expectations of a security's credit or market risk should be reported to the governing body in a timely fashion and appropriate action should be taken to control adverse developments.

IV. OBJECTIVES

The City of Tracy's overall investment program shall be designed and managed with a degree of professionalism worthy of the public trust. The overriding objectives of the program are to preserve principal, provide sufficient liquidity, and manage investment risks, while seeking a market-rate of return.

- **SAFETY.** Safety of principal is the foremost objective of the investment program. Investments will be undertaken in a manner that seeks to ensure the preservation of capital in the overall portfolio. To attain this objective, the City of Tracy will diversify its investments by investing funds among a variety of securities with independent returns.

- **LIQUIDITY.** The investment portfolio will remain sufficiently liquid to meet all operating requirements that may be reasonably anticipated.

- **RETURN ON INVESTMENTS.** The investment portfolio will be designed with the objective of attaining a market rate of return throughout budgetary and economic cycles, taking into account the investment risk constraints for safety and liquidity needs.

V. DELEGATION OF AUTHORITY

Authority to manage the City of Tracy's investment program is derived from California Government Code, Sections 41006 and 53600 *et seq.*

The City Council is responsible for the management of the City of Tracy's funds, including the administration of this investment policy. Management responsibility for the cash management of the City of Tracy's funds is hereby delegated to the Treasurer.

The Treasurer will be responsible for all transactions undertaken and will establish a system of procedures and controls to regulate the activities of subordinate officials and employees. Such procedures will include explicit delegation of authority to persons responsible for investment transactions. No person may engage in an investment transaction except as provided under the terms of this policy and the procedures established by the Treasurer.

The City of Tracy may engage the services of one or more external investment advisers, who are registered under the Investment Advisers Act of 1940, to assist in the management of the City of Tracy's investment portfolio in a manner consistent with the City of Tracy's objectives. External investment advisers may be granted discretion to purchase and sell investment securities in accordance with this investment policy.

The City of Tracy's overall investment program shall be designed and managed with a degree of professionalism that is worthy of the public trust. The City of Tracy recognizes that in a diversified portfolio, occasional measured losses may be inevitable and must be considered within the context of the overall portfolio's return and the cash flow requirements of the City of Tracy.

VI. ETHICS AND CONFLICTS OF INTEREST

All participants in the investment process shall act as custodians of the public trust. Investment officials shall recognize that the investment portfolio is subject to public review and evaluation. Thus employees and officials involved in the investment process shall refrain from personal business activity that could create a conflict of interest or the appearance of a conflict with proper execution of the investment program, or which could impair their ability to make impartial investment decisions.

Employees and investment officials shall disclose to the Treasurer any material interests in financial institutions with which they conduct business, and they shall further disclose any large personal financial/investment positions that could be related to the performance of the investment portfolio. Employees and officers shall refrain from undertaking any personal investment transactions with the same individual with whom business is conducted on behalf of the Agency.

VII. INTERNAL CONTROLS

The Treasurer has the authority to delegate the establishment and maintenance of an internal control structure designed to ensure that the assets of the entity are protected from loss, theft or misuse. The internal control structure shall be

designed to provide reasonable assurance that these objectives are met. The concept of reasonable assurance recognizes that (1) the cost of a control should not exceed the benefits likely to be derived; and (2) the valuation of costs and benefits requires estimates and judgments by management.

Periodically, as deemed appropriate by the City of Tracy and/or the City Council, an independent analysis by an external auditor shall be conducted to review internal controls, account activity and compliance with policies and procedures.

VIII. AUTHORIZED FINANCIAL INSTITUTIONS, DEPOSITORIES, AND BROKER/DEALERS

To the extent practicable, the Treasurer shall endeavor to complete investment transactions using a competitive bid process whenever possible. The City of Tracy's Treasurer will determine which financial institutions are authorized to provide investment services to the City of Tracy. It shall be the City of Tracy's policy to purchase securities only from authorized institutions and firms.

The Treasurer shall maintain procedures for establishing a list of authorized broker/dealers and financial institutions which are approved for investment purposes that are selected through a process of due diligence as determined by the City of Tracy. Due inquiry shall determine whether such authorized broker/dealers, and the individuals covering the City of Tracy are reputable and trustworthy, knowledgeable and experienced in Public Agency investing and able to meet all of their financial obligations. These institutions may include "primary" dealers or regional dealers that qualify under Securities and Exchange Commission (SEC) Rule 15c3-1 (uniform net capital rule).

In accordance with Section 53601.5, institutions eligible to transact investment business with the City of Tracy include:

- Primary government dealers as designated by the Federal Reserve Bank and non-primary government dealers.
- Nationally or state-chartered banks.
- The Federal Reserve Bank.
- Direct issuers of securities eligible for purchase.

Selection of financial institutions and broker/dealers authorized to engage in transactions will be at the sole discretion of the City of Tracy, except where the City of Tracy utilizes an external investment adviser in which case the Agency may rely on the adviser for selection.

All financial institutions which desire to become qualified bidders for investment transactions (and which are not dealing only with the investment adviser) must supply the Treasurer with audited financials and a statement certifying that the institution has reviewed the California Government Code, Section 53600 *et seq*. and the City of Tracy's investment policy. The Treasurer will conduct an annual review of the financial condition and registrations of such qualified bidders.

Public deposits will be made only in qualified public depositories as established by State law. Deposits will be insured by the Federal Deposit Insurance Corporation, or, to the extent the amount exceeds the insured maximum, will be collateralized in accordance with State law.

Selection of broker/dealers used by an external investment adviser retained by the City of Tracy will be at the sole discretion of the adviser. Where possible, transactions with broker/dealers shall be selected on a competitive basis and their bid or offering prices shall be recorded. If there is no other readily available competitive offering, best efforts will be made to document quotations for comparable or alternative securities. When purchasing original issue instrumentality securities, no competitive offerings will be required as all dealers in the selling group offer those securities at the same original issue price.

IX. AUTHORIZED INVESTMENTS

The City of Tracy's investments are governed by California Government Code, Sections 53600 *et seq*. Within the investments permitted by the Code, the City of Tracy seeks to further restrict eligible investments to the guidelines listed below. In the event a discrepancy is found between this policy and the Code, the more restrictive parameters will take precedence. Percentage holding limits listed in this section apply at the time the security is purchased.

Any investment currently held at the time the policy is adopted which does not meet the new policy guidelines can be held until maturity, and shall be exempt from the current policy. At the time of the investment's maturity or liquidation, such funds shall be reinvested only as provided in the current policy.

An appropriate risk level shall be maintained by primarily purchasing securities that are of high quality, liquid, and marketable. The portfolio shall be diversified by security type and institution to avoid incurring unreasonable and avoidable risks regarding specific security types or individual issuers.

1. **MUNICIPAL SECURITIES** include obligations of the City of Tracy, the State of California, any obligations of the treasuries or agencies of the other 49 states, and any local agency within the State of California, provided that:

    - The securities are rated in a rating category of "A" or its equivalent or better by at least one nationally recognized statistical rating organization

("NRSRO").
- No more than 5% of the portfolio may be invested in any single issuer.
- No more than 30% of the portfolio may be in Municipal Securities.
- The maximum maturity does not exceed five (5) years.

2. **U.S. TREASURIES** and other government obligations for which the full faith and credit of the United States are pledged for the payment of principal and interest. There are no limits on the dollar amount or percentage that the City of Tracy may invest in U.S. Treasuries, provided that:

   - The maximum maturity is five (5) years.

3. **FEDERAL AGENCIES** or United States Government-Sponsored Enterprise obligations, participations, or other instruments, including those issued by or fully guaranteed as to principal and interest by federal agencies or United States government-sponsored enterprises. There are no limits on the dollar amount or percentage that the City of Tracy may invest in Federal Agency or Government-Sponsored Enterprises (GSEs), provided that:
   - No more than 25% of the portfolio may be invested in any single Agency/GSE issuer.
   - The maximum maturity does not exceed five (5) years.

4. **BANKER'S ACCEPTANCES,** provided that**:**

   - They are issued by institutions which have short-term debt obligations rated "A-1" or its equivalent or better by at least one NRSRO; or long-term debt obligations which are rated in a rating category of "A" or its equivalent or better by at least one NRSRO.
   - No more than 40% of the portfolio may be invested in Banker's Acceptances.
   - No more than 5% of the portfolio may be invested in any single issuer.
   - The maximum maturity does not exceed 180 days.

5. **COMMERCIAL PAPER**, provided that the securities are issued by an entity that meets all of the following conditions in either paragraph (a) or (b) and other requirements specified below:

   a. **SECURITIES** issued by corporations:

      (i) A corporation organized and operating in the United States with assets more than $500 million.
      (ii) The securities are rated "A-1" or its equivalent or better by at least one NRSRO.
      (iii) If the issuer has other debt obligations, they must be rated in a rating category of "A" or its equivalent or better by at least one NRSRO.

b. **SECURITIES** issued by other entities:

(i) The issuer is organized within the United States as a special purpose corporation, trust, or limited liability company.
(ii) The securities must have program-wide credit enhancements including, but not limited to, overcollateralization, letters of credit, or a surety bond.
(iii) The securities are rated "A-1" or its equivalent or better by at least one NRSRO.

- City of Tracy may purchase no more than 10% of the outstanding commercial paper of any single issuer.
- No more than 25% of the portfolio may be invested in Commercial Paper.
- No more than 5% of the portfolio may be invested in any single issuer.
- The maximum maturity does not exceed 270 days.

6. **NEGOTIABLE CERTIFICATES OF DEPOSIT (NCDS)**, issued by a nationally or state-chartered bank, a savings association or a federal association, a state or federal credit union, or by a federally licensed or state-licensed branch of a foreign bank, provided that:

- The amount of the NCD insured up to the FDIC limit does not require any credit ratings.
- Any amount above the FDIC insured limit must be issued by institutions which have short-term debt obligations rated "A-1" or its equivalent or better by at least one NRSRO; or long-term obligations rated in a rating category of "A" or its equivalent or better by at least one NRSRO.
- No more than 30% of the total portfolio may be invested in NCDs (combined with CDARS).
- No more than 5% of the portfolio may be invested in any single issuer.
- The maximum maturity does not exceed five (5) years.

7. **FEDERALLY INSURED TIME DEPOSITS** (Non-Negotiable Certificates of Deposit) in state or federally chartered banks, savings and loans, or credit unions, provided that:

- The amount per institution is limited to the maximum covered under federal insurance.
- No more than 20% of the portfolio will be invested in a combination of federally insured and collateralized time deposits.

- The maximum maturity does not exceed five (5) years.

8. **COLLATERALIZED TIME DEPOSITS** (Non-Negotiable Certificates of Deposit) in state or federally chartered banks, savings and loans, or credit unions in excess of insured amounts which are fully collateralized with securities in accordance

with California law, provided that:

- No more than 20% of the portfolio will be invested in a combination of federally insured and collateralized time deposits.
- The maximum maturity does not exceed five (5) years.

9. **CERTIFICATE OF DEPOSIT PLACEMENT SERVICE (CDARS)**, provided that:

- No more than 30% of the total portfolio may be invested in a combination of Certificates of Deposit, including CDARS.
- The maximum maturity does not exceed five (5) years.

10. **COLLATERALIZED BANK DEPOSITS.** City of Tracy's deposits with financial institutions will be collateralized with pledged securities per California Government Code, Section 53651. There are no limits on the dollar amount or percentage that the City of Tracy may have in these deposits.

11. **REPURCHASE AGREEMENTS** collateralized with securities authorized under California Government Code, maintained at a level of at least 102% of the market value of the Repurchase Agreement. There are no limits on the dollar amount or percentage that the City of Tracy may invest, provided that:

- Securities used as collateral for Repurchase Agreements will be delivered to an acceptable third party custodian.
- Repurchase Agreements are subject to a Master Repurchase Agreement between the City of Tracy and the provider of the repurchase agreement. The Master Repurchase Agreement will be substantially in the form developed by the Securities Industry and Financial Markets Association (SIFMA).
- The maximum maturity does not exceed one (1) year.

12. **STATE OF CALIFORNIA LOCAL AGENCY INVESTMENT FUND (LAIF)**, provided that:

- The City of Tracy may invest up to the maximum amount permitted by LAIF.
- LAIF's investments in instruments prohibited by or not specified in the City of Tracy's policy do not exclude the investment in LAIF itself from the City of Tracy's list of allowable investments, provided LAIF's reports allow the Treasurer to adequately judge the risk inherent in LAIF's portfolio.

13. **LOCAL GOVERNMENT INVESTMENT POOLS**

- Other LGIPs permitted by client.
- There is no issuer limitation for Local Government Investment Pools

14. **CORPORATE MEDIUM TERM NOTES (MTNS)**, provided that:

- The issuer is a corporation organized and operating within the United States or by depository institutions licensed by the United States or any state and operating within the United States.
- The securities are rated in a rating category of "A" or its equivalent or better by at least one NRSRO.
- No more than 30% of the total portfolio may be invested in MTNs.
- No more than 5% of the portfolio may be invested in any single issuer.
- The maximum maturity does not exceed five (5) years.

15. **ASSET-BACKED, MORTGAGE-BACKED, MORTGAGE PASS-THROUGH SECURITIES, AND COLLATERALIZED MORTGAGE OBLIGATIONS FROM ISSUERS NOT DEFINED IN SECTIONS 3 AND 4 OF THE AUTHORIZED INVESTMENTS SECTION OF THIS POLICY**, provided that:

    - The securities are rated in a rating category of "AA" or its equivalent or better by a NRSRO.
    - No more than 20% of the total portfolio may be invested in these securities.
    - No more than 5% of the portfolio may be invested in any single Asset-Backed or Commercial Mortgage security issuer.
    - The maximum legal final maturity does not exceed five (5) years.

16. **MUTUAL FUNDS AND MONEY MARKET MUTUAL FUNDS** that are registered with the Securities and Exchange Commission under the Investment Company Act of 1940, provided that:

    a. **MUTUAL FUNDS** that invest in the securities and obligations as authorized under California Government Code, Section 53601 (a) to (k) and (m) to (q) inclusive and that meet either of the following criteria:

        1. Attained the highest ranking or the highest letter and numerical rating provided by not less than two (2) NRSROs; or
        2. Have retained an investment adviser registered or exempt from registration with the Securities and Exchange Commission with not less than five years' experience investing in the securities and obligations authorized by California Government Code, Section 53601 and with assets under management in excess of $500 million.
        3. No more than 10% of the total portfolio may be invested in shares of any one mutual fund.

    b. **MONEY MARKET MUTUAL FUNDS** registered with the Securities and Exchange Commission under the Investment Company Act of 1940 and issued by diversified management companies and meet either of the following criteria:

        1. Have attained the highest ranking or the highest letter and numerical rating provided by not less than two (2) NRSROs; or

2. Have retained an investment adviser registered or exempt from registration with the Securities and Exchange Commission with not less than five years' experience managing money market mutual funds with assets under management in excess of $500 million.
3. No more than 20% of the total portfolio may be invested in Money Market Mutual Funds.

c. No more than 20% of the total portfolio may be invested in these securities.

17. SUPRANATIONALS, provided that:

- Issues are US dollar denominated senior unsecured unsubordinated obligations issued or unconditionally guaranteed by the International Bank for Reconstruction and Development, International Finance Corporation, or Inter-American Development Bank.
- The securities are rated in a rating category of "AA" or its equivalent or better by a NRSRO.
- No more than 30% of the total portfolio may be invested in these securities.
- No more than 10% of the portfolio may be invested in any single issuer.
- The maximum maturity does not exceed five (5) years.

X. PROHIBITED INVESTMENT VEHICLES AND PRACTICES

- State law notwithstanding, any investments not specifically described herein are prohibited, including, but not limited to futures and options.
- In accordance with Government Code, Section 53601.6, investment in inverse floaters, range notes, or mortgage derived interest-only strips is prohibited.
- Investment in any security that could result in a zero interest accrual if held to maturity is prohibited.
- Trading securities for the sole purpose of speculating on the future direction of interest rates is prohibited.
- Purchasing or selling securities on margin is prohibited.
- The use of reverse repurchase agreements, securities lending or any other form of borrowing or leverage is prohibited.
- The purchase of foreign currency denominated securities is prohibited.

XI. INVESTMENT POOLS/MUTUAL FUNDS

The City of Tracy shall conduct a thorough investigation of any pool or mutual fund prior to making an investment, and on a continual basis thereafter. The Treasurer shall develop a questionnaire which will answer the following general questions:

1. A description of eligible investment securities, and a written statement of investment policy and objectives.
2. A description of interest calculations and how it is distributed, and how gains and losses are treated.

3. A description of how the securities are safeguarded (including the settlement processes), and how often the securities are priced and the program audited.
4. A description of who may invest in the program, how often, what size deposit and withdrawal are allowed.
5. A schedule for receiving statements and portfolio listings.
6. Are reserves, retained earnings, etc. utilized by the pool/fund?
7. A fee schedule, and when and how is it assessed.
8. Is the pool/fund eligible for bond proceeds and/or will it accept such proceeds?

XII. COLLATERALIZATION

CERTIFICATES OF DEPOSIT (CDS). The City of Tracy shall require any commercial bank or savings and loan association to deposit eligible securities with an agency of a depository approved by the State Banking Department to secure any uninsured portion of a Non-Negotiable Certificate of Deposit. The value of eligible securities as defined pursuant to California Government Code, Section 53651, pledged against a Certificate of Deposit shall be equal to 150% of the face value of the CD if the securities are classified as mortgages and 110% of the face value of the CD for all other classes of security.

COLLATERALIZATION OF BANK DEPOSITS. This is the process by which a bank or financial institution pledges securities, or other deposits for the purpose of securing repayment of deposited funds. The City of Tracy shall require any bank or financial institution to comply with the collateralization criteria defined in California Government Code, Section 53651.

REPURCHASE AGREEMENTS. The City of Tracy requires that Repurchase Agreements be collateralized only by securities authorized in accordance with California Government Code:

- The securities which collateralize the repurchase agreement shall be priced at Market Value, including any Accrued Interest plus a margin. The Market Value of the securities that underlie a repurchase agreement shall be valued at 102% or greater of the funds borrowed against those securities.
- Financial institutions shall mark the value of the collateral to market at least monthly and increase or decrease the collateral to satisfy the ratio requirement described above.
- The City of Tracy shall receive monthly statements of collateral.

XIII. DELIVERY, SAFEKEEPING AND CUSTODY

DELIVERY-VERSUS-PAYMENT (DVP). All investment transactions shall be conducted on a delivery-versus-payment basis.

SAFEKEEPING AND CUSTODY. To protect against potential losses due to failure of individual securities dealers, and to enhance access to securities, interest payments and maturity proceeds, all cash and securities in the City of Tracy's portfolio shall be held in safekeeping in the City of Tracy's name by a third party custodian, acting as agent for the City of Tracy under the terms of a custody agreement executed by the bank and the City of Tracy. All investment transactions will require a safekeeping receipt or acknowledgment generated from the trade. A monthly report will be received by the City of Tracy from the custodian listing all securities held in safekeeping with current market data and other information.

The only exceptions to the foregoing shall be depository accounts and securities purchases made with: (i) local government investment pools; (ii) time certificates of deposit, and, (iii) money mutual funds, since the purchased securities are not deliverable.

XIV. MAXIMUM MATURITY

To the extent possible, investments shall be matched with anticipated cash flow requirements and known future liabilities.

The City of Tracy will not invest in securities maturing more than five (5) years from the date of trade settlement, unless the City Council has by resolution granted authority to make such an investment.

XV. RISK MANAGEMENT AND DIVERSIFICATION

MITIGATING CREDIT RISK IN THE PORTFOLIO

Credit risk is the risk that a security or a portfolio will lose some or all of its value due to a real or perceived change in the ability of the issuer to repay its debt. The City of Tracy will mitigate credit risk by adopting the following strategies:

- The diversification requirements included in the "Authorized Investments" section of this policy are designed to mitigate credit risk in the portfolio.
- No more than 5% of the total portfolio may be deposited with or invested in securities issued by any single issuer unless otherwise specified in this policy.
- The City of Tracy may elect to sell a security prior to its maturity and record a capital gain or loss in order to manage the quality, liquidity or yield of the portfolio in response to market conditions or City of Tracy's risk preferences.
- If securities owned by the City of Tracy are downgraded by an NRSRO to a level below the quality required by this investment policy, making the security ineligible for additional purchases, the following steps will be taken:
    - Any actions taken related to the downgrade by the investment manager will be communicated to the Treasurer in a timely manner.

- If a decision is made to retain the security, the credit situation will be monitored and reported to the Council.

MITIGATING MARKET RISK IN THE PORTFOLIO

Market risk is the risk that the portfolio value will fluctuate due to changes in the general level of interest rates. The City of Tracy recognizes that, over time, longer-term portfolios have the potential to achieve higher returns. On the other hand, longer-term portfolios have higher volatility of return. The City of Tracy will mitigate market risk by providing adequate liquidity for short-term cash needs, and by making longer-term investments only with funds that are not needed for current cash flow purposes.

The City of Tracy further recognizes that certain types of securities, including variable rate securities, securities with principal paydowns prior to maturity, and securities with embedded options, will affect the market risk profile of the portfolio differently in different interest rate environments. The City of Tracy, therefore, adopts the following strategies to control and mitigate its exposure to market risk:

- The City of Tracy will maintain a minimum of six months of budgeted operating expenditures in short term investments to provide sufficient liquidity for expected disbursements.

- The maximum percent of callable securities (does not include “make whole call” securities as defined in the Glossary) in the portfolio will be 20%.

- The maximum stated final maturity of individual securities in the portfolio will be five (5) years, except as otherwise stated in this policy.

- The duration of the portfolio will be approximately equal to the duration (typically, plus or minus 20%) of a Market Benchmark, an index selected by the City of Tracy based on the City of Tracy’s investment objectives, constraints and risk tolerances.

XVI. REVIEW OF INVESTMENT PORTFOLIO

The Treasurer shall periodically, but no less than quarterly, review the portfolio to identify investments that do not comply with this investment policy and establish protocols for reporting major and critical incidences of noncompliance to the City Council.

XVII. PERFORMANCE EVALUATION

The investment portfolio shall be designed to attain a market-average rate of return throughout budgetary and economic cycles, taking into account the City of Tracy’s

risk constraints, the cash flow characteristics of the portfolio, and state and local laws, ordinances or resolutions that restrict investments.

The Treasurer shall monitor and evaluate the portfolio's performance relative to the chosen market benchmark(s), which will be included in the Treasurer's quarterly report. The Treasurer shall select an appropriate, readily available index to use as a market benchmark.

XVIII. REPORTING

MONTHLY REPORTS

Monthly transaction reports will be submitted by the Treasurer to the City Council within 60 days of the end of the reporting period in accordance with California Government Code Section 53607.

QUARTERLY REPORTS

The Treasurer will submit a quarterly investment report to the City Council which provides full disclosure of the City of Tracy's investment activities within 60 days after the end of the quarter. These reports will disclose, at a minimum, the following information about the City of Tracy's portfolio:

1. An asset listing showing par value, cost and independent third-party fair market value of each security as of the date of the report, the source of the valuation, type of investment, issuer, maturity date, interest rate and interest rate.

2. Transactions for the period.

3. A description of the funds, investments and programs (including lending programs) managed by contracted parties (i.e. LAIF; investment pools, outside money managers and securities lending agents)

4. A one-page summary report that shows:
   a. Average maturity of the portfolio and modified duration of the portfolio;
   b. Maturity distribution of the portfolio;
   c. Percentage of the portfolio represented by each investment category;
   d. Average portfolio credit quality; and,
   e. Time-weighted total rate of return for the portfolio for the prior one month, three months, twelve months and since inception compared to the City of Tracy's market benchmark returns for the same periods;

5. A statement of compliance with investment policy, including a schedule of any transactions or holdings which do not comply with this policy or with the California Government Code, including a justification for their presence in the portfolio and a timetable for resolution.

6. A statement that the City of Tracy has adequate funds to meet its cash flow requirements for the next six months.

ANNUAL REPORTS

A comprehensive annual report will be presented to the Investment Review Committee. The approved report will be presented to Council as a consent item with the purpose of the report being pulled for further discussion, if needed. This report will include comparisons of the City of Tracy's return to the market benchmark return, suggest policies and improvements that might enhance the investment program, and will include an investment plan for the coming year.

XIX. REVIEW OF INVESTMENT POLICY

The investment policy will be reviewed and adopted at least annually within 120 days of the end of the fiscal year, to ensure its consistency with the overall objectives of preservation of principal, liquidity and return, and its relevance to current law and financial and economic trends.

Any recommended modifications or amendments shall be presented by Staff to the City Council for their consideration and adoption.

CITY OF TRACY

DEBT POLICY



RENEWED: MAY 18, 2021

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CITY OF TRACY COUNCIL

POLICY A-6 DEBT

MANAGEMENT POLICY

Renewed: May 18, 2021

Article I
General

Section 1.01 Purpose

Local governments issue multiple types of debt in order to finance public projects and meet their funding needs. The City of Tracy (the "City") has previously issued debt and anticipates having to do so in the future. The City seeks to establish a Debt Management Policy (the "Debt Policy") to standardize and guide the issuance and management of debt by the City. The Debt Policy is subject to City Council approval, and may be amended by the Council as it deems appropriate from time to time in the prudent management of the debt of the City.

This Debt Policy is intended to comply with Government Code Section 8855(i), effective on January 1, 2017, and shall govern all debt undertaken by the City, however, circumstances and needs may arise in which Council may elect to deviate from these standards.

Section 1.02 Findings

The City hereby finds and recognizes that a fiscally prudent debt policy is required in order to:

- Maintain the City's sound financial position.
- Ensure that the City has the flexibility to respond to changes in future service priorities, revenue levels, and operating expenses.
- Protect the City's credit-worthiness.
- Ensure that all debt is structured in order to protect both current and future taxpayers, ratepayers and constituents of the City.
- Ensure that the City's debt is consistent with the City's planning goals and objectives and capital improvement program or budget, as applicable.

Article II
Debt Issuance and Management Policies

Section 2.01. Purposes for Which Debt May Be Issued

(A) Long-Term Debt. Long-term debt may be issued to finance the construction, acquisition, and rehabilitation of capital improvements and facilities, equipment and land to be owned and operated by the City.

(ii) Long-term debt financings are appropriate when the following conditions exist:

- When the project to be financed is necessary to provide basic services.
- When the project to be financed will provide benefit to constituents over multiple years.
- When total debt does not constitute an unreasonable burden to the City and its taxpayers and ratepayers.
- When the debt is used to refinance outstanding debt in order to produce debt service savings or to realize the benefits of a debt restructuring.

(iii) Long-term debt financings will not generally be considered appropriate for current operating expenses and routine maintenance expenses.

(iv) The City may use long-term debt financings subject to the following conditions:

- The project to be financed must be approved by the City Council.
- The weighted average maturity of the debt (or the portion of the debt allocated to the project) will not exceed the average useful life of the project to be financed by more than 20%.
- The City estimates that sufficient revenues will be available to service the debt through its maturity.
- The City determines that the issuance of the debt will comply with the applicable state and federal law.

(B) Short-term debt. Short-term debt may be issued to provide financing for the City's operational cash flows in order to maintain a steady and even cash flow balance. Short-term debt may also be used to finance short-lived capital projects; for example, the City may undertake lease-purchase financing for equipment.

(C) Financings on Behalf of Other Entities. The City may also find it beneficial to issue debt on behalf of other governmental agencies or private third parties in order to further the public purposes of City. In such cases, the City shall take reasonable steps to confirm the financial feasibility of the project to be financed and the financial solvency of any borrower and that the issuance of such debt is consistent with the policies set forth herein.

Article III
Types of Debt

Section 3.01: Allowable Use

The following types of debt are allowable under this Debt Policy:

- general obligation bonds
- bond or grant anticipation notes
- lease revenue bonds, certificates of participation and lease-purchase transactions
- other revenue bonds and certificates of participation
- tax and revenue anticipation notes
- land-secured financings, such as special tax revenue bonds issued under the Mello-Roos Community Facilities Act of 1982, as amended, and limited obligation

bonds issued under applicable assessment statutes

- tax increment financing to the extent permitted under state law
- conduit financings, such as financings for affordable rental housing and qualified 501 c3 organizations

Section 3.02 The City may from time to time find that other forms of debt would be beneficial to further its public purposes and may approve such debt without an amendment of this Debt Policy.

Section 3.03 Debt shall be issued as fixed rate debt unless the City makes a specific determination as to why a variable rate issue would be beneficial to the City in a specific circumstance.

Article IV
Relationship of Debt to Capital Improvement Program and Budget

Section 4.01 The City is committed to long-term capital planning. The City intends to issue debt for the purposes stated in this Debt Policy and to implement policy decisions incorporated in the City's capital budget and the capital improvement plan.

Section 4.02 The City shall strive to fund the upkeep and maintenance of its infrastructure, including but not limited to roadways, sidewalks, water, sewerage and storm drainage systems, etc. and facilities due to normal wear and tear through the expenditure of available operating revenues. The City shall seek to avoid the use of debt to fund infrastructure and facilities improvements that are the result of normal wear and tear.

Section 4.03 The City shall integrate its debt issuances with the goals of its capital improvement program by timing the issuance of debt to ensure that projects are available when needed in furtherance of the City's public purposes.

Section 4.04 The City shall seek to avoid the use of debt to fund infrastructure and facilities improvements in circumstances when the sole purpose of such debt financing is to reduce annual budgetary expenditures.

Section 4.05 The City shall seek to issue debt in a timely manner to avoid having to make unplanned expenditures for capital improvements or equipment from its General Fund.

Article V
Policy Goals Related to Planning Goals and Objectives

Section 5.01 The City is committed to long-term financial planning, maintaining appropriate reserves levels and employing prudent practices in governance, management and budget administration. The City intends to issue debt for the purposes stated in this Policy and to implement policy decisions incorporated in the City's annual operations budget.

Section 5.02 It is a policy goal of the City to protect taxpayers, ratepayers and constituents by utilizing conservative financing methods and techniques so as to obtain the highest practical credit ratings (if applicable) and the lowest practical borrowing costs.

Section 5.03 The City will comply with applicable state and federal law as it pertains to the maximum term of debt and the procedures for levying and imposing any related taxes, assessments, rates and charges.

Section 5.04 When refinancing debt, it shall be the policy goal of the City to realize, whenever possible, and subject to any overriding non-financial policy considerations, (i) minimum net present value debt service savings equal to or greater than 3.0% of the refunded principal amount, and (ii) present value debt service savings equal to or greater than 100% of any escrow fund negative arbitrage.

Article VI
Internal Control Procedures

Section 6.01 When issuing debt, in addition to complying with the terms of this Debt Policy, the City shall comply with any other applicable local, state and federal regulations and policies regarding initial bond disclosure, continuing disclosure, post-issuance compliance, and investment of bond proceeds.

Section 6.02 The City will periodically review the requirements of and will remain in compliance with the following:

- any continuing disclosure undertakings under SEC Rule 15c2-12,
- any federal tax compliance requirements, including without limitation arbitrage and rebate compliance, related to any prior bond issues, and
- the City's investment policies as they relate to the investment of bond proceeds.

Section 6.03 Whenever reasonably possible, proceeds of debt will be held by a third- party trustee and the City will submit written requisitions for such proceeds. The City will submit a requisition only after obtaining the signature of the City's Senior Accountant. In those cases where the City finds it is not reasonably possible for the proceeds of debt to be held by a third- party trustee, the City's Senior Accountant shall retain records of all expenditures of proceeds through the final payment date for the debt.

Adopted: May 18, 2021

Resolutions

May 18, 2021

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RESOLUTION 2021-047

AMENDING THE CITY OPERATING AND CAPITAL BUDGET FOR FISCAL YEAR 2020-21

WHEREAS, The City Council, on June 16, 2020, adopted the FY 2020-21 Operating and Capital Budget for the City of Tracy, and

WHEREAS, Based upon a review of revenues and expenditures, the City Manager has prepared and proposed additional amendments to the FY 2020-21 budget, and

WHEREAS, The City Council has considered information related to these matters, as presented at a public meeting of the City Council, including any supporting documents and reports by City staff, and any information provided during that public meeting, and

WHEREAS, The City Council has reviewed the level of budgeting control needed by the City Manager to ensure efficiency in managing the operations of the City, including the authorization of budget transfers between funds;

NOW, THEREFORE, BE IT RESOLVED, the City Council of the City of Tracy hereby adopts the Amended Municipal Budget for the Fiscal Year 2020-21 as evidenced by the attached summary of FY 2020-21 Revised General Fund Budget and Capital Improvement Projects Exhibit A.

* * * * * * * * * * *

The foregoing Resolution 2021-047 was passed and adopted by the City of Tracy Council on the 20th day if April, 2021, by the following vote:

AYES: COUNCIL MEMBERS: ARRIOLA, BEDOLLA, DAVIS, YOUNG

NOES: COUNCIL MEMBERS: NONE

ABSENT: COUNCIL MEMBERS: VARGAS

ABSTAIN: COUNCIL MEMBERS: NONE

MAYOR

ATTEST:

CITY CLERK

(in thousands)	2019/2020 (actuals)	2020/2021 (adopted)	2020/2021 (amended)
GENERAL FUND	87,138	78,927	84,220
DEVELOPMENT SERVICES	11,931	10,781	13,201
SCFA	6,448	6,672	6,675
GAS TAX	3,885	3,163	3,163
SPECIAL REVENUE	13,702	12,092	12,211
GEN FUND CAPITAL	19,213	5,323	10,817
CAPITAL	23,728	14,273	15,773
DEBT	35,791	6,143	6,143
INTERNAL SERVICES FUND	13,861	12,895	12,895
WASTEWATER	42,687	16,917	15,810
WATER	31,290	18,368	21,309
SOLID WASTE	23,514	21,731	21,731
STORM DRAIN	8,758	719	719
AIRPORT	2,903	1,176	1,102
TRANSIT	6,596	5,556	5,556
Total Revenue	**331,445**	**214,736**	**231,325**
GENERAL FUND	97,072	82,103	88,929
DEVELOPMENT SERVICES	11,241	10,693	12,638
SCFA	6,448	6,672	7,089
GAS TAX	2,129	4,090	5,298
SPECIAL REVENUE	13,756	6,889	9,040
GEN FUND CAPITAL	5,212	297	1,986
CAPITAL	34,615	10	5,603
DEBT	26,255	5,138	4,756
INTERNAL SERVICES FUND	11,780	13,591	14,095
WASTEWATER	31,595	15,659	44,363
WATER	18,156	18,788	19,211
SOLID WASTE	25,275	24,304	24,823
STORM DRAIN	952	685	644
AIRPORT	952	864	1,057
TRANSIT	4,573	7,008	7,827
Total Expenses	**290,011**	**196,791**	**247,358**
Surplus/(Deficit)	**41,434**	**17,945**	**(16,034)**

RESOLUTION 2021-060

APPROVING THE CITY OF TRACY DEBT AND INVESTMENT POLICIES FOR FY 2021-22

WHEREAS, The City has an adopted Investment Policy that provides guidance regarding investment of City funds which is consistent with the statues of California Government Code that governs the investment of public funds, and

WHEREAS, The City has an adopted Debt Policy that provides guidance regarding debts of City funds which is consistent with the statues of California Government Code that governs the debt management of public funds, and

WHEREAS, Annually the City Treasurer reviews this policy with support from its registered investment advisors for any changes that would require revisions to the investment policy, and

WHEREAS, The Debt and Investment Policies are consistent with the City Council's overall Strategic Priorities and Budget Principles to have a fiscally responsible and efficiently operated City organization that is committed to maintaining its fiscal health;

NOW, THEREFORE, BE IT RESOLVED That the City Council approves the City's Debt and Investment Policies for Fiscal Year 2021-22.

* * * * * * * * * * * * * *

The foregoing Resolution 2021-060 is hereby passed and adopted by the Tracy City Council this 18th day of May 2021, by the following vote:

AYES: COUNCIL MEMBERS: ARRIOLA, BEDOLLA, DAVIS, VARGAS, YOUNG

NOES: COUNCIL MEMBERS: NONE

ABSENT: COUNCIL MEMBERS: NONE

ABSTAIN: COUNCIL MEMBERS: NONE

MAYOR

ATTEST:

CITY CLERK

RESOLUTION 2021-061

A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF TRACY APPROVING THE FUND RESERVES POLICY EFFECTIVE FISCAL YEAR ENDING JUNE 30, 2021

WHEREAS, City of Tracy follows Governmental Accounting Standards Board (GASB) for the basis its financial reporting; and

WHEREAS, GASB issued Statement 54: Fund Balance Reporting and Governmental Fund Type Definition and the requirements of this Statement are effective for financial statements for periods beginning after June 15, 2010, and

WHEREAS, The objective of this Statement is to enhance the usefulness of fund balance information by providing clearer fund balance classifications that can be more consistently applied and by clarifying the existing governmental fund type definitions, and

WHEREAS, The City Council adopted Resolution No. 2021-23 adopting the City Council Budget Principles, and

WHEREAS, The City Council desires to be consistent with Government Finance Officer Association (GFOA) recommendations, a funds' Catastrophic/Emergency Reserve should be maintained at a minimum of 17% of annual operating revenue/expenditure, in addition, it recommends that a funds' Economic/Budget Stabilization reserve which should be maintained at a minimum of 13% of annual operating revenue/expenditure;

WHEREAS, The City Council desires to be consistent with Government Finance Officer Association (GFOA) recommendations that a fund's Capital Replenishment reserves should be maintained at a minimum of one year's annual capital depreciations;

NOW, THEREFORE, BE IT RESOLVED THAT the City Council of the City of Tracy hereby approves the attached amended Fund (Reserve) Balance Policy.

* * * * * * * * * * * * * *

The foregoing Resolution 2021-061 is hereby passed and adopted by the Tracy City Council this 18th day of May, 2021, by the following vote:

AYES: COUNCIL MEMBERS: ARRIOLA, BEDOLLA, DAVIS, VARGAS, YOUNG

NOES: COUNCIL MEMBERS: NONE

ABSENT: COUNCIL MEMBERS: NONE

ABSTAIN: COUNCIL MEMBERS: NONE

Mary D. Young
MAYOR

ATTEST:

CITY CLERK

SUBJECT: Council Fund Committed Reserve Policy

DATE UPDATED May 18, 2021

SECTION: A-5

SECTION 1: PURPOSE

The purpose of this policy is for the City of Tracy to formally establish Contingency/Emergency and Economic/Budget Stability Reserves. The purpose of the Reserves is to mitigate the effect of unanticipated situations such as natural disasters and severe unforeseen events. Reserves also provide the City with stability in times of economic fluctuations that are non-reoccurring and/or unanticipated. Reserves help provide for a smooth, temporary transition to prevent drastic or devastating changes in service levels caused by changes in the City's financial situation. These reserves are presented as Committed Fund balance in fund financial statements and are adopted by City Council by resolution. The City Council may authorize the use of these reserves by declaring a Catastrophic and/or Fiscal Emergency with a four-fifths vote.

SECTION 2: POLICY

The City of Tracy is establishing reserves with the following designations:

FUND CASTASTROPHIC/EMERGENCY RESERVE:

The Catastrophic/Emergency Reserve funds help mitigate the effects of unanticipated situations such as natural disasters and severe, unforeseen events. The Catastrophic Reserve also serves as back-up liquidity to self-insured losses if this need were to arise.

The Catastrophic/Emergency Reserve is to be established with a target goal of 17% of the funds' adopted annual budget for operating expenditures and recurring transfers out.

The City Manager will first evaluate the City's financial condition and make a recommendation to City Council as to the need to make contributions to the Catastrophic Reserve or the possible need to access funds from the Catastrophic/Emergency Reserve. This action is done as part of the annual budget adoption; however, these actions can be completed at any time the City Manager deems necessary.

GENERAL FUND AND ENTERPRISE FUNDS ECONOMIC/BUDGET STABILIZATION RESERVE

The Economic/Budget Stabilization Reserve is to be established with a target goal of 13% of the funds' adopted annual budget for expenditures and recurring transfers out. The Economic/Budget Stabilization Reserve is intended to offset quantifiable revenue/expenditure uncertainty in the multi-year forecast thereby helping to stabilize service levels through economic cycles. The City should develop measures that collectively are necessary to assess City's fiscal sustainability.

These specific measures include:

• Projections of the major individual inflows of resources in dollars and as a percentage of total inflows of resources along with explanations of the known causes of resource fluctuations (including nonrecurring resources)

• Projections of the major individual outflows of resources by program or function in dollars and as a percentage of total outflows of resources along with explanations of the known causes of resource fluctuations

• Projections of major individual financial obligations and total financial obligations including bonds, pensions, OPEB, and long-term contracts

• Projections of annual debt service payments (principal and interest)

• Narrative discussion of the major intergovernmental service interdependencies that exist and the nature of those service interdependencies.

Stabilization amounts may be authorized as expended only when certain specific circumstances exist.

1) Revenue risks: Revenues falling short of budget projections may cause deficits. Transitional funding may be necessary to respond to reductions in major revenues due to local, regional, and national economic downturns. This may be due to a loss in housing values, a loss of a major employer, a loss of major retailor, a sudden spike in unemployment or inflation, and/or other major economic factors. This may also be due to state and/or voter initiatives; including changes in legislation, revenue sources that sunset or expire, or other political impacts to revenues that are outside of the control of the City Council.
   a) Projected fund revenue totals in aggregate are anticipated to decrease by 5% (or more) over the prior-year or decrease by 10% (or more) cumulative over three-years; excluding one-time revenue sources.
   b) Projected fund revenues from a single revenue source are anticipated to decrease by 10% (or more) over the prior-year or decrease by 15% over three-years; excluding one-time revenue sources.
2) Expenditure Risks: The City may require a source of supplemental funding for uncontrollable costs or contractually obligated costs. Such costs may include maintaining service levels.
   a) Projected fund expenditure totals (in aggregate) increase by 10% (or more) over the prior-year or increase by 15% over three-years; excluding one-time expenditure requests.
      i) Examples may include: major individual financial obligations and total financial obligations including bonds or other debt payments, employee contracts, and/or long-term contracts that create a deficit budget of more than one year.
   b) Projected fund expenditures from a single source are anticipated to increase by 10% (or more) over the prior-year or increase by 15% over three-years.

i) Examples include, but are not limited to: unanticipated increases in CalPERS retirement rates resulting from CalPERS investment performance, or changes in actuarial assumptions CalPERS might impose.
ii) In addition, there may be other cost increases that are beyond the City's control (e.g., various fuel and utility charges).

3) Structural Deficit Risk: Economic factors may create a structural deficit when revenue growth does not keep pace with expenditure growth. The City may elect to use the Economic/Budget Stabilization Reserve for up to 24 months while a plan is developed to correct the deficit.
   a) Projected fund expenditure totals (in aggregate) exceed incoming revenues by 5% (or more) over the prior-year or exceed by 10% over three-years; excluding one-time expenditure requests.

   b) Need funding for an unanticipated major repair, purchase, or other maintenance charge creating a deficit of 5% of incoming revenues. The nature of these reserves is intended to stabilize the fund budget in the short-term to address non-routine or unanticipated changes in economic position. A regular 5-year forecast should be used to identify foreseeable changes in revenues and or expenditures. However, not all changes in economic and fiscal position are known. In those cases, the City Manager will first evaluate the City's financial condition and make a recommendation to City Council as the possible need to access funds from the Economic/Budget Stability Reserve. This action is done as part of the annual budget adoption; however, these actions can be completed at any time the City Manager deems necessary

ENTERPRISE CAPTIAL REPLENISHMENT RESERVE

The Capital Replenishment Reserve is to be established with a target goal of at least one year of capital depreciation expense in the City's Enterprise Funds. The Capital Replenishment Reserve is intended to offset potential failure of capital assets that were unplanned in order to continue providing services to the public. Capital Replenishment funds should hold at least one year of depreciations and should be supported by a multi-year forecast thereby helping to stabilize revenue and expenditures levels through on-going maintenance and capital replacement cycles. The City should develop measures that collectively are necessary to assess City's fiscal sustainability.

USE AND REPLENISHMENT OF RESERVES

The City Manager must present a report to the City Council to justify the use of the Catastrophic/Emergency Reserve and Economic/Budget Stabilization Reserve and the circumstances of the Catastrophic and/or Fiscal Emergency. All uses of the Catastrophic Reserve and Economic/Budget Stabilization Reserve must be approved by resolution of the City Council with a super majority (four-fifths) vote. Any such uses are to be repaid to the respective reserves over a

period to be determined by the City Council at the time of usage approval, with a target repayment period of no more than five years.

YEAR-END EXCESS REVENUE

Year-end excess and/or one-time revenue under the proposed reserves structure would remain in the City's fund balance. As part of the annual budget adoption process, or at any time the City Manager deems necessary, the City Manager would recommend whether the excess funds could be allocated to other reserves, or used as one-time funding with consideration given to economic development and/or capital projects.

Resolution -2021-061, Dated 05/18/2021

RESOLUTION 2021-062

A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF TRACY
ADOPTING THE OPERATING, DEBT AND CAPITAL BUDGET
FOR FISCAL YEAR 2021-2022

WHEREAS, Tracy Municipal Code section 2.12.050 requires the City Manager of the City of Tracy to submit a proposed budget to the City Council, and

WHEREAS, The City Manager presented a proposed budget for fiscal year 2021-22 to the City Council on March 16, 2021, March 23, 2021 and April 20, 2021 during budget and Capital Improvement Plan Workshops, for all Municipal Funds, and

WHEREAS, On May 18, 2021 the City Manager proposed the adoption of the Financial Plan along with specific adjustments directed by the City Council and listed in the attached Financial Plan Summaries attached to this resolution and hereby included herein, and

WHEREAS, The City Council has reviewed the employee positions listed in the budget document and has considered authorizing the City Manager to fill those positions not specifically exempted, provided said positions have sufficient appropriations, and

WHEREAS, The City Council has reviewed the level of budgeting control needed by the City Manager to ensure efficiency in managing the operations of the City, including the authorization of budget transfers within a single fund and within given appropriations of said fund, and

WHEREAS, The City's General Fund revenues have been negatively impacted by the economic impacts related to the COVID-19 public health emergency which has created a net loss to the General Fund budget of approximately $2.3 million, and

WHEREAS, The City's General Fund budget included cost savings measures carried over from prior year to mitigate the budget deficit; which includes;

- Continued a Citywide hiring freeze of select vacant positions ($3.5 million)
- Suspend Citywide vehicle and equipment contributions ($2.1million)
- Exhaust Remaining Balance of General Fund 13% Economic/ Budget Stabilization Reserve ($1 million)
- Anticipate additional revenue recovery/expenditure savings ($1 million)
- Restored MCYSN Grant Allocation Process, $200,000
- Includes new funding for facility management and homelessness support services for the Arbor Road Temporary Emergency Housing Facility, $600,000

WHEREAS, The City Council further desires that the General Fund 13% Economic/Budget Stabilization Reserve ($10.6 million) be replenished within 5 years or less (FYE 2026), and

NOW, THEREFORE, BE IT RESOLVED that the City Council of the City of Tracy hereby adopts the City of Tracy FY 2021-22 Operating and Capital budget estimated to be $290.2 million, with a General Fund Budget of $78 million (net of transfers), including $77.4 million in capital projects;

BE IT FURTHER RESOLVED that the City Council, through a majority vote of the City Council, has provided partial funding through the one-time use of the General Fund's 13% Economic/Budget Stabilization Reserve to reduce the unfunded portion of the FY 2020-21 General Fund appropriations; these funds should be replenished within five (5) years or less (FYE 2026).

****

The foregoing Resolution 2021-062 is hereby passed and adopted by the Tracy City Council this 18th day of May, 2021, by the following vote:

AYES: COUNCIL MEMBERS: ARRIOLA, BEDOLLA, DAVIS, VARGAS, YOUNG

NOES: COUNCIL MEMBERS: NONE

ABSENT: COUNCIL MEMBERS: NONE

ABSTAIN: COUNCIL MEMBERS: NONE

MAYOR

ATTEST:

CITY CLERK

RESOLUTION 2021-078

APPROVING THE COMMITTED FUND BALANCES FOR FISCAL YEAR ENDING JUNE 30, 2021

WHEREAS, City of Tracy follows Governmental Accounting Standards Board (GASB) for the basis its financial reporting, and

WHEREAS, GASB issued Statement 54: Fund Balance Reporting and Governmental Fund Type Definition and the requirements of this Statement are effective for financial statements for periods beginning after June 15, 2010, and

WHEREAS, The objective of this Statement is to enhance the usefulness of fund balance information by providing clearer fund balance classifications that can be more consistently applied and by clarifying the existing governmental fund type definitions, and

WHEREAS, The City Council through budget adoption, committed one-time reserves to capital improvement projects, and

WHEREAS, The City Council has committed revenues collected from the passage of Measure V to amenitizing the City of Tracy;

NOW, THEREFORE, BE IT RESOLVED THAT the City Council of the City of Tracy hereby approves the attached list as the Committed Fund Balances for the City's governmental funds, including the General Fund and Special Revenue funds for June 30, 2021.

* * * * * * * * * * * * *

The foregoing Resolution 2021-078 is hereby passed and adopted by the Tracy City Council this 15th day of June 2021, by the following vote:

AYES: COUNCIL MEMBERS: BEDOLLA, DAVIS, VARGAS, YOUNG

NOES: COUNCIL MEMBERS: NONE

ABSENT: COUNCIL MEMBERS: ARRIOLA

ABSTAIN: COUNCIL MEMBERS: NONE

MAYOR

ATTEST:

CITY CLERK

GASB 54 Committed Fund Balance for FY20/21
06/7/2021

Dept	Fund	Fund Name	Vendor Name	Item Description	Amount
	GENERAL FUNDS				
City Manager's Office	125	Central Administration	Management Partners, Inc	2020 Performance Measurement Plan	25,900
City Manager's Office	125	Central Administration	Policy Confluence Inc (POLCO)	2021 Resident Survey, Reference q	26,000
Development Services	101	General - Main	Viking Staffing CA LLC	TEMPORARY HELP FOR DEVELOPMENT SE	20,258
Ecominic Development	101	General - Main	CoStar Realty Information, In	CoStar Suite 07/01/2020-07/31/202	1,040
Finance	125	Central Administration	NBS	Fee study and Cost Allocation	12,750
Finance	125	Central Administration	Hinderliter, deLlamas & Assoc	Business License, Cannabis and Tr	10,000
Finance	125	Central Administration	Hinderliter, deLlamas & Assoc	Cannabis Management Program consu	6,700
Finance	125	Central Administration	Goodwin Consulting Group Inc	Studies requested by the CM on Tr	46,581
Finance	125	Central Administration	Municipal Resource Group LLC	Fiscal analysis impacts of Fire transistion	33,775
Finance	125	Central Administration	Management Partners, Inc	Fiscal Sustainability Committee	26,645
Human Resources	125	Central Administration	Tripepi Smith	Recruitment Services	9,500
Human Resources	125	Central Administration	Liebert Cassidy Whitmore	Litigation/ Arbitration for vario	10,000
Human Resources	125	Central Administration	Koff And Associates Inc	Consultant services - classificat	2,710
Human Resources	125	Central Administration	Burke, Williams & Sorensen, L	Legal services for labor negotiat	82,461
Human Resources	125	Central Administration	Michael J Dever	Emergency Management Consulting	25,000
Human Resources	125	Central Administration	Van Dermyden Maddux Law Corpo	Workplace Investigations FY 20/21	15,923
Public Works	101	General - Main	David Yosso	Standard - Parks - Thoming Park M	4,997
				Total General Fund	360,240
	OTHER GIVERNMENTAL FUNDS				
City Manager's Office	252	COVID Relief Funds	NWN Corporation	24 HP Laptops (CARES Funding) New	45,851
City Manager's Office	295	Cable TV	NWN Corporation	New Quote #Q-19393 new model of c	4,039
Development Services	207	CD-Planning Fees	PlaceWorks Inc	WORKFORCE AFFORDABLE HOUSING ASSI	195,570
Development Services	207	CD-Planning Fees	Land Logistics Inc	PEREIRA MINE SMARA INSPECTIONS	3,075
Development Services	207	CD-Planning Fees	De Novo Planning Group Inc	Transit-Oriented Development Plan	20,896
Development Services	207	CD-Planning Fees	FirstCarbon Solutions Inc	PREPARE ENVIRONMENTAL DOCS FOR TM	47,136
Development Services	332	Ellis Area Drainage	Associated Right Of Way Servi	FIRE STATION AND DET. BASIN 3A TO	5,621
Development Services	391	Program Mgmt	Kimley-Horn & Associates Inc	INFRASTRUCTURE MASTER PLAN UPDATE	5
Development Services	391	Program Mgmt	Kimley-Horn & Associates Inc	INFRASTUCTURE MASTER PLAN UPDATES	73,610
Ecominic Development	268	Comm Devel Block Grants	National Council for Communit	Consulting Services, related to t	20,159
Public Works	231	Asset Forfeiture	EVO-Emergency Vehicle Outfitt	Standard Purchase - Fleet K9 equi	4,500
				Total Other Governmental Funds	420,461
	ALL OTHER FUNDS				
Dept	Fund		Vendor Name	**ALL OTHER FUNDS**	PO Balance
City Manager's Office	627	Self Insurance	Tetra Tech Inc	Consultant services to perform an	33,125
City Manager's Office	602	Information Services	DocuSign Inc	eSignature Enterprise Pro for Gov	3,081
Human Resources	627	Self Insurance	Adapa & Agastya Medical Assoc	pre-employment and post employmen	7,088
Human Resources	627	Self Insurance	Jocelyn E Roland, PHD., ABPP	Pre-employment psychological eval	6,300
Public Works	605	Equipment Replacement	Downtown Ford	(1) 2020 Ford F450 4x4 with Right	77,000
Public Works	606	Vehicle Replacement	EVO-Emergency Vehicle Outfitt	Install Police Equipment for Ford	24,263
Public Works	511	Water Operating	Downtown Ford	Replace damaged Assest 2311 Purch	25,669
Public Works	606	Vehicle Replacement	Downtown Ford	Replace damaged Assest 2266 Purch	65,669
Public Works	615	Building Maintenance	Champion Industrial Contracto	Intermediate-Bld-replace AC units	21,044
Public Works	615	Building Maintenance	Bicsec Security Inc	BLD- Water Plant Fire System ^BD0	8,955
Public Works	531	Solid Waste Disposal	HF&H Consultants LLC	Created NEW PO per Finance. Prior	6,570
Public Works	541	Drainage	San Joaquin County Department	Drainage Maint- Lammers Rd Westsi	6,450
Public Works	601	Central Garage	EVO-Emergency Vehicle Outfitt	Light bar replacement for FD Asse	5,254
Public Works	615	Building Maintenance	Intelligent Technologies And	BLD-Fire Alarm Panel Repair Servi	2,500
Utilities	511	Water Operating	Byron-Bethany Irrigation Dist	Bulk Water Purchase FY 20/21	125,000
Utilities	511	Water Operating	Calgon Carbon Corporation	Emergency Repair of Filters 4, 5,	89,860
Utilities	511	Water Operating	Cavanaugh & Associates, P.A.	Services to prepare Annual Water	2,500
Utilities	521	Wastewater Operating	CH2M Hill Inc	Professional Services to prepare	215,000
Utilities	521	Wastewater Operating	CH2M Hill Inc	Initial Services for NPDES Permit	8,511
Utilities	511	Water Operating	Eaton Corporation	Proposal #SFK1201111-03DJE WTP Ma	21,500
Utilities	511	Water Operating	Eaton Corporation	Negotiation Number: SFK1-210304-0	2,565
Utilities	511	Water Operating	Eaton Corporation	Negotiation Number: SFK1-210304-0	3,555
Utilities	511	Water Operating	EKI Environment & Water Inc	Professional Services to prepare	15,298
Utilities	521	Wastewater Operating	EKI Environment & Water Inc	Professional Services to prepare	2,522
Utilities	521	Wastewater Operating	Environmental Logistics, Inc.	On-call Services re Waste Removal	183,733
Utilities	511	Water Operating	Environmental Logistics, Inc.	Hazardous Waste Material Removal	5,000
Utilities	521	Wastewater Operating	Frank A Olsen Co	Replace Filter Valve Seats	22,500
Utilities	521	Wastewater Operating	Frank A Olsen Co	42" Dezurik Valve Quote 14184 12-	17,984
Utilities	521	Wastewater Operating	GP Crane & Hoist Services	Inspection Repairs per Proposal #	12,800
Utilities	521	Wastewater Operating	GSE Construction Co., Inc.	Out to Bid (Deadline 4/26) Remove	80,000
Utilities	521	Wastewater Operating	Hach Company	Replacement Turbidity Meters	50,000
Utilities	511	Water Operating	Howk Systems	Emergency Service Work on WTP Pro	15,000
Utilities	511	Water Operating	Howk Systems	Critical Service on Production We	10,000
Utilities	511	Water Operating	Howk Systems	Estimate Well #2 Rebuild	49,955
Utilities	511	Water Operating	Howk Systems	Estimate Well #2 Rehab	46,911

GASB 54 Committed Fund Balance for FY20/21
06/7/2021

Dept	Fund	Fund Name	Vendor Name	Item Description	Amount
Utilities	511	Water Operating	Howk Systems	Estimate Well #3 Rebuild	77,514
Utilities	511	Water Operating	Howk Systems	Estimate Well #3 Rehab	46,911
Utilities	511	Water Operating	Infosend Inc	Annual CCR printing and insertion	2,762
Utilities	511	Water Operating	Jarvis Fay & Gibson LLP	Attorney Fees - Amendment 1 to PS	48,117
Utilities	511	Water Operating	Jarvis Fay & Gibson LLP	Attorney Fees re Kessner vs. City	251
Utilities	521	Wastewater Operating	Larry Walker Associates	Consultant services for Delta RMP	19,969
Utilities	627	Self Insurance	McCain, Inc	McCain 2070 traffic controller	3,281
Utilities	521	Wastewater Operating	Mountain Cascade Inc	Valve Replacement Tertiary Filter	88,746
Utilities	511	Water Operating	MRC Global (US) Inc	WTP Maint - Limitorque Valve Actu	8,455
Utilities	511	Water Operating	MRC Global (US) Inc	Quote #7182195 LIMITORQUE MXCK-22	5,897
Utilities	521	Wastewater Operating	Muniquip LLC	76QP7.5 Gearbox Replacement	65,000
Utilities	521	Wastewater Operating	Muniquip LLC	Parts for Primary Effluent Pump P	12,000
Utilities	541	Drainage	National CineMedia LLC	Advertising & Production Fee re S	9,112
Utilities	521	Wastewater Operating	Patriot Environmental Service	Install Metal Storage Structure	7,500
Utilities	521	Wastewater Operating	Raftelis Financial Consultant	Professional Services to perform	25,909
Utilities	521	Wastewater Operating	RESA Power Solutions - Transf	Quote 341116 Install Sampling Por	5,756
Utilities	521	Wastewater Operating	RF Macdonald Company	Semi-Annual - WWTP Maint - Boiler	5,000
Utilities	521	Wastewater Operating	RF Macdonald Company	Fan Motor for Boiler #2 Quote MP	3,000
Utilities	521	Wastewater Operating	Semitorr Group Inc	Quote: Biogas System Maintenance	42,000
Utilities	521	Wastewater Operating	Shape Inc	WWTP Maint - Rebuild Pump #1 Larc	35,875
Utilities	521	Wastewater Operating	Shape Inc	Quote FL Larch Road Sanitary Sewe	14,000
Utilities	627	Self Insurance	St Francis Electric LLC	subrogation 20-4535 Tracy Blvd &	29,650
Utilities	521	Wastewater Operating	Stockton Industrial Supply In	Quote 16878 15' Water Gate for He	6,436
				Total All Other Funds	1,836,302
				Grand Total	2,617,003

RESOLUTION 2021-079

ESTABLISHING FISCAL YEAR 2021-22 APPROPRIATIONS LIMIT PURSUANT TO ARTICLE XIIIB OF THE CALIFORNIA STATE CONSTITUTION

WHEREAS, Article XIIIB of the Constitution of the State of California provides that the total amount of appropriations subject to limitation of the State and of each local government shall not exceed the appropriations limit of such entity of government for the prior year adjusted for changes in the cost of living and population except as otherwise provided in said Article XIIIB, and

WHEREAS, Pursuant to said Article XIIIB of the Constitution of the State of California, the City Council of the City of Tracy deems it to be in the best interest of the City of Tracy to establish an appropriations limit for the FY 2021-22, and

WHEREAS, The Finance Director of the City of Tracy has determined that the percentage change in per capita income and the city population is the preferred method of calculation in the cost of living adjustment, and

WHEREAS, The calculation results in an appropriation limit of $79,082,780, and

WHEREAS, The City Council adopted the FY 2021-22 budget on May 18, 2021, and

WHEREAS, The City has $64,289,152 in appropriations subject to the limit for FY 2021-22, and

WHEREAS, The City is currently $14,793,628 below the established limit;

NOW, THEREFORE, BE IT RESOLVED that the City Council of the City of Tracy hereby establishes the FY 2021-22 appropriations limit in the amount of $79,082,780 pursuant to Article XIIIB of the California State Constitution.

* * * * * * * * * * * * * *

The foregoing Resolution 2021-079 is hereby passed and adopted by the Tracy City Council this 15th day of June 2021, by the following vote:

AYES: COUNCIL MEMBERS: BEDOLLA, DAVIS, VARGAS, YOUNG

NOES: COUNCIL MEMBERS: NONE

ABSENT: COUNCIL MEMBERS: ARRIOLA

ABSTAIN: COUNCIL MEMBERS: NONE

MAYOR

ATTEST:

CITY CLERK

ATTACHMENT A

CITY OF TRACY
2021-22 Appropriations Limit Calculation

Description		Amount
2020-21	Appropriations Limit	$ 72,717,205
2021-22	City population	1.0286
2021-22	Per capita income	1.0573
2021-22	**Appropriations Limit**	**$ 79,082,780**

2021-22 Projected Tax Revenues

Description	Amount
Property Taxes	$ 28,114,371
Sales & Use Tax	41,222,084
Transient Occupancy Tax	1,600,000
Franchise Fees	3,788,942
Business License Tax	770,092
Real Property Transfer Tax	1,316,930
Interest Earnings	598,139

2021-22 Projected Qualifying Expenses

Exemptions		
Debt Service	(1,121,406)	
Qualified Capital Outlays	(12,000,000)	
Appropriations Subject to Limitation		**$ 64,289,152**
Margin		**$ 14,793,628**

RESOLUTION 2021-091

AMENDING THE CITY'S OPERATING AND CAPITAL BUDGET FOR FISCAL YEARS 2020 -21 AND 2021-22

WHEREAS, The City Council, on June 16, 2020, adopted the FY 2020 -21 Operating and Capital Budget for the City of Tracy, and

WHEREAS, The City Council, on May 18, 2021, adopted the FY 2021-22 Operating and Capital Budget for the City of Tracy, and

WHEREAS, The City of Tracy is expected to see an increase of $10 million in Sales Tax in FY 2020-21 and an increase of $25 million in Sales Tax in FY 2021-22, and

WHEREAS, The City of Tracy is expected to receive of $6.5 million in American Rescue Plan Act funds in FY 2021-22 and an additional $7 million in Sales Tax in American Rescue Plan Act funds in FY 2022-23, and

WHEREAS, Based upon a review of revenues and expenditures, the City Manager has prepared and proposed additional amendments to the FY 2020 -21 budget and FY 2021-22 budget, including two-year Memorandum of Understanding employment contracts for six of the nine of the City's employee groups, and

WHEREAS, The City Council has considered information related to these matters, as presented at a public meeting of the City Council, including any supporting documents and reports by City staff, and any information provided during that public meeting, and

WHEREAS, The City Council has reviewed the level of budgeting control needed by the City Manager to ensure efficiency in managing the operations of the City, including the authorization of budget transfers between funds;

NOW, THEREFORE, BE IT RESOLVED, the City Council of the City of Tracy hereby amends the FY 2020-21 Operating and Capital Budget by increasing the General Fund's Sales Tax an additional $10 million; and amend the FY 2021-22 Operating and Capital Budget as evidenced by the attached summary.

* * * * * * * * * * *

The foregoing Resolution 2021-091 was passed and adopted by the Tracy City Council on the 20th day of July, 2021, by the following vote:

AYES:	COUNCIL MEMBERS: ARRIOLA, BEDOLLA, DAVIS, YOUNG
NOES:	COUNCIL MEMBERS: VARGAS
ABSENT:	COUNCIL MEMBERS: NONE
ABSTAIN:	COUNCIL MEMBERS: NONE

MAYOR

ATTEST:

CITY CLERK

Attachment A: Summary of recommended Amendments

- Staff is recommending amendments to the City Operating and Capital Budget for FY 2020-21 by increasing the General Fund Sales tax $10M.
- The table below represents a summary of FY 2021-22 amendments and the effect of these amendments on FY 2022-23.

Budget Amendments (in thousands)	General Fund FY 21/22	Citywide FY 21/22	ARPA FY 21/22	General Fund FY 22/23*	Citywide FY 22/23*	ARPA FY 22/23
Revenues						
Revenue	25,000		6,500	30,000		7,000
Expenditures						
MOU Two Year Contract (six units)	1,400	2,212	1,500	2,209	3,873	
Restore funding for Vacancies	2,300	2,300		5,000	5,000	
Restore funding for Vacancies	1,700	1,700		1,700	1,700	
Homeless Program			3,000	1,200	1,200	1,500
Business Recovery			2,000			2,500
Infrastructure Funding						3,000
Total Expenditures	**$ 5,400**	**$ 6,212**	**$ 6,500**	**$10,109**	**$11,773**	**$ 7,000**

*Note: Year Two is cumulative

RESOLUTION 2020-179

AMENDING THE CITY OPERATING AND CAPITAL BUDGET FOR FISCAL YEAR 2020-21

WHEREAS, The City Council, on June 16, 2020, adopted the FY 2020-21 Operating and Capital Budget for the City of Tracy, and

WHEREAS, Based upon a review of revenues and expenditures, the City Manager has prepared and proposed additional amendments to the FY 2020-21 budget, and

WHEREAS, Proposed amendments include re-appropriations from FY 2019-20 for items that were either underway, postponed, or otherwise delayed into FY 2020-21, and

WHEREAS, The City Council has considered information related to these matters, as presented at a public meeting of the City Council, including any supporting documents and reports by City staff, and any information provided during that public meeting, and

WHEREAS, The City Council has reviewed the level of budgeting control needed by the City Manager to ensure efficiency in managing the operations of the City, including the authorization of budget transfers between funds;

NOW, THEREFORE, BE IT RESOLVED, the City Council of the City of Tracy hereby adopts the Amended Municipal Budget for the Fiscal Year 2020-21 as evidenced by the attached summary of FY 2020-21 Revised General Fund Budget and Capital Improvement Projects Exhibit A.

* * * * * * * * * * * *

The foregoing Resolution 2020-179 was passed and adopted by the Tracy City Council on the 20th day of October, 2020, by the following vote:

AYES:	COUNCIL MEMBERS:	ARRIOLA, RANSOM, YOUNG, RICKMAN
NOES:	COUNCIL MEMBERS:	NONE
ABSENT:	COUNCIL MEMBERS:	VARGAS
ABSTAIN:	COUNCIL MEMBERS:	NONE

MAYOR

ATTEST:

CITY CLERK

Fund	FY 19/20 Q1	FY 20/21 Adopted	FY20/21 Amended	FY20/21 Q1
REVENUES				
GENERAL FUND	7,625,308	78,927,054	78,927,054	7,378,136
DEVELOPMENT SERVICES	2,115,084	9,310,959	9,310,959	2,549,354
SCFA	2,420	6,672,294	6,672,294	122,225
GAS TAX	444,549	3,163,139	3,163,139	556,391
SPECIAL REVENUE	155,340	12,092,236	12,092,236	491,316
DEBT	(49,008)	6,142,815	6,142,815	166,024
GEN FUND CAPITAL	0	9,993	9,993	958
CAPITAL	3,437,316	14,272,634	14,272,634	3,451,774
INTERNAL SERVICES FUND	2,426,153	12,895,102	12,895,102	2,713,920
AIRPORT	1,283,263	1,021,806	1,021,806	261,640
TRANSIT	57,205	5,555,883	5,555,883	839,733
SOLID WASTE	4,852,167	21,730,862	21,730,862	4,057,119
WASTEWATER	2,672,221	14,916,509	14,916,509	2,150,496
WATER	4,049,151	18,368,267	18,368,267	4,763,055
STORM DRAIN	152,250	718,683	718,683	133,158
TOTAL REVENUES	**29,223,419**	**205,798,236**	**205,798,236**	**29,635,299**
EXPENSES				
GENERAL FUND	12,215,324	82,103,037	82,722,601	12,276,402
DEVELOPMENT SERVICES	2,133,830	10,692,978	10,862,401	1,999,706
SCFA	3,997,286	6,672,294	6,672,294	4,515,623
GAS TAX	349,042	4,090,046	5,262,033	401,631
SPECIAL REVENUE	2,447,910	6,889,269	7,949,122	1,285,345
DEBT	1,563,875	5,138,131	5,138,131	2,496,334
GEN FUND CAPITAL	2,076,804	455,178	2,096,568	427,450
CAPITAL	8,907,729	9,688	4,406,719	1,950,725
INTERNAL SERVICES FUND	2,995,995	13,591,095	13,980,788	4,171,187
AIRPORT	1,883,145	864,117	1,035,706	239,465
TRANSIT	783,302	7,008,346	7,876,761	728,427
SOLID WASTE	3,785,930	24,304,162	24,324,862	4,415,777
WASTEWATER	4,865,556	15,658,642	44,113,524	6,526,343
WATER	3,018,733	18,787,678	19,839,524	3,499,844
STORM DRAIN	109,523	684,666	684,666	128,678
TOTAL EXPENSES	**51,133,983**	**196,949,327**	**236,965,700**	**45,062,935**
INCREASE/(DECREASE)	**(21,910,564)**	**8,848,909**	**(31,167,464)**	**(15,427,636)**

GLOSSARY OF TERMS

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GLOSSARY OF TERMS

ACCOUNT. A classification of expenditure or revenue.

ACCRUAL BASIS. The basis of accounting whereby revenues are recognized when they are earned and measurable regardless of when collected, and expenses are recorded on a matching basis when incurred. All proprietary and fiduciary funds use the accrual basis of accounting.

ACCRUED EXPENDITURES. Expenditures that meet the appropriate recognition criteria of the account type involved but have not been paid. Accrued expenditures are expected to be paid in a subsequent accounting period.

ACCRUED LIABILITIES. Liabilities reflecting the obligation to pay for goods or services that have been incurred or received but not paid for by the end of the accounting period. Accrued liabilities related to refunds of revenue are offset to the revenue originally recorded.

ACCRUED REVENUES. Revenues that meet the appropriate recognition criteria of the fund type involved, but are not realized until a subsequent accounting period. Also refers to Accrual Basis and Modified Accrual Basis.

ACTUAL. Amounts actually expended or received.

ACQUISITION. This type of project includes the acquisition of land, structures, and buildings. These are fixed assets that have no relationship to the addition or improvement to, or the repair or replacement of, existing fixed assets. Examples of an acquisition are purchase of a tract of land or purchase of a building.

ADOPTED. Amounts adopted by the City Council.

AGENCIES. Shorthand market terminology for any obligation issued by a government-sponsored entity (GSE), or a federally related institution. Most obligations of GSEs are not guaranteed by the full faith and credit of the US government. Examples are:

FFCB. The Federal Farm Credit Bank System provides credit and liquidity in the agricultural industry. FFCB issues discount notes and bonds.

FHLB. The Federal Home Loan Bank provides credit and liquidity in the housing market. FHLB issues discount notes and bonds.

FHLMC. Like FHLB, the Federal Home Loan Mortgage Corporation provides credit and liquidity in the housing market. FHLMC, also called “FreddieMac” issues discount notes, bonds and mortgage pass-through securities.

FNMA. Like FHLB and FreddieMac, the Federal National Mortgage Association was established to provide credit and liquidity in the housing

market. FNMA, also known as “FannieMae,” issues discount notes, bonds and mortgage pass- through securities.

GNMA. The Government National Mortgage Association, known as “GinnieMae,” issues mortgage pass-through securities, which are guaranteed by the full faith and credit of the US Government.

PEFCO. The Private Export Funding Corporation assists exporters. Obligations of PEFCO are not guaranteed by the full faith and credit of the US government.

TVA. The Tennessee Valley Authority provides flood control and power and promotes development in portions of the Tennessee, Ohio, and Mississippi River valleys. TVA currently issues discount notes and bonds.

ALLOCATION. Spending authority assigned to an agency from a lump-sum appropriation that is designated for expenditure by specific governmental units and/or for specific purposes, activities, or objects.

APPROPRIATION. An authorization granted by a legislative body to make expenditures and to incur obligations for specific purposes.

APPROPRIATION LIMITS. Refers to the Gann Initiative (Proposition 4 on the November 1979 ballot), which imposed limits on the allowable annual appropriations of the State, schools, and most local agencies; limit is generally prior-year appropriations factored by per capita cost increases and population changes.

ASKED. The price at which a seller offers to sell a security.

ASSET BACKED SECURITIES. Securities supported by pools of installment loans or leases or by pools of revolving lines of credit.

AVERAGE LIFE. In mortgage-related investments, including CMOs, the average time to expected receipt of principal payments, weighted by the amount of principal expected.

BALANCED BUDGET. A budget in which the expenditures incurred during a given period are matched by revenues and/or current expenditures are equal to receipts.

BANKER’S ACCEPTANCE. A money market instrument created to facilitate international trade transactions. It is highly liquid and safe because the risk of the trade transaction is transferred to the bank which “accepts” the obligation to pay the investor.

BENCHMARK. A comparison security or portfolio. A performance benchmark is a partial market index, which reflects the mix of securities allowed under a specific investment policy.

BID. The price at which a buyer offers to buy a security.

BOND. A long-term IOU or promise to pay. It is a promise to repay a specified amount of money (the face amount of the bond) on a particular date (the maturity date). Bonds are primarily used to finance capital projects.

BROKER. A broker brings buyers and sellers together for a transaction for which the broker receives a commission. A broker does not sell securities from his own position.

BUDGET. A plan of financial operation embodying an estimate of proposed expenditures for a given period of time or purpose and the proposed means of financing them.

BUDGET CALENDAR. The schedule of key dates that the City of Tracy follows in the preparation and adoption of the budget.

CALLABLE. A callable security gives the issuer the option to call it from the investor prior to its maturity. The main cause of a call is a decline in interest rates. If interest rates decline since an issuer issues securities, it will likely call its current securities and reissue them at a lower rate of interest. Callable securities have reinvestment risk as the investor may receive its principal back when interest rates are lower than when the investment was initially made.

CAPITAL ASSETS. Tangible or intangible assets held and used in state operations which have a service life of more than one year and meet the state's capitalization policy. Capital assets of the state include land, infrastructure, improvements to land, buildings, leasehold improvements, vehicles, furnishings, equipment, collections and all other tangible and intangible assets that are used in state operations.

CAPITAL IMPROVEMENTS PLAN (CIP). A plan for capital outlay to be incurred each year over a fixed number of years to meet capital needs arising from the government's long-term needs.

CAPITAL PROJECT. Any project having assets of significant value and a useful life of five years or more. Capital projects include the purchase of land, designs, engineering, and construction of buildings and infrastructure such as streets, bridges, drainage, street lighting, water systems, etc. Capital projects may include the acquisition of heavy equipment and machinery or rolling stock using capital funding sources.

CERTIFICATE OF DEPOSIT (CD). A time deposit with a specific maturity evidenced by a certificate. Large denomination CDs may be marketable.

CERTIFICATE OF DEPOSIT ACCOUNT REGISTRY SYSTEM (CDARS). A private placement service that allows local agencies to purchase more than $250,000 in CDs from a single financial institution (must be a participating institution of CDARS) while still maintaining FDIC insurance coverage. CDARS is currently the only entity providing this service. CDARS facilitates the trading of deposits between the California institution and

other participating institutions in amounts that are less than $250,000 each, so that FDIC coverage is maintained.

COLLATERAL. Securities or cash pledged by a borrower to secure repayment of a loan or repurchase agreement. Also, securities pledged by a financial institution to secure deposits of public monies.

COLLATERALIZED MORTGAGE OBLIGATIONS (CMO). Classes of bonds that redistribute the cash flows of mortgage securities (and whole loans) to create securities that have different levels of prepayment risk, as compared to the underlying mortgage securities.

COMMERCIAL PAPER. The short-term unsecured debt of corporations.

CONSULTANT. An independent individual or entity contracting with an agency to perform a professional service or render an opinion or recommendation according to the consultant's methods and without being subject to the control of the agency except as to the result of the work.

COST BENEFIT ANALYSIS. An analysis in which consequences of the investment are measured in or converted to economic terms and qualitative benefits.

COST YIELD. The annual income from an investment divided by the purchase cost. Because it does not give effect to premiums and discounts which may have been included in the purchase cost, it is an incomplete measure of return.

COUPON. The rate of return at which interest is paid on a bond.

CREDIT RISK. The risk that principal and/or interest on an investment will not be paid in a timely manner due to changes in the condition of the issuer.

CURRENT YIELD. The annual income from an investment divided by the current market value. Since the mathematical calculation relies on the current market value rather than the investor's cost, current yield is unrelated to the actual return the investor will earn if the security is held to maturity.

DEALER. A dealer acts as a principal in security transactions, selling securities from and buying securities for his own position.

DEBENTURE. A bond secured only by the general credit of the issuer.

DEBT SERVICE FUND. A fund type established to account for the accumulation of resources for, and the payment of, general long-term obligation principal and interest.

DEFICIT. (1) The excess of the liabilities of a fund over its assets. (2) The excess of expenditures over revenues during an accounting period or, in the case of proprietary funds, the excess of expenses over revenues during an accounting period.

DELIVERY VS. PAYMENT (DVP). A securities industry procedure whereby payment for a security must be made at the time the security is delivered to the purchaser's agent.

DERIVATIVE. Any security that has principal and/or interest payments which are subject to uncertainty (but not for reasons of default or credit risk) as to timing and/or amount, or any security which represents a component of another security which has been separated from other components ("Stripped" coupons and principal). A derivative is also defined as a financial instrument the value of which is totally or partially derived from the value of another instrument, interest rate, or index.

DESIGNATION. An account containing money set aside by the City Council for a specific future use. Money in a designation is earmarked for a specific use, but may not be legally restricted to that use.

DISCOUNT. The difference between the par value of a bond and the cost of the bond, when the cost is below par. Some short-term securities, such as T-bills and banker's acceptances, are known as discount securities. They sell at a discount from par, and return the par value to the investor at maturity without additional interest. Other securities, which have fixed coupons, trade at a discount when the coupon rate is lower than the current market rate for securities of that maturity and/or quality.

DIVERSIFICATION. Dividing investment funds among a variety of investments to avoid excessive exposure to any one source of risk.

DURATION. The weighted average time to maturity of a bond where the weights are the present values of the future cash flows. Duration measures the price sensitivity of a bond to changes in interest rates. (See modified duration).

EXPENDITURES. Decreases in net current financial resources. Expenditures include disbursements and accruals for the current period. Encumbrances are not included.

FACILITY. A building or other structure with at least one wall and a roof.

FACILITY IMPROVEMENTS. These include initial construction, punch-list items, retrofits, alterations, remodeling, renewals, tenant improvements, renovations, adaptations and code improvements for a facility.

FEDERAL FUNDS RATE. The rate of interest charged by banks for short-term loans to other banks. The Federal Reserve Bank through open-market operations establishes it.

FEDERAL OPEN MARKET COMMITTEE. A committee of the Federal Reserve Board that establishes monetary policy and executes it through temporary and permanent changes to the supply of bank reserves.

FEE. A fee is a charge, fixed by law, for the benefit of a service or to cover the cost of a regulatory program or the costs of administering a program for which the fee payer

benefits. For example, professional license fees which cover the cost of administering and regulating that category of professions are fees. Other charges that are categorized as fees include tolls and tuition. Fees must be authorized in statute. The Legislature may set the rates in statute or authorize a state agency to set rates using administrative procedures.

FISCAL YEAR. A 12-month fiscal period used for budget and accounting purposes. The City of Tracy fiscal year extends from July 1 through the next June 30 and is named for the calendar year in which it starts/ends (e.g., July 1, 2021 - June 30, 2022 is Fiscal Year 2021/22).

FIXED ASSET. A fixed, physically attached, and permanent improvement or real property. Fixed assets are normally those that are capitalized.

FULL TIME EQUIVALENT (FTE) POSITION. A full or part-time position converted to a decimal equivalent of a full-time position based on 2,080 hours per year (a 40 hour week).

FUNDS. A term that generally refers to moneys or resources.

GENRAL FUND. A fund established to account for all financial resources and transactions except those required by law to be accounted for in specific dedicated accounts.

GRANT. A contribution from one governmental unit to another, usually made for a specific purpose and time period.

IMPROVEMENT. A legal term referring to anything erected on and affixed to land (e.g., buildings, roads, fences, and services), which legally becomes part of the land, according to common law and statutory definition.

LEVERAGE. Borrowing funds in order to invest in securities that have the potential to pay earnings at a rate higher than the cost of borrowing.

LIQUIDITY. The speed and ease with which an asset can be converted to cash.

LOCAL AGENCY INVESTMENT FUND (LAIF). A voluntary investment fund open to government entities and certain non-profit organizations in California that is managed by the State Treasurer's Office.

LOCAL GOVERNMENT INVESTMENT POOL. Investment pools that range from the State Treasurer's Office Local Agency Investment Fund (LAIF) to county pools, to Joint Powers Authorities (JPAs). These funds are not subject to the same SEC rules applicable to money market mutual funds.

MAKE WHOLE CALL. A type of call provision on a bond that allows the issuer to pay off the remaining debt early. Unlike a call option, with a make whole call provision, the

issuer makes a lump sum payment that equals the net present value (NPV) of future coupon payments that will not be paid because of the call. With this type of call, an investor is compensated, or "made whole."

MARGIN. The difference between the market value of a security and the loan a broker makes using that security as collateral.

MARKET RISK. The risk that the value of securities will fluctuate with changes in overall market conditions or interest rates.

MARKET VALUE. The price at which a security can be traded.

MARKING TO MARKET. The process of posting current market values for securities in a portfolio.

MATURITY. The final date upon which the principal of a security becomes due and payable.

MEDIUM TERM NOTES. Unsecured, investment-grade senior debt securities of major corporations which are sold in relatively small amounts on either a continuous or an intermittent basis. MTNs are highly flexible debt instruments that can be structured to respond to market opportunities or to investor preferences.

MODIFIED DURATION. The percent change in price for a 100 basis point change in yields. Modified duration is the best single measure of a portfolio's or security's exposure to market risk.

MONEY MARKET. The market in which short-term debt instruments (T-bills, discount notes, commercial paper, and banker's acceptances) are issued and traded.

MORTGAGE PASS-THROUGH SECURITIES. A securitized participation in the interest and principal cash flows from a specified pool of mortgages. Principal and interest payments made on the mortgage are passed through to the holder of the security.

MUNICIPAL SECURITIES. Securities issued by state and local agencies to finance capital and operating expenses.

MUTUAL FUND. An entity which pools the funds of investors and invests those funds in a set of securities which is specifically defined in the fund's prospectus. Mutual funds can be invested in various types of domestic and/or international stocks, bonds, and money market instruments, as set forth in the individual fund's prospectus. For most large, institutional investors, the costs associated with investing in mutual funds are higher than the investor can obtain through an individually managed portfolio.

NATIONALLY RECOGNIZED STATISTICAL RATINGS ORGANIZATION (NRSRO). A credit rating agency that the Securities and Exchange Commission in the United States uses for regulatory purposes. Credit rating agencies provide assessments of an

investment's risk. The issuers of investments, especially debt securities, pay credit rating agencies to provide them with ratings. The three most prominent NRSROs are Fitch, S&P, and Moody's.

NEGOTIABLE CD. A short-term debt instrument that pays interest and is issued by a bank, savings or federal association, state or federal credit union, or state-licensed branch of a foreign bank. Negotiable CDs are traded in a secondary market and are payable upon order to the bearer or initial depositor (investor).

OPERATING BUDGET. A biennial plan for the revenues and expenditures necessary to support the administrative and service functions of the City of Tracy.

PER CAPITA. Amount per individual.

PREMIUM. The difference between the par value of a bond and the cost of the bond, when the cost is above par.

PREPAYMENT SPEED. A measure of how quickly principal is repaid to investors in mortgage securities.

PREPAYMENT WINDOW. The time period over which principal repayments will be received on mortgage securities at a specified prepayment speed.

PRIMARY DEALER. A financial institution (1) that is a trading counterparty with the Federal Reserve in its execution of market operations to carry out U.S. monetary policy, and (2) that participates for statistical reporting purposes in compiling data on activity in the U.S. Government securities market.

PRUDENT PERSON (PRUDENT INVESTOR) RULE. A standard of responsibility which applies to fiduciaries. In California, the rule is stated as “Investments shall be managed with the care, skill, prudence and diligence, under the circumstances then prevailing, that a prudent person, acting in a like capacity and familiar with such matters, would use in the conduct of an enterprise of like character and with like aims to accomplish similar purposes.”

REALIZED YIELD. The change in value of the portfolio due to interest received and interest earned and realized gains and losses. It does not give effect to changes in market value on securities, which have not been sold from the portfolio.

REGIONAL DEALER. A financial intermediary that buys and sells securities for the benefit of its customers without maintaining substantial inventories of securities and that is not a primary dealer.

REPURCHASE AGREEMENT. Short-term purchases of securities with a simultaneous agreement to sell the securities back at a higher price. From the seller’s point of view, the same transaction is a reverse repurchase agreement.

RETAIL SALES TAX. A tax levied on the sale of goods or services to the consumer.

REVENUES. Cash receipts and receivables of a unit derived from taxes and other sources.

SAFEKEEPING. A service to bank customers whereby securities are held by the bank in the customer's name.

STRATEGIC PLAN. A long-term comprehensive plan that represents an integrated set of decisions and actions designed to ensure that the intended goals and objectives of an agency are met. The plan should answer: Where are we today? Where do we want to be? How do we intend to close the gap between where we are and where we want to be?

STRUCTURED NOTE. A complex, fixed income instrument, which pays interest, based on a formula tied to other interest rates, commodities or indices. Examples include inverse floating rate notes which have coupons that increase when other interest rates are falling, and which fall when other interest rates are rising, and "dual index floaters," which pay interest based on the relationship between two other interest rates - for example, the yield on the ten-year Treasury note minus the Libor rate. Issuers of such notes lock in a reduced cost of borrowing by purchasing interest rate swap agreements.

SUPRANATIONAL. A Supranational is a multi-national organization whereby member states transcend national boundaries or interests to share in the decision making to promote economic development in the member countries.

TOTAL RATE OF RETURN. A measure of a portfolio's performance over time. It is the internal rate of return, which equates the beginning value of the portfolio with the ending value; it includes interest earnings, realized and unrealized gains, and losses in the portfolio.

U.S. TREASURY OBLIGATIONS. Securities issued by the U.S. Treasury and backed by the full faith and credit of the United States. Treasuries are considered to have no credit risk, and are the benchmark for interest rates on all other securities in the US and overseas. The Treasury issues both discounted securities and fixed coupon notes and bonds.

TREASURY BILLS. All securities issued with initial maturities of one year or less are issued as discounted instruments, and are called Treasury bills. The Treasury currently issues three- and six-month T-bills at regularweekly auctions. It also issues "cash management" bills as needed to smooth out cash flows.

TREASURY NOTES. All securities issued with initial maturities of two to ten years are called Treasury notes, and pay interest semi-annually.

TREASURY BONDS. All securities issued with initial maturities greater than ten years are called Treasury bonds. Like Treasury notes, they pay interest semi-annually.

VOLATILITY. The rate at which security prices change with changes in general economic conditions or the general level of interest rates.

YIELD TO MATURITY. The annualized internal rate of return on an investment which equates the expected cash flows from the investment to its cost.

CITY OF TRACY

ACRONYMS

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COMMONLY USED ACRONYMS

ABBREVIATION	DEFINITION
ACFR	Annual Comprehensive Financial Report
ALS	Advanced Life Support
CAB	Community Advisory Board
CalPERS	California Public Employees' Retirement System
CDA	Community Development Agency
CDBG	Community Development Block Grant
CEQA	California Environmental Quality Act
CFD	Community Facilities Districts
CIP	Capital Improvement Program
COP	Certificates of Participation
CSJVRMA	Central San Joaquin Valley Risk Management Authority
CSMFO	California Society of Municipal Finance Officers
EMS	Emergency Medical Service
FAA	Federal Aviation Administration
FEMA	Federal Emergency Management Agency
GAAP	Generally Accepted Accounting Principles
GASB	Governmental Accounting Standards Board
GFOA	Government Finance Officers Association
JPA	Joint Powers Agreement
LAIF	Local Agency Investment Fund
LHMP	Local Hazard Mitigation Plan
LMD	Landscape Maintenance Districts
MCYSN	Mayors Community Youth Support Network
MOU	Memorandum of Understanding
PAL	Tracy Police Activity League
RSP	Residential Specific Plan
SCFA	(Page 3-9)
SIP	Stay in Place
SRF	Special Revenue Fund
SSJCFA	South San Joaquin County Fire Authority
TCCA	Tracy City Center Association
TIMP	Tracy Infrastructure Master Plan
TOD	Transit Oriented Development
TOT	Transient Occupancy Tax

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